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# Vibrational mode frequencies of silica species in SiO<sub>2</sub>-H<sub>2</sub>O liquids and glasses from ab initio molecular dynamics

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Vibrational spectroscopy techniques are commonly used to probe the atomic-scale structure of silica species in aqueous solution and hydrous silica glasses. However, unequivocal assignment of individual spectroscopic features to specific vibrational modes is challenging. In this contribution, we establish a connection between experimentally observed vibrational bands and ab initio molecular dynamics (MD) of silica species in solution and in hydrous silica glass. Using the mode-projection approach, we decompose the vibrations of silica species into subspectra resulting from several fundamental structural subunits: The SiO<sub>4</sub> tetrahedron of symmetry T<sub>d</sub>, the bridging oxygen (BO) Si-O-Si of symmetry C<sub>2ν</sub>, the geminal oxygen O-Si-O of symmetry C<sub>2ν</sub>, the individual Si-OH stretching and the specific ethane-like symmetric stretching contribution of the H<sub>6</sub>Si<sub>2</sub>O<sub>7</sub> dimer. This allows us to study relevant vibrations of these subunits in any degree of polymerization, from the Q<sup>0</sup> monomer up to the fully polymerized Q<sup>4</sup> tetrahedra. Demonstrating the potential of this approach for supplementing the interpretation of experimental spectra, we compare the calculated frequencies to those extracted from experimental Raman spectra of hydrous silica glasses and silica species in aqeous solution. We discuss observed features such as the double-peaked contribution of the Q<sup>2</sup> tetrahedral symmetric stretch, the individual Si-OH stretching vibrations, the origin of the experimentally observed band at 970 cm<sup>-1</sup> and the ethane-like vibrational contribution of the H<sub>6</sub>Si<sub>2</sub>O<sub>7</sub> dimer at 870 cm<sup>-1</sup>.

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### 1 I. INTRODUCTION

Silicate-bearing aqueous fluids and water-bearing silicate <sup>33</sup> 2 melts play a fundamental role in a wide variety of geologic <sup>34</sup> 3 processes. The structural properties of silica in the presence <sup>35</sup> 4 of H<sub>2</sub>O are also of fundamental importance in several scien-<sup>36</sup> 5 tific and technological areas such as zeolite research and op-37 6 tical glass manufacturing. For these reasons, it is important <sup>38</sup> 7 to understand how the structural properties change with com-<sup>39</sup> 8 positions ranging from pure silica to hydrous silica and from 40 9 dilute silica in aqueous fluids to more concentrated silica so-41 10 lutions. 42 11

Much of the current information on the speciation and 43 12 structure of silica in fluids and in hydrous glasses has come 44 13 from Raman spectroscopy in the frequency range of 400 to 45 14 1200 cm<sup>-1</sup>, which is dominated by quasi-localized vibrational <sup>46</sup> 15 motions of the silica network.<sup>1-5</sup> A large data set of Ra-<sup>47</sup> 16 man spectra related to silica species in aqueous fluids at var-48 17 ious concentrations is now available.<sup>6–13</sup> The hydrothermal <sup>49</sup> 18 diamond-anvil cell technique<sup>14</sup>, in conjunction with Raman <sup>50</sup> 19 spectroscopy, has enabled direct probing of a fluid's vibra-<sup>51</sup> 20 tional frequencies at high pressures and temperatures. These 52 21 methods have been applied to silica in predominantly aqueous 53 22 fluids<sup>2–5,15,16</sup> and water-bearing silicate melts.<sup>3–5,17</sup> Changes <sup>54</sup> 23 in the structure of fused silica glass and binary Na2O-SiO2 55 24 glasses and melts caused by addition of water have also been 56 25 investigated by Raman spectroscopy and discussed in terms of 57 26 silica speciation.18-23 27

Nevertheless, many aspects of the interpretation of Raman <sup>59</sup>
 spectra of these materials remain uncertain. Ambiguities in <sup>60</sup>
 the band assignments are mainly the result of the large number <sup>61</sup>

of potential species and their different vibrational modes that may be responsible for the observed Raman bands. The possible species include Si(OH)<sub>4</sub> monomers, low-order oligomers (dimers, trimers, etc.), more highly polymerized networks of  $Q^n$ -species (*n* denoting the number of oxygen atoms in one tetrahedron shared with neighboring tetrahedra) and deprotonated (charged) species.<sup>2-5,8-10,16</sup> Band assignments are usually based either on qualitative assessment of how spectra change with changing fluid composition, and/or on the results of computational studies of small silicate molecules (see below). Among the Raman bands of silica species in aqueous fluid, the one with the most certain assignment is at about  $770 \,\mathrm{cm}^{-1}$ , which is explained by the tetrahedral symmetric stretch of a monomer.<sup>4,10,15,16,24,25</sup> The agreement on the vibrational frequencies of other monomer modes is fairly good. The case of the dimer is already more ambiguous. All studies agree in its contribution to a band at about  $630 \text{ cm}^{-1}$  caused by the bridging oxygen vibration, but differ in the frequency of a second band varying from 850 to 915 to 1015 cm<sup>-1</sup>.<sup>10,15,16,24</sup> Questions remain also about intermediate degrees of polymerization. For instance, the spectral contributions identified for Q<sup>2</sup>-species differ markedly between individual studies.<sup>4,5,26,27</sup> Silica rings composed of several SiO<sub>4</sub> tetrahedra (mostly 4 to 6) are important intermediate-range structures, not only in dry silica, but also of silica in solution.<sup>28</sup> Their specific Ramanintense vibrational contribution consists of collective bridging oxygen motions that are referred to as "ring breathing".<sup>2</sup> Their vibrational frequencies are around  $600 \,\mathrm{cm}^{-1}$  and below, a frequency region that is dominated by broad, blurred bands in Raman spectra of silica in solution. Therefore, ring structures are not commonly assigned to Raman bands of silica in aqueous solutions, although NMR measurements detect silica ring structures in these fluids.<sup>28,30</sup>

There are several computational methods to support the interpretation of vibrational spectra from glasses, melts or silica

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<sup>66</sup> species in solution. We mention them briefly to motivate the<sub>124</sub>

<sup>67</sup> approach presented in this study. They mostly aim to calcu-125

<sup>68</sup> late complete Raman spectra of a model system, whereas we<sub>126</sub>

aim to study the distinct vibrational contributions of different<sup>127</sup>
 silica species. <sup>128</sup>

Most commonly, the methodological basis is normal mode129 71 analysis (NMA) which explicitly calculates the vibrational<sup>130</sup> 72 modes at zero Kelvin, making use of the harmonic approxima-131 73 tion. NMA can be carried out either on clusters or bulk models132 74 in periodic boundary conditions. On the basis of the atomic<sup>133</sup> 75 displacement vectors resulting from NMA, several methods134 76 have the aim to calculate complete Raman spectra of the mod-135 77 elled system from the polarizability changes related to each136 78 mode. For example, the Raman-scattering cross sections are137 79 calculated fully ab initio for each vibrational mode of an iso-138 80 lated  $H_4SiO_4$  or  $H_3SiO_4^-$  monomer<sup>25,31–33</sup>,  $H_6Si_2O_7$  dimers<sup>139</sup> and  $H_8Si_3O_{10}$  trimers.<sup>16,24,34</sup> In practice, this approach is lim-<sup>140</sup> 81 82 ited in the size of the silica clusters such that silica species be-141 83 yond Q<sup>2</sup> have rarely been investigated with gas-phase NMA.<sup>35142</sup> 84 Recent advances in the perturbational treatment of polariza-143 85 tion within the framework of density-functional theory have144 86 allowed calculation of the polarizability tensor of a system<sup>145</sup> 87 within periodic boundary conditions<sup>36</sup> and its variation for fi-<sup>146</sup> nite displacements of the atoms.<sup>37</sup> This method, applied to<sup>147</sup> 88 89 bulk NMA displacement vectors, allows for the calculation of<sup>148</sup> 90 Raman spectra of bulk disordered silica systems<sup>38,39</sup> in verv<sup>149</sup> 91 good agreement with experiment. 92

A classical approach to calculate polarizability changes of<sup>151</sup> 93 a system due to its vibrations is the parameterized bond-152 94 polarizability model. The bond-polarizability model is pa-153 95 rameterized based on the polarizability of silica species in<sup>154</sup> 96 crystals<sup>40,41</sup>, on ab initio polarizabilities<sup>42-44</sup> or on other<sup>155</sup> 97 electro-optical models.<sup>45</sup> As the above mentioned methods,<sup>156</sup> 98 it can be applied to the atomic displacement vectors of the<sup>157</sup> 99 eigenmodes from NMA.<sup>44</sup>. However, it can also be ap-<sup>158</sup> 100 plied to the atomic velocity vectors of a molecular dynam-159 101 ics trajectory.<sup>42,45</sup> Contrary to the methods above, the bond-<sup>160</sup> 102 polarizability model is suited to disentangle the Raman con-<sup>161</sup> 103 tributions of different silica  $Q^n$ -speciations in partially depoly-<sup>162</sup> 104 merized glasses or melts.<sup>40</sup> However, to our knowledge, there<sup>163</sup> 105 is no bond-polarizability model for silica species in hydrous<sup>164</sup> 106 environment. 107

The goal of our study is to assist the experimental assign-<sup>166</sup> 108 ment of Raman bands to quasi-local vibrational modes of sil-167 109 ica species. Therefore, we need to know the frequencies of 168 110 vibrational modes with presumably high Raman intensity of 169 111 distinct silica species. This can not be achieved with the above<sup>170</sup> 112 mentioned methods for silica species of intermediate or high<sup>171</sup> 113 degree of polymerization: If NMA is applied to small clusters<sup>172</sup> 114 as mentioned above, the vibrational character of the modes 115 can be evualuated visually from the atomic displacement vec-116 tors of each mode, but in bulk material, this is complicated<sup>173</sup> 117 because of the mixed character of the bulk vibrational eigen-118 174 modes. 119 The approach that is followed in this study is the projec-120

tion of atomic velocity vectors from ab initio MD runs onto<sub>175</sub>
 the directions of molecular normal-mode-like motions derived<sub>176</sub>
 from theoretical spectroscopy. To our knowledge, the first<sub>177</sub>

application of this approach were the quasi-normal modes of the water molecule.<sup>46,47</sup> Taraskin and Elliott<sup>48</sup> projected force vectors of bulk classical-potential SiO<sub>2</sub> onto quasi-normal modes of a tetrahedral molecule, to decompose the vibrational density into separate subspectra of different, spatially quasilocalized origin. Wilson and Madden<sup>49</sup> projected atomic velocity vectors from a classical molecular dynamics run of SiO<sub>2</sub> onto the same quasi-normal modes and obtained the partial vibrational density by Fourier transformation of the velocity autocorrelation function. Sarnthein et al.<sup>50</sup> used this projection to discover the high frequency doublet of silica to result from two different tetrahedral vibrations as opposed to result from LO-TO-splitting. Pavlatou et al.<sup>51</sup> applied the same scheme to a network-forming molten salt in which the polyhedra do not form a complete network. Ribeiro et al. 52-54 demonstrated by mode projections in the case of ZnCl<sub>2</sub> how the idealized high-frequency quasi-local molecular modes and the idealized low-frequency propagating modes in a network forming liquid are not completely independent of one another. However, the decoupling increases with increasing bond strength.<sup>54</sup> Therefore, the high bond strength of the Si-O bond supports the applicability of local mode projections in silica systems.

Comparison of vibrational subspectra to experimental Raman spectra of silicate glasses and melts is justified by the fact that the first-order Raman scattering shifts of the photon energies directly provide the vibrational frequencies of the scattering species (see e.g. Umari *et al.*<sup>37</sup> and Veithen *et al.*<sup>55</sup>). This contrasts with infrared spectroscopy, in which the complex response to a plane wave radiation field may cause a shift between vibrational frequencies and observed IR absorption bands (see e.g. Balan *et al.*<sup>56</sup>).

This study extends the mode-projection approach to the partially depolymerized network in the SiO<sub>2</sub>-H<sub>2</sub>O. We present vibrational subspectra from ab initio MD of given silica species. This is reached with the application of the mode-projection approach to molecular subunits comprising 1) silica tetrahedra of any degree of polymerization including the nonpolymerized monomer as a benchmark, 2) bridging oxygen atoms to any degree of polymerization, 3) individual Si-OH stretching, 4) O-Si-O vibrations on Q<sup>2</sup>-species, and 5) the special ethane-like case of the dimer. The frequencies of these quasi-local modes are compared to the frequencies obtained from Raman spectra and may therefore assist in band assignment. By using bulk MD and extending the mode-projection method, two important limitations of the gas-phase NMA<sup>16,57</sup> are overcome. Firstly, we can model any degree of polymerization. Secondly, silica species in solvation can be modeled at high temperatures<sup>58</sup>, including full anharmonicity.

### **II. METHODS**

### A. Molecular dynamics simulations

For the calculation of trajectories, we used density functional theory<sup>59</sup> in the plane wave pseudopotential approach, as implemented in the CPMD code.<sup>60</sup> The exchange-correlation

functional was PBE<sup>61</sup>, which performs well in hydrous sil-233 178 icates and which has been used in previous studies of the234 179 SiO<sub>2</sub>-H<sub>2</sub>O system.<sup>62,63</sup> Exchange-correlation functionals of<sub>235</sub> 180 the GGA type seem to be generally superior over LDA in236 181 disordered network-forming systems.<sup>64–67</sup> We used Martins-237 182 Troullier type pseudopotentials.<sup>68</sup> The Kohn-Sham wavefunc-238 183 tions were expanded at the  $\Gamma$ -point only with a plane wave<sub>239</sub> 184 cutoff energy of 80 Ry. Dependence of forces on k-point sam-185 pling was negligibly small (below 1%). Tests against higher 186 cutoff energies showed that with the pseudopotentials used, 187 all forces were within about 1% deviation from converged 188 value. This rather high cutoff ensures high reliability of the 189 modeled properties and structures. We used Car-Parrinello<sup>240</sup> 190 (CP) molecular dynamics<sup>69</sup> with a ficticious electronic mass<sup>241</sup> 191 of 400 a.u. and a time step of 4 a.u. (0.097 fs). We found<sup>242</sup> 192 this setting to reproduce the Born-Oppenheimer forces very<sup>243</sup> 193 accurately even after long CP runs. Wavefunctions evolv-<sup>244</sup> 194 ing with combinations of larger mass and time step introduce<sup>245</sup> 195 a non-negligible effect on dynamics and thus on vibrational<sup>246</sup> 196 frequencies.<sup>70–72</sup> The CP fictitious kinetic energy of the wave-<sup>247</sup> 197 functions was thermostatted. The atomic positions and ve-<sup>248</sup> 198 locities were recorded every 40 a.u. The stress tensor of the<sup>249</sup> 199 MD runs at 80 Ry plane-wave cutoff was unconverged with<sup>250</sup> 200 respect to the basis set and therefore required correction. We<sup>251</sup> 201 calculated this correction term from single configurations and<sup>252</sup> 202 a converged plane-wave cutoff of 140 Ry. The difference in<sup>253</sup> 203 stress tensor was added as correction to the pressure of the<sup>254</sup> 204 MD run.73 205

We performed several simulation runs, modeling differ-256 206 ent degrees of polymerization (Table I). Each run was 50 ps<sup>257</sup> 207 of simulated time, and comprised the silica species plus 25-<sup>258</sup> 208 27 explicitly treated water molecules with periodic bound-259 209 ary conditions (except run POLY, with nominally  $16 H_2O$  and  $^{260}$ 210  $16 \operatorname{SiO}_2$  in a metastable single-phase state). Excluding the<sup>261</sup> 211 gas-phase runs, the total number of atoms in each of the bulk<sup>262</sup> 212 runs was between 90 and 100. The temperature was  $300 \text{ K}^{263}$ 213 or 1000 K, and it was controlled by Nosé-Hoover-chains for 214 each degree of freedom ("massive" thermostating<sup>74</sup>). The<sup>265</sup> 215 runs were carried out in the NVT (canonical) ensemble, where 216 volume and temperature were kept constant. The density<sup>267</sup> 217 was adjusted such that the average pressure at 1000 K would<sup>268</sup> 218 be close to 0.5 GPa. For a discussion of the validity of the  $^{269}$ 219 mode-projection approach at extreme temperatures see Sec-220 tion IIB 5. 221 272

### 222 B. Decomposition of the vibrational spectrum

Experimental vibrational spectra of silica species in solu-277 223 tion, in a glass or in a melt in the frequency range between278 224 about 400 cm<sup>-1</sup> and 1200 cm<sup>-1</sup> contain contributions from<sub>279</sub> 225 quasi-local vibrational modes that reflect the local environ-280 226 ment. These contributions are commonly evaluated (e.g. in281 227 Raman spectroscopy) in terms of the degree of polymeriza-282 228 tion. They arise from quasi-normal-modes of mainly two283 229 natural structural subunits of the silicate network, the SiO<sub>4284</sub> 230 tetrahedron and the Si-O-Si bridging oxygen (BO) (Fig. 1).285 231 Through all stages of polymerization (i.e., all possible  $O^{n}$ -286 232

species of a tetrahedron), the character of their vibrational motions remains the same (normal-mode-like), but the frequencies shift.

In the computational analysis of MD trajectories, the spectral density (i.e., the power spectrum) of a group of atoms is calculated from the Fourier transform of their velocity autocorrelation function (VACF)

$$F(\boldsymbol{\omega}) = \int_0^{t_{max}} \cos(\boldsymbol{\omega}t) dt \langle v_k(t) \cdot v_k(0) \rangle, \qquad (1)$$

where  $F(\omega)$  is the spectral density and  $\omega$  is the angular frequency, and chevrons indicate the ensemble average.<sup>75</sup> The details of equation 1 in this contribution are  $t_{max} = 1$  ps (which yields a good compromise between usage of data and sharpness of the resulting spectrum), normalisation of the VACF to unity at t = 0 prior to Fourier transformation and a von-Hannwindow function to reduce edge effects. Finally, the spectral density  $F(\omega)$  is squared and smoothed via convolution with a Gaussian of  $\sigma = 20$  cm<sup>-1</sup> to facilitate the extraction of a single peak frequency.

If the complete, unprojected particle velocity vectors are used in equation 1, then the resulting spectral density is the complete vibrational density of states (VDOS), including translational, rotational and low-frequency long-range acoustic-like contributions (full VDOS, Fig. 3).

The mode-projection approach allows us to separate out each normal-mode-like quasi-local contribution of small, specific groups of atoms from the complete vibrational density. For this, the atomic velocity vectors are decomposed into different components before equation 1 is applied. In a first step, the atoms are grouped together according to the structural subunit of interest. In a second step, if the group consists of more than two particles, the translational movement of the group needs to be subtracted from the individual particle velocities, in order to remove non-local, low-frequency motions. This can be done by subtraction of the velocity of the center atom (the silicon in the case of the tetrahedron). Alternatively, the velocity of the center-of-mass of the group can be used. The center atom is used for subtraction throughout this study (for discussion of this choice see Section II B 5). In a third step after subtraction of the center velocity, the particle velocities are further decomposed by projection of atomic motions onto a set quasi-normal modes (QNMs) based strictly on symmetry.<sup>48,51</sup> We follow the methods described by Pavlatou et al.<sup>51</sup> and Taraskin and Elliott<sup>48</sup> in decomposing the VACF of silicon-bonded oxygen atoms by projecting the vibrations onto sets of vectors representing molecular normal modes of different molecular subunits. These QNMs approximate molecular normal modes, but may differ slightly from the true normal modes in that the particle velocities are relative to the center atom.

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Four main symmetries of quasi-normal-mode (QNM) decomposition are used here: 1) The SiO<sub>4</sub> tetrahedron with symmetry T<sub>d</sub>, 2) O-Si-O and Si-O-Si subunit vibrations into  $C_{2\nu}$  (H<sub>2</sub>O-like) QNMs, 3) the ethane-like decomposition for the case of the H<sub>6</sub>Si<sub>2</sub>O<sub>7</sub> dimer and 4) the individual Si-OH stretching. See Figure 1 and Table II for combinations of

TABLE I: Overview of the simulation runs. The calculated pressure is about 0.5 GPa for all bulk cells.

run label	composition and species	temperature (K)	density (g/cm <sup>3</sup> )	
Monomers:				
MON-300K	$H_4SiO_4 + 27 H_2O$	300	0.95	
MON-1000K	$H_4SiO_4 + 27 H_2O$	1000	0.95	
Dimers:				
DIM-1000K	$H_6Si_2O_7 + 25 H_2O$	1000	1.02	
DIM-GASMD-300K	$H_6Si_2O_7$	300	-	
Linear trimer:				
TRIM	$H_8Si_3O_{10} + 23 H_2O$	1000	1.09	
Higher polymers:				
POLY	$16 \text{ H}_2\text{O} + 16 \text{ SiO}_2$ nominally	1000	1.88	

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<sup>287</sup> modes and geometries.

### 288 1. SiO<sub>4</sub> tetrahedral ( $T_d$ ) QNMs

A tetrahedral molecule has four normal modes which are 289 in principle all Raman active and most of which are degener-290 ate:  $v_1^{TET}$  (symmetric stretch, A<sub>1</sub>),  $v_3^{TET}$  (asymmetric stretch, F<sub>2</sub>),  $v_2^{TET}$  (E-bending or symmetric bending, E) and  $v_4^{TET}$ <sub>316</sub> 291 292 (umbrella-bending or asymmetric bending,  $F_2$ ). A sketch of<sub>317</sub> 293 the derived quasi-normal modes (QNMs) is given in Fig. 1,318 294 top row. The individual instantaneous velocity vectors of all 295 four oxygen atoms of a tetrahedron *i* can be projected onto the 296  $v_1^{TET}$  symmetric stretch QNM by 297 319

$$V_{v_1^{TET}}^i = \sum_{j=1}^4 v_{i,j}^{||}, \qquad (2)_{321}^{320}$$

where  $v_{ji}^{\parallel}$  is the magnitude of the velocity of oxygen atom  $j_{324}$ projected onto the normalized displacement vector from oxy- $_{325}$ gen atom *j* to the silicon of tetrahedron *i*. Analogously, the<sub>326</sub> first degenerate component of the  $v_3^{TET}$  asymmetric stretch<sub>327</sub> normal mode is described by

$$V_{\nu_{3}^{TET}}^{i,I} = v_{i,1}^{||} + v_{i,2}^{||} - v_{i,3}^{||} - v_{i,4}^{||}.$$
 (3)<sup>330</sup>

The other two degenerate modes II and III are obtained by
exchanging oxygen atom 2 with oxygens 3 and 4, respectively.
Other combination do not yield linearly independent modes.
In the present study, the degenerate components are logged
individually and averaged only after Fourier transform.

One component of the  $v_2^{TET}$  E-bending is obtained from projection

$$V_{\nu_{2}^{TET}}^{i,I} = (\nu_{i,1}^{\perp} - \nu_{i,2}^{\perp}) \cdot (\hat{r}_{i,1} - \hat{r}_{i,2}) + (\nu_{i,3}^{\perp} - \nu_{i,4}^{\perp}) \cdot (\hat{r}_{i,3} - \hat{r}_{i,4}).$$
(4)

where  $v_{i,j}^{\perp}$  is the vector component of the velocity of oxy-331 gen atom *j* of tetrahedron *i*, perpendicular to Si-O, and  $\hat{r}_{i,j332}$ is the displacement vector from oxygen atom *j* to the silicon333

of tetrahedron *i*. The second component is obtained by interchanging the velocity and displacement vectors of oxygen
atom 2 with those of oxygen atom 3.

One component of the  $v_4^{TET}$  umbrella-bending can be computed from

$$V_{v_4^{TET}}^{i,l} = (v_{i,2}^{||} - v_{i,3}^{||} - v_{i,4}^{||}) \cdot \hat{r}_{i,1}.$$
 (5)

The other two components can be obtained by interchanging the velocity and displacement vectors of oxygen atom 1 those of oxygen atom 2 and 3, respectively.

### 2. Bridging oxygen QNMs ( $C_{2\nu}$ )

There are three structural subunits of silica with  $C_{2\nu}$  symmetry: 1) the Si-O-Si bridging oxygen (BO) atoms, 2) the non-bridging oxygen O-Si-O (HO-Si-OH) and 3) the bridging oxygen O-Si-O (Si-O-Si). The first is designated by the superscript "BO", the latter two are designated by the superscript "OSiO". Here, we derive the QNM projections for the BO, and the OSiO QNMs are analogous. The  $C_{2\nu}$  normal modes are  $v_1^{BO}$  symmetric stretch (A<sub>1</sub>),  $v_3^{BO}$  asymmetric stretch (B<sub>2</sub>) and  $v_2^{BO}$  bending (A<sub>1</sub>) (Fig. 1, for normal modes see e.g. McMillan and Hofmeister<sup>77</sup> and Taraskin and Elliott<sup>48</sup>).

The  $v_1^{BO}$  symmetric stretch is, analogous to the tetrahedral case, the sum of Si-O parallel projections as

$$V_{v_1^{BO}}^i = v_{i,1}^{||} + v_{i,2}^{||}, \tag{6}$$

the  $V_3^{BO}$  asymmetric stretch is

$$V_{v_3^{BO}}^i = v_{i,1}^{||} - v_{i,2}^{||}, \tag{7}$$

and the  $v_2^{BO}$  bending is

$$V_{v_2^{BO}}^i = (v_{i,1}^{\perp} - v_{i,2}^{\perp}) \cdot (\hat{r}_{i,1} - \hat{r}_{i,2}).$$
(8)

Another way of decomposing the bridging-oxygen atom motions are the three orthogonal directions  $v_{BO} = v_B + v_R + v_S$ , related to bending, rocking and stretching (B-R-S) motions.<sup>48</sup>

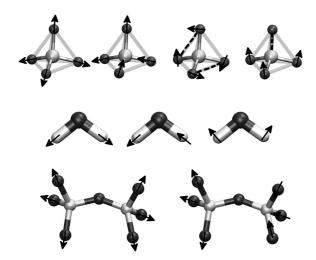


FIG. 1: Three sets of quasi-normal modes (QNMs) 356 considered in this study. Top row: QNMs of a tetrahedral 357 molecule of symmetry  $T_d$ . From left to right:  $v_1^{TET}$  (symmetric stretch),  $v_3^{TET}$  (asymmetric stretch),  $v_2^{TET}$ 358 (symmetric bending, here and elsewhere referred to as E-bending) and  $v_4^{TET}$  (asymmetric bending, here and 359 elsewhere referred to as umbrella-bending). Arrows indicate the velocity component of interest. Dashed lines in bending 360 modes represent additional projection vectors where a second<sub>361</sub> projection is necessary. Middle row: The  $C_{2\nu}$  QNMs for 362 bridging oxygen Si-O-Si and geminal oxygen O-Si-O parts 363 of Q<sup>2</sup>-species. From left to right:  $v_1^{BO}$  symmetric stretch,  $\frac{363}{364}$  $v_3^{BO}$  asymmetric stretch and  $v_2^{BO}$  bending. Bottom row: Two <sub>365</sub> ethane-like QNMs of the Si<sub>2</sub>O<sub>7</sub> dimer:  $v_1^{DIM}$  symmetric 366 stretch and  $v_3^{DIM}$  asymmetric stretch. The structures were 367 drawn using the VMD software package<sup>76</sup>. 368

However, there are redundancies between the  $C_{2\nu}$  and the B-<sub>372</sub> 334 R-S concepts, only the low-frequency rocking contribution is<sub>373</sub> 335 not captured by the  $C_{2\nu}$  BO concept. Therefore we focus on<sub>374</sub> 336 the  $C_{2\nu}$  results. More vibrations of  $H_2O$ -like structural sub-375 337 units are possible, like NBO-Si-NBO wagging, scissoring and<sub>376</sub> 338 twisting. However, these vibrations cannot cannot be derived<sub>377</sub> 339 from  $C_{2\nu}$  (H<sub>2</sub>O-like) normal modes and do not play a role in<sub>378</sub> 340 silicates. It is not considered here and mentioned only for<sub>379</sub> 341 completeness. 342 380

### $H_6$ Si<sub>2</sub> O<sub>7</sub> dimer ethane-like QNMs

The  $H_6Si_2O_7$  dimer shows vibrational motions that cannot<sup>387</sup> be reduced to Q<sup>1</sup> tetrahedral motions alone.<sup>24,57</sup> There is cou-<sup>388</sup> pling of stretching vibrations across the two tetrahedra, which<sup>389</sup> is similar to two normal modes of the C<sub>2</sub>H<sub>6</sub> ethane molecule.<sup>300</sup> Therefore, the ethane-like  $v_1^{DIM}$  symmetric stretch QNM is<sup>391</sup> computed from the contributions of the six non-bridging oxy-<sup>392</sup> gen atoms (see Fig. 1)

$$V_{v_1^{DIM}}^{1+2} = \sum_{j=1}^{3} v_{1,j}^{||} + \sum_{j=1}^{3} v_{2,j}^{||},$$
(9)

and the  $v_3^{DIM}$  asymmetric stretch is

$$V_{v_{3}^{DM}}^{1+2} = \sum_{j=1}^{3} v_{1,j}^{||} - \sum_{j=1}^{3} v_{2,j}^{||}.$$
 (10)

### 352 4. The individual Si-OH stretching

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The Si-OH stretching of hydrogen-terminated, nonbridging oxygen atoms is recorded as the projection of instantaneous oxygen velocity onto the Si-O translation vector. It will be evaluated separately for  $Q^0$ - to  $Q^3$ -species. The hydrogen atoms are not considered explicitly, as is discussed in the following Subsection.

### 5. The validity of the silica mode-projection approach

The mode-projection approach and the above described projection sets exploit the fact that the high-frequency vibrations of the silica species in any degree of polymerization are normal-mode-like and quasi-localized. The mode-projection approach requires the choice of a center of a structure of interest for two reasons. Firstly, the translational motion of the structure as a whole needs to subtracted, as described above. Secondly, a center is needed for the construction of the displacement vectors that form the projection basis. The choice of the silicon atom as reference center of the QNM is justified because the velocity of each oxygen atom represents part of a vibration of the Si-O bond. An alternative choice, the centerof-mass of the tetrahedron, can also be used as the center of the projection. The resulting spectral density distribution is very similar (see Fig. 2) and the spectral density peak frequencies are almost identical. This similarity of results from different sets of projection vectors implicitly demonstrates that the tetrahedral distortion at high temperatures does not significantly affect the resulting peak frequencies. The projection on the displacement vectors of an undistorted, ideal tetrahedron would not give different results. Throughout this contribution, we use the silicon atom as reference center of the QNMs.

The QNMs are not strictly speaking orthogonal to each other. Their projections overlap. In the case of the tetrahedral QNMs, this is a result from the fact that the motion of the silicon in its oxygen cage is neglected in the QNMs (Fig. 3). In the case of  $v_1^{BO}$  and  $v_1^{DIM}$ , this results from the similarity of the atomic motions. As a consequence, the QNM projection does not exclude that some normal-mode-like motions are recorded not only by one QNM, but in a weaker degree also by a second one. In other words, the definition of our QNM projections leads to a geometrically not completely decoupled recording of atomic velocity components. This produces (artificial and usually weak) "ghost" spectral density, as

in the  $v_3^{TET}$  and  $v_4^{TET}$  in Fig. 2. The exchange of the reference center from the silicon atom to the center-of-mass flips the "ghost" spectral density from  $v_3^{TET}$  to  $v_4^{TET}$ . This shows that the reason for this artifact is the neglect of the motion of the silicon atom, whose own "cage rattling" spectral density is shown in grey. This transfer of spectral densities does not cause complications in the interpretation of subspectra. We point to this effect wherever it occurs.

In this study, we restrict ourselves to the analysis of the 402 vibrations of the oxygen and silicon atoms. The motions of 403 the hydrogen atoms are not explicitly considered. This is 404 justified as follows. It is well known that the spectroscopi-405 cally important frequency range of 400-1100 cm<sup>-1</sup> is domi-406 nated by the Si-O stretching and bending motions of different 407 silica species. O-H stretching motions occur at  $>3000 \,\mathrm{cm}^{-1}$ 408 (e.g., Zotov and Keppler<sup>15</sup>). SiOH bending motions occur at about  $1200 \text{ cm}^{-1}$ .<sup>78,79</sup> As structural environment, the hydro-409 410 gen atoms affect the frequency of Si-O vibrations implicitly. 411 Because of low mass, the hydrogen atomic positions and their 412 velocities do not have to be included explicitly in the analysis 413 of the quasi-normal modes of silica structural subunits. All 414 the effects of bonded hydrogen on the Si-O vibrations of the 415 tetrahedral units are implicitly included in our calculations be-416 cause they are included in the MD simulations. Our procedure 417 is only very weakly sensitive to variations in the representa-418 tion of the hydrogen bonding caused by different exchange-419 correlation functionals. At 1000 K and below, as in the present 420 study, no Si-O bonds are broken on the timescale of our MD 421 runs. Only occasionally, a hydrogen atoms of a hydroxy site 422 is exchanged with one of the surrounding water molecules. 423 This has no measureable effect on the spectral density of any<sub>448</sub> 424 QNM. 425

The high vibrational bandwidths in all Figures result from<sub>440</sub> 426 a combination of two effects. Firstly, the structure is disor-450 427 dered. This creates a bandwidth due to the damping of vibra-451 428 tional modes. Also, it causes a variation of the exact struc-452 429 tural environment between every individual tetrahedron. At<sub>453</sub> 430 the stage of averaging the results for every  $Q^n$  species, this<sub>454</sub> 431 introduces band broadening. Secondly and mainly, the high<sub>455</sub> 432 width at half maximum of about  $200 \text{ cm}^{-1}$  is an artifact re-456 433 sulting from limited ensemble averaging. Longer MD runs or<sub>457</sub> 434 larger cells would produce sharper subspectra, however, it is<sub>458</sub> 435 computationally too demanding. 436 459

Based on the comparison of power spectra from different<sup>461</sup> 437 time segments of a MD trajectory, the error of the present peak462 438 frequencies is estimated to be between  $10 \,\mathrm{cm}^{-1}$  and  $30 \,\mathrm{cm}^{-1}$ ,<sup>463</sup> 439 depending on 1) the degree of spread of the power spectrum of 440 a specific quasi-normal mode and 2) on the character of the vi-464 441 brational motion. High-frequency, "stiff" tetrahedral stretch-465 442 ing vibrations have a smaller error. The Si-O-Si modes have a466 443 larger error due to the additional structural degree of freedom467 444 of the Si-O-Si bending angle which significantly affects all vi-468 445 brational frequencies of the bridging oxygen (see e.g. Hunt et469 446 al.<sup>16</sup>). 447 470

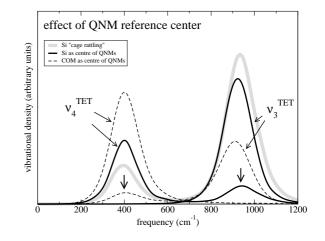


FIG. 2: For  $v_3^{TET}$  and  $v_4^{TET}$ , the difference in spectral density is demonstrated caused by using either the silicon atom or the tetrahedral center-of-mass (COM) as projection reference center. Silicon as reference center introduces an artifical high-frequency contribution to  $v_4^{TET}$  (right arrow) as discussed in Section II B 5. The center-of-mass as reference center causes an artificial low-frequency contribution to  $v_3^{TET}$  (left arrow). This frequency overlap results from the silicon atom "cage rattling" motions (grey). Throughout this study the silicon is used as reference center. Spectra are scaled by 1.039.

### III. RESULTS

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There is a large amount of information resulting from the application of the different sets of QNMs to the different structural subunits. We restrict ourselves to a few stretching modes that appear to us of greatest importance for Raman spectra of dissolved silica and we give particular emphasis to those modes that are subject to some ambiguity in terms of band assignment. We present frequencies of peaks in spectral density, focusing on five major findings: 1) the neutral monomer as a benchmark of the technique, 2) the evolution of the tetrahedral stretches with increasing degree of polymerization and the special case of  $Q^2$ -species, 3) the single Si-OH stretching on tetrahedra of increasing degree of polymerization and the origin of the Raman band at 970 cm<sup>-1</sup> in hydrous silica, 4) the evolution of the BO stretching modes with increasing polymerization and 5) the dimer with two unique modes.

In this Section, all depicted spectra and extracted frequencies are scaled by 1.039 to account for the systematic error of the ab initio method used here. In Section IV A the derivation of this scaling factor is discussed. We evaluate the spectral densities in terms of their peak frequencies. In Table III both scaled and unscaled spectral density peak frequencies of stretching QNMs are listed.

TABLE II: Quasi-normal modes (QNMs) discussed in this study. Other QNMs were also derived, but are not considered further because they are less relevant to Raman band assignments in experimental studies.

Mode:	applied to:	abbreviation:	in Figure:	
single oxygen mode:				
single Si-O stretch	any oxygen atom	_	7	
tetrahedral QNMs (T <sub>d</sub> ):				
symmetric stretch $(A_1)$	any $Q^n$ -species	$v_1^{TET}$	3,4,6	
asymmetric stretch $(F_2)$	any $Q^n$ -species	$v_3^{TET}$	3,5	
E-bending (E)	any $Q^n$ -species	$v_2^{TET}$	3	
umbrella-bending (F <sub>2</sub> )	any $Q^n$ -species	$v_3^{lTET}$ $v_2^{TET}$ $v_4^{TET}$	3	
<b>BO QNMs (H</b> <sub>2</sub> <b>O</b> -like, $C_{2\nu}$ ):		·		
Si-O-Si symmetric stretch $(A_1)$	any bridging oxygen	$V_1^{BO}$	8	
Si-O-Si asymmetric stretch $(B_2)$	any bridging oxygen	$v_3^{BO}$	9	
Si-O-Si bending (A <sub>1</sub> )	any bridging oxygen	$v_3^{BO}$ $v_2^{BO}$	_	
$Q^2$ BO and NBO ( $C_{2\nu}$ ):				
O-Si-O symmetric stretch $(A_1)$	Q <sup>2</sup> BO/NBO pair	V <sub>1</sub> <sup>OSiO</sup>	6	
O-Si-O asymmetric stretch $(B_2)$	$Q^2$ BO/NBO pair	v <sub>3</sub> <sup>OSiO</sup>	6	
O-Si-O bending (A <sub>1</sub> )	$Q^2$ BO/NBO pair	v <sub>2</sub> <sup>OSiO</sup>	_	
ethane-like QNMs:		2		
symmetric stretch $(A_1)$	dimer	$v_1^{DIM}$	10	
asymmetric stretch $(B_2)$	dimer	$v_2^{DIM}$	10	

### 471 A. The tetrahedral QNMs of the H<sub>4</sub>SiO<sub>4</sub> monomer

The H<sub>4</sub>SiO<sub>4</sub> monomer is a test case for the mode-projection 472 approach, because a several computational studies have calcu-473 lated the NMA frequencies to which our results can be com-474 pared (see Section I). In Fig. 3, the spectral densities of the 475 four tetrahedral QNMs of the H<sub>4</sub>SiO<sub>4</sub> monomer are shown for 476 300 K and 1000 K. All QNMs show a weak decreasing trend 477 in frequency upon increasing temperature, as is expected from 478 theory and experiment (see e.g. Zotov and Keppler<sup>15</sup>). The  $v_1^{TET}$  is at 774 cm<sup>-1</sup> for 300 K and at 762 cm<sup>-1</sup> for 1000 K. 479 480 These frequencies match experimental ones very closely be-481 cause both frequencies were used for the derivation of the 482 scaling factor of 1.039 (Section IV A). At 1000 K,  $v_3^{TET}$  is at 920 cm<sup>-1</sup>,  $v_2^{TET}$  at 291 cm<sup>-1</sup> and  $v_4^{TET}$  at 405 cm<sup>-1</sup>. The weak high-frequency peak of  $v_4^{TET}$  at about 935 cm<sup>-1</sup> is an 483 484 485 artifical contribution as discussed in Section IIB5. Also in 486 Fig. 3, we plot literature data for comparison. These explic-487 itly calculated frequencies from NMA represent very Raman 488 intense normal modes of the monomer. 489

## B. Tetrahedral symmetric stretching of higher Q<sup>n</sup>-species

Higher-order silicate polymers exhibit a polymerization-492 driven systematic trend in the frequencies of most vibrational 493 modes, which is reflected in the QNM results. This shift is es-494 pecially important for the very Raman intense  $v_1^{TET}$  mode.<sub>502</sub> 495 The spectral density peak frequency shifts from  $761 \,\mathrm{cm}^{-1}_{503}$  $(Q^0)$  via 793 cm<sup>-1</sup>  $(Q^1)$  and 1103 cm<sup>-1</sup>  $(Q^3)$  to 1149 cm<sup>-1</sup><sub>504</sub> 497 (Q<sup>4</sup>) (Fig. 4, inset in Fig. 5 and Table III). The broad contri-505 498 bution of the Q<sup>2</sup>  $v_1^{TET}$  will be discussed below. All calculated<sub>506</sub> 499 spectra of  $Q^n$ -species shown in Fig. 4 are averaged over sev-507 500 eral tetrahedra of the same degree of polymerization, namely,508 501

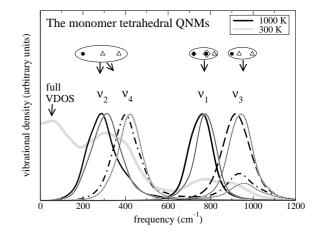


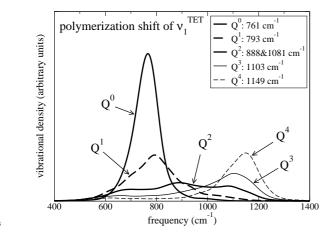
FIG. 3: Spectral density of the four tetrahedral QNMs of the silicate monomer (1000 K and 300 K). For QNM abbreviations see Table II. The full VDOS is plotted for comparison. All spectral densities are scaled by 1.039 (see Section IV A). Symbols represent literature data of monomer vibrational frequencies with Raman activity higher than 1% of that of the  $v_1^{TET}$  symmetric stretch near 770 cm<sup>-1</sup>. Empty diamond: Zotov and Keppler<sup>15</sup> (bond polarizability model). Filled diamond: Tossell<sup>57</sup> (MP2). Circles: Lasaga and Gibbs<sup>24</sup> (Hartree-Fock). Triangles: DeAlmeida and OMálley<sup>25</sup> (Hartree-Fock).

2 tetrahedra for  $Q^0$ , 2 for  $Q^1$ , 6 each for  $Q^2$  and  $Q^3$ , and 3 tetrahedra for  $Q^4$ . This improves the counting statistics of the peak shapes and positions.

The  $v_3^{TET}$  also possesses a high Raman intensity in the case of the H<sub>4</sub>SiO<sub>4</sub>, as the literature data in Fig. 3 show. It also shows a polymerization-driven shift to higher frequencies. The  $v_3^{TET}$  peak frequency of Q<sup>0</sup> is 920 cm<sup>-1</sup>, for Q<sup>1</sup>

 $942 \text{ cm}^{-1}$ , for Q<sup>2</sup> 970 cm<sup>-1</sup>, for Q<sup>3</sup> 1015 cm<sup>-1</sup> and for Q<sup>4</sup> it 509 is  $1062 \text{ cm}^{-1}$  (Fig. 5 and inset in Fig. 5). The latter value 510 is in excellent agreement to the intense experimental band at 511  $1060 \,\mathrm{cm}^{-1}$  in pure dry silica glass which originates from the 512  $Q^4 v_3^{TET}$ .<sup>50</sup> There is a frequency crossover of  $v_1^{TET}$  and  $v_3^{TET}$ 513 with increasing polymerization (inset in Fig. 5). The  $v_3^{TET}$  has 514 a higher peak frequency than the  $v_1^{TET}$  for low polymerized 515  $Q^0$ - and  $Q^1$ -species, but lower a lower peak frequency than 516 the  $v_1^{TET}$  for higher polymerized Q<sup>3</sup>- and Q<sup>4</sup>-species (Figs. 4 517 and 5). The  $Q^2$  shows a double character. 518

The double character of  $Q^2$  is reflected in its  $v_1^{TET}$  double 519 peak at 888 cm<sup>-1</sup> and 1081 cm<sup>-1</sup> (Fig. 6). The third  $v_1^{TET}$  contribution at 701 cm<sup>-1</sup> is an artifact of  $v_1^{BO}$  as discussed in 520 521 Section II B 5. All six  $Q^2$ -species used in the averaging show 522 almost identical behavior. The two peaks of the  $Q^2 v_1^{TET}$  can 523 be explained by the motions of the smaller O-Si-O subunits 524 (Fig. 6). The 888 cm<sup>-1</sup> contribution arises from the  $v_1^{OSiO}$  of 525 the non-bridging NBO-Si-NBO, the 1081 cm<sup>-1</sup> contribution 526 stems from the analogous bridging oxygen BO-Si-BO  $v_1^{OSiO}$ . 527



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FIG. 4: The polymerization-driven  $v_1^{TET}$  frequency shift from  $762 \text{ cm}^{-1}$  for Q<sup>0</sup>-species to  $1149 \text{ cm}^{-1}$  for Q<sup>4</sup>-species. Spectral densities are scaled by 1.039.

#### C. Single Si-OH stretching 530

The spectral densities of single non-bridging Si-OH stretch-531 ing are shown for several  $Q^n$ -species in Fig. 7. Almost in-532 dependent of the degree of polymerization of the respective 533 tetrahedron, the peak frequency is between  $915 \text{ cm}^{-1}$  for  $Q^0$ -534 species and 925 cm<sup>-1</sup> for Q<sup>3</sup>-species (Table III). This finding<sub>541</sub> 535 is important for hydrous silica glasses, because commonly a542 536 band at  $970 \,\mathrm{cm}^{-1}$  has been assigned to Si-OH stretching.<sup>18–20</sup><sub>543</sub> 537

#### D. The bridging oxygen QNMs 538

The different degrees of polymerization of bridging oxygen548 539 (BO) atoms are described by the  $O^n - O^m$  notation which indi-549 540

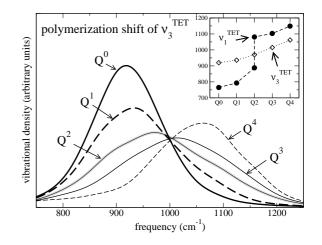


FIG. 5: The polymerization-driven frequency shift of the  $v_3^{TET}$  asymmetric stretch from Q<sup>0</sup>- to Q<sup>4</sup>-species. Spectral densities are scaled by 1.039. Inset: The frequency shift of  $v_1^{TET}$  and  $v_3^{TET}$  with increasing  $Q^n$ -speciation. Note the cross-over of the  $v_3^{TET}$  and  $v_1^{TET}$  at  $Q^2$ -species.

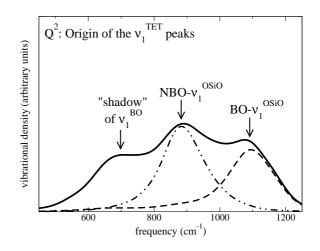


FIG. 6: The two high-frequency peaks of the Q<sup>2</sup>  $v_1^{TET}$ originates from the vibrations of the O-Si-O units of the  $Q^2$ -species, namely the non-bridging NBO-Si-NBO  $v_1^{OSiO}$ and the bridging oxygen BO-Si-BO  $v_1^{OSiO}$ . The peak at  $701 \,\mathrm{cm}^{-1}$  is an artifact due to transmission of  $v_1^{BO}$  vibrations into  $Q^2 v_1^{TET}$ , as discussed in Section II B 5. All spectra are scaled by 1.039.

cates the degree of polymerization of the adjacent two tetrahedra. The  $Q^n$ - $Q^m$ -specific subspectra in Figures 8 and 9 are mostly averages over several BO atoms. The number of BO atoms used for every  $Q^n$ - $Q^m$  combination are 1 for  $Q^1$ - $Q^1$ , 2 for Q<sup>1</sup>-Q<sup>2</sup>, 7 for Q<sup>2</sup>-Q<sup>3</sup>, 5 for Q<sup>2</sup>-Q<sup>4</sup>, 3 for Q<sup>3</sup>-Q<sup>3</sup>, 5 for Q<sup>3</sup>-Q<sup>4</sup> and 1 for Q<sup>4</sup>-Q<sup>4</sup>. The Si-O-Si  $v_1^{BO}$  peak frequency in Fig. 8 is at about  $620 \,\mathrm{cm}^{-1}$  for the lowest possible degree of polymerization (i.e.  $Q^1-Q^1$ ). For  $Q^1-Q^2$  it is at about 680 cm<sup>-1</sup>. For all higher polymerized bridging oxygens the peak frequency

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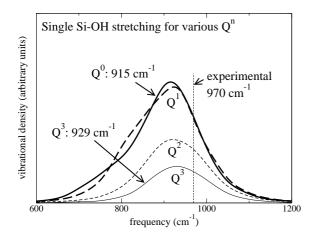


FIG. 7: The single non-bridging oxygen Si-OH stretching for different degrees of polymerization of the tetrahedron. Spectra are scaled by 1.039.

is around 780 cm<sup>-1</sup>. The second peak in the spectral density<sup>564</sup> 550 of  $Q^1$ - $Q^1$  at 880 cm<sup>-1</sup> (and to a lesser extent, for  $Q^1$ - $Q^2$ ) is<sub>565</sub> 551 an artifact as discussed in Section IIB5. The Si-O-Si  $v_3^{BO}_{566}$ 552 is centered between  $1050 \,\mathrm{cm}^{-1}$  and  $1060 \,\mathrm{cm}^{-1}$ , with only a<sup>567</sup> 553 slight trend towards higher frequencies upon increasing poly-568 554 merization (Fig. 9). This study focuses on the high-frequency<sub>569</sub> 555 silica stretching modes, therefore we do not consider the  $v_2^{BO}$ 556 bending here. 557

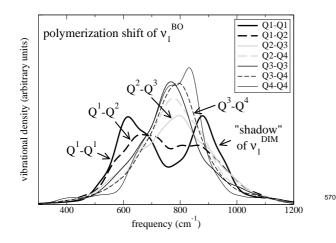


FIG. 8: The bridging oxygen  $v_1^{BO}$  for various degrees of polymerization. All spectra are scaled by 1.039. Note the frequency shift with increasing polymerization from  $620 \text{ cm}^{-1}$  for  $Q^1$ - $Q^1$  to about 780 cm<sup>-1</sup> for  $Q^1$ - $Q^1$  and even higher degrees of polymerization.

### 560 E. The H<sub>6</sub>Si<sub>2</sub>O<sub>7</sub> dimer QNMs

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Two runs have been carried out for the dimer, changing<sub>576</sub> temperature and bulk/gas-phase (Table I). The  $v_1^{TET}$  of the<sub>577</sub>

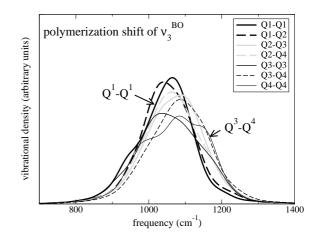


FIG. 9: The bridging oxygen  $v_3^{BO}$  for various degrees of polymerization. All spectra are scaled by 1.039.

two individual Q<sup>1</sup> tetrahedra is at 793 cm<sup>-1</sup>, as has been discussed in Section IIIB. The ethane-like  $v_1^{DM}$  shows a relatively narrow peak at about 870 cm<sup>-1</sup> (Fig. 10). The same mode in the gas-phase run at 300 K was observed at 845 cm<sup>-1</sup> (Table III). The peak of the  $v_3^{DIM}$  is at 783 cm<sup>-1</sup> at 1000 K and at 785 cm<sup>-1</sup> at 300 K. Counter-intuitively, the  $v_1^{DIM}$  is higher in frequency than the  $v_3^{DIM}$ .

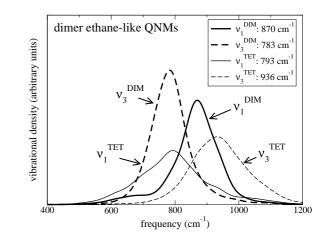


FIG. 10: The dimer ethane-like  $v_1^{DIM}$  and  $v_3^{DIM}$ , and two tetrahedral QNMs for comparison. Spectra are scaled by 1.039.

### IV. DISCUSSION

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### A. Benchmarking and scaling: The H<sub>4</sub>SiO<sub>4</sub> monomer

The  $v_1^{TET}$  is by far the most Raman-intense mode of the silicic-acid monomer and its assignment is well established (Fig. 11 and citations in Section I). Therefore, we use this mode for the derivation of a scaling factor to account for

TABLE III: Overview over the frequency results of tetrahedral and dimer stretching QNMs. The two monomer symmetric stretch results have been used to derive an averaged scaling factor (SF) of 1.039. For mode abbreviations see Table II. The uncertainty is estimated to be about 10 to 30 cm<sup>-1</sup> (see Section II B 5)

species, mode	see	unscaled freq.:	scaled freq.:	annotations:
and run:	Figure:	$(cm^{-1})$	$(cm^{-1})$	
$v_1^{TET}$ :				
$Q^{0}$ , from MON-1000K	3	733	765 (SF 1.044)	Exp: 765 <sup>2</sup> ; SF derived here: 1.044
Q <sup>0</sup> , from MON-300K		745	770 (SF 1.033)	Exp: $770^2$ ; SF derived here: 1.033
Q <sup>1</sup> , from DIM-1000K and TRIM	4,10	763	793	close to $Q^0 v_1^{TET}$
$Q^2$ , from POLY (av of 6)	6	855+1040	888+1081	two peaks. Third at 700 is an artifac
$Q^3$ , from POLY (av of 3)	4	1062	1103	good agreement with literature <sup>4,26</sup>
Q <sup>4</sup> , from run POLY (1 only)	4	1106	1149	good agreement with literature <sup>4,26</sup>
$v_3^{TET}$ :				
$\tilde{Q^0}$ , from MON-1000K	3	885	920	
Q <sup>0</sup> , from MON-300K	3	907	942	
Q <sup>1</sup> , from DIM-1000K and TRIM	5,10	901	936	
$Q^2$ , from POLY (av of 6)	5	934	970	
Q <sup>3</sup> , from POLY (av of 6)	5	977	1015	
Q <sup>4</sup> , from POLY (av of 3)	5	1023	1062	
single NBO Si-OH stretch:				
$Q^{0}(Q^{3})$	7	881 (894)	915 (929)	almost no shift with incr. polym.
Dimer QNMs:				57
$v_{\rm but}^{DIM}$ , from DIM-1000K (DIM-GASMD-300K)	10	837 (813)	870 (845)	good agreement with literature <sup>57</sup>
$v_3^{DIM}$ , from DIM-1000K (DIM-GASMD-300K)	10	754 (756)	783 (785)	

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the systematic error of the ab initio calculations used here and to align the calculated frequencies with the values mea-

sured in experiment.<sup>57</sup> The frequency agreement of our re-580 sults of about 745 cm<sup>-1</sup> (300 K) and 733 cm<sup>-1</sup> (1000 K) to<sub>611</sub> published NMA results<sup>15,24,25,31–33,57,78</sup> is good (Fig. 3 and<sub>612</sub> 581 582 Table III). The experimental frequency of the  $v_1^{TET}$  occurs<sub>613</sub> 583 at about  $775\pm10$  cm<sup>-1</sup>.<sup>80</sup> It is weakly temperature dependent:<sub>614</sub> 584  $770 \text{ cm}^{-1}$  at 300 K and 765 cm<sup>-1</sup> at 1000 K.<sup>15</sup> From these two<sub>615</sub> 585 experimental frequencies and the results of the monomer MD<sub>616</sub> 586 runs, the frequency ratios give scaling factors of 1.044 and<sub>617</sub> 587 1.033 for 300 K and 1000 K (Table III). These factors are 588 in good agreement to common frequency scaling factors.<sup>57</sup> 580 We used the averaged scaling factor of 1.039 throughout this<sub>618</sub> 590

we used the averaged scaling factor of 1.059 throughout this
 study.

For benchmarking, Figure 3 shows computed frequencies<sub>621</sub> 592 of modes with high Raman activity from the gas-phase cluster622 593 modeling literature.<sup>15,24,25</sup> These modes have a Raman inten-623 594 sity of at least 1% of that of the most intense mode. These624 595 results were calculated using various reliable techniques up to625 596 Hartree-Fock level. The scatter between these results repre-626 597 sents differences produced by different theoretical approaches<sub>627</sub> 598 (Hartree-Fock, MP2 and classical potential; Fig. 3). The spec-628 599 tral densities of the four tetrahedral QNMs used here cov-629 600 ers the Raman scattering vibrations of the complete  $H_4SiO_{4630}$ 601 monomer in the spectral region of interest up to  $1200 \,\mathrm{cm}^{-1}_{631}$ 602 (Fig. 3). This gives us confidence in the mode-projected<sub>632</sub> 603 VACF approach: The application of tetrahedral QNMs to<sub>633</sub> 604 the H<sub>4</sub>SiO<sub>4</sub> monomer produces spectral density peaks in<sub>634</sub> 605 all the frequency regions where there are known Raman-635 606 spectroscopically important modes of the H<sub>4</sub>SiO<sub>4</sub> monomer<sub>636</sub> 607 (Fig. 3). 608

### B. Comparison to experimental results

Because the aim of our study is to assist band assignment, we show our results in comparison to the work of Zotov and Keppler<sup>15</sup> and Mysen and Virgo<sup>81</sup> (Fig. 11). The most important QNM peak frequency results are indicated. These are likely the most Raman intense modes in the frequency range between 600 cm<sup>-1</sup> and 1200 cm<sup>-1</sup>, but we do not claim completeness. However, our findings have the potential to explain important features of Raman spectra of the system SiO<sub>2</sub>-H<sub>2</sub>O.

## C. Behavior of $v_1^{\textit{TET}}$ and $v_3^{\textit{TET}}$ with increasing polymerization

The  $v_1^{TET}$  is a strong Raman scatterer in silica species. From all possible  $Q^n$ -species together, it is probably responsible for the largest part of Raman intensity between 770 cm<sup>-1</sup> and 1150 cm<sup>-1</sup> (see e.g. McMillan<sup>1</sup>, also Fig. 11). Its vibrational contribution remains localized in frequency range during increasing polymerization (Fig. 4). The  $Q^0 v_1^{TET}$  has been used by us to derive the scaling factor of 1.039 (Section IV A, Table III). The  $Q^1 v_1^{TET}$  is at 793 cm<sup>-1</sup>. This is very close to the frequency of  $Q^0$ . Experimental studies assign a band centered at 850 cm<sup>-1</sup> (Fig. 11) to  $Q^1$ -species (Mysen<sup>4</sup>, for similar assignment in potassium silicate melt see Malfait *et al.*<sup>26</sup>). This apparent contradiction is resolved below (Section IV F). However, the  $Q^1 v_1^{TET}$  at about 793 cm<sup>-1</sup> points to an asymmetry of the  $Q^0$  770 cm<sup>-1</sup> band which can be observed in Figure 11 (see also Dutta and Shieh<sup>10</sup> and Zotov and Keppler<sup>15</sup>).  $Q^3$ - and  $Q^4$ -species have been assigned to Raman intensity between 1100 cm<sup>-1</sup> to 1150 cm<sup>-1</sup> in silica glass or sodium silicate glasses.<sup>1,4,5,26,82</sup> Our results of 1103 cm<sup>-1</sup> for  $Q^3$  and

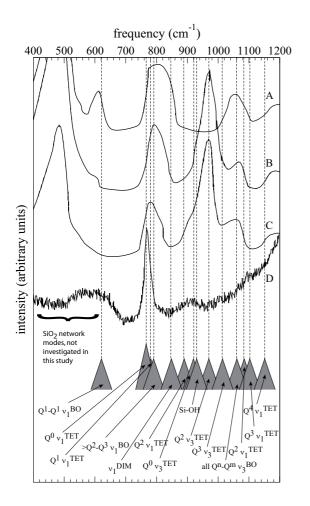


FIG. 11: Upper part: Four experimental Raman spectra of the<sup>682</sup> system SiO<sub>2</sub>-H<sub>2</sub>O. Lower part: Selected species- and mode-specific frequencies determined in the present study, <sup>684</sup> scaled by 1.039. Spectrum (A): pure SiO<sub>2</sub> glass.<sup>81</sup> Spectrum <sup>685</sup> (B) and (C): SiO<sub>2</sub> with 10wt% H<sub>2</sub>O and 5wt% H<sub>2</sub>O, <sup>686</sup> respectively.<sup>81</sup> Spectrum (D): Silica in aqueous solution at <sup>687</sup> 900 ∘C and 1.4 GPa.<sup>15</sup> Note that the intensity shoulder from <sup>688</sup> about 1000 cm<sup>-1</sup> is due to the diamond anvil cell. <sup>689</sup>

 $1149 \,\mathrm{cm}^{-1}$  for Q<sup>4</sup> are in good agreement with these assign-638 ments. The frequency difference between the high-frequency 639 peak of  $Q^2$  at  $1081 \text{ cm}^{-1}$  and the  $Q^3$  at  $1103 \text{ cm}^{-1}$  is quite<sup>593</sup> 640 small, which will make their distinction in experiment diffi-641 cult, unless the lower-frequency peak of  $Q^2$  at 888 cm<sup>-1</sup> is<sub>seed</sub> 642 also taken into consideration (Table III). In Figure 11, the<sub>695</sub> 643 extension of the high-frequency shoulder to  $1100 \,\mathrm{cm}^{-1}$  and 644 bevond with increasing silica content can be explained by an<sub>697</sub> 645 increase in  $Q^2$ -species. 646

The spectral density of the  $v_1^{TET}$  of polymerized tetrahe-699 dra is narrowest when oxygen atoms of the  $Q^n$ -species are ei-700 ther all non-bridging ( $Q^0$ ) or when they are all bridging ( $Q^4$ ),701 but wider for intermediate  $Q^n$ -species. The  $Q^2$ -species show702 the broadest spectral density (Fig. 4), with two distinct peaks703 (Fig. 6). This double peak and its intermediate character be-704

tween low and high degree of polymerization (inset in Fig. 5) may be the reason for the still debated assignment of peaks to  $Q^2$ -species.<sup>4,26</sup> In Raman spectra of a potassium silicate melt, Malfait *et al.*<sup>26</sup> assigned two peaks at 920 cm<sup>-1</sup> and 1070 cm<sup>-1</sup> to  $Q^2$ -species, based on correlated intensities. Our two peaks at 888 cm<sup>-1</sup> and 1081 cm<sup>-1</sup> are in good agreement with this. These results 1) give further evidence for the observation that  $Q^2$ -species produce a double peak<sup>26,40</sup>, and 2) show that the two experimentally found  $Q^2$  band are probably caused by a double peak of the  $v_1^{TET}$ .

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Our result of the  $v_3^{TET}$  of Q<sup>0</sup>-species at 920 cm<sup>-1</sup> is in close 663 agreement to an experimental band at  $925 \text{ cm}^{-1}$  in the lowest 664 silica concentration (Fig. 11). The relative significance of this 665 band decreases with increasing silica concentration. However, 666 this may be a result of increasing Raman intensity around that 667 band rather than a real decrease of the  $925 \text{ cm}^{-1}$  band (Fig. 668 11). A direct tracing of the  $v_3^{TET}$  with increasing degree of 669 polymerization is not possible in the experimental spectra, be-670 cause it is too weak. 671

### <sup>672</sup> D. Single non-bridging oxygen Si-OH stretching and the <sup>673</sup> origin of the 970 cm<sup>-1</sup> band in hydrous silica

In hydrous silica glass, there is a band at  $970 \,\mathrm{cm}^{-1}$ , which is usually interpreted as arising from a defect structure and commonly assigned to Si-OH stretching of  $Q^3$ -species.<sup>18–20</sup> A weak band at 910-915 cm<sup>-1</sup> is also present<sup>18–20</sup>, that has been assigned only once, to an SiOH vibration of two geminal silanol groups (i.e., to Q<sup>2</sup>-species).<sup>19</sup> Our results show that the individual SiOH stretching is at about  $930 \text{ cm}^{-1}$  for Q<sup>3</sup> and at about  $920 \,\mathrm{cm}^{-1}$  for Q<sup>2</sup> (Table III). This suggests that the individual SiOH stretching vibrations may not be the reason for the band at 970 cm<sup>-1</sup>. Also, the Q<sup>3</sup>  $v_1^{TET}$  at about 1100 cm<sup>-1</sup> and  $v_3^{TET}$  at about 1015 cm<sup>-1</sup> are not near the 970 cm<sup>-1</sup> band. Therefore, we suggest that they are also less likely the reason for this band. NMR is a sensitive probe for  $Q^n$ -species in silica glass.<sup>23,30,83</sup> It has been shown by a recent NMR study that, besides Q<sup>3</sup>-species, also a significant amount of Q<sup>2</sup>-species can be present in hydrous silica glass.<sup>23</sup> We observe the  $v_3^{TET}$ of  $Q^2$ -species at 970 cm<sup>-1</sup> (Table III). However, the origin of the  $970 \,\mathrm{cm}^{-1}$  band cannot be decided on the basis of this point, and further investigation is needed.

### E. The BO stretching vibrations

The  $v_1^{BO}$  is strongly dependent on the degree of polymerization of the two adjacent tetrahedra (Fig. 8). The weakest state of polymerization of a BO is Q<sup>1</sup>-Q<sup>1</sup>, whose  $v_1^{BO}$  shows a peak frequency at 620 cm<sup>-1</sup>. The second peak of the Q<sup>1</sup>-Q<sup>1</sup> at about 845 cm<sup>-1</sup> is an artifact of the  $v_1^{DIM}$  as discussed in Section IIB 5. There is a polymerization-driven shift from 618 cm<sup>-1</sup> to peaks between 780 cm<sup>-1</sup> and 830 cm<sup>-1</sup> (Fig. 9). These results agree with several experimental observations. Firstly, the experimental peaks around 600 cm<sup>-1</sup> vanish with increasing polymerization. In pure SiO<sub>2</sub>, there is only a peak in that frequency range resulting from small silica

rings.<sup>1,38,39,84</sup> Secondly, in several experimental studies the756 705 peak at about 630 cm<sup>-1</sup> was interpreted as resulting from Si-757 706 O-Si vibrations of weakly polymerized species.4,10,20 The Ra-758 707 man band around  $800 \,\mathrm{cm}^{-1}$  present in pure silica may rather<sub>759</sub> 708 be explained by the bridging oxygen asymmetric stretch than<sub>760</sub> 709 tetrahedral stretching.<sup>84</sup> Here again, the mode-projection tech-710 nique gives a consistent picture of the evolution of frequencies $_{762}$ 711 with changing molecular structure. 712 763

The frequency of the peak center of  $v_3^{BO}$  is at about  $\tau_{764}^{763}$ 713  $1070 \,\mathrm{cm}^{-1}$  which is in good agreement to experimental<sub>765</sub> 714 observations.<sup>4,5</sup> It falls into the frequency region of the  $Q_{766}^{3}$  and  $Q^{4} v_{1}^{TET}$ . Contrary to  $v_{1}^{BO}$ , the  $v_{3}^{BO}$  does not show sig-767 715 716 nificant shifts with higher degree of polymerization. The768 717  $v_3^{BO}$  has a high Raman intensity on the case of the  $H_6Si_2O_{7_{769}}$ 718 dimer. It is likely that this mode keeps an important Raman 719 intensity with increasing degree of polymerization. The pres-ence of this mode in the same frequency range of  $1100 \text{ cm}^{-1771}$ 720 721 to  $1150 \text{ cm}^{-1}$ , where most commonly only the Q<sup>3</sup>- and Q<sup>4</sup>-<sup>772</sup> 722 species are fitted, could have an important consequence: The 723 degree of polymerization could be overestimated if all Raman 724 intensity in this frequency region is explained by  $Q^3$ - and  $Q^4$ -775 725 726

<sup>776</sup> species, but not by  $v_3^{BO}$  as well.<sup>85</sup> <sup>777</sup> The  $v_3^{BO}$  and the Q<sup>4</sup>  $v_3^{TET}$  peak frequencies are both be-<sup>778</sup> tween 1060 cm<sup>-1</sup> and 1070 cm<sup>-1</sup> (Figs. 9 and 5). The  $v_3^{BO}$ <sup>779</sup> peak frequency is polymerization-independent, whereas the<sub>780</sub>  $v_3^{TET}$  shifts with increasing polymerization. It reaches the<sub>781</sub>  $v_3^{BO}$  peak frequency of about 1060 cm<sup>-1</sup> in the case of Q<sup>4</sup>-<sub>782</sub> species, when every oxygen is a bridging oxygen. Therefore,<sub>783</sub> the 1060 cm<sup>-1</sup> band in pure silica, which has been assigned to<sub>784</sub>  $v_3^{TET50}$ , can as well be assigned to  $v_3^{BO}$ .

### 735 F. The $H_6Si_2O_7$ dimen

The Q<sup>1</sup>-species in aqueous fluid have been assigned to an<sub>787</sub> 736 experimentally observed peak at 850 cm<sup>-1</sup>.<sup>1,4</sup> Our results are<sub>788</sub> 737 in agreement with this assignment, and furthermore the cal-789 738 culations provide insight into which specific molecular vi-790 739 brations are responsible for this band. The  $850 \,\mathrm{cm}^{-1}$  band<sub>791</sub> 740 does not appear to result from  $v_1^{TET}$ . We observe the  $v_1^{TET}$  of<sub>792</sub> 741 the  $Q^1$  (Table III) at about 790 cm<sup>-1</sup>, and the reproducibility<sub>793</sub> 742 across several simulations lends confidence to this value. The<sub>794</sub> 743 two Q<sup>1</sup> of a dimer do show an ethane-like coupling  $(v_1^{DIM})_{,795}$ 744 Table III) at about  $870 \text{ cm}^{-1}$  at 1000 K and at about  $850 \text{ cm}^{-1}_{796}$ 745 in the gas-phase at 300 K. This is in good agreement with hy-797 746 brid DFT calculations that predict very similar frequencies.<sup>57</sup><sub>798</sub> 747 Thus, an experimentally observed peak at 850 cm<sup>-1</sup> can be<sub>799</sub> 748 explained by the ethane-like  $v_1^{DIM}$  mode of the dimer. A more 749 detailed study on the vibrational properties of the dimer is in<sub>800</sub> 750 preparation. 801 751

### 752 V. CONCLUSIONS

In this study, new evidence is given to support common<sub>808</sub> band assignments of silica in aqueous fluids and hydrous<sub>809</sub> glasses: 1)  $Q^3$  and  $Q^4 v_1^{TET}$  have peaks around 1100 cm<sup>-1</sup> and<sup>810</sup> 1150 cm<sup>-1</sup>, respectively; 2) the contribution of bridging oxygen  $v_3^{BO}$  to bands with frequencies around 1070 cm<sup>-1</sup>; and 3) the  $v_1^{BO}$  in weakly polymerized species shows a peak around 600 cm<sup>-1</sup>.

We find evidence for the assignment of several spectral features that have been hitherto ambiguous: 1) the Q<sup>1</sup>  $v_1^{TET}$ shows a peak frequency of about 790 cm<sup>-1</sup> which in experiments might be hidden by the strong 770 cm<sup>-1</sup> band of the Q<sup>0</sup>species; 2) the Q<sup>2</sup>  $v_1^{TET}$  exhibits a double-cusp band caused by its intermediate character between low and high degree of polymerization; 3) the dimer shows a peak between 870 cm<sup>-1</sup> and 850 cm<sup>-1</sup> resulting from the ethane-like  $v_1^{DIM}$ , 3) the  $v_1^{BO}$  shows a peak around 600 cm<sup>-1</sup> only in the case of a degree of polymerization less than Q<sup>2</sup>-Q<sup>3</sup>, and 4) the 970 cm<sup>-1</sup> band may possibly not result from individual Si-OH stretching (which we find at about 920 cm<sup>-1</sup>).

The technique used here is comprehensive, i.e. any species can be considered, including charged species. It can be applied to other subsets of quasi-normal modes, e.g. octahedral ones, or larger structures such as silica rings. In doing so, it may provide insight into the origin of vibrational bands in pure silica glass, whose Raman spectrum is quite different from Raman spectra of polymerized silica species in solutions and glasses. Other, non-localized modes could be considered as well. The precision of this technique is only limited by the length of the molecular dynamics runs and the accuracy of the underlying framework of potential energy calculation. The species- and mode-selective subspectra presented here can be a reliable basis for the application of experimental analysis techniques like the principal component analysis.

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