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# The 2010 explosive eruption of Java's Merapi volcano – a '100-year' event

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## **Keywords**

**Merapi; gas emissions; satellite imagery; volcano-seismology; deformation; petrology; international collaboration**

43  
44

45 **ABSTRACT**

46

47 Merapi volcano (Indonesia) is one of the most active and hazardous volcanoes in the world. It  
48 is known for frequent small to moderate eruptions, pyroclastic flows produced by lava dome  
49 collapse, and the large population settled on and around the flanks of the volcano that is at  
50 risk. Its usual behaviour for the last decades abruptly changed in late October and early  
51 November 2010, when the volcano produced its largest and most explosive eruptions in more  
52 than a century, displacing a third of a million people, and claiming nearly 400 lives. Despite  
53 the challenges involved in forecasting this ‘hundred year eruption’, we show that the  
54 magnitude of precursory signals (seismicity, ground deformation, gas emissions) were  
55 proportional to the large size and intensity of the eruption. In addition and for the first time,  
56 near-real-time satellite radar imagery played an equal role with seismic, geodetic, and gas  
57 observations in monitoring eruptive activity during a major volcanic crisis. The Indonesian  
58 Center of Volcanology and Geological Hazard Mitigation (CVGHM) issued timely forecasts  
59 of the magnitude of the eruption phases, saving 10,000–20,000 lives. In addition to reporting  
60 on aspects of the crisis management, we report the first synthesis of scientific observations of  
61 the eruption. Our monitoring and petrologic data show that the 2010 eruption was fed by rapid  
62 ascent of magma from depths ranging from 5 to 30 km. Magma reached the surface with  
63 variable gas content resulting in alternating explosive and rapid effusive eruptions, and  
64 released a total of ~0.44 Tg of SO<sub>2</sub>. The eruptive behaviour seems also related to the  
65 seismicity along a tectonic fault more than 40 km from the volcano, highlighting both the  
66 complex stress pattern of the Merapi region of Java and the role of magmatic pressurization in  
67 activating regional faults. We suggest a dynamic triggering of the main explosions on 3 and 4  
68 November by the passing seismic waves generated by regional earthquakes on these days.

69

70 **1. Introduction**

71

72 Merapi stratovolcano is located 25–30 km north of the metropolitan area of Yogyakarta,  
73 Indonesia (Fig. 1) and the environs are home to around of 1.6 million people. It overlies the  
74 Java subduction zone and is composed mainly of basaltic-andesite tephra, pyroclastic flow,  
75 lava, and lahar deposits. Eruptions during the twentieth century typically recurred every 4 to 6  
76 years and produced viscous lava domes that collapsed to form pyroclastic flows and  
77 subsequent lahars. These eruptions were relatively small, with typical eruptive volumes of 1–  
78  $4 \times 10^6 \text{ m}^3$  and magnitudes or volcanic explosivity indices (VEI) of 1–3 (Newhall et al., 2000;  
79 Andreastuti et al., 2000; Voight et al., 2000; Camus et al., 2000), where magnitude (Pyle,  
80 2000) is given by  $[M_e = \log_{10}(\text{mass of products in kg}) - 7]$ . Merapi volcano has been studied  
81 extensively by Indonesian and international teams, leading to improved understanding of the  
82 volcano's seismology (Ratdomopurbo and Poupinet, 2000; Hidayat et al., 2000;  
83 Senschönfelder and Wegler, 2000), deformation (Beauducel and Cornet, 1999; Young et al.,  
84 2000; Voight et al., 2000), potential field geophysics (Jousset et al., 2000; Zlotnicki et al.,  
85 2000; Tiede et al., 2005), gas emissions (Le Guern and Bernard, 1982; Nho et al., 1996;  
86 Zimmer and Erzinger, 2003; Humaida et al. 2007; Toutain et al., 2009; Allard et al., 2011),  
87 petrology (Gertisser and Keller, 2002, 2003; Chadwick et al., 2007, Deegan et al., 2010,  
88 2011), physical volcanology (Charbonnier and Gertisser, 2008) and lahar inundation (Lavigne  
89 et al., 2000). Merapi's high-temperature (400°– 850° C) summit fumaroles, continuous gas  
90 emissions, and frequent small eruptions indicate an open and hot pathway for magma ascent  
91 to the near-surface. At the summit vent level, lava domes have typically plugged the  
92 uppermost part of the conduit except during eruptions when magmatic pressure built and new

93 domes composed of mostly degassed magma extruded and collapsed or much more  
94 infrequently, gas-rich explosive eruptions occurred.  
95  
96 The lack of large explosive eruptions at Merapi during the several decades preceding 2010 is  
97 attributed to extensive degassing during ascent of the magma through the volcano's  
98 subsurface plumbing system (Le Cloarec and Gauthier, 2003). However, stratigraphic  
99 evidence shows that large explosive eruptions, such as the one that took place in 1872  
100 (Hartmann, 1934) also occur. Because of the relatively open-pathway for magma ascent and  
101 the lack of explosive eruptions in the recent past, it was feared that precursors to such a large  
102 eruption might only be modest and inadequately appreciated. The increasing population on  
103 the volcano flanks meant that a large eruption could result in tens to hundreds of thousands of  
104 casualties. Fortunately, although of short duration and rapidly escalating, large-magnitude  
105 precursors were recognized and identified in time to issue warnings for the impending large  
106 2010 eruption, which had a VEI and  $M_c$  of about 4.

107

108 We report on the monitoring techniques, data, and warning issues that came into play and  
109 were gathered during the 2010 eruptive sequence. Main explosive events occurred on 26  
110 October (~10:00 UTC), 29 October (~17:10–19:00 UTC), 31 October (~7:30 and ~8:15 UTC), 1  
111 November (~3:00 UTC), 3 November (~8:30 UTC), 4 November (17:05 UTC). We use a  
112 combination of petrologic, seismologic, geodetic, and gas emission data, along with remotely  
113 sensed observations of changes in morphology and eruption rate to propose a preliminary  
114 model for this '100-year' eruption.

115

116 In section 2, we describe technical details of both "traditional" monitoring methods used at  
117 Merapi volcano and "state-of-the-art" satellite observations, extensively used during the 2010

118 eruption. In section 3, we describe the chronology of the eruption and how our geophysical  
119 and satellite observations were interpreted, leading to timely warnings that saved 10,000–  
120 20,000 lives. In section 4, a preliminary eruption model is proposed, based on our analysis of  
121 the available monitoring signals and petrological data. Finally, we suggest that the  
122 management and decision-making during the crisis was successful thanks to a combination of  
123 long-term in-country expertise in dealing with volcanic crises and an unprecedented level of  
124 international collaboration. We conclude in summarising observations and interpretations on  
125 the eruption dynamics and propose a series of questions that need to be addressed for a better  
126 understanding of Merapi's most explosive eruption of the past 100 years.

127

## 128 **2. Observational methods used during the 2010 Merapi eruption**

129

130 Merapi has long been monitored using seismology, deformation, gas emission studies and  
131 petrology (Purbawinata et al., 1996) by CVGHM and its observatory and technology center in  
132 Yogyakarta (Balai Penyelidikan dan Pengembangan Teknologi Kegunungapian, or BPPTK).  
133 Under non-eruptive conditions, the rate of inflation/deflation (measured as change in lengths  
134 of Electronic Distance Measurement (EDM) lines between the volcano's summit and flanks)  
135 is  $\sim 0.003 \text{ m d}^{-1}$ ; the cumulative seismic energy release is less than  $35 \text{ MJ d}^{-1}$  with daily  
136 averages of 5 multiphase earthquakes and 1 volcano-tectonic earthquake; the baseline  $\text{SO}_2$   
137 flux is  $\sim 50\text{--}100 \text{ Mg d}^{-1}$  (Nho et al., 1996, Humaida et al., 2007), and the long-term eruption  
138 rate is  $1.2 \times 10^6 \text{ m}^3 \text{ y}^{-1}$  (Siswowidjoyo et al., 1995).

139

### 140 *2.1 Geodesy*

141

142 Deformation was measured using both tiltmeters near the summit and an Electronic Distance  
143 Measurement (EDM) network. The Electronic Distance Measurement (EDM) network  
144 utilized reflectors at high elevations on all flanks and measurements were carried out from  
145 five observation posts (Jrakah, Babadan, Selo, Kaliurang, and Ngepos) at distances of ~5–10  
146 km from the summit of Merapi.

147

## 148 *2.2 Seismology*

149

150 Seismic monitoring and analysis were carried out in real time and used qualitatively during  
151 the crisis to infer magmatic and eruptive processes. Earthquake activity was monitored with  
152 four short-period (Mark Products L-4 seismometers) permanent stations (PUS, KLA, DEL,  
153 and PLA, Fig. 1) and a real-time temporary broadband seismological network of five stations:  
154 one Streikesen STS-2 (station LBH) and four Güralp CMG40T sensors (stations GMR, GRW,  
155 PAS, WOR) from July 2009 to September 2010, and then station L56 from September 2010).  
156 Seismometers installed in July 2009 were part of the MIAVITA (MITigate and Assess risk  
157 from Volcanic Impact on Terrain and human Activities) European research project (Thierry et  
158 al., 2008). Technical problems including poor synchronization (lack of GPS signal) prevented  
159 a full analysis in real-time at some stations (GMR, L56, LBH).

160

161 The seismicity at Merapi volcano during the 2010 crisis revealed that all types of earthquakes  
162 previously identified at Merapi (Ratdomopurbo & Poupinet, 2000) were represented in the  
163 2010 activity (Budi-Santoso et al., this issue; Jousset et al., this issue): Volcano-Tectonic  
164 (VT) earthquakes, Low-Frequency earthquakes (LF), tremor, “Multiphase” earthquakes (MP),  
165 “guguran” = rock falls (RF), and Very-Long Period events (VLP). Real Time Seismic  
166 Amplitude (RSAM) data (Murray & Endo, 1992; Endo and Murray, 1999) played a crucial

167 role in evaluating the status of the volcano activity during the eruptive sequence. Also, as part  
168 of the MIAVITA project, a seismic station (CRM) was set-up at about 46 km south from the  
169 summit close to the Opak fault, source for a M6.3 earthquake that killed more than 6000  
170 people during the prior eruption of Merapi volcano in 2006. During 4 November, stations  
171 PUS, KLA, and DEL, L56 and PAS were destroyed, and the remaining PLA station (at 6 km)  
172 was saturated ( $>0.025$  mm/s). Consequently, seismic amplitude observations at the CRM  
173 station were crucial during the climactic phase on 4 November (see section 3). Although close  
174 range stations have been critical for warnings and research during past small eruptions at  
175 Merapi, this eruption clearly illustrates the value of including distal as well as proximal  
176 stations in volcano monitoring networks.

177         To locate events, we performed seismic analysis using the STA/LTA (Short-term  
178 Average/Long-term Average) detection technique and picked P-phases (and when possible S-  
179 phases) using an algorithm which includes an estimation of picking uncertainty (e.g., Jousset  
180 et al., 2011). We located VT earthquakes using both a linear (Lahr et al., 1994) and a non-  
181 linear location iterative technique, which searches for the best fit between observed (picked)  
182 travel times and synthetic travel times. The latter are computed at regularly distributed points  
183 on a 3D-grid in the volcanic edifice, where velocity and density are parameterized.  
184 Computation is performed first with a coarse grid and subsequent iterations use a refined grid  
185 set-up around the hypocenter location found at the first iteration, and a volume defined by the  
186 68% confidence level surface (e.g., Jousset et al., 2011). This method allows a fast hypocentre  
187 computation and can be implemented in real-time. Unfortunately, synchronization problems  
188 prevented us from implementing this technique in real-time during Merapi's eruption.  
189 Hypocentre positions were calculated as soon as possible after the eruption. Hypocentre  
190 positions are affected by lack of a detailed velocity model for shallow levels of the crust at  
191 Merapi (Wegler and Luehr, 2001; Wagner et al., 2007; Kulakov et al., 2009). They are located



192 along the length of the conduit down to 8 km below the summit. The frequency content of  
193 records has been analysed through a variety of signal processing tools and methods (e.g.,  
194 Lesage, 2009), including Fast Fourier Transform (FFT), complex frequency analysis (Sompi  
195 method, e.g., Kumagai et al., 2010), and particle motion analysis.

196

### 197 *2.3 Satellite SAR, visible, and near-visible imagery*

198

199 A variety of satellite data were utilized including commercial Synthetic Aperture Radar  
200 (SAR) from the COSMO SkyMed RADARSAT-2, TerraSAR-X sensors, and when weather  
201 and orbits permitted, thermal infrared from the ASTER sensor and high-resolution visible and  
202 near-infrared data from the GeoEye 1 and WorldView-2 sensors. Cloud cover limited  
203 exploitation of data from optical sensors. However, the radar satellites supplied frequent and  
204 detailed images of the volcano summit crater, rapidly growing lava domes, vent features, and  
205 pyroclastic flow deposits (including that of the large flow emplaced on 4 November that  
206 extended towards Yogyakarta; see section 3). Despite cloud cover, the pyroclastic flow of 26  
207 October was also detected by ASTER thermal sensor on 1 November. Images were available  
208 for analysis by both volcanologists at the USGS Alaska and Cascades Volcano Observatories  
209 and the Istituto Nazionale di Geofisica e Vulcanologia (INGV) in Italy, typically within 2–6  
210 hours of acquisition, and critical data and analyses were delivered to CVGHM within the  
211 same time periods each day or in some cases twice a day during the crisis. The commercial  
212 SAR data were collected with horizontal polarization and with beam resolutions that varied  
213 from 1–8 m, depending on acquisition mode.

214

### 215 *2.4 Gas measurements*

216

217 In-situ monitoring of volcanic gas emissions ( $\text{H}_2\text{O}$ ,  $\text{SO}_2$ ,  $\text{CO}_2$ ,  $\text{H}_2\text{S}$ ,  $\text{CO}$ ,  $\text{HCl}$ ,  $\text{H}_2$ ,  $\text{O}_2$ , and  
218  $\text{CH}_4$ ) was carried out by regularly collecting samples from the Woro solfatara. Sampling was  
219 done by bubbling the gas through NaOH solutions contained in evacuated flasks (Giggenbach  
220 and Goguel, 1988). Measurement of insoluble gas in the NaOH solution was carried out by  
221 gas chromatography. The dissolved gases were analyzed using spectrometric and volumetric  
222 methods.

223

224 Ground-based ultra-violet (UV) Differential Optical Absorption Spectroscopy (DOAS)  
225 measurements (Galle et al., 2003) proved highly challenging during the eruption because a  
226 wide area around the volcano was inaccessible (due to the exclusion zone), the plume was  
227 ash-rich, and the weather adverse (high humidity and frequent rainfall). Nevertheless, a  
228 combination of gas and ash plume remote sensing from the ground and satellites provided  
229 crucial information on degassing during the entire 2010 crisis. Satellite data were especially  
230 important during the most explosive phases of eruption, as they provided measurements of  
231  $\text{SO}_2$  emissions and maps of volcanic cloud dispersal, which were used to issue advisories for  
232 aviation hazard mitigation by the Volcanic Ash Advisory Centre (VAAC) at Darwin,  
233 Australia.

234

235 Whenever possible, DOAS observations were carried out from Babadan, Ketep and  
236 Yogyakarta, which are 4, 9, and 28 km from the crater, respectively. Ocean Optics USB2000  
237 spectrometers were used spanning a wavelength range of ~288–434 nm with a Full Width  
238 Half Maximum (FWHM) spectral resolution of ~0.60 nm. Spectrometers were coupled to a  
239 simple quartz-lens telescope mounted on a rotating platform, which enabled scanning of  
240 vertically rising plumes, except on 4 November where the telescope was held in a fixed  
241 position and pointed towards the dense plume. Each UV spectrum was recorded with a total

242 integration time of a few seconds. Plume rise speeds were determined from video images,  
243 allowing an estimation of the SO<sub>2</sub> emission rates. The true SO<sub>2</sub> flux was under-estimated  
244 when the plume was ash-rich due primarily to hindered UV transmission through the dense  
245 plume (especially on 4 and 12 Nov).

246

247 SO<sub>2</sub> burdens in the plume were available daily from satellites, utilizing the infrared (IR) IASI  
248 sensor (Infrared Atmospheric Sounding Interferometer, Clarisse et al., 2008) with overpasses  
249 at ~9:30 AM and ~9:30 PM local time, and every 24h from the UV OMI sensor (Ozone  
250 Monitoring Instrument, Carn et al. 2008) with overpasses at ~1:30–2:00 PM local time.

251 Sparse data from the AIRS sensor (Atmospheric Infrared Sounder, Prata et al., 2007), with  
252 overpasses at ~1:30 AM and ~1:30 PM local time, were also available during the paroxysmal  
253 phase. OMI is able to detect SO<sub>2</sub> emissions in the lower troposphere whereas IASI and AIRS  
254 are restricted to SO<sub>2</sub> in the upper troposphere (above ~ 5 km altitude) or higher, where most  
255 plumes traveled during the explosive phases of the eruption. For simplicity in IASI and AIRS  
256 retrievals, we assumed a plume altitude of 16 km during the entire eruption. Plume altitudes  
257 reported by the Darwin VAAC were used to assign the appropriate SO<sub>2</sub> altitude for OMI  
258 retrievals (~17 km for 4–5 November, and altitudes in the ~5–8 km range after 5 November).

259 Subtracting the SO<sub>2</sub> burdens from two consecutive images allowed us to evaluate a mean SO<sub>2</sub>  
260 flux (on 12 or 24 h depending on the sensor), assuming negligible SO<sub>2</sub> depletion in the plume.

261 The OMI detection limit is roughly evaluated at ~200 Mg d<sup>-1</sup>, based on estimations of the SO<sub>2</sub>  
262 flux from ground DOAS measurements. Fluxes can be under-estimated when the satellite  
263 swath does not span the entire plume, so we restrict our evaluation of fluxes to cases when  
264 satellite swaths intersected most of the volcanic cloud. Unfortunately, the presence of a  
265 dispersed aged plume in images from 5 to 9 November impeded accurate estimation of new  
266 SO<sub>2</sub> emissions from the volcano using IASI images. However, analysis of the area

267 immediately downwind of Merapi with OMI data permitted estimation of SO<sub>2</sub> release from  
268 new emissions during this period. Prior to 5 November and after 9 November, IASI could not  
269 detect any SO<sub>2</sub> emissions, probably due to the low altitude of the plume.

270

## 271 *2.5 Petrological methods and electron microprobe analyses*

272

273 Samples were observed first with the optical microscope using reflected and transmitted light  
274 and modes counted. Textures and grain sizes and relations between minerals were recorded.  
275 Minerals and glass were analysed for Si, Al, Ti, Fe, Mn, Mg, Na, K, F, Cl, and S in polished  
276 sections using a JEOL-JXA-8530F electron microprobe (EM) at the Nanyang Technological  
277 University (Singapore) using wavelength dispersive spectrometers. An accelerating voltage of  
278 15 kV, current of 15 nA, and spot size of about 1 µm was used for mineral analyses. For glass  
279 the current was decreased to 10 nA, and spot sizes increased to 5 to 10 mm. Na and K were  
280 always counted first. Counting times were 10 s peaks and 5 s on backgrounds for the major  
281 elements, and up to 120 s for peaks and 60 s for backgrounds for S. Backscattered electron  
282 images, and X-ray distribution maps were also obtained with the EM. Standards used in the  
283 calibration were minerals from Astimex (albite, garnet, rutile, pyrite, olivine, sanidine,  
284 diopside, celestite, fluorite, biotite, rhodonite, and tugtupite). The calibration was checked  
285 against an in-house dacite glass standard analysed by X-ray fluorescence. Precisions vary  
286 according to concentration: major elements have 2-sigma precisions of 0.5–1 %; precisions  
287 for minor elements are 5–10 %.

288

## 289 **3. 2010 eruption: monitoring, chronology, warnings, and impacts**

290

### 291 *3.1 Alert levels at Merapi volcano*

292

293 The early warning system at Merapi is the same as at all volcanoes in Indonesia and is based  
294 on the analysis of instrumental and visual observations. It comprises 4 alert levels: Level I  
295 indicates the activity of the volcano is in normal state, with no indication of increasing  
296 activity, although poisonous gases may threaten the area close to the vent or crater. Level II is  
297 set when visual and seismic data indicate that the activity is increasing. Level III is set when a  
298 trend of increasing unrest is continuing and there is concern that a dangerous eruption may  
299 occur. Level IV is set when the initial eruption starts (i.e., ash/vapor erupts which may lead to  
300 a larger and more dangerous eruption). The alert level is declared to the public through  
301 National Agency for Disaster Management (BNPB) and the local governments. For each  
302 level, CVGHM gives recommendations for what the people living around the volcano are  
303 supposed to do. However, orders to the public such as evacuation orders are given by BNPB  
304 and local governments, which also organize evacuations.

305

306 *3.2 Intrusion phase (31 October 2009 – 26 October, 2010).*

307

308 EDM (Electronic Distance Measurement) data provided some of the earliest signs of  
309 precursory unrest in November 2009, when an extended period of deflation that followed the  
310 2006 eruption reversed to inflation. Early indications of increased seismic activity included  
311 swarms of volcano-tectonic (VT) earthquakes on 31 October 2009, 6 December 2009, and 10  
312 June 2010. In September 2010, marked increases in ground inflation (Fig. 2), earthquake  
313 counts and seismic energy release (Fig. 3), temperature, CO<sub>2</sub>, and H<sub>2</sub>S abundances of summit  
314 fumaroles (Table 1) were observed. Based on these changes, on 20 September 2010, CVGHM  
315 raised the alert from level I (normal background conditions) to level II (increased activity) in  
316 anticipation of what many expected to be another small to moderate size eruption.

317

318 The period from 20 September until the initial explosive eruption on 26 October was marked  
319 by a dramatic increase in all monitored parameters (Aisyah et al., 2010; Fig. 2, 3, 9). No  
320 localized deformation on the northern flank was detected by the Northern EDM lines. On the  
321 contrary the rate of shortening of the line between the summit and south flank of the volcano  
322 (indicative of summit inflation) followed an exponential trend from  $<10 \text{ mm d}^{-1}$  in early  
323 September to  $>500 \text{ mm d}^{-1}$  just before the eruption on 26 October. The resulting cumulative  
324 shortening was  $\sim 3 \text{ m}$  (Fig. 2). Typically preceding eruptions of Merapi there is significant  
325 shortening of EDM lines on the south side of the volcano while EDM lines on the north side  
326 show little change. Consequently, it is generally thought that the north side of the volcano is  
327 effectively buttressed by the adjacent northern volcano, Merbabu. Prior to the 26 October  
328 eruption, however, the seismicity rate increased and  $\text{SO}_2$  fluxes reached levels comparable to  
329 the highest rates observed during past Merapi eruptions (from 1992 to 2007) (Fig. 3 and Fig.  
330 9). A remarkable increase in  $\text{CO}_2/\text{SO}_2$  and  $\text{H}_2\text{S}/\text{SO}_2$  ratios was detected in fumarole gas  
331 composition between the end of September and 20 October (Table 1). The number of both  
332 volcano-tectonic (VT) earthquakes corresponding to shear fracturing in the edifice and  
333 multiphase events (MP, also called “hybrid” earthquakes) corresponding to magma movement  
334 increased exponentially in October 2010 (Fig. 3). Besides the sharp increase of VT and MP  
335 events, the number and magnitude of rock falls (RF) also intensified prior to the eruption.  
336 From 1 to 18 October, more than 200 very-long-period (VLP) signals were recorded at  
337 summit stations, with some large VLP events recorded at all broadband stations (Jousset et  
338 al., this issue).

339

340 Compared to previous eruptions, the greater frequency of earthquakes, the amplitude of  
341 released seismic energy, the rapid and large deformation (from EDM), and significant gas

342 emissions implicated a larger volume of magma than seen in the past decades of Merapi's  
343 episodic activity. During this period of rapid escalation, on 21 October CVGHM raised the  
344 alert from level II to III (indicating a much higher level of unrest and increased likelihood of  
345 eruption). On 25 October at 18:00 local time, after seismicity and deformation increased to  
346 unprecedented levels, the alert was raised to its highest level IV and CVGHM warned that  
347 there was a high probability of a large explosive eruption, greater in magnitude than those of  
348 recent history. The level IV alert called for evacuation of several tens of thousands of people  
349 within a region extending to a radius of 10 km from the volcano's summit.

350

### 351 *3.3 Initial explosive phase (26 October – 1 November).*

352

353 The 25 October forecast proved accurate and timely as 23 h after the alert was issued, an  
354 explosive eruption began at 10:02 UTC on 26 October and ended at ~12:00 UTC. This eruption  
355 generated an ash plume that reached 12 km altitude, released SO<sub>2</sub> emissions much larger than  
356 recorded during previous Merapi eruptions (from 1992 to 2007), and produced pyroclastic  
357 density currents that extended 8 km down the Kali Gendol and Kali Kuning drainages on the  
358 south flank of the volcano. The eruption killed the renowned mystical guardian of Merapi  
359 volcano, Mbah Marijan and 34 others who had refused to evacuate the village of Kinahrejo,  
360 located 7 km from the summit.

361

362 Repeated acquisitions of commercial Synthetic Aperture Radar (SAR) data from the  
363 COSMO-SkyMed, RADARSAT, and TerraSAR-X satellites and delivery of these data within  
364 hours of collection enabled near real time monitoring of changes at the volcano's summit and  
365 mapping of the extent of pyroclastic density currents, despite cloud cover during much of the  
366 eruptive episode. The explosive eruptions on 26 and 31 October removed the 2006 lava dome,

367 enlarged and deepened the summit crater, deeply incised the headwall of the Kali Gendol  
368 drainage (Fig. 4a, 4b), and produced a pink (oxidized) tephra layer and clast-poor sandy  
369 pyroclastic flow deposits. Backscattered electron images obtained with the electron  
370 microprobe show that many fragments in the deposits are weathered and altered, probably  
371 derived from the old summit dome complex; however a minor component of vesicular  
372 andesite scoria may represent the initial 2010 juvenile magma. Based on analysis of radar  
373 images from before and after the eruption, we estimate that the 26 October eruption excavated  
374  $\sim 6 \times 10^6 \text{ m}^3$  of mainly non-juvenile material from the summit.

375

376 A period of relative quiescence ensued on 26–28 October and was followed by smaller  
377 explosive eruptions on 29 October (~17:10-19:00 UTC), 31 October (~7:30 and ~8:15 UTC)  
378 and 1 November (~3:00 UTC). More than 150 large low-frequency (LF) earthquakes (with  
379 dominant frequencies  $\sim 2$  Hz) occurred between 29 October and 3 November. Following  
380 Chouet (1996), we attributed these LF earthquakes to movement of gas and magma within the  
381 edifice (Jousset et al., this issue).

382

383 These observations confirm that the 2010 eruption did not begin with extrusion of lava (which  
384 characterized the recent eruptions of Merapi volcano) but instead with an explosive cratering  
385 event. They also raised CVGHM concerns that the 2010 eruption could be larger than those of  
386 the past century.

387

388 *3.4 Magmatic phase (1 November – 7 November).*

389

390 Satellite radar imagery revealed that the dome growth during the period 1–4 November was  
391 extremely rapid for Merapi. The average rate for this period was  $25 \text{ m}^3 \text{ s}^{-1}$ , two orders-of-



392 magnitude greater than during recent dome-building eruptions (Hammer et al., 2000), and an  
393 order-of-magnitude greater than the relatively rapid rates inferred to have taken place at  
394 Merapi during the most explosive eruptive episodes of the 19<sup>th</sup> century (Hartmann, 1935;  
395 Newhall and Melson, 1983). Between 1 and 4 November, the new summit lava dome grew to  
396  $\sim 5 \times 10^6 \text{ m}^3$  in volume (Figs. 4c, 4d). The initial period of this rapid dome growth was  
397 accompanied by a relatively low level of SO<sub>2</sub> degassing compared to the more explosive  
398 phases of the eruption (Fig. 9).

399

400 On 3–4 November, eruptive intensity increased again with stronger degassing and a series of  
401 explosions, some of which could be heard in Yogyakarta. Early on 3 November, data from  
402 close-range seismic stations became saturated ( $>0.025 \text{ mm/s}$ ) due to increased intensity of  
403 tremor (corresponding to continuous eruption and strong degassing). Seismic signals from the  
404 Imogiri station, located 46 km south of summit, showed increased amplitude that correlated  
405 with RSAM peaks from proximal stations, which were attributed to the repeated explosions  
406 (Fig. 5a, 5c). SO<sub>2</sub> emission rates a few orders of magnitude higher than recorded in previous  
407 eruptions were detected (Fig. 9). A large explosion occurred on November 3 at 08:40 UTC  
408 (Fig. 5). CVGHM recommended extending the evacuation zone on the west and south from  
409 10 km to 15 km on 3 November at 9:05 UTC, which increased the number of displaced people  
410 to more than 100,000. Pyroclastic flows on 3 November reached 12 km (at 10:30 UTC),  
411 without casualties. Intense volcanic tremor continued after the explosion, indicating  
412 continuous eruption, and continuous pyroclastic flows.

413

414 On 4 November, the tremor increased again and was felt as Mercalli intensity 2–3 shaking at  
415 10–20 km from the volcano. All four proximal real-time seismic stations were completely  
416 saturated ( $>0.025 \text{ mm/s}$ ). Seismic amplitudes from the distal Imogiri station at the time of the

417 climactic explosion (4 November at ~17:05 UTC) were up to 5 times larger than signals  
418 associated with the 3 November explosion (Fig. 5b, 5d). This observation, along with  
419 unusually rapid rates of dome extrusion recognised in satellite data from the preceding 3 days,  
420 prompted the decision to extend the exclusion zone again, from 15 to 20 km on the southwest  
421 and south (Fig. 1).

422

423 The intermittent and sometimes sustained explosive eruptions during the night (local time) of  
424 4–5 November (included the climactic eruption on 4 November at 17:05 UTC) produced an  
425 ash column that ascended to 17 km altitude along with a pyroclastic flow that travelled ~16  
426 km along the Kali Gendol drainage in the direction of Yogyakarta (~15 km radial distance  
427 from the summit). These events took place several hours after the evacuation zone was  
428 extended to 20 km (Figs. 4c and 6). The flows and related surges of 4-5 November destroyed  
429 numerous evacuated villages over a broad area of the upper slopes of the volcano and in an  
430 overbank-surge area of ~13 km<sup>2</sup> (Fig. 4e, 6a) lower on the flank, including the village of  
431 Bronggang, where unfortunately, evacuations had not yet taken place and many of the 367  
432 fatalities occurred. Additional pyroclastic flows traveled lesser distances along the upper  
433 sections of other drainages on the northwest, west, and southern slopes of the volcano.

434

435 Post-eruption images of the summit show a new, roughly circular crater with a diameter of  
436 ~400 m, breached on the southeast by a sloping trough that extends down slope along the path  
437 of Kali Gendol (Fig. 4f). The new dome (together with much of the former summit) was  
438 destroyed during the climactic explosive eruption on 4 November (Fig. 4e, 4f). Our image  
439 analysis indicates that in addition to removing the new lava dome, the eruption of 4–5  
440 November excavated an additional  $10 \times 10^6 \text{ m}^3$  or more of non-juvenile material from the pre-  
441 2010 summit dome complex.

442

443 On 6 November, tremor amplitude decreased slowly in parallel with decreased explosive  
444 activity. Later on 7–8 November, RSAM increased again and remained at relatively high  
445 levels for another 2 days, which prompted CVGHM to quickly rebuild parts of the seismic  
446 monitoring system that were heavily damaged during the climactic eruption on 5 November.  
447 Due to danger, new stations were temporarily set-up more than 10 km from the summit (e.g.,  
448 at Ketep, see Fig. 1). The destroyed stations (PUS, DEL, and KLA) were rebuilt after the  
449 eruption ended. RADARSAT images collected at 11:00 and 23:00 UTC on 6 November show  
450 that rapid extrusion resumed on 6 November and produced a new  $\sim 1.5 \times 10^6 \text{ m}^3$  lava dome in  
451  $<12 \text{ h}$  at a minimum effusion rate of  $35 \text{ m}^3 \text{ s}^{-1}$ . The increased tremor amplitude and very large  
452 extrusion rate again raised concerns of the possibility of another even larger eruptive phase,  
453 which fortunately did not ensue.

454

### 455 *3.5 Waning phase (8–23 November)*

456

457 After 8 November, seismic activity (mainly tremor and some volcano-tectonic earthquakes  
458 probably associated with stress readjustment after the large eruption) started to slowly  
459 decrease in intensity. Satellite data indicated that dome growth ceased by 8 November and  
460 was followed by a period of dome subsidence and gas and ash emissions from several vents  
461 adjacent to or penetrating the new lava dome. These emissions continued through mid-  
462 November with a decreasing intensity (Fig. 9). On 14 November the exclusion zone was  
463 relaxed from 20 to 15 km on the south and western flanks and to 10 km on the less-exposed  
464 north and eastern flanks of the volcano. The alert level was decreased from level IV to level  
465 III on 3 December and from level III to level II on 30 December.

466

467 Reconfiguration of the summit crater over the course of the eruption channeled the majority  
468 of pyroclastic flows and subsequent lahars down the Gendol drainage and sparing Kali  
469 Kuning the worst of the eruption. Over 282 lahar events have been identified in almost all the  
470 rivers of the Merapi volcano from October 27, 2010 to February 25, 2012. During the first  
471 rainy season, most of the lahar events occurred on the Western flank, mainly in Kali Putih (55  
472 lahars). Fifteen rain-triggered lahars have been reported during the eruption. Maximum peak  
473 discharge reached  $1800 \text{ m}^3\text{s}^{-1}$  during the 30 March 2011 lahar event in the Kali Pabelan (max.  
474 depth 7 m). Discharges estimations of the lahars in Kali Putih rarely exceed  $260 \text{ m}^3\text{s}^{-1}$ .  
475 Occurrence of dozens of lahar flows at the same location led to major changes in the  
476 geomorphic settings of downstream locations, especially along Kali Putih, Pabelan, Gendol  
477 and Opak. River bank erosion and lahar inundation have damaged 678 houses (215 were  
478 totally destroyed or buried, 463 partially damages), most of them along Kali Putih. Twenty  
479 sabo-dams and 12 bridges have been taken away by lahars, and some major roads have been  
480 frequently inundated, such as the main road from Yogyakarta to Magelang and Semarang  
481 (which has been cut more than 20 times).

482

### 483 *3.5 Summary and Impacts*

484

485 During the four phases of the eruption, the alert level IV was set before the first eruption and  
486 remained at IV through the end of the crisis. The excluding area radii (10, 15, and 20 km from  
487 summit) were the parameters that were used to increase the threat level. These were timely  
488 set-up and properly estimated. While data from BNPB indicate that a total of 367 people were  
489 killed, 277 injured and 410,388 people were displaced, the accurate forecasts by CVGHM and  
490 prompt evacuations of many tens of thousands of people saved 10,000–20,000 lives (a

491 conservative estimate based on BNPB reports of 2300 houses destroyed and multiplied by 4  
492 to 8 people associated with each household).

493

494 For the first time, Merapi eruptions resulted in major disruptions of air traffic in Yogyakarta,  
495 which has resulted in a paralysis of the city's activities. During the volcanic crisis, about 2000  
496 flights were canceled, comprising 1350 flights during the closure of the airport for 15 days,  
497 and 600 flights due to a lack of a sufficient number of reservations after its reopening. Some  
498 companies like Garuda Indonesia suspended or transferred their flights to other airports,  
499 whereas the low cost carriers like Lion Air continued to fly despite the risks involved. The  
500 eruption of Merapi was fatal to Mandala Airlines, which encountered financial problems since  
501 2010 and declared bankruptcy on 13 January 2011. The eruption disrupted the pilgrimage to  
502 Mecca for thousands of Muslims who had waited and saved for years to be able to perform.  
503 Nevertheless, the organizers were able to cope with the crisis by relocating the departure  
504 airport for the pilgrimage.

505

#### 506 **4. Insight into eruption dynamics from petrology, seismicity, and gas observations**

507

##### 508 *4.1 Petrology of the new magma*

509

510 In contrast to the last VEI 4 eruption of Merapi in 1872, which was basaltic (Hartmann, 1934)  
511 and contained vesicular "breadcrusted" blocks, juvenile blocks from the main pyroclastic  
512 flows of 4–5 November in Kali Gendol are dense amphibole-bearing pyroxene andesites with  
513 compositions similar to those from 2006 and to other eruptions of the past few decades  
514 (Gertisser and Keller, 2003; Table 1). They contain ~30% phenocrysts of plagioclase,  
515 amphibole, two pyroxenes, oxides, and 5–10% vesicles in a microlite-bearing groundmass

516 (Fig. 7). Tephra deposits from the 2010 eruption, collected at the Ngepos observatory (11 km  
517 SW of the summit and near the axis of the plume) are thin (5 cm total, of which 2 cm is from  
518 the 4–5 November eruption) and non-pumiceous. The 4–5 November tephra deposit consists  
519 of sand-sized angular broken grains of dense andesite and initial results from isopach mapping  
520 suggest a relatively small bulk volume of  $<20 \times 10^6 \text{ m}^3$ .

521

522 Two-pyroxene geothermometry (Andersen et al., 1993) yields pre-eruptive temperatures of  
523  $1000^\circ \text{ C} \pm 20^\circ \text{ C}$ . The juvenile samples contain three types of amphibole crystals: (1) euhedral  
524 crystals lacking reaction rims and containing 13–14 wt%  $\text{Al}_2\text{O}_3$ , (2) crystals that are texturally  
525 similar to type 1 but with lower  $\text{Al}_2\text{O}_3$  (10–11 wt%) and higher F + Cl contents, and (3) rare  
526 crystals with thick coarse-grained reaction borders, yet compositionally similar to type 1.

527 Plagioclase phenocrysts range from  $\text{An}_{90}$  to  $\text{An}_{45}$  [ $\text{An} = 100 \times \text{Ca}/(\text{Ca}+\text{Na}+\text{K})$ ], microlites  
528 average  $\text{An}_{35}$ , and plagioclase-melt equilibria (Lange et al., 2009) indicate pre-eruptive  $\text{H}_2\text{O}$   
529 in melt of  $5.0 \pm 0.5 \text{ wt. } \%$ . This abundance of  $\text{H}_2\text{O}$  indicates minimum pressures of about 200  
530 MPa or 6 km depth. The presence of high alumina amphiboles suggests even higher pressures,  
531 perhaps up to 1000 MPa as shown in experimental results with more silica rich melts (e.g.,  
532 Prouteau and Scaillet, 2003). Glass inclusions in the amphiboles and pyroxenes contain up to  
533 1200 ppm S and 0.4 wt % Cl, whereas, the matrix glass is substantially degassed (microprobe  
534 analyses indicate  $<100 \text{ ppm S}$  and  $<1\% \text{ H}_2\text{O}$ ). Additional details of the petrology are given in  
535 Andreastuti et al. (this issue).

536

#### 537 *4.2 Seismicity*

538

539 We analyzed several low-frequency (LF) earthquakes (including monochromatic LF  
540 earthquakes) recorded on 31 October and 1 November 2010 in terms of their complex

541 frequency content (Kumagai et al., 2010; Fig. 8). LF earthquake models (e.g., Chouet et al.,  
542 1986; Kumagai & Chouet, 1999; Neuberg et al., 2000; Jousset et al., 2003) explain the  
543 frequency content of the LF signals by modeling the resonance of a fluid-filled container; the  
544 frequency content of the synthetic signals depend on physical properties of the fluid  
545 (volatiles) inside the container and the hosting rock or magma. Kumagai & Chouet (1999)  
546 compared the seismic attenuation factor ( $Q$ ) derived from observed and synthetic signals as an  
547 indicator of the nature of the fluid contained in the resonator. For a large LF event at Merapi  
548 on 31 October 2010, we find  $Q \sim 20\text{--}30$  for the fundamental mode. By neglecting intrinsic  
549 attenuation effects, these  $Q$  values suggest that the fluid was a mixture of  $\text{CO}_2$  and  $\text{H}_2\text{O}$  (i.e., a  
550 large gas component), bubbly water, or basaltic magma with bubbles (Kumagai and Chouet,  
551 2000; Kumagai, pers. comm., 2011). Each of these interpretations are consistent with a large  
552 gas influx from depth and/or a large heat pulse which would produce abundant steam from  
553 ground water contained in the edifice before the magmatic phase. The number of LF per day  
554 (22 on 31 October) and their low  $Q$  before the magmatic phase suggest the existence of a high  
555 gas content in the rising magma (Jousset et al., this issue).

556

557 In addition, we recorded one regional earthquake (M4.2) that preceded the sequence of  
558 explosions on 3 November and two syn-eruptive tectonic earthquakes on 3 and 4 November  
559 (Fig. 5). The 4 November event was by far the larger of the two and overlaps in time with the  
560 beginning of the climactic phase of the eruption. These observations suggest that regional  
561 tectonic earthquakes may have triggered higher levels of eruptive activity at Merapi, as  
562 conjectured also during the 2006 Merapi eruption (Walter et al., 2007). More generally, the  
563 correspondences between eruptive vigor and local tectonic faulting indicate that  
564 pressurization-depressurization cycles during eruptions affect loading and slip on nearby

565 faults – a relationship that also explains distal VT earthquakes preceding eruptions (White and  
566 Power, 2001; Posgay et al., 2005), but rarely documented during an eruption.

567

#### 568 *4.3 Gas observations*

569

570 The sampling of the fumarolic field of Woro, near the summit of Merapi, has been regularly  
571 performed by CVGHM-BPPTK for many years, and was stopped for safety reasons a few  
572 days before the first explosive eruption on 26 October 2010 (Table 1). The high temperature  
573 ( $> 400^{\circ}\text{C}$ ) and low  $\text{O}_2$  and  $\text{N}_2$  concentrations are indicative of relatively pristine magmatic  
574 gases (Giggenbach et al., 2001).  $\text{CO}_2$ ,  $\text{SO}_2$ ,  $\text{H}_2\text{S}$ , and  $\text{HCl}$  are consequently likely of magmatic  
575 origin. We observed a large increase in temperature and several volatile ratios ( $\text{CO}_2/\text{SO}_2$ ,  
576  $\text{CO}_2/\text{HCl}$  and  $\text{CO}_2/\text{H}_2\text{O}$ ) in the months preceding the eruption, with a remarkably dramatic  
577 increase in  $\text{CO}_2$  abundance, from 10 wt. % in September 2010 to 35–63 wt. % on 20 October  
578 (Table 1). Given the different solubility laws and speciation of  $\text{CO}_2$ ,  $\text{SO}_2$ ,  $\text{HCl}$ , and  $\text{H}_2\text{O}$   
579 volatile species (Oppenheimer et al. 2003), the increase of the gas ratios noted above points to  
580 a progressive shift to a deep degassing source. This is also supported by the decrease in  
581  $\text{CO}_2/\text{H}_2\text{S}$ , as  $\text{H}_2\text{S}$  is the increasingly stable sulfur species with depth and temperature and  
582 decreasing  $f_{\text{O}_2}$  (oxygen fugacity). This deep source may be an input of fresh magma, likely of  
583 mafic composition, into the Merapi's magmatic system, which supplied a volatile phase rich  
584 in  $\text{CO}_2$  and  $\text{H}_2\text{S}$  (compared to  $\text{SO}_2$ ,  $\text{HCl}$ , and  $\text{H}_2\text{O}$  degassed at shallower depth). In addition,  
585 crustal decarbonation of limestone may have contributed to  $\text{CO}_2$  (Deegan et al., 2010; 2011).  
586 Some of the  $\text{CO}_2$  and  $\text{H}_2\text{S}$  escaped to the surface via a permeable fracture network and was  
587 detected at Merapi's high temperature fumaroles, providing an early warning. Did this new  
588 mafic magma rise to higher level and remobilize a more differentiated magma, already  
589 present in Merapi's magma reservoirs and thereby trigger its eruption? Although this seems



590 likely (there is evidence of magma mixing in samples from Merapi, see Borisova et al., 2011),  
591 this question requires further petrological studies that might, for instance, constrain the timing  
592 of mixing to just before the eruption as documented at Pinatubo (Pallister et al., 1996) and  
593 Soufrière Hills volcanoes (Murphy et al., 1998). Nevertheless, the observations indicate  
594 degassing of ascending magma which released progressively larger amounts of SO<sub>2</sub>, HCl, and  
595 H<sub>2</sub>O.

596

597 A time-series of SO<sub>2</sub> flux estimated from ground-DOAS and satellite measurements, which  
598 covers all eruptive phases, is shown in Fig. 9. These emission rates are greatly in excess of  
599 both background and eruptive emissions recorded at Merapi volcano between 1986 and 2007  
600 (Nho et al., 1996; Humaida et al., 2007; this issue) and started well ahead of the climatic  
601 phase of the eruption on 4 November 2010. Significant increases in SO<sub>2</sub> emissions  
602 accompanied the initial explosive eruptions on 26 and 29/30 October. The SO<sub>2</sub> emission rate  
603 then decreased to a relatively 'low' level for this eruption (but still at elevated levels  
604 compared to past Merapi eruptions) during the first dome-building episode on 1–3 November.  
605 Emissions increased again significantly on 3 November, simultaneously with increasing  
606 tremor amplitude, and peaked during the climactic explosive eruptions of 4–5 November.  
607 Trends of degassing and RSAM during all phases of the eruption (Fig. 9) show that gas  
608 release was correlated with energetic tremor and high eruption rates during the most explosive  
609 phases of the eruption.

610

611 A cumulative SO<sub>2</sub> output of ~0.44 Tg is estimated for the entire eruption based on satellite  
612 observations. We use the 'petrologic method' (Westrich and Gerlach, 1992) to calculate the  
613 volume of andesitic magma needed to account for this release of SO<sub>2</sub>. Assuming the andesite  
614 magma contained 30 vol% phenocrysts, ~1000 ppm by mass of sulfur in the melt that

615 degassed syn-eruptively (based on Allard et al., 2011; and our S analyses in glass inclusions  
616 which range from a few hundred to 1200 ppm, and the low values of S in matrix glass), and a  
617 density of  $\sim 2600 \text{ kg m}^{-3}$ , then the eruption magnitude would have corresponded to a dense-  
618 rock equivalent volume of lava and tephra of  $\sim 0.12 \text{ km}^3$ , or a mass of  $3.1 \times 10^{11} \text{ kg}$ .

619

620 However, our initial estimate of the bulk volume of the juvenile deposits from the 2010  
621 eruption is only  $\sim 0.03\text{--}0.06 \text{ km}^3$ , consisting of  $0.01\text{--}0.02 \text{ km}^3$  of tephra fallout,  $0.02\text{--}0.04 \text{ km}^3$   
622 of pyroclastic density current deposits (in addition there were  $0.01\text{--}0.02 \text{ km}^3$  of non-juvenile  
623 material excavated from the summit). Broadly similar volume estimates are made by  
624 Komorowski et al. (this issue) and Cronin et al. (this issue). Correcting to dense-rock  
625 equivalent volumes (based on a mean density of Merapi pyroclastic flow deposits of  $\sim 1950 \text{ kg}$   
626  $\text{m}^{-3}$  from Lube et al. (2011)), suggests a juvenile magma dense-rock equivalent volume of  
627 only  $0.02\text{--}0.05 \text{ km}^3$ , corresponding to a mass of  $6 \times 10^{10} - 1.2 \times 10^{11} \text{ kg}$ . Thus the magnitude  
628 based on sulfur release exceeds by a factor of  $\sim 3\text{--}5$  that represented by the juvenile  
629 component of the mapped deposits. This mismatch points to the existence of an exsolved S-  
630 rich fluid phase in the pre-eruptive magma body (Wallace et al., 2001; Shinohara, 2008;  
631 Oppenheimer et al., 2011) possibly associated with deep recharge of new magma, likely of  
632 mafic composition, as discussed above.

633

#### 634 *4.4 Preliminary eruption model*

635

636 Taken together, these petrologic, gas composition and flux, seismic, and volcanological  
637 observations of the 2010 eruption suggest that the eruption was fed by unusually rapid ascent  
638 of a large volume of volatile-rich magma from depths of 5–30 km, which pressurized the  
639 volcano and powered the explosive phases of the eruption. Derivation of magmas over such

640 an extensive depth range is consistent with multiple magma reservoirs as suggested by  
641 Chadwick et al., (2008). As observed at many instances elsewhere, no seismicity deeper than  
642 8-9 km was identified associated with the eruption, consistent with a hot and aseismic conduit  
643 at greater depths. The presence of euhedral and unreacted amphibole (Fig. 7 and Andreastuti  
644 et al., this issue) is consistent with the relatively high water content as inferred from  
645 plagioclase-melt equilibria and indicates rapid ascent (Rutherford, 2008) probably within the  
646 week of rapid escalation in monitoring parameters preceding the 26 October eruption.

647

648 Several observations suggest that the unusually explosive character of the 2010 eruption was a  
649 consequence of separation of a gas phase from the magma and its rapid transport to the  
650 surface: these include the low vesicularity of juvenile blocks in most of the deposits; the  
651 relatively small volumes of tephra and pyroclastic density current deposits, given the large  
652 explosivity of the 4–5 November eruption; increased  $\text{CO}_2/\text{SO}_2$  and  $\text{H}_2\text{S}/\text{SO}_2$  ratios in  
653 fumarole gases preceding the eruption; sulfur in excess of that which can be accounted for by  
654 the erupted magma using the “petrological method”; increased LF seismicity as indicative of  
655 superheated water or high gas content; and high tremor level after the paroxysmal explosion.  
656 The relatively small volume of tephra and absence of a widespread fine ash cloud collocated  
657 with the extensive  $\text{SO}_2$  cloud detected by OMI, IASI, and AIRS is also consistent with  
658 separation of a voluminous gas phase prior to the explosive November 4 eruption. However  
659 on this latter point, we acknowledge that detection of fine ash in tropical volcanic clouds is  
660 challenging due to interference from water vapor, meteorological clouds and ice (Rose et al.,  
661 1995; Tupper et al., 2004). We also acknowledge that the LF seismicity analysis is hindered  
662 by high noise levels.

663

664 The alternation between explosive (26, 29, 31 October, and 4–5 November) and rapid lava  
665 dome extrusion (1–4 November and November 6) suggests variable gas content in the  
666 erupting magma. This was also called on to explain similar differences in eruptive behavior  
667 during the VEI~4 1930 eruption of Merapi (Van Padang, 1930). We suggest that alternating  
668 explosive and effusive eruptive behavior at Merapi may be the consequence of gas  
669 segregation in magma occurring during ascent in the conduit (Gonnermann and Manga, 2003;  
670 Michaut et al., 2011) and to the non-linear effects of degassing and crystallization on magma  
671 viscosity during ascent at shallow levels (Melnik and Sparks, 1999).

672

## 673 **5. Concluding remarks and perspectives**

674

675 Rapid ascent of gas-rich magma has been proposed at other highly explosive eruptions  
676 (Castro and Dingwell, 2009), raising concerns that there may be little time to issue warnings  
677 even at long-dormant volcanoes. Fortunately, despite the rapid onset and short-duration of the  
678 precursory signals leading up to the 26 October and 4 November eruptions, CVGHM  
679 recognized the precursory activity as signaling that large explosive eruptions were imminent  
680 and issued warnings that saved many thousands of lives. Recognizing this precursory activity  
681 was possible because CVGHM has had a long history of systematic real-time monitoring at  
682 Merapi, which had been used to establish baselines and characterize prior volcanic activity.  
683 Also fortunately, due to increased capabilities in communications and satellite remote sensing,  
684 and due to the broad and diverse research focus on Merapi, international collaborators were  
685 able to deliver near-real-time data and advice that complemented the extensive experience of  
686 CVGHM in interpreting the volcanic activity of Merapi.

687

688 The following list summarises the key observations and interpretations concerning the 2010  
689 eruption:

- 690 • High levels of CO<sub>2</sub>, increase in CO<sub>2</sub>/SO<sub>2</sub> and H<sub>2</sub>S/SO<sub>2</sub> recorded in fumarole gas  
691 samples over the months prior to the eruption, all support a deep degassing source  
692 associated with an input of fresh magma most likely of mafic composition.
- 693 • Strong degassing was measured during the whole eruption, with emission rates a few  
694 order of magnitude higher than recorded at Merapi during past eruptions from 1986 to  
695 2007. According to satellite data, a total of ~0.44 Tg of SO<sub>2</sub> was released during the  
696 eruption, associated with a plume that disrupted air traffic over Asia and Australia.
- 697 • The mass of SO<sub>2</sub> detected by satellites is not readily accounted for by syn-eruptive  
698 degassing of the measured ejecta. This mismatch in sulphur budgeting points to the  
699 presence of an exsolved fluid phase in the pre-eruptive magma body, which may have  
700 played a crucial role in the ensuing explosivity of the eruption.
- 701 • Our petrologic data show that the 2010 magma is chemically and petrologically similar  
702 to that erupted in 2006 except for the much higher abundance of unreacted  
703 amphiboles, which suggests faster magma ascent.
- 704 • Deformation was greater than observed during previous eruptions, but tightly focussed  
705 on the summit and its southern flank. There was no evidence for broad (edifice-wide)  
706 deformation.
- 707 • A large number and magnitude of earthquakes accompanied the eruption, including  
708 VT, MP, episodes of tremor, as well as LF and VLP earthquakes. These seismic data  
709 indicate transport of large volumes of magma and fluids.
- 710 • Rapid rates of lava dome growth and alternation between explosive and rapid effusion  
711 indicate variable gas content of magma reaching the surface, possibly reflecting gas  
712 segregation in the conduit during ascent.

- 713 • The summit morphology changed dramatically as a result of the eruptions (Fig. 10),  
714 indicative of both explosive cratering and collapse.
- 715 • Lahars following the 2010 eruption were larger than any previously recorded after  
716 previous 20<sup>th</sup> and 21<sup>st</sup> century eruptions of Merapi.

717

718 Amongst the main questions that need to be addressed in more detail, we include the  
719 following:

720

- 721 • How can the magmatic model for the 2010 eruption presented here be improved? For  
722 example: Was magma mixing a trigger for the eruption and to what degree was  
723 limestone decarbonation involved? (see Andreastuti et al., this issue).
- 724 • Why were most of the juvenile components in the tephra and flow deposits dense  
725 andesite? Where are the more vesicular juvenile magmatic components (e.g., scoria or  
726 pumice) that one typically associates with such an explosive eruption? (see  
727 Komorowski et al., this issue; Cronin et al, this issue)
- 728 • Does the 2010 eruption mark a change to more explosive eruptions of Merapi in the  
729 future, perhaps as seen in the late 19<sup>th</sup> and early 20<sup>th</sup> centuries, and if so, what changes  
730 in monitoring, hazard analysis, and early warning protocols are needed? (see Mei et  
731 al., this issue; Cronin et al., this issue; Budi-Santoso et al., this issue)
- 732 • What new strategies and methods should be implemented for future research and  
733 monitoring of Merapi volcano?

734

735 As a result of the effective crisis management, we conclude that international collaboration is  
736 the way forward to tackle these questions (annex 1). We emphasize the integration of seismic  
737 and satellite remote sensing data for real-time and near-real time monitoring of the eruption

738 and the vital role it thereby played in decision support, especially with respect to locations of  
739 exclusion zones. The eruption also represented a major test for several international programs,  
740 including MIAVITA and SAFER, to respond with the urgent need to acquire and interpret  
741 diverse sources of data during a major volcanic crisis. Rapid delivery of satellite data to the  
742 responsible authority for emergency response is paramount. In this case, CVGHM's role as  
743 the sole agency tasked with providing forecasts and warnings to Indonesian communities at  
744 risk and to the media was a major factor in effective handling of the crisis. Nevertheless, there  
745 remains considerable scope to enhance access to remote sensing data, to improve exchange  
746 protocols and data tools, and to facilitate data interpretation by those working at the front line.  
747 We encourage not only wider participation in the International Charter for Space and Major  
748 Disasters, but also investment by government space and research agencies to expand the  
749 constellation of operational civilian radar satellite systems.

750

751 Merapi's 2010 eruption offers a rich set of scientific data and represents a case study of  
752 international scientific cooperation. This paper, as a preliminary overview of available  
753 observations and interpretations, aims at providing a starting point for building a more  
754 complete model of the complex eruptive processes that took place at Merapi volcano in  
755 October-November 2010. We encourage further detailed analyses and studies in order to  
756 advance our understanding of, and ability to forecast explosive volcanism.

757

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788

789 **References**

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1015  
1016 **Appendix 1:** international collaboration during the 2010 eruption at Merapi.  
1017  
1018 Increased satellite tasking frequency and expedited product generation were supported by  
1019 several pre-existing national and international hazard response protocols including the  
1020 International Charter for Space and Major Disasters (RADARSAT-2 and TerraSAR-X), the  
1021 NASA Urgent Request Protocol (ASTER), and the U.S. Geological Survey (USGS) Hazards  
1022 Data Distribution System (GeoEye 1 and WorldView-2). Thanks to links with European  
1023 Community project SAFER (Services and Applications For Emergency Response), the INGV  
1024 remote sensing team obtained SAR acquisitions from the COSMO-SkyMed constellation.  
1025 Satellite and ground observations (seismic, deformation, SO<sub>2</sub>) were gathered at CVGHM's  
1026 observatory in Yogyakarta and interpreted by a scientific team working under crisis  
1027 circumstances. On 22 October, during the rapid escalation in monitoring parameters CVGHM  
1028 contacted the Volcanic Disaster Assistance Program (VDAP) of the U.S. Geological Survey  
1029 (USGS) and U.S. Agency for International Development with a request for monitoring  
1030 assistance utilizing remote sensing. On 27 October, CVGHM invited BRGM (Geological  
1031 Survey of France) and the University of Cambridge within the framework of the MIAVITA  
1032 European project to join the monitoring team in seismology and gas analysis at BPPTK,  
1033 Yogyakarta. On the same day, working through the International Charter for Space and Major  
1034 Disasters and tasking a variety of satellite resources, the VDAP team began delivering remote  
1035 sensing data to CVGHM. Following a request from the President of Indonesia on 7  
1036 November, VDAP dispatched a crisis response team to join MIAVITA and Japanese teams in  
1037 Yogyakarta. The VDAP team continued providing remote sensing data, assisted with  
1038 interpretation of monitoring data and provided seismic equipment to replace instruments  
1039 destroyed during the eruption and for monitoring lahars. The MIAVITA team provided  
1040 seismological interpretation, and gathered remote sensing data (COSMO-SkyMed, ASTER,

1041 OMI, AIRS, IASI, etc.) to support crisis management by CVGHM. The Université de Savoie  
1042 (France) also provided monitoring equipment to help rebuild the seismic network. A Japanese  
1043 team from the Disaster and Prevention Research Institute installed equipment to detect  
1044 explosions with infrasound and collected ash samples for analysis.  
1045

1046

1047 **Fig. 1.** Index map showing location of Merapi volcano summit and other features referred to  
1048 in the text, e.g., observatory post stations (“Pos” in Indonesian), the Merapi Observatory and  
1049 Technology Center (BPPTK), major drainages (abbreviated “K.” for “Kali” in Indonesian),  
1050 short-period permanent seismic stations (full inverted triangles, PUS, DEL, PLA, KLA),  
1051 temporary broadband stations (empty inverted triangles, LBH, GMR, GRW, PAS, L56=WOR  
1052 at summit). Cities and towns are indicated by name. In addition, hundreds of smaller villages  
1053 are present on the flanks of the volcano. Major highways are indicated by heavy dashed-  
1054 dotted lines and the read arcs at 10, 15, and 20 km radius distances from the summit indicate  
1055 evacuation zones that were put into effect at different times during the eruptive activity (see  
1056 text for details).

1057

1058

1059 **Fig. 2.** Electronic Distance Measurement data for lines between observatory posts and the  
1060 summit of Merapi (see Fig. 1). Reflectors near the summit of the volcano were destroyed by  
1061 the eruption of 26 October, preventing further observations. Shortening of EDM lines  
1062 between the volcano's summit and flanks is indicative of pressurization and inflation of the  
1063 upper part of the volcano with magma, whereas increasing distances indicates deflation. (a)  
1064 EDM observations for 3 lines Babadan-summit (West) Jrasah-summit (North) and Kaliurang-  
1065 summit (South). "Relative Distance" refers to the change in line length with respect to time,  
1066 reference taken arbitrarily on 1 September 2010. (b) Detail of the Kaliurang-summit EDM  
1067 line, and displacement rate.

1068

1069 **Fig. 3.** (a) Dayly count of the seismicity recorded at Merapi during 2010 eruption. VT =  
1070 Volcano-tectonic; MP=Multiphase (=Hybrid earthquake); LF=low-frequency;

1071 Rockf=Rockfall earthquakes; Pyroclastic F=Pyroclastic flows; RSAM=Real-time Seismic  
1072 Amplitude Measurement. (b) Location of earthquake prior and during the eruption.

1073

1074 **Fig. 4.** Synthetic Aperture Radar (SAR) images of the summit of Merapi volcano before and  
1075 after the times of the 26 October explosive eruption and the 4 November explosive eruptions.  
1076 For clarity, images are oriented with respect to line of sight of the radar. Arrows indicate  
1077 north (N) direction and approximate scale. G (Kali Gendol), K (Kali Kuning), Kj (Kinahrejo).  
1078 **a**, RADARSAT image, 11 October, 2009. Arrow indicates the 2006 lava dome. **b**, TerraSAR-  
1079 X image, 26 October, showing new summit crater (arrow) produced by explosive eruption of  
1080 26 October. **c**, TerraSAR-X image, 4 Nov 2010, showing large ( $\sim 5 \times 10^6 \text{ m}^3$ ) lava dome (D).  
1081 Pyroclastic flow deposits (PF) from the 26 October eruption appear dark in the radar images.  
1082 **d**, enlargement of the summit area of image a. **e**, RADARSAT image of 5 November, 2010,  
1083 showing pyroclastic flow deposits (PF, dark grey) and surge deposits (S, light grey). These  
1084 deposits formed earlier during the main phase of the 4–5 November explosive eruption. An  
1085 enlarged, elongate crater, produced by the November 4–5 eruption is also evident at the  
1086 summit. **f**, enlargement of the summit area of image c.

1087

1088 **Fig. 5.** Record of the seismic amplitude at Imogiri station located 46 km south of Merapi  
1089 volcano. **a**, Normalised vertical component signals recorded at a proximal station (DEL,  
1090 Deles, 4 km from Merapi's summit) and at a distal station (CRM, Imogiri, 46 km south)  
1091 during the 3 November explosion sequence. T symbols indicate small ( $M < \sim 1$ ) tectonic  
1092 earthquakes on the Opak fault, E symbols indicate explosions at the Merapi volcano summit.  
1093 **b**, same as a for data from 4 November. **c**, RSAM computed for stations DEL and CRM  
1094 (Imogiri) on 3 November. Note that RSAM at DEL is multiplied by  $10^8$  for clarity. **d**, same as  
1095 c for data from 4 November.

1096

1097

1098 **Fig. 6.** Pyroclastic flow deposits illustrated using SAR change detection and thermal channel  
1099 of ASTER data. **a,** Perspective view of SAR change detection analysis performed using two  
1100 COSMO-SkyMed data acquired on 1 May 2010 and 11 November 2010; the image shows the  
1101 deposits (black areas) around the pre-eruption channel (white pixels inside black areas). A  
1102 total length of about ~15 km and a covered area of 13 km<sup>2</sup> have been estimated from this  
1103 analysis. **b,** Perspective view of temperature image from ASTER data acquired over Merapi  
1104 (foreground) and Merbabu (background) volcanoes on 1 November 2010 (night time);  
1105 elevated temperatures signify the deposit of the pyroclastic flow of 26 October. The two  
1106 temperature profiles along the pyroclastic flow show retrieved temperatures integrated across  
1107 the 90 × 90 m<sup>2</sup> sensor footprint, total length of the hot area detected by ASTER is ~7.2 km  
1108 (A-B plus C-D segments), corresponding to the portion of the 26 October flow deposit still  
1109 very hot on 1 November.

1110

1111 **Fig. 7.** Backscattered electron image of andesite from 4 November, 2010. Sample is from a  
1112 prismatic jointed juvenile block collected from a pyroclastic flow deposit in Kali Gendol.  
1113 The large grain at the center of the image is a nearly euhedral amphibole (AM) phenocryst  
1114 which has a very thin (or absent) reaction rim and a melt inclusion (MI) with 1200 ppm S.  
1115 The bright white grains in the image are magnetite (MT), black areas are vesicles (VES), and  
1116 the groundmass contains abundant plagioclase, pyroxene and magnetite microlites and  
1117 interstitial glass. Other areas of the sample contain abundant complexly zoned plagioclase,  
1118 clinopyroxene and orthopyroxene. The inset enlargement shows detail of the groundmass:  
1119 elongate dark microlites are plagioclase, bright grains are magnetite and pyroxene and the

1120 intermediate grey areas are 66-68% SiO<sub>2</sub> glass. The circular spot is a 5 μm-diameter electron  
1121 beam damage area.

1122

1123 **Fig. 8.** Complex frequency analysis performed on one of the coda of a LF earthquake (31  
1124 October 2010 at 00:20) recorded on the vertical component of the station PUS (1 km from  
1125 summit, see Fig. 1). **a.** Record of the LF earthquake; vertical lines indicated the signal used  
1126 for the Sompi analysis, enlarged in **b.** **b.** Signal used for the analysis; the box indicates the  
1127 portion of the signal used for analysis. Dotted line is the modeled seismogram by Sompi  
1128 analysis **c.** Corresponding Fourier spectral amplitude. **d.** Plot of the complex frequency of the  
1129 individual wave elements (frequencies lower than 5 Hz) for all trial of the autoregressive  
1130 orders (5-40). The clusters of points within ellipses represent clear signal, and the scattered  
1131 points represent incoherent noise. The solid lines represent lines along which the factor Q is  
1132 constant.

1133

1134 **Fig. 9.** Comparison between SO<sub>2</sub> fluxes and RSAM data. **a,** Overview of SO<sub>2</sub> degassing  
1135 during the 2010 Merapi volcano eruption (UTC time). SO<sub>2</sub> fluxes were determined from  
1136 ground-based scanning DOAS measurements (mean fluxes measured over hour-long  
1137 intervals) and satellite images, from IR IASI and AIRS sensors (mean fluxes calculated for 12  
1138 h intervals) and the UV OMI sensor (mean fluxes calculated for 24 h intervals). OMI is more  
1139 sensitive than IR sensors to lower tropospheric plumes (< ~5 km altitude). Therefore, when  
1140 the plume is weaker, OMI was the primary source of mean SO<sub>2</sub> flux estimates. The black line  
1141 has been manually added to interpolate between discrete values of the SO<sub>2</sub> flux to highlight  
1142 degassing trends. Ranges for SO<sub>2</sub> emissions during and between eruptions taking place  
1143 between 1999 and 2007 are from scanning correlation spectrometer (COSPEC) measurements  
1144 (Nho et al., 1996; Humaida et al., 2007). Question marks indicate gaps in OMI data coverage,



1145 or interference from SO<sub>2</sub> plumes emitted by other Indonesian volcanoes. Refer to the text for  
1146 explanations concerning the reasons of over or under-estimations of the true flux. **b**, RSAM  
1147 computed for the Plawangan station (6 km from the summit). A clear correspondence between  
1148 RSAM and SO<sub>2</sub> flux is demonstrated, supporting our identification of four distinct phases to  
1149 the eruption (indicated by PHASE I to IV). E stands for explosion; L for Lahar.

1150

1151 **Fig. 10.** Morphology of the summit area. **a**, Before the October-November eruption. **b**, After  
1152 the eruption. Depth of the new crater is about 200 m.

1153

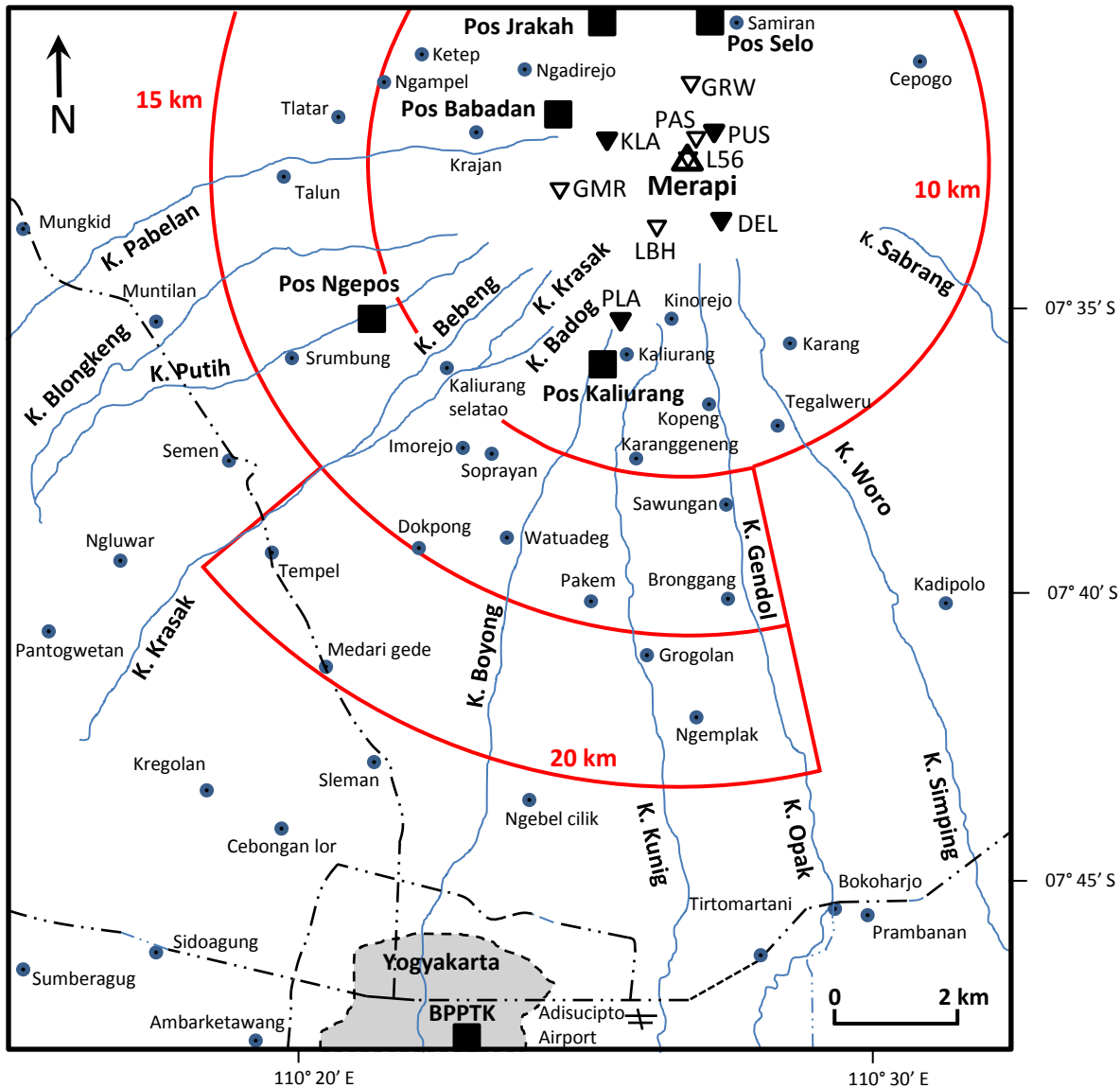
Table 1. Major-element analyses of juvenile components from pyroclastic flows from Merapi volcano and gas analyses from the summit Woro fumarole field. For gas analyses, values in weight percent; n.d. = not detected. \*All Fe reported as Fe<sub>2</sub>O<sub>3</sub>. \*\* Average (avg.) and standard deviation (s.d.) of analyses from 1954, 1957, 1992, 1994 and 1998 of Gertisser and Keller (2003). Fumarole gas analyses are individual samples on 26 May and 20 October. September averages are for 3 samples analyses. On May analysis, peaks of H<sub>2</sub> and O<sub>2</sub>+Ar can be separated; since August 2010, H<sub>2</sub>+O<sub>2</sub> are analyzed together.

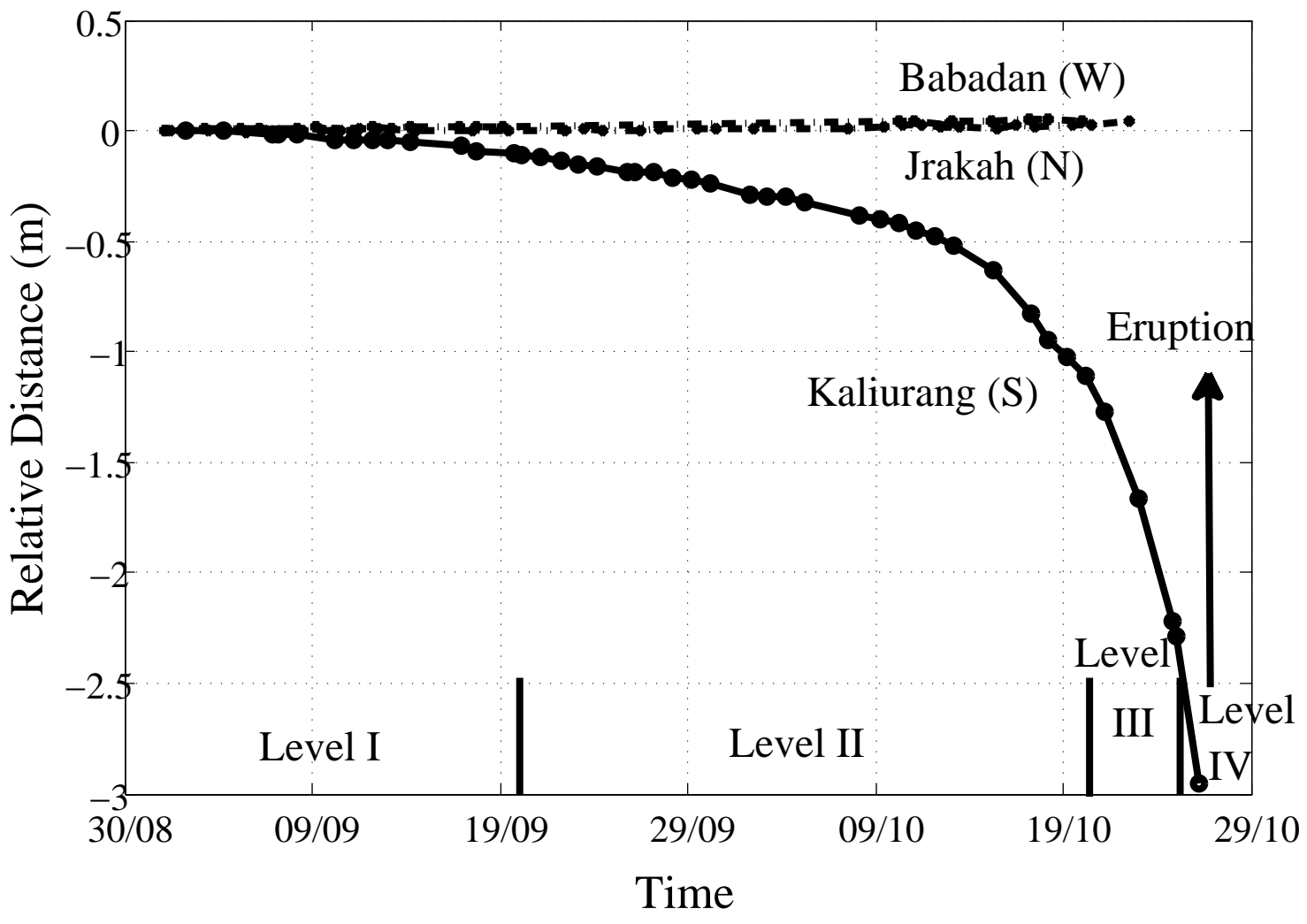
Whole-rock analyses

Year:	<u>2010</u>	<u>2006</u>	<u>1954-1998**</u>		<u>1872</u>
			<u>avg.</u>	<u>s.d.</u>	
SiO <sub>2</sub>	55.8	55.9	55.5	0.2	52.5
Al <sub>2</sub> O <sub>3</sub>	19.2	19.2	19.1	0.1	18.9
Fe <sub>2</sub> O <sub>3</sub> *	7.78	7.45	7.53	0.07	9.51
MgO	2.33	2.36	2.42	0.02	3.47
CaO	8.27	8.23	8.22	0.08	9.55
Na <sub>2</sub> O	3.90	3.50	3.74	0.13	3.05
K <sub>2</sub> O	2.16	2.17	2.24	0.02	1.98
TiO <sub>2</sub>	0.74	0.74	0.71	0.02	0.88
P <sub>2</sub> O <sub>5</sub>	0.32	0.37	0.31	0.01	0.37
MnO	0.20	0.20	0.19	0.01	0.21

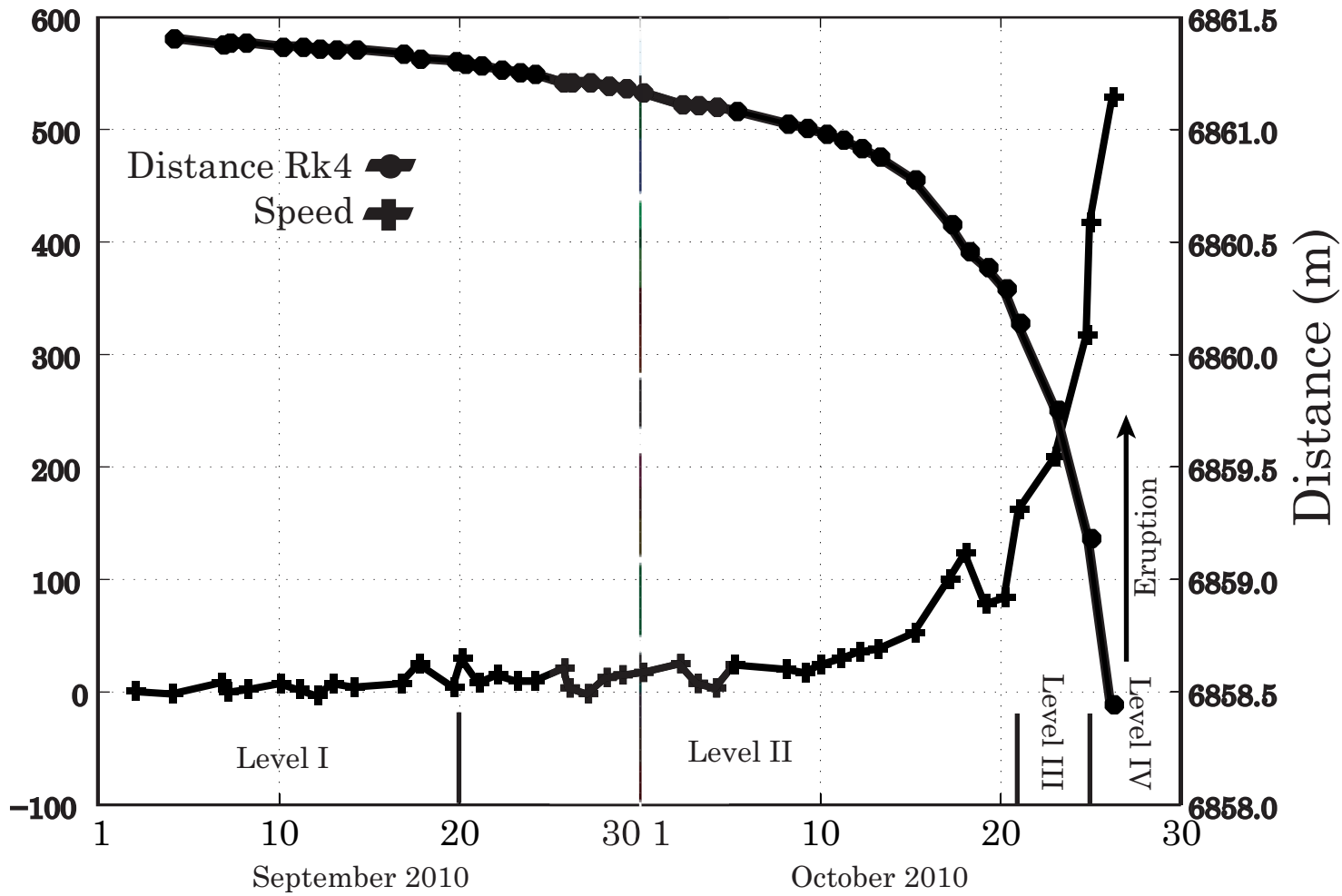
2010 fumarole gas analyses (% mol)

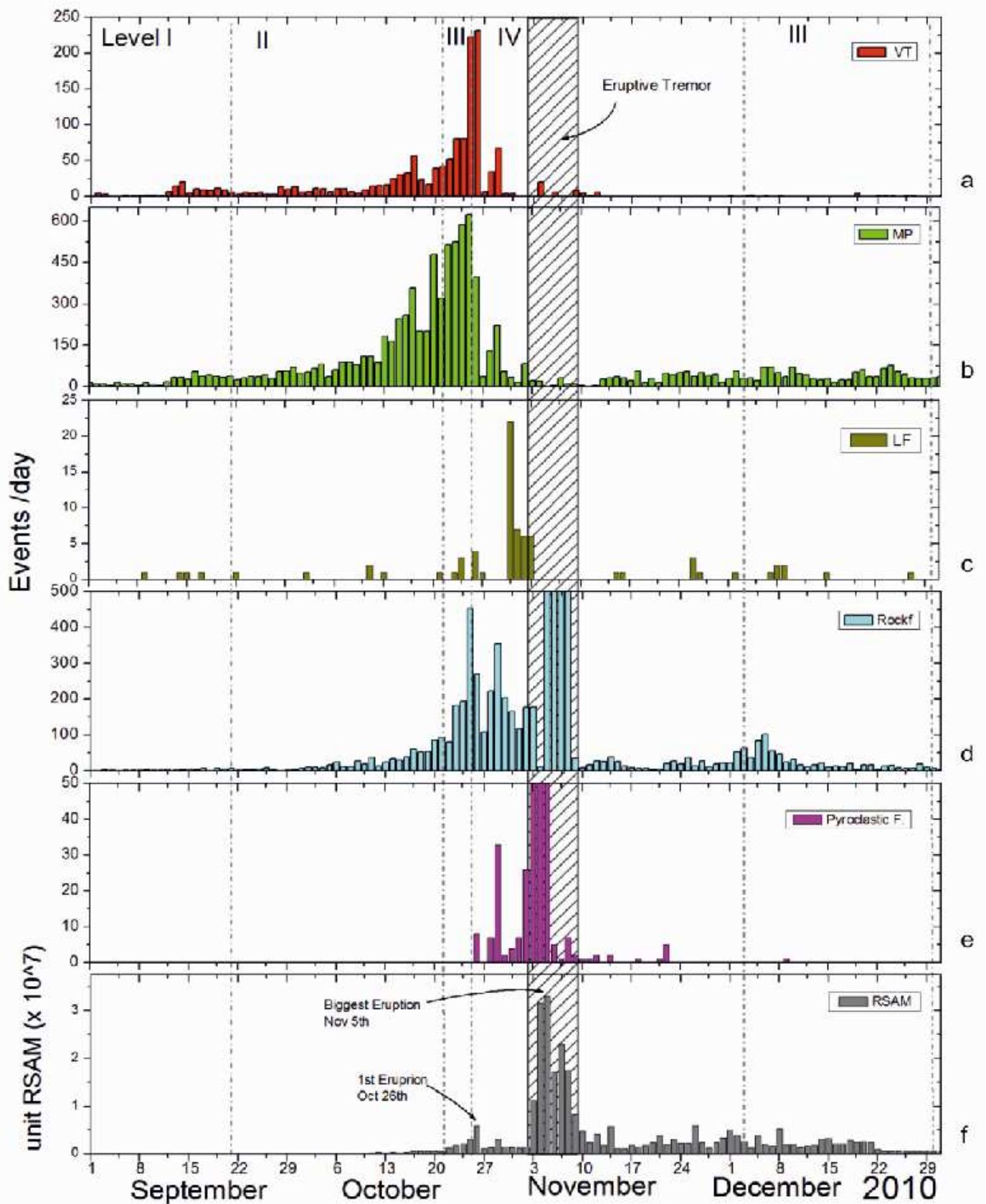
	<u>26 May</u>	<u>Sept. avg.</u>	<u>20-Oct.</u>	<u>20-Oct.</u>
T (°C)	460	575	575	575
H <sub>2</sub> +O <sub>2</sub>	0.07 (H <sub>2</sub> )	0.0013(H <sub>2</sub> +O <sub>2</sub> )	0.02(H <sub>2</sub> +O <sub>2</sub> )	0.4(H <sub>2</sub> +O <sub>2</sub> )
N <sub>2</sub>	1.1	0.1	0.02	3.0
CH <sub>4</sub>	0.01	n.d.	0.01	0.03
CO	n.d.	0.01	0.03	0.2
CO <sub>2</sub>	5.6	10	34.6	62.6
SO <sub>2</sub>	0.8	1.0	0.3	2.6
H <sub>2</sub> S	0.2	0.45	2.5	4.7
HCl	0.2	0.36	0.6	0.5
HF	n.d.	n.d.	n.d.	n.d.
NH <sub>3</sub>	0.01	0.5	2.8	2.6
H <sub>2</sub> O	92	87	58.8	23.3
CO <sub>2</sub> /SO <sub>2</sub>	7	10	115	24
CO <sub>2</sub> /H <sub>2</sub> S	28	22	14	13
CO <sub>2</sub> /HCl	28	28	58	125
CO <sub>2</sub> /H <sub>2</sub> O	0.06	0.1	0.6	2.7

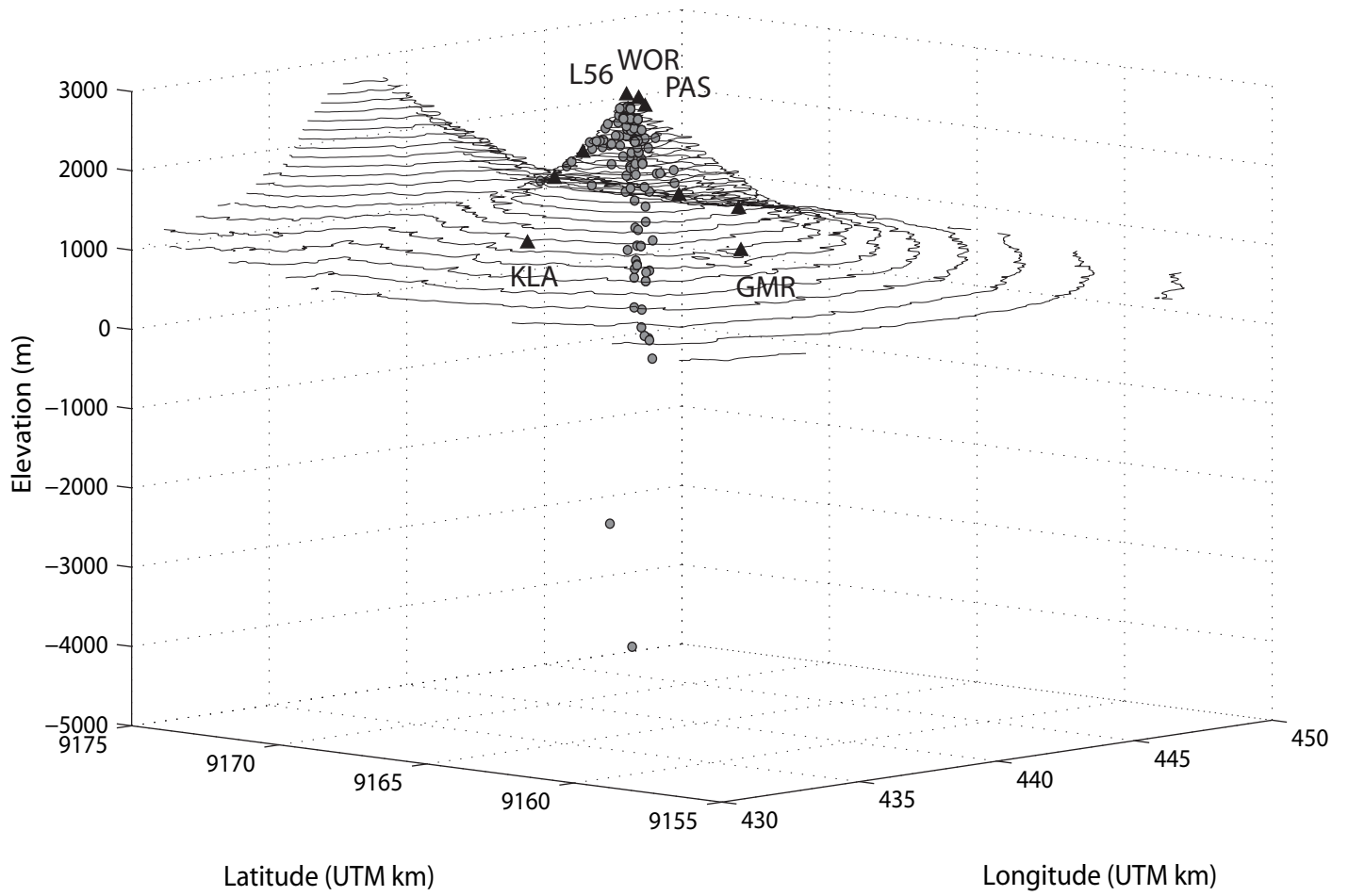




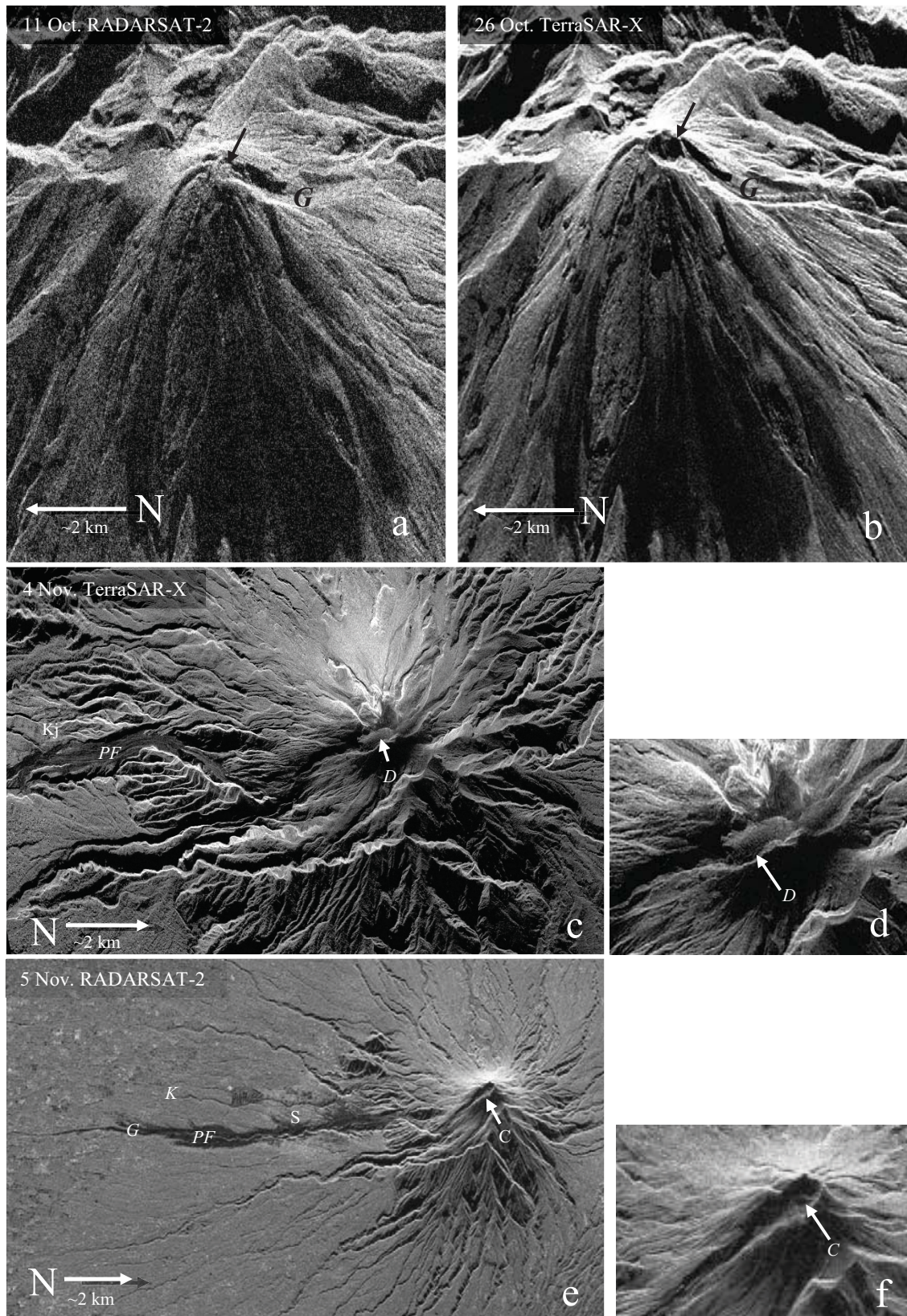
Displacement rate (mm/day)







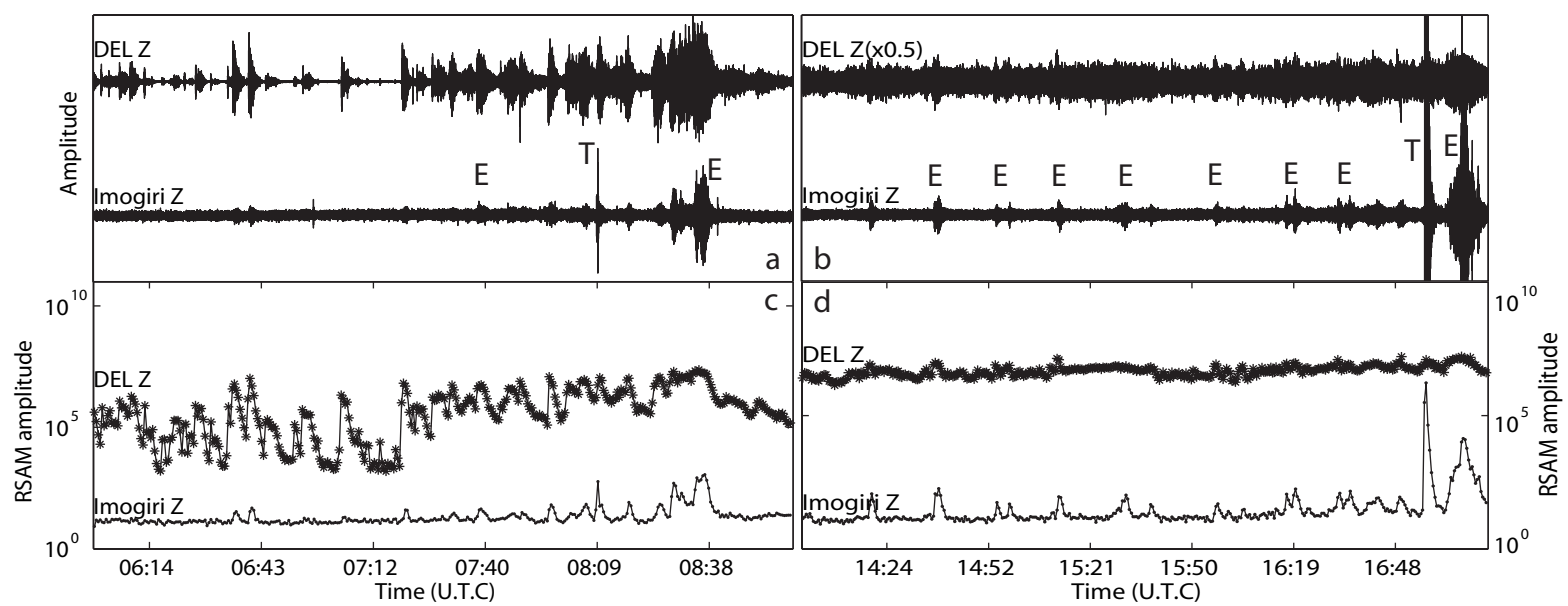
**Figure 3.**





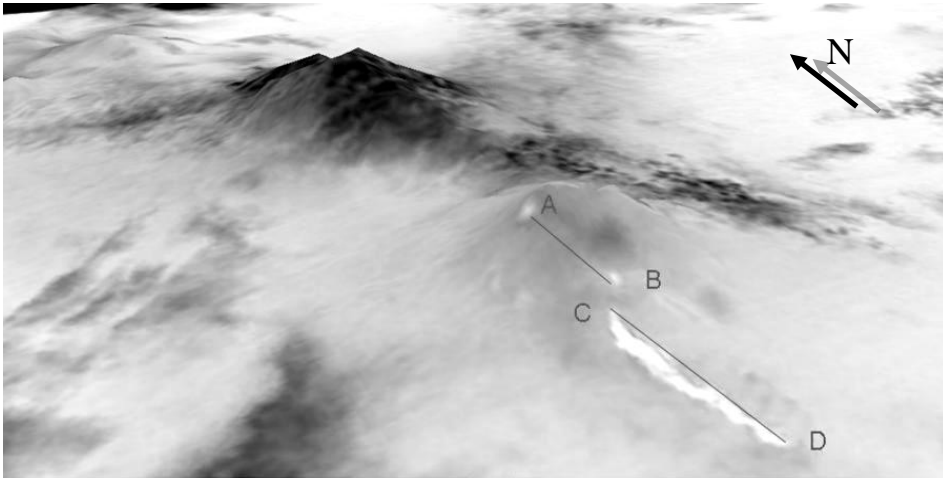
3 November 2010

4 November 2010

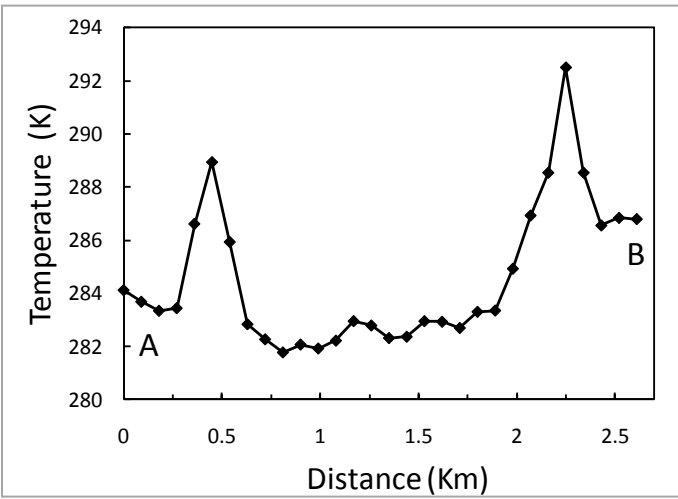




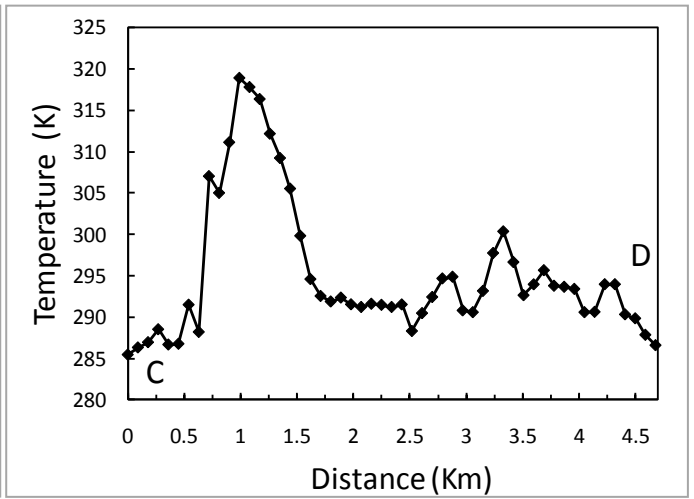
(a)



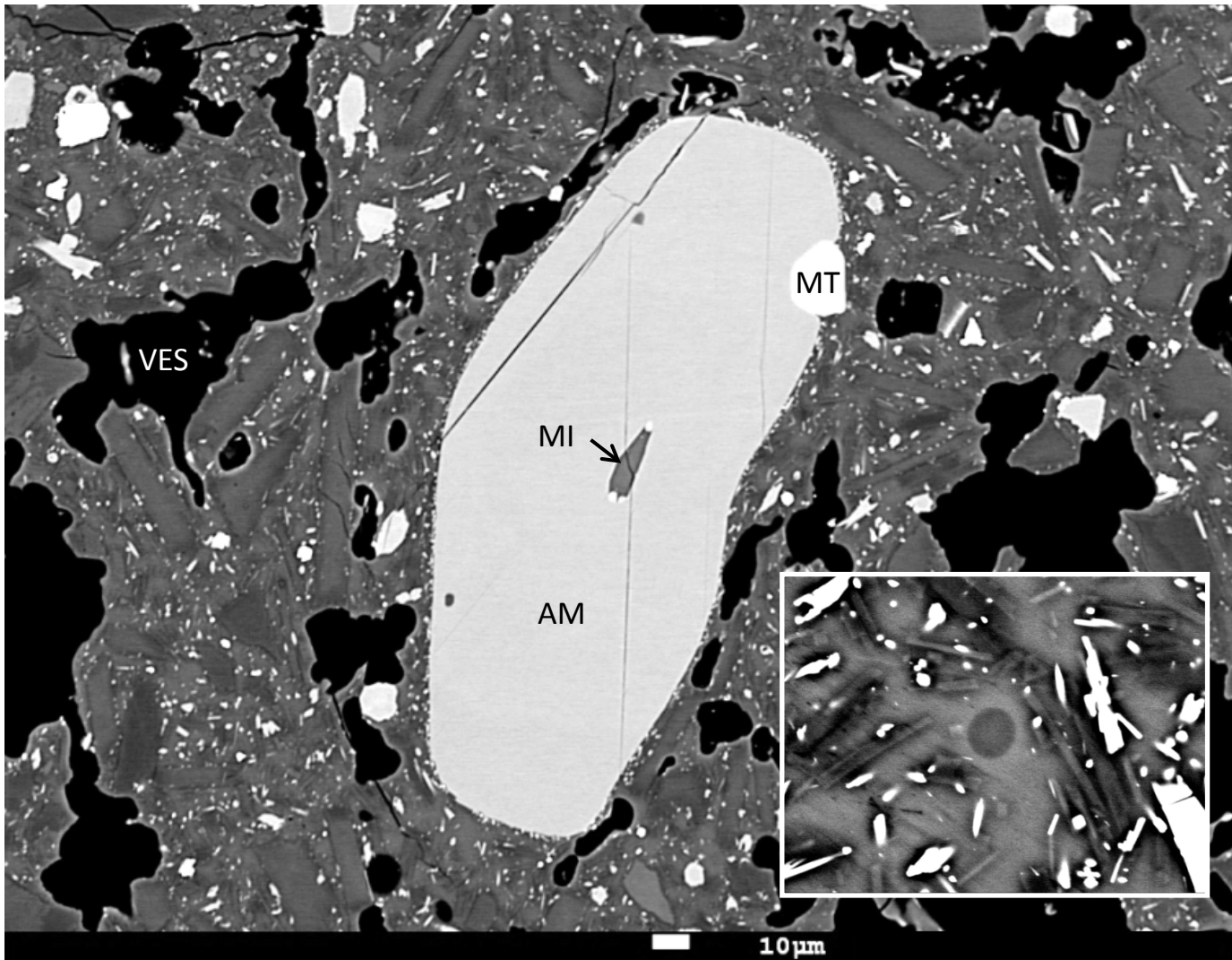
(b)



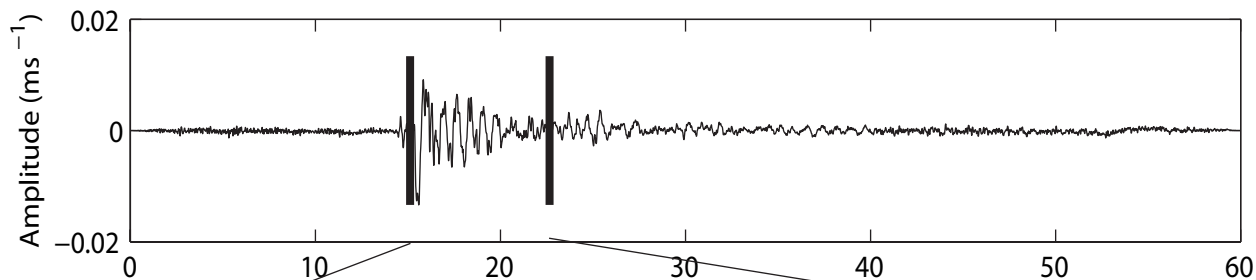
(c)



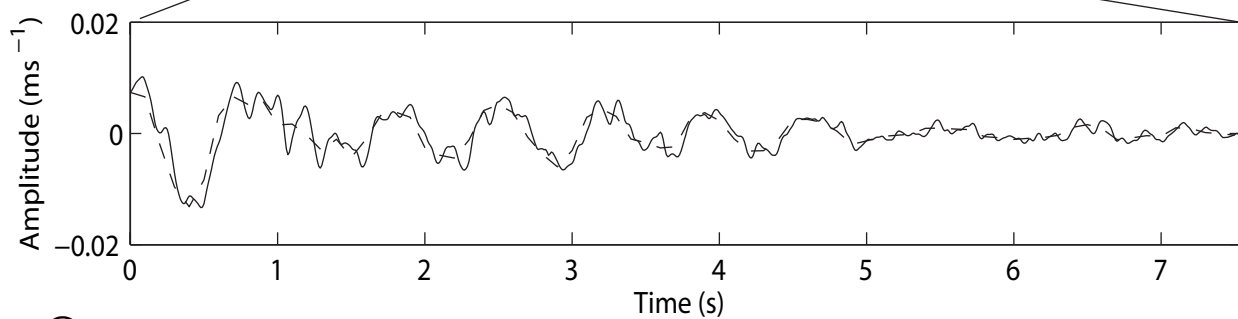
(d)



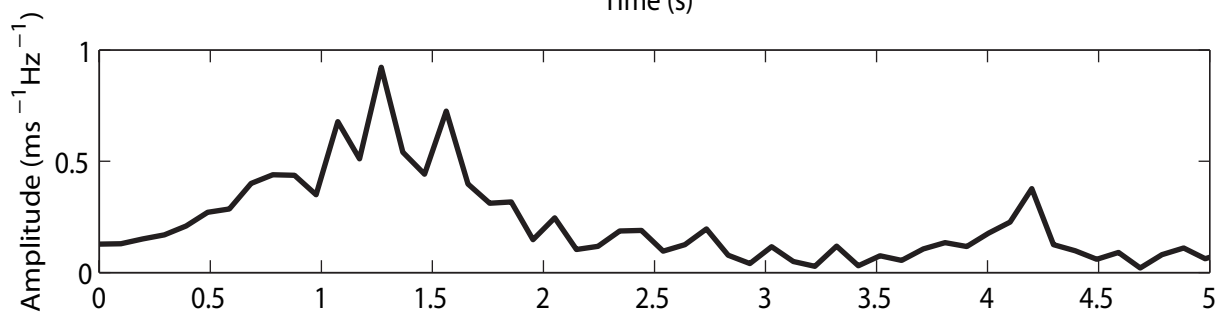
PUS -31-Oct-2010, 00:19:45



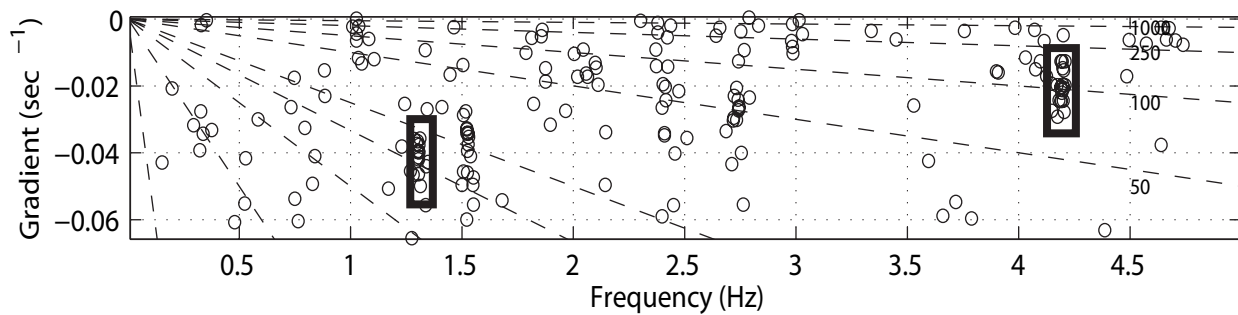
a)



b)



c)



d)

