



Originally published as:

Fohlmeister, J., Plessen, B., Dudashvili, A. S., Tjallingii, R., Wolff, C., Gafurov, A., Cheng, H. (2017): Winter precipitation changes during the Medieval Climate Anomaly and the Little Ice Age in arid Central Asia. - *Quaternary Science Reviews*, 178, pp. 24–36.

DOI: <http://doi.org/10.1016/j.quascirev.2017.10.026>

1 **Winter precipitation changes during the Medieval Climate Anomaly and the Little Ice Age in arid**  
2 **Central Asia**

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17 **Abstract**

18 The strength of the North Atlantic Oscillation (NAO) is considered to be the main driver of climate  
19 changes over the European and western Asian continents throughout the last millennium. For  
20 example, the predominantly warm Medieval Climate Anomaly (MCA) and the following cold period of  
21 the Little Ice Age (LIA) over Europe have been associated with long-lasting phases with a positive and  
22 negative NAO index. Its climatic imprint is especially pronounced in European winter seasons.

23 However, little is known about the influence of NAO with respect to its eastern extent over the  
24 Eurasian continent.

25 Here we present speleothem records ( $\delta^{13}\text{C}$ ,  $\delta^{18}\text{O}$  and Sr/Ca) from the southern rim of Fergana Basin  
26 (Central Asia) revealing annually resolved past climate variations during the last millennium. The age  
27 control of the stalagmite relies on radiocarbon dating as large amounts of detrital material inhibit  
28 accurate  $^{230}\text{Th}$  dating. Present-day calcification of the stalagmite is most effective during spring when  
29 the cave atmosphere and elevated water supply by snow melting and high amount of spring  
30 precipitation provide optimal conditions. Seasonal precipitation variations cause changes of the  
31 stable isotope and Sr/Ca compositions. The simultaneous changes in these geochemical proxies,  
32 however, give also evidence for fractionation processes in the cave. By disentangling both processes,  
33 we demonstrate that the amount of winter precipitation during the MCA was generally higher than  
34 during the LIA, which is in line with climatic changes linked to the NAO index but opposite to the  
35 higher mountain records of Central Asia. Several events of strongly reduced winter precipitation are  
36 observed during the LIA in Central Asia. These dry winter events can be related to phases of a strong  
37 negative NAO index and all results reveal that winter precipitation over the central Eurasian  
38 continent is tightly linked to atmospheric NAO modes by the westerly wind systems.

39

#### 40 **1. Introduction**

41 Annually resolved regional climate records play a vital role in understanding the nature of rapid  
42 climate changes and validation of present and future climate modelling (Mayewski et al. 2004.) The  
43 last millennium is characterised by climatic extremes of the generally warm Medieval Climate  
44 Anomaly (MCA), the cold Little Ice Age (LIA) and the subsequent present-day warm period since the  
45 beginning of the 19th century (for reviews, e.g., Bradley et al., 2003; Jones et al., 2009; Trouet et al.,  
46 2012). However, these climatic variations lack a clear global fingerprint and are only apparent from  
47 regional and seasonal expressions (Bradley et al., 2003; Graham et al., 2011).

48 European climate records reveal that the MCA, and LIA are associated with the strength and phases  
49 of the North Atlantic Oscillation (NAO; e.g., Hurrell et al., 1995; Wanner et al., 2001; Trouet et al.,  
50 2009). The NAO index is defined in terms of differences in anomalies of sea level pressure fields  
51 between the Azores and Iceland (e.g., Van Loon and Rogers, 1978; Meehl and Van Loon, 1979; Lamb  
52 and Pepler, 1987). It appears that NAO is most important for winter climate (Wanner et al., 2001;  
53 Trouet et al., 2009) but a large number of studies show that also the summer NAO can influence the  
54 climate on the European continent (e.g., Folland et al., 2009; Linderholm et al., 2013; Favá et al.,  
55 2016). A positive winter NAO index is related to strong westerly winds north of the European Alps  
56 influencing the climate most likely towards deep into the northern Eurasian continent. Those  
57 conditions are often related to relatively warm and wet winters in northern Europe. In contrast,  
58 colder and drier winters are expected during predominantly negative winter NAO index conditions.  
59 An opposite pattern is expected for southern Europe and the Mediterranean.

60 An unsolved question is about the eastwards influence of the NAO within the last millennium.  
61 Correlation analysis of present day winter precipitation in Central Asia with the strength of NAO  
62 suggests a negative relationship (Aizen et al., 2001). The influence of NAO on Central Asia during the  
63 past was not shown yet, since high resolution records from Central Asia are only sparse. A few well  
64 dated tree-ring data covering approximately the last 1000 years from the area around the eastern  
65 Tian Shan exist (e.g., Esper et al., 2002; 2003). Those records, however, are archives, which preferably  
66 record summer conditions and are less suited to prove the influence of winter NAO conditions on  
67 climate in this region. Furthermore, lake-sediment data (Taft et al., 2014; Lauterbach et al., 2014;  
68 Huang et al., 2011; Sorrel et al., 2007) are available, which recorded winter conditions, but  
69 unfortunately lacks a high resolution signal and partly suffer from dating uncertainties during the  
70 period of the last millennium. Nevertheless, findings from Lauterbach et al. (2014) and Huang et al.  
71 (2011) are in contrast to the analysis by Aizen et al. (2001) as they show a positive relationship  
72 between winter precipitation and NAO during the late Holocene. Recently, a precisely dated  
73 stalagmite from the western Tian Shan realm showed excursions in its stable oxygen isotope signal,

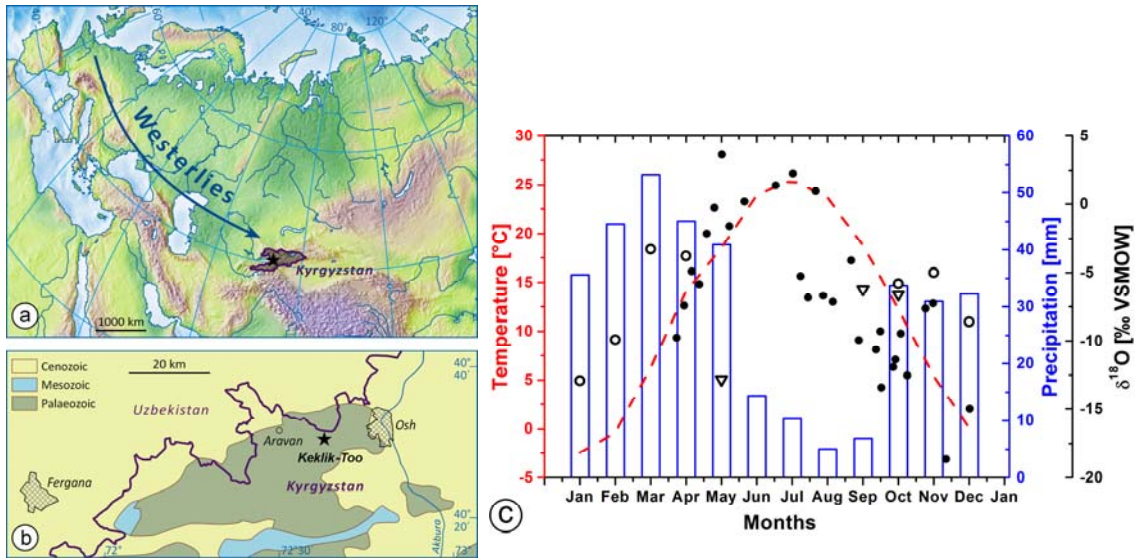
74 which is interpreted to respond on precipitation changes (i.e., on the amount of rain provided by  
75 winter Westerlies), but did not record large parts of the last millennium (Wolff et al., 2017).

76 Speleothems from the mid-latitudes of the Northern hemisphere often proved to provide  
77 information about climate variability for the winter season (e.g., Niggemann et al., 2003,  
78 Wackerbarth et al., 2010; Fohlmeister et al., 2012; 2013; Scholz et al., 2012). In this paper, the  
79 examination of a speleothem from the western Tian Shan realm reveals also its capacity to record  
80 winter climate conditions. The radiocarbon dated stalagmite displays variations in winter climate,  
81 which seem to be strongly correlated with the winter NAO as reconstructed from tree rings and lake  
82 sediments (Trouet et al., 2009, Olsen et al., 2012, Ortega et al., 2015). Especially during the LIA the  
83 region received less precipitation in winter, with several short episodes of extreme dry events.

## 84 **2. Site Description**

85 The studied cave was formed within Variscan folded Upper Devonian to Lower Carboniferous  
86 limestone on the southern rim of the Fergana Basin in Kyrgyzstan (Fig. 1). About 15 km west from the  
87 city of Osh, close to the Uzbekistan border, the NW-SE directed mountain ridge Keklik-Too (Kirghiz  
88 for 'secret' or 'cave mountain') is located with 8.5 km length, 2 km width and a maximum altitude of  
89 1,435 m above sea level (40°29'N, 72°35'E; Fig.1). The studied cave Bir-Uja, lies on the north-eastern  
90 mountain slope at about 1,325 m above sea level and has two entrances (Dudashvili and Dudashvili,  
91 2012). The northern entrance is relatively large but is not accessible because it is located on a steep  
92 slope (Fig. 2). The chamber of the stalagmite location is overlain by about 30 to 50 m of limestone  
93 bedrock. A lot of stalactites but rare stalagmites are found in the cave. The cave floor is covered by  
94 red dust.

95 The mean annual air temperature is 12.1°C recorded between 1976 and 1996 at the meteorological  
96 station at the airport of Osh (875 m). Typical annual temperature and precipitation pattern (Fig. 1)  
97 corresponds to those of a summer warm continental climate. During summers precipitation is low  
98 and the region suffers from water deficit.



99

100 Fig. 1: a) Topographic map of Eurasia showing the principal track of Westerlies, b) Position of the Keklik-  
 101 Too on the southern rim of the Fergana Basin and c) mean annual climate of Osh (temperature data  
 102 (1977-1997) from meteorological station GHCND:KG000038615; precipitation data (1967-1993) are  
 103 from Global Historical Climatology Network accessed by the KNMI Climate Explorer), which is about  
 104 20km to the east of the cave. In addition, isotope data are shown for precipitation in Tashkent (300 km  
 105 to the west of the cave) for 1971 (open circles, nearest Global Network of Isotopes in Precipitation  
 106 station), for precipitation in Bishkek (300 km to the north of the cave) for 2001 (filled circles; Morris et  
 107 al., 2005) stressing, that the annual cycle in the isotopic composition is consistent for the area of the  
 108 cave. Cave drip water is represented by open triangles (see supplementary Tab. 1)

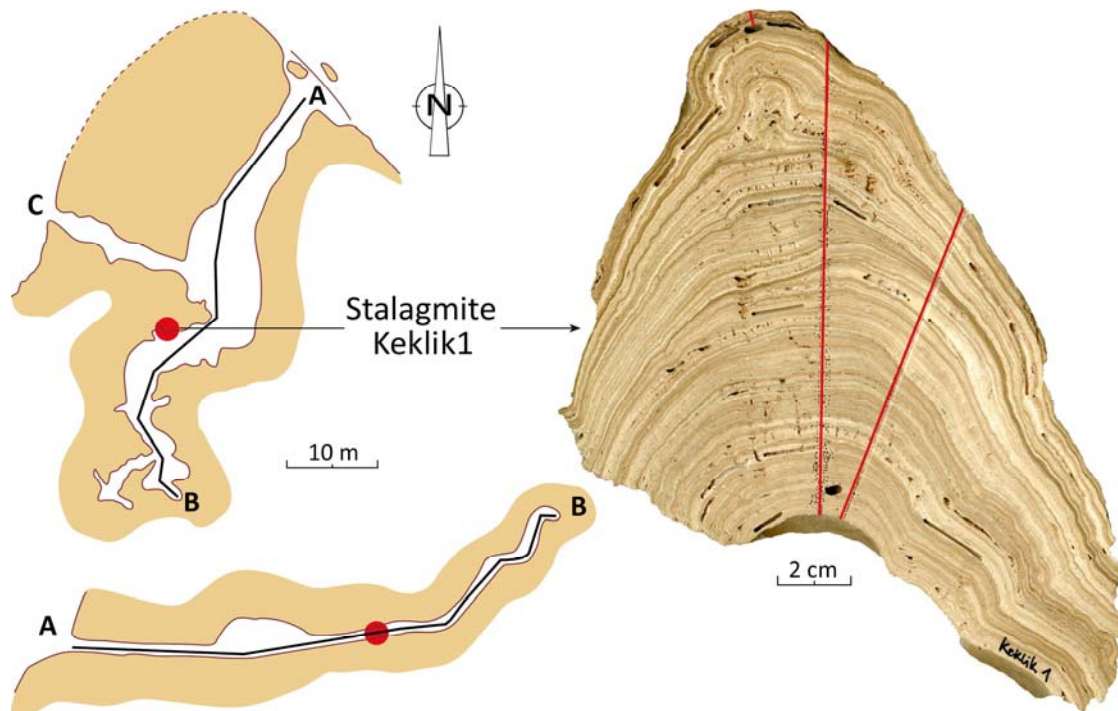
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110 The area above the cave is characterized by sparse vegetation and consists of montane grass and  
 111 shrub lands, which change into bare rocks. The vegetation in this area is active in the spring season,  
 112 but suffers from dry conditions during the summers. The cave itself is difficult to reach, which made  
 113 it impossible to perform a regular cave monitoring. However, logged monitoring data for  
 114 temperature and relative humidity (HUMILOG; Driesen&Kern) reveal that during the cold season  
 115 from end of November to April, relative humidity in the cave is near 100% and temperature is around  
 116 12°C, which is close to the mean annual surface air temperature. With the beginning of the warm  
 117 season in May, the cave temperature is gradually increasing up to 16.5°C while relative humidity

118 declines from saturation to values between 70 and 60% and sometimes reaching only 40%  
119 (Supplementary Fig. 1). In September cave temperature is starting to decrease, while relative  
120 humidity starts to increase again in October. This behaviour implies a good cave ventilation during  
121 the warm season (May to November). Since a good cave ventilation usually is accompanied by a low  
122 cave air pCO<sub>2</sub> level (even close to atmospheric pCO<sub>2</sub> levels; see e.g., Spötl et al., 2005; Frisia et al.,  
123 2011) we assume also a low cave air pCO<sub>2</sub> in this season. In contrast, the cave air pCO<sub>2</sub> level in the  
124 cold season (end of November to April) is expected to be significantly higher due to the poor  
125 ventilation, deduced from the high relative humidity. Consequently, carbonate precipitation and  
126 stalagmite growth must be most effective during the warm months. Evaporation effects, which are  
127 likely to occur in the warm, low relative humidity season, even can enhance carbonate precipitation  
128 (Deininger et al., 2012). Additionally, drip water was collected in spring 2012 and autumn 2011 and  
129 2014 for stable isotope analyses. The host rock in caves, often acts as low pass filters with storing and  
130 mixing the rain from different seasons and any annual  $\delta^{18}\text{O}$  signal in precipitation is strongly reduced  
131 (e.g., Riechelmann et al., 2011; Feng et al., 2014; Genty et al., 2014). Although only having three drip  
132 water samples available, the stable oxygen isotope composition (Fig. 1, supplementary Tab. 1), shows  
133 a large range of about 6‰, which is comparable to the range of  $\delta^{18}\text{O}$  in regional, annual precipitation  
134 (Fig. 1; locations ~300km apart to the west and north). Thus, we deduce, that the potential to mix  
135 rain water in the overlying host rock and thin soil is low and that the rain water is not stored for  
136 longer than a few months in the host rock.

137 Stalagmite Keklik1 was removed in October 2011. The stalagmite grew about 40m away from the  
138 entrance (Fig. 2). The cave passage from the cave entrance A to the speleothem is relatively wide, so  
139 that the speleothem might be influenced by wind-transported dust and by kinetic fractionation  
140 effects if drip rate is low. Above the stalagmite formed a small ~5 cm long stalactite giving evidence  
141 for prior calcite precipitation. Observation of recent drip water suggests that the speleothem was  
142 still actively growing at removal. The stalagmite is about 135 mm long and well laminated. It is  
143 unlikely that the lamination represents annual layers. However, darker laminations may be related

144 with longer phases of increased dust transport by wind. The high detrital content inhibits  $^{230}\text{Th}$  dating  
145 as indicated by several analyses that provided ages with a huge uncertainty and which were  
146 completely out of stratigraphic order.



147

148 **Fig. 2:** Plan view and profile of Bir-Uja Cave (modified after Dudashvili and Dudashvili, 2012) with  
149 location and an image of stalagmite Keklik1. The cave has two entrances (A, C) but is only accessible  
150 through the eastern entrance (C). The stalagmite was found about 40 m from entrance A (dot). Stable  
151 isotope and  $\mu\text{XRF}$  element analyses of Keklik1 are obtained from three sampling tracks (lines).

152

### 153 3. Methods

#### 154 3.1 Radiocarbon measurements

155 Seven samples for radiocarbon dating were drilled along growth layers of the stalagmite. Sample  
156 thickness is 2 mm and about 10 mg of carbonate were drilled in order to obtain 1mg of reduced C  
157 after sample preparation. Due to the well-laminated structure of the speleothem, the sample



158 locations, which had partly to be drilled off the growth axis, could be well traced to the according  
159 depth at the growth axis. Sample depths are given with respect to its location at the growth axis  
160 (track 1).

161 Sample preparation and measurement was performed at the Poznan Radiocarbon Laboratory. The  
162 carbonate was hydrolysed with concentrated  $H_3PO_4$  in a vacuum line. The obtained gas ( $CO_2$  + water  
163 vapour) is then dried in a vacuum line, and reduced with hydrogen ( $H_2$ ), using 2 mg of Fe powder as a  
164 catalyst. The obtained mixture of carbon and iron is then pressed into special aluminium holders  
165 (Czernik and Goslar, 2001). The IAEA C1 standard was used for background determination and  
166 treated in the same way as the samples. The  $^{14}C$  content of a sample is determined using the  
167 spectrometer "Compact Carbon AMS" (National Electrostatics Corporation, USA). Detailed  
168 information about the measuring routine and protocols can be found elsewhere (Goslar et al., 2004).  
169 Conventional  $^{14}C$  ages are corrected for isotopic fractionation using the  $^{13}C/^{12}C$  ratio, measured  
170 simultaneously with the  $^{14}C/^{12}C$  ratio by the AMS (Stuiver and Polach 1977). Errors are presented as  
171 standard deviation.

172

### 173 **3.2 Stable isotopes**

174 Three tracks for stable C and O isotope analyses were taken by micro-mil techniques using a Sherline  
175 5410 milling machine. The right track was milled continuously at the flank of the speleothem while  
176 the central track was drilled along the growth axis and consists of two sections (Fig. 2). The tracks  
177 were measured at a resolution of 0.4 mm and 0.3 mm, respectively. Climate interpretation relies on  
178 the central track. Stable C and O isotopes were measured with an automated carbonate-extraction  
179 system (KIELIV) interfaced with a MAT253 IRMS (ThermoFisher Scientific) at the Helmholtz Centre  
180 Potsdam GFZ. Samples of around 60-90  $\mu g$  were automatically dissolved with 103 %  $H_3PO_4$  at 72°C  
181 and the isotopic composition were measured on the released and cryogenic purified  $CO_2$ . All isotopic

182 ratios were expressed in the delta notation relative to VPDB. Replicate analysis of reference material  
183 (NBS19) reported relative to VPDB yielded standard errors of 0.06‰ for both,  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$ .

184 Water samples for stable isotope analyses of oxygen  $\delta^{18}\text{O}$  and deuterium  $\delta\text{D}$  were collected from the  
185 stalagmite drip site at three occasions between October 2011 and September 2014 and were cool  
186 stored in sealed air-tight polypropylene bottles until analysis at the Alfred Wegner Institute for  
187 Marine and Polar Research in Potsdam. A Cavity Ring-Down Spectrometer (Picarro, L2120i) for water  
188 isotope analyses was used for determination of hydrogen and oxygen isotope composition, following  
189 (Meyer et al., 2000). Analytical precision of SMOW and SLAP calibrated analyses was better than 1‰  
190 for  $\delta\text{D}$  and better than 0.3‰ for  $\delta^{18}\text{O}$ .

### 191 **3.3 $\mu\text{XRF}$ element scanning**

192 Elements were analysed at the same tracks as the stable isotopes. The elements were measured by  
193 micro X-ray fluorescence ( $\mu\text{XRF}$ ) techniques. Scanning was performed using an EAGLE III XL  $\mu\text{XRF}$   
194 system spectrometer (Röntgenanalytik, Germany) at the Helmholtz Centre Potsdam GFZ, applying  
195 a 40 kV tube voltage, a 300  $\mu\text{A}$  tube current and 123  $\mu\text{m}$  spot size. Sampling resolution of  $\mu\text{XRF}$   
196 measurements was 50 $\mu\text{m}$  for the central track. The resulting data of this nondestructive method are  
197 intensities given in count per seconds (cps). The reproducibility was proven with multiple  
198 measurement runs along the same track indicating robust results for the elements, which are  
199 presented relative to calcium (element/Ca \* 1000) to minimize variations, caused by sample  
200 geometry, physical properties and closed-sum effects along the measurement track.

201

## 202 **4. Results**

### 203 **4.1 Radiocarbon chronology**

204 Age control of stalagmite Keklik1 has to be obtained by means of radiocarbon dating, since detrital  
205 input to the stalagmite is too large to obtain reliable ages by  $^{230}\text{Th}$  dating methods (the atomic

206  $^{230}\text{Th}/^{232}\text{Th}$  ratio is about  $10^{-5}$ ). The radiocarbon content generally decreases with depth except for  
 207 the radiocarbon activity of sub-sample Keklik1-3c, which is slightly higher than Keklik1-11c. Such  
 208 apparent radiocarbon age inversions are not uncommon in stalagmites, since it often suggests that  
 209 radiocarbon reservoir variations occurred within the growth period of the speleothem (Tab. 1).

210 **Tab. 1:** Radiocarbon activity of the samples from stalagmite Keklik1.

Sample ID	Lab. no.	a14C [fm]	error [fm]	depth from top at growth axis [mm]
Keklik1-12c	Poz-67469	1.0979	0.0029	1
Keklik1-11c	Poz-67468	0.8677	0.0032	8
Keklik1-3c	Poz-64854	0.8742	0.0033	22
Keklik1-10c	Poz-66859	0.8618	0.0032	43
Keklik1-5c	Poz-64856	0.8586	0.0032	64
Keklik1-7c	Poz-64855	0.8169	0.0031	109
Keklik1-9c	Poz-66858	0.7889	0.0029	130.5

211

212 The upper radiocarbon measurement of Keklik1 provides evidence that the speleothem grew at least  
 213 until the late 20<sup>th</sup> century. A radiocarbon signature of larger than 1 fm (fraction modern) is detected,  
 214 which is only possible by transport of elevated atmospheric radiocarbon concentrations produced by  
 215 tropospheric nuclear weapon tests in the middle of the last century. This finding supports the  
 216 assumption of modern growth of the speleothem, as the drip location appeared active at time of  
 217 stalagmite removal (Sec. 2). Leaving out the top radiocarbon value, we used six radiocarbon  
 218 measurements for establishing the chronology.

219 For age-depth-modelling we follow the approach, proposed by Lechleitner et al. (2016). The common  
220 decay equation

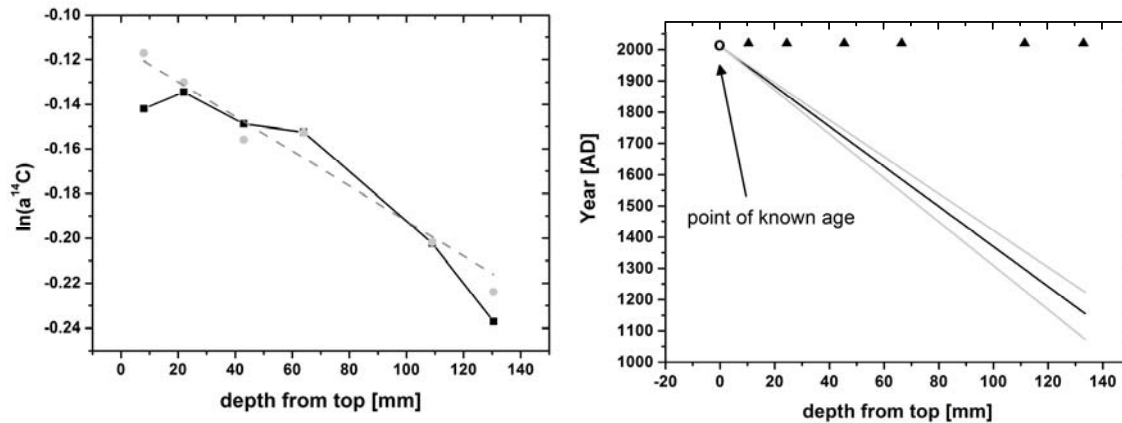
$$221 \quad A(t) = A(0) \cdot \exp(-t/\tau), \quad (1)$$

222 (with time,  $t$ , radiocarbon life time,  $\tau$ , and the radiocarbon activity,  $A$ ) can be translated to a depth  
223 scale,  $d$ , assuming a sufficiently constant growth rate,  $GR$ , by  $t = d/GR$ . Using the logarithm of  
224 equation (1) and replacing  $t$  by  $d$  and  $GR$  results in

$$225 \quad \ln(A(t)/A(0)) = -d/GR/\tau. \quad (2)$$

226 A linear fit is applied to the natural logarithm of the radiocarbon values over stalagmite depth. The  
227 slope,  $s$ , of this fit equals  $1/GR/\tau$  and thus provides a direct estimate of the mean growth rate of the  
228 stalagmite. Using a point of known age, which for stalagmite Keklik 1 is the top of the speleothem  
229 (detection of bomb radiocarbon and actively dripping water on top of the stalagmite) it is possible to  
230 deduce on a first-estimate age control. So far this approach assumes, that the initial radiocarbon  
231 activity remained constant through time. Being well aware that this is unlikely due to the trends in  
232 the atmospheric radiocarbon concentration (Reimer et al., 2013), Lechleitner et al. (2016) proposed  
233 to use an iterative process to account for atmospheric radiocarbon variations. Starting with the age  
234 control from the first estimate age model, the atmospheric radiocarbon values (using IntCal13;  
235 Reimer et al., 2013) at the time of carbonate deposition can be used to correct for the variability of  
236 the initial radiocarbon concentration ( $A_0$ ) in the stalagmite. With the corrected radiocarbon  
237 activities, the fitting procedure is repeated, which results in a more accurate mean growth rate and a  
238 modified age-depth estimate than in the prior iteration. The new age model provides the possibility  
239 to perform a better correction of the initial radiocarbon activity by atmospheric radiocarbon values.  
240 The process is iteratively repeated until convergence of the mean growth rate is obtained, e.g., when  
241 growth rate is not changing by more than 1/1000 in successive iteration steps. For Keklik 1 this was  
242 reached after the fourth iteration. The corrected radiocarbon values are well represented by a  
243 straight line (Fig. 3). The remaining variability can be attributed to variability in the radiocarbon

244 reservoir effect during C transfer dynamics from the soil to the cave. The approach predicts a mean  
245 growth rate of about  $155 \pm 14 \mu\text{m/a}$  for Keklik1 (Fig. 3).



246

247 **Fig. 3: left:** Radiocarbon measurement points (black squares) are corrected for past atmospheric  
248 variations (grey circles) via *IntCal13* (Reimer et al., 2013). Measurement errors are smaller than sign  
249 size. The corrected data can be well fitted (grey dashed line) by a linear correlation approach, which  
250 results in a value for  $r^2$  of 0.96. This nearly perfect fit implies that the growth rate can be regarded as  
251 sufficiently constant over time. **right:** The growth rate is  $155 \pm 14 \mu\text{m/a}$ . The time of stalagmite  
252 removal determines the end of growth and the age-depth model can be established (solid line) with  
253 the according uncertainty (grey). Triangles mark the positions of radiocarbon measurements.

254

255 As deduced from the age modelling, Keklik1 grew between  $\sim 1150$  AD and present day. Its growth  
256 appears to be continuous and was most likely not interrupted by periods of no-growth. Support for  
257 the established age model is provided by the S/Ca ratio (Supplementary Fig. 2). The beginning of the  
258 industrial revolution ( $\sim 1750$  AD) led to increased fossil fuel burning, which resulted in massive  
259 injections of S to the atmosphere. Finally, the S aerosol particles were washed out by precipitation  
260 and reached the stalagmite. As a result, the S/Ca ratio of Keklik1 increases remarkably at this time  
261 and remains at high values.

262

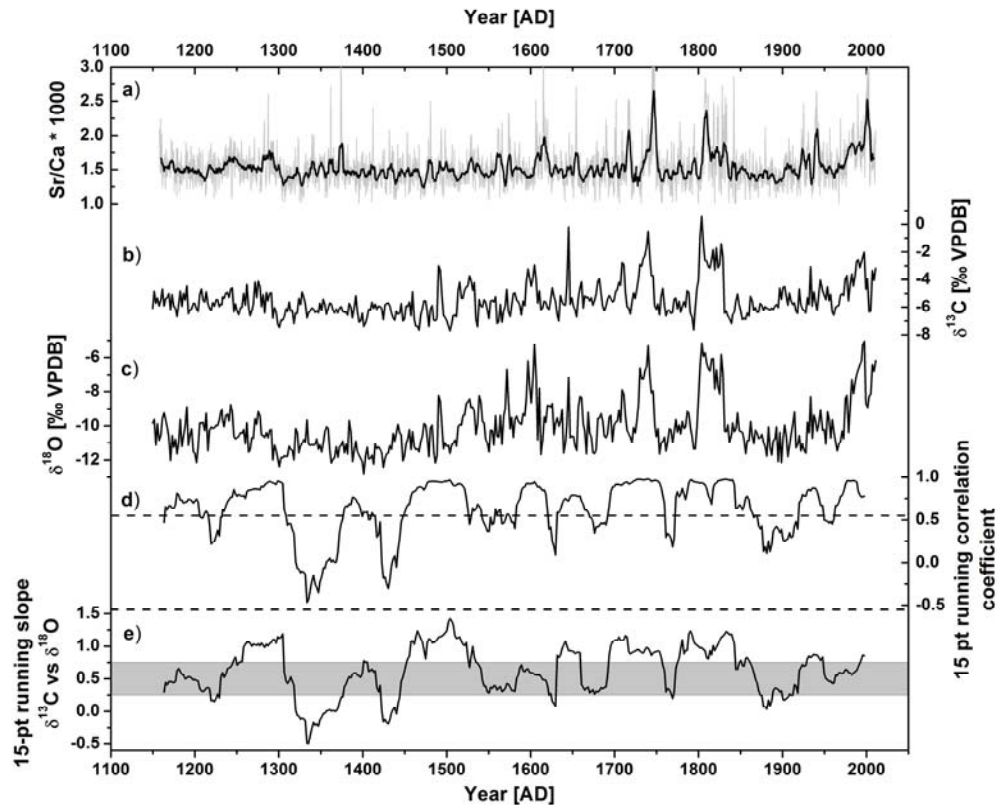
263 **4.2 Stable C and O isotopes**

264 Stable C isotope values range from -7.6 to +0.5‰ (Fig. 4b). Between about ~1150 AD and ~1450 AD  
265 the C isotopic composition decreases from values around -5.5‰ to values about -6.5‰. In this  
266 period the variability is relatively small, compared to the following period. A weak trend towards a  
267 higher stable C isotopic composition is observed until around ~1700 AD. By the end of this period,  
268  $\delta^{13}\text{C}$  values of about -5‰ are observed. Between approximately ~1600 and ~1850 AD large positive C  
269 isotope anomalies occur frequently with maximum values up to +0.5‰. Within the last ~150 years a  
270 slight increasing trend towards values of -4.0‰ is present and variability of the stable carbon isotope  
271 composition is smaller than in the period before.

272 Stable O isotopes (Fig. 4c) show the same general pattern as  $\delta^{13}\text{C}$ . In the period between ~1150 AD  
273 and about 1450 AD the mean  $\delta^{18}\text{O}$  values decrease from -10.5 to -11.5‰ with small internal  
274 variability compared to the following period between approximately ~1450 and ~1850 a BP. During  
275 this period the mean  $\delta^{18}\text{O}$  carbonate composition increases to about -10‰ with strong positive  
276 anomalies up to -5.5‰. Thus, the total spread of the stable O isotopes spans a very broad range of  
277 7‰ (between -12.5 to -5.5‰), similar to the recently studied speleothem Uluu-2 from a cave nearby  
278 (Wolff et al. 2017).

279 Both,  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  are well correlated - especially at the positions of the positive isotope anomalies.  
280 The largest anomalies (by isotope range and duration) are centred at about ~1520, ~1600, ~1735 and  
281 ~1815 AD. However, also towards the end of the stalagmite growth period, both isotope systems  
282 show a strong positive anomaly. A 15-point running correlation calculation clearly shows that during  
283 large parts of stalagmite growth  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  vary nearly perfectly (Fig. 4d). The correlation  
284 coefficient is often near 1 and in about 66% of the isotope data statistically significant above the 99%  
285 level.

286 The slope between  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  of the 15 points used for the correlation calculation is also  
 287 computed within a 15-point running window (Fig. 4e). It is very interesting to see that for most  
 288 periods, where the correlation coefficient shows large values, the slope between  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  has  
 289 also high values (often  $> 1$ ). Only for very short intervals, the 15-point running correlation coefficient  
 290 and the according slope are negative, but not statistically significant above the 99 % level.



291

292 **Fig. 4:** The Sr/Ca ratio (a) shows strong peaks, which are simultaneous with the positive stable isotope  
 293 anomalies (grey: original data; black: 15-pt running mean). The stable C (b) and O (c) isotope  
 294 composition of Keklik1 show strong variability and a strong coherence. This is supported by high  
 295 values for the 15-pt running correlation coefficient (d) of both proxies. For about 2/3 of the time series  
 296 the correlation is above the 99 % significant level (upper dashed line). The correlation coefficient is  
 297 never below the negative 99 % significant level (lower dashed line). The corresponding 15-pt running  
 298 slope between  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  values (e) shows a large variability and often exceeds the experimentally

299 *found range (grey shaded rectangle) determined by laboratory experiments (Wiedner et al., 2008,*  
300 *Polag, 2009, Polag et al., 2010, for more details see Sec. 5.2).*

301

### 302 **4.3 Element data**

303 This study focusses on Sr/Ca ratios since these are often regarded as a suitable proxy for infiltration  
304 of rainfall (Fairchild and Treble, 2009). Normalisation of Sr element counts with the Ca counts  
305 minimizes the effects caused by variations of the sample geometry (e.g, holes) and physical  
306 properties of the speleothem. Reliable measurements were not obtained at depths with large holes  
307 on the speleothem surface. Therefore, a few gaps appear within the measurement track. The Sr/Ca  
308 record reveals rather constant background values with strong peaks indicating an increase by a factor  
309 of two (Fig. 4a). Short-term fluctuations in the Sr/Ca record with higher values match well with the  
310 course of the stable isotope values (Fig. 4a). However, long-term trends as described for the stable  
311 isotopes are not present in the Sr/Ca record.

312

## 313 **5 Discussion**

### 314 **5.1. Chronology**

315 Radiocarbon dating of secondary carbonates is not straightforward. A priori unknown reservoir  
316 effects are responsible for obtaining older radiocarbon ages compared to the time of growth. Soil gas  
317 CO<sub>2</sub>, with a radiocarbon composition similar to or slightly lower than that of the atmosphere, is  
318 dissolved by infiltrating rainwater. The resulting carbonic acid dissolves the radiocarbon free  
319 carbonate of the host rock after the soil water enters the epikarst. Depending on the carbonate  
320 dissolution process (Hendy, 1971) up to 50 % of C dissolved in water can have its origin from the  
321 radiocarbon free host rock. That would require carbonate dissolution under closed conditions. Those  
322 conditions occur when the water dissolving the host rock is not in contact with soil gas and, thus, gas



323 exchange of dissolved carbonate species with gaseous CO<sub>2</sub> is impossible. In case the water, which  
324 dissolves the host rock, is in contact with soil gas C, exchange between the dissolved carbonate  
325 species and gaseous CO<sub>2</sub> occur and the dissolved inorganic carbonate is in isotopic equilibrium with  
326 soil gas CO<sub>2</sub>. Hence, the radiocarbon reservoir effect can contribute between 0 years and one  
327 radiocarbon half-life (5730 years). Additionally, the reservoir effect is unlikely to be constant over  
328 time. More details about the carbon transfer dynamics and processes, which might lead to changes  
329 in the radiocarbon reservoir effect can be found elsewhere (Genty et al., 2001; Fohlmeister et al.,  
330 2011a; Rudzka et al., 2011; Griffiths et al., 2012).

331 Despite those difficulties there are approaches used to obtain reliable age-depth relationships with  
332 radiocarbon (Rudzka et al., 2012; Hua et al., 2012). However, all those approaches require a precise  
333 knowledge of the radiocarbon reservoir effect and have to assume that the reservoir effect is  
334 sufficiently constant. Nevertheless, recently Lechleitner et al. (2016), put more effort into  
335 establishing radiocarbon based age-depth relations in speleothems. Adapting the methodology of  
336 marine sedimentology derived <sup>230</sup>Th-excess dating they propose a new approach to use a set of  
337 radiocarbon ages for age-depth control in speleothems. Advantageously, the new approach does not  
338 require any knowledge about the magnitude of the radiocarbon reservoir effect. Additionally, this  
339 approach is also independent of reservoir effect variations as long as long-term trends in the  
340 reservoir effect over the whole speleothem are absent. Since the reservoir effect can depend on  
341 hydrologic characteristics (e.g., Fohlmeister et al., 2010; Griffiths et al., 2012), trends in the reservoir  
342 effect are often accompanied with trends in other hydrological proxies ( $\delta^{18}\text{O}$ , Mg/Ca, Sr/Ca or  $\delta^{13}\text{C}$ ).  
343 No long-term trend in any proxy of Keklik1 is observed (see Sec. 4.2 and 4.3), which allows to assume  
344 that the radiocarbon reservoir effect does not show a non-stationary behaviour. This enables us to  
345 apply this approach. Note, that short-term variations in the reservoir effect do not influence the  
346 result. However, we like to emphasize that this modelling approach works best, when growth rate is  
347 sufficiently constant, as it can give only a linear approximation of the growth history. Major changes

348 in growth rate cannot be detected. This should be kept in mind, when comparing the timing of  
349 climate events inferred from this stalagmite to other archives.

350

## 351 **5.2 Proxy interpretation**

### 352 *Sr/Ca element ratio*

353 Annual changes of the Sr/Ca ratio often reflect seasonal variability of the growth rate induced by  
354 seasonally different cave ventilation (e.g., Huang et al., 2001; Huang and Fairchild, 2001; Stoll et al.,  
355 2012). However, the mean annual growth rate of Keklik1 is approximately 155  $\mu\text{m}$  (see Sec. 4.1) and  
356 therefore annual variability in Sr/Ca cannot be accurately captured by the  $\mu\text{XRF}$  scanning resolution  
357 of 50  $\mu\text{m}$ . On longer time scales, the ratio between Sr and Ca is frequently regarded as a proxy for  
358 infiltration amount of meteoric water (e.g., Treble et al., 2003; Cruz et al., 2007; Fairchild and Treble,  
359 2009). Prior calcite precipitation, a process, which is more pronounced during periods of low water  
360 infiltration, elevates the Sr/Ca ratio by preferential precipitation of  $\text{Ca}^{2+}$  compared to the metal.

361 The Sr/Ca record has a relatively constant baseline, which suggests that no long-term trend in  
362 precipitation occurred during the last about 900 years. However, frequent positive anomalies give  
363 evidence for short periods of 5 to 30 years with strongly reduced infiltration. The Sr/Ca ratios  
364 suggests that the low-infiltration events occurred around  $\sim 1600$ ,  $\sim 1735$ ,  $\sim 1815$  and  $\sim 1940$  AD as well  
365 as in the last years of speleothem growth (Fig. 4). The occurrence of these dry events is more often  
366 and appear to be stronger during the last 400 years compared to the period before.

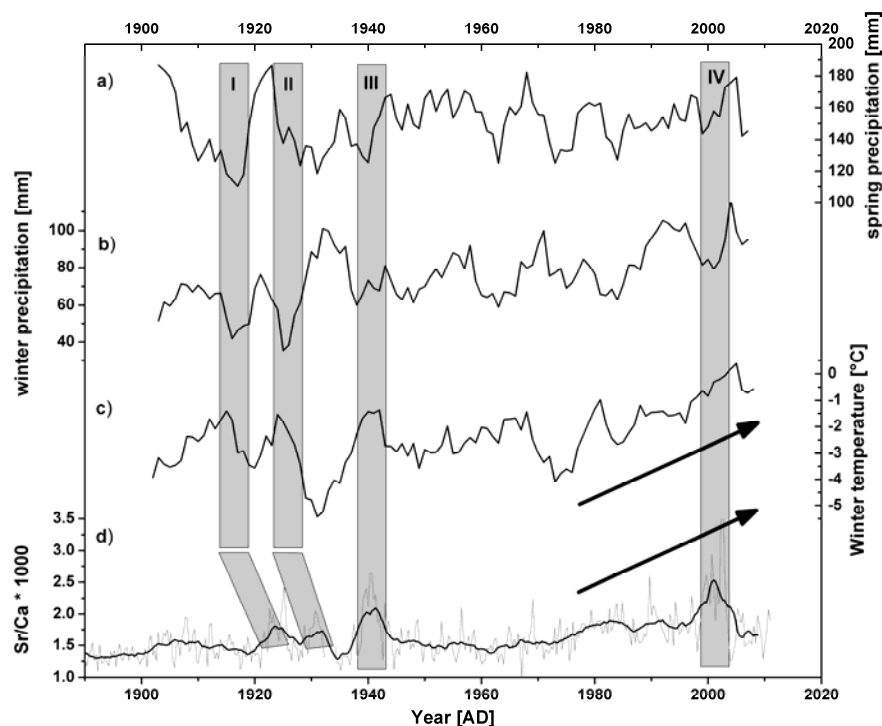
367 The knowledge of the cave processes (see Sec. 2) allows to deduce in even more detail, in which  
368 season the precipitation decreased during the dry events. The host rock and soil above the cave are  
369 thin and water seems to penetrate fast through those horizons. In addition, cave ventilation is  
370 responsible for enhanced air exchange between cave and atmosphere during the warm season,  
371 leading to increasing temperatures in the cave and decreasing relative humidity values ( $\sim 60\%$ ;

372 supplementary Fig. 1). These conditions imply low  $p\text{CO}_2$  values in cave air and a large difference  
373 between dissolved  $\text{CO}_2$  in water and  $\text{CO}_2$  in cave air. Consequently, this favours carbonate  
374 precipitation in the warm season, while in the cold season carbonate precipitation is limited because  
375 a smaller  $p\text{CO}_2$  difference between drip water and cave air exist. Those seasonal calcite precipitation  
376 pattern are amplified by the  $\text{Ca}^{2+}$  concentration in the drip water, which is about ten times lower  
377 during the cold season (supplementary Tab. 1). The low amount of  $\text{Ca}^{2+}$  in drip water during the  
378 winter mode reveals that winter precipitation is not able to dissolve much carbonate. This can be  
379 explained by limited amounts of biogenically derived soil gas  $\text{CO}_2$  in the cold season (e.g., Spötl et al.,  
380 2016). Due to the low vegetation density of the grass land and thin soil above the cave, soil  
381 respiration rate in the non-growing season (winter) is expected to be small (e.g., Schlesinger 1977;  
382 Parker et al., 1983). This leads only to a small enrichment in soil gas  $\text{CO}_2$  compared to atmospheric  
383  $\text{CO}_2$  concentrations (Cerling, 1984). Another explanation might be the fast penetration of winter  
384 precipitation, which might be too fast to dissolve large amounts of the epikarst carbonates.  
385 Therefore, both, cave ventilation and carbonate dissolution, provide more favourable conditions for  
386 carbonate precipitation and stalagmite growth during the warm season. In contrast, the potential for  
387 carbonate precipitation in winter is limited for this cave

388 As the warm season is the main important growth period, it is now important to discuss the season  
389 of the drip water, which is arriving the cave in summer. Summer precipitation is generally low (Fig. 1)  
390 and increased evapotranspiration causes no or nearly no rain from this season to penetrate through  
391 the soil and host rock. Thus, the amount of infiltrating cave water appears to be negligible during the  
392 warm season months of June to September. However, as explained in Sec. 2, the soil residence time  
393 of water is short. Therefore, spring precipitation, moving a few months through the karst, is one  
394 source of warm-season cave drip water. A second potential source might be winter snow, which is  
395 melting in spring. Usually, snow melts away in February/March (supplementary Fig. 4) and enter the  
396 cave enters the cave, when the cave system turns into its warm season mode, when the potential for  
397 carbonate precipitation is highest. Autumn precipitation does not seem to affect stalagmite growth,

398 because water transition time through the epikarst is short, such that those waters enter the cave in  
399 the cold season, where carbonate precipitation is most likely not very high (see Sec. 2). Thus, the  
400 Sr/Ca ratio of stalagmite Keklik1 is a tracer for the amount of precipitation during the winter and  
401 spring season.

402 Albeit wet, also warm winters can contribute to elevated Sr/Ca ratios. During warm winters,  
403 precipitation may occur more often as rain or snow melts earlier (Aizen et al., 1997; Khalsa and  
404 Aizen, 2008; Sorg et al., 2012). For the last 17 years, important snow melt events occurred even in  
405 the middle of the winter, as present-day satellite data for the last 17 years demonstrate for this  
406 region (see supplemental Fig. 4). Then winter rain immediately penetrates into the soil and epikarst  
407 and enters the cave, when it is still in its cold season mode. This way, winter precipitation is also not  
408 able to efficiently contribute to speleothem growth. As since the 1970ies a winter warming trend for  
409 the region around the cave is observed (Fig. 5), the Sr/Ca ratios of the stalagmite show also an  
410 increasing trend during the last 40 years (Fig. 5). This is interpreted to reflect rather warm winter  
411 temperatures and not low precipitation conditions during winter and/or spring.



413 **Fig. 5:** Gridded reanalysis data of a) spring (MAM) and b) winter (DJF) precipitation, c) winter (DJF)  
414 temperature at the cave location as well as five year running mean of d) Sr/Ca ratio (raw data in  
415 grey). Grey boxes represent positive Sr/Ca anomalies (less precipitation). The increase in Sr/Ca ratio  
416 after about 1970 AD is due to the winter warming (black arrows) in the cave region. Grey rectangles  
417 mark periods (I to IV) of positive Sr/Ca anomalies. Non-synchrony of events (inclined rectangles) may  
418 be a matter of the speleothem age uncertainty. Precipitation (Schneider et al., 2011) and temperature  
419 data (Willmott, C. J. and Matsuura, K., 2001) are provided by the NOAA/OAR/ESRL PSD, Boulder,  
420 Colorado, USA, from their web site at <http://www.esrl.noaa.gov/psd/>.

421 Most of the Sr/Ca extreme events must be explained differently than the recent increasing Sr/Ca  
422 trend observed over the last 40 years. The winters during anomalies I and II (as defined in Fig. 5)  
423 were relatively warm, and coincide with low amounts of precipitation in winter and spring, which  
424 results in increasing Sr/Ca values. Period III (Fig. 5) was also relatively warm during winter, but winter  
425 precipitation appeared normal. However, under those warm winter conditions most likely the  
426 precipitation was more often falling as rain and the snow was melting early as observed for the most  
427 recent years (supplementary Fig. 4). Spring precipitation is low, which in concert with the warm  
428 winters is responsible for an increase in the Sr/Ca ratio. The last Sr/Ca peak in period IV is due to the  
429 exceptionally warm winters and the relatively low precipitation in spring. There are also other  
430 periods of warm winters as in the late 1960ies or around 1980 AD. However, both periods have had  
431 also high amounts of spring precipitation. Therefore, the Sr/Ca ratio did not increase much at those  
432 times.

### 433 *Stable isotopes*

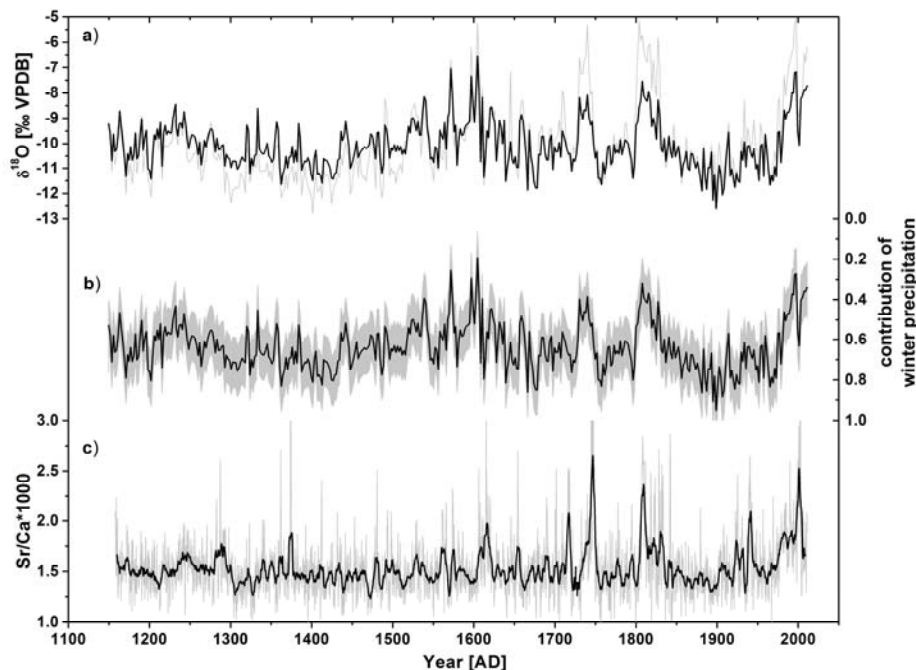
434 Contemporaneously occurring variations in C and O isotope composition are usually a hint on kinetic  
435 isotope fractionation effects (e.g., Hendy 1971, Mickler et al., 2006). The strong correlation between  
436  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  (Fig. 4d) over the whole Keklik1 record suggests that drip rate related fractionation  
437 changes or kinetic effects are important for the isotope signal. Especially, during the dry events, as

438 derived by the Sr/Ca ratio, the positive anomalies in the stable isotopes might be controlled by  
439 fractionation effects during carbonate precipitation in the cave. The 15pt running correlation  
440 coefficient is significant for about 66% of the whole time series and even close to 1 at and around the  
441 dry events. In the scope of fractionation controlled isotopic composition of the stalagmite, it is  
442 interesting to analyse the slope between  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  (Fig. 4e). During periods, where the  
443 correlation coefficient is near 1, the slope between  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  (X vs Y) is also near and even above  
444 1. Such values are extremely large when compared to laboratory and cave experiments, which have  
445 investigated stable isotopes along the path of carbonate precipitation (Mickler et al., 2006; Wiedner  
446 et al., 2008; Polag, 2009; Polag et al., 2010). Also studies, which investigated correlation coefficients  
447 between both stable isotopes for short periods along the growth axis of stalagmites, only rarely  
448 obtained values above 1 for the slope (Mickler et al., 2006; Boch and Spötl, 2011). The slopes found  
449 in cave and cave analogue laboratory experiments are in the range of 0.25 to 0.75 (supplementary  
450 Tab. 2). Larger slopes, as detected for most parts of Keklik1, thus, mean that changes in  $\delta^{18}\text{O}$  of the  
451 carbonate are not only due to fractionation but must be influenced by at least one additional  
452 process. The most likely process is that  $\delta^{18}\text{O}$  of infiltrating water could have been changed.  
453 Potentially, this can happen as a result of a changing seasonality of precipitation, i.e. changes in the  
454 amount of winter compared to spring precipitation or changes in the isotopic composition of  
455 precipitation itself.

456 This means that at least two major factors control  $\delta^{18}\text{O}$  of Keklik1: in-cave fractionation and isotopic  
457 variations in meteoric water. In-cave fractionation processes show a constant relationship between  
458  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$ . Along individual growth layers, correlation coefficients are close to 1 and the slope  
459 between  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  (X vs Y) is relatively constant between 0.25 and 0.75. This finding can be used  
460 to correct the stable O isotope signal for fractionation processes between drip water and carbonate.

461 For this purpose, it is assumed that the whole short-term variability of the  $\delta^{13}\text{C}$  values is due to  
462 fractionation. Thus, this approach gives an upper limit for the fractionation induced changes in  $\delta^{18}\text{O}$ .  
463 Before the correction is applied, the weak long-term trends of the  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  time series are

464 removed by subtracting a best fit polynomial of third order. The origin of the long term trend might  
465 be related to changes in the isotopic composition of winter and/or spring composition itself. A more  
466 detailed discussion about the long-term trend is given in Sec. 5.3. Correction calculations for the  
467 fractionation effects in the  $\delta^{18}\text{O}$  signal are then performed with the mean, previously observed value  
468 for the slope between  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$ , which is 0.5. This means: if the variation in the (long-term trend  
469 reduced)  $\delta^{13}\text{C}$  signal due to fractionation is approximately 4‰, then the corresponding  $\delta^{18}\text{O}$   
470 variation, which can be ascribed to fractionation, is about half as large, i.e. 2‰. Applying this  
471 approach for the whole record, the measured  $\delta^{18}\text{O}$  signal is reduced to a  $\delta^{18}\text{O}$  signal, which is  
472 corrected for in-cave fractionation processes. The resulting in-cave fractionation corrected  $\delta^{18}\text{O}$   
473 values then represent the pure drip water oxygen isotope signal. This signal has a lower range (about  
474 2 to 3‰) than the original time series, but the shape is similar (Fig. 6).



475

476 **Fig. 6:** a) A comparison of original (grey) and for fractionation processes corrected  $\delta^{18}\text{O}$  of speleothem  
477 calcite (black) reveal the strong influence of fractionation on the original time series. However, the  
478 influence of seasonality in the corrected time series is also large with up to a range of  $\sim 3\%$ . b) The

479 *corrected  $\delta^{18}\text{O}$  values allow to compute the relative contribution of winter precipitation to the*  
480 *carbonate and error envelope (see text and supplementary material for details; axis reversed). When*  
481 *winter contribution is reduced, the Sr/Ca ratio (c) recorded dry events, implying that indeed the*  
482 *amount of winter precipitation is reduced instead of an increasing amount of spring precipitation.*

483 Strong support for the correction procedure is derived from the interpretation of the Sr/Ca ratio.  
484 During periods of elevated Sr/Ca ratios, which represent dry phases, the strongest isotope correction  
485 was obtained. This is in line with the usual interpretation of changes in the strength of enrichment in  
486 the  $\delta^{18}\text{O}$  isotope composition of speleothems. Usually a stronger enrichment is expected under dry,  
487 low drip rate conditions (Mühlinghaus et al., 2009; Dreybrodt and Scholz, 2011; Deininger et al.,  
488 2012).

489 After removing the influence of fractionation in the cave, it is possible to disentangle the relative  
490 contribution of winter to spring precipitation by using the differences in the isotopic composition in  
491 meteoric precipitation in both seasons (Fig. 1). For this purpose, in first order, it is assumed that the  
492 O isotope composition of winter and spring precipitation did not change considerably during the last  
493 about 900 years and was nearly constant at present day mean values of -13‰ for the winter months  
494 and about -5‰ during the spring season (Fig.1). Then, it is possible to compute the relative  
495 proportion of each season's precipitation to the fractionation corrected  $\delta^{18}\text{O}$  signal of Keklik1 (Fig.  
496 6b). We want to emphasize, that for the fractionation corrected  $\delta^{18}\text{O}$  record, we have removed a  
497 long term trend from the measured  $\delta^{18}\text{O}$  record. This long term trend might be a result of changes in  
498 the isotopic composition of precipitation itself as the sources of precipitation might have been  
499 changed (North Atlantic/Eastern Mediterranean/Black Sea) or of temperature induced changes in the  
500 strength of fractionation (see Sec. 5.3). On short-term, changes in the  $\delta^{18}\text{O}$  composition of winter  
501 and spring precipitation are also relatively likely and cannot be easily corrected for. For this reason,  
502 we estimated an uncertainty for the reconstruction assuming an arbitrarily chosen variation in mean  
503 winter and spring precipitation of +/- 1‰. Also sublimation of snow might lead to some minor  
504 enrichment in the infiltrating water, compared to its original composition. It was shown, that this



505 effect is more important for dry winters as for wet winters, as only the surface of the snow cover is  
506 subject to an enrichment in the isotopic composition (Stichler et al., 2001; Vuille et al., 2003). During  
507 exceptionally dry winters, as interpreted for the periods of the strong positive anomalies, thus  
508 sublimation may lead to somewhat enriched  $\delta^{18}\text{O}$  values of melting snow and to some amplification  
509 of the strong  $\delta^{18}\text{O}$  anomalies.

510 Since the corrected  $\delta^{18}\text{O}$  signal was calculated with the total range of  $\delta^{13}\text{C}$ , the derived seasonal  
511 variation of precipitation, which has arrived the cave in its warm season mode (Fig. 6b), must be  
512 considered as a minimum estimate. It might be possible that not the whole range in  $\delta^{13}\text{C}$  is due to in-  
513 cave fractionation processes. Then the total range of the season's precipitation contribution would  
514 be enlarged, but, the shape of the corrected  $\delta^{18}\text{O}$  signal would remain similar. Reasons for  $\delta^{13}\text{C}$  to  
515 vary, can originate from changes in the isotopic composition of soil gas  $\delta^{13}\text{C}$  due to changes in  
516 vegetation density (Cerling, 1984), water stress of plants (Buchmann et al., 1996; Bowling et al.,  
517 2002; Hartman and Danin, 2010) or carbonate dissolution (Fohlmeister et al., 2011b). The direction  
518 of change would coincide with the tendency, obtained by drip rate related changes during  
519 fractionation processes in the cave.

520 The reconstruction reveals that the relative winter contribution with respect to spring precipitation is  
521 mostly between 60 to 80%. Large deviations from this range are observed between ~1550 AD and  
522 ~1850 AD. According to the interpretation derived above, the two explanations for winter  
523 precipitation reductions include (I) relatively warm winters or (II) low amounts of winter  
524 precipitation. Since these periods of a low contribution of winter precipitation occurs throughout the  
525 period of the LIA, a period which is known for cold winters in Europe (e.g., Wanner et al., 2001;  
526 Grove, 2004; Holzhauser et al., 2005; Fohlmeister et al., 2012; Schimmelpfennig et al., 2014) and  
527 Western and Central Asia (e.g., Esper et al., 2002; Sorrel et al., 2007) it is most likely, that the positive  
528  $\delta^{18}\text{O}$  anomalies point to a low amount of winter precipitation. Those dry winter events lasted often  
529 approximately 5 to 30 years and the contribution of winter precipitation was reduced to about 30%.

530 Nowadays, the stable oxygen isotopic composition is also comparable to those dry winter periods in  
531 the LIA. However, in contrast to the LIA, the reason for the elevated  $\delta^{18}\text{O}$  and apparently low  
532 contribution of winter precipitation in the carbonate of Keklik1 in the last about 40 years originates  
533 rather in the relatively mild winter conditions during the present warming period (Fig. 5c).

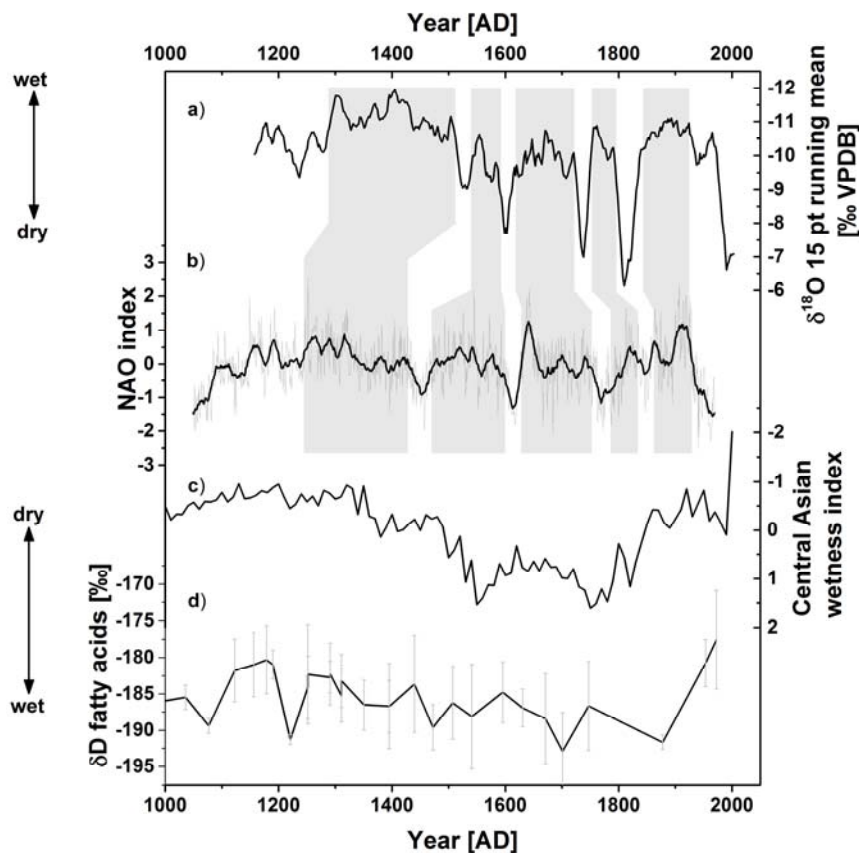
534

### 535 **5.3 Is winter NAO controlling the precipitation pattern?**

536 Evaluating the isotopic and Sr/Ca changes of the Keklik1 stalagmite revealed that the distribution of  
537 winter-spring precipitation plays a dominant role during stalagmite growth. The lack of highly  
538 resolved winter/spring precipitation records spanning the last millennium hamper a proper  
539 comparison of the Keklik1 record with other local climate records. Nevertheless, when opposing our  
540 Keklik1 record to a winter precipitation record from a location ~300km to the southeast (Aichner et  
541 al., 2015) and a composite annual precipitation reconstruction, which is centred about 500 to 1000  
542 km to the east (Chen et al., 2010) an opposite relation for precipitation can be observed (Fig. 7). In  
543 phases, where our Keklik1 record indicate lower amounts of precipitation (especially during the LIA),  
544 both other records suggest higher amounts of annual and winter precipitation. The opposite  
545 behaviour is observed during the MCA. Both other studies relate their precipitation record with  
546 respect to the intensity of westerly winds and precipitation brought with them. Their finding was  
547 supported by comparison of their records to NAO reconstructions (Trouet et al., 2009; Olsen et al.,  
548 2012). The negative Central Asia winter precipitation vs. NAO relationship is supported by  
549 meteorological observations from stations throughout Central Asia (Aizen et al., 2001). However, this  
550 link is not supported by other paleolimnological climate records that suggest a positive connection  
551 between both parameters during the Mid- to Late Holocene (e.g., Huang et al., 2011; Lauterbach et  
552 al., 2014; Taft et al., 2014).

553 Here, we use for comparison purposes the most recent NOA reconstruction by Ortega et al. (2015)  
554 (Fig. 7), which is based on 48 annually resolved proxy records. Between ~1400AD to present the

555 reconstruction is similar to the reconstruction provided by Trouet et al. (2009) but in contrast to  
 556 Trouet et al. (2009) the new reconstruction shows no persistent positive NAO phase during the  
 557 medieval period. Instead, the Ortega et al. (2015) record suggests that positive phases were only  
 558 dominant during the thirteenth and fourteenth centuries. Comparison of the Keklik1  $\delta^{18}\text{O}$  record and  
 559 the NAO index reconstruction of the last millennium (Ortega et al., 2015) suggests a positive  
 560 relationship (Fig. 7). Both records have the same features - although with imperfect timing - when  
 561 considering, that the radiocarbon derived age-depth model cannot be as precise as the annually  
 562 resolved NAO reconstruction. The offset in the timing between higher  $\delta^{18}\text{O}$  values and a negative  
 563 NAO index can be ascribed to slight variations in the growth rate of the stalagmite, not captured by  
 564 the age model.



565

566 Fig. 7: The Keklik1  $\delta^{18}\text{O}$  time series (a) compares well with the NAO index (b; Ortega et al., 2015). Each  
 567 strong negative NAO phase during the LIA can be attributed to a period of significantly reduced

568 *winter precipitation (high values in  $\delta^{18}\text{O}$ , white space between grey bars). Please note, that the*  
569 *radiocarbon based age model of Keklik1 is not as precise as the 48 annually resolved proxy records*  
570 *used for the NAO reconstruction of Ortega et al. (2015). An opposite behaviour between NAO and*  
571 *Central Asian precipitation is observed for more south-eastwards located records: c) a composite*  
572 *Central Asian wetness index (with archives spread in Central Asia and centred  $\sim$  500-1000km to the*  
573 *east of our location; Chen et al., 2010) and d) the deuterium isotopic composition of C26 and C28*  
574 *fatty acids from Lake Karakuli ( $\sim$ 300km to the south-east; Aichner et al., 2015).*

575

576 Positive winter NAO conditions, which prevailed during the MCA, are related to light stable oxygen  
577 isotope composition in precipitation based on the Keklik1 data set and vice versa (Fig. 7). More  
578 depleted oxygen isotope ratios suggest a steady and high amount of winter precipitation in form of  
579 snow. The characteristic directions of westerly winds and distribution of precipitation for positive  
580 and negative NAO phases provide a possible teleconnection mechanism between the North Atlantic  
581 sector and Central Asia and can explain the observed changes. Positive NAO anomalies cause a  
582 strengthening of the westerly winds over Central and Northern Europe (e.g., Wassenburg et al.,  
583 2016) heading on a northern trajectory towards Central Asia. Such positive NAO modes generally  
584 weaken the Siberian High (e.g.; Gong et al., 2001; Gong and Ho, 2002) and prevent dry and cold  
585 Arctic air from reaching Central Asia and the Tian Shan region. This results in relatively wet winters in  
586 the western Tian Shan. These conditions appear relatively stable throughout the MCA since no strong  
587 short-term variations in  $\delta^{18}\text{O}$  or Sr/Ca are observed. Negative NAO anomalies causes a southward  
588 shift of the westerly winds and head over southern Europe towards Central Asia on a more southern  
589 trajectory than during phases of a positive NAO index. This allows cold and dry Arctic air steered from  
590 the Siberian High to reach far southwards into Central Asia (Wanner et al. 2001; Folland et al., 2009,  
591 Seyd et al., 2010) leading to dry winters at our cave side.

592 The explanation provided for the Keklik1 location is very likely also valid for more western (Lake Aral;  
593 Huang et al., 2011) and northern situated records (Lake Son Kul; Lauterbach et al., 2014) as they  
594 observe also a positive relation to NAO. However, this argument seems to be invalid for the records  
595 located to the east and south-east with respect to our location, since those records (Aichner et al.,  
596 2015; Chen et al., 2010) show a negative relationship with the NAO index (Fig. 7). We hypothesize  
597 that the mountains of the Tian Shan and Pamir form a natural barrier for the westerly winds. During  
598 positive NOA phases, when winds are directed over central and northern Europe towards Central  
599 Asia, they are likely to be re-directed to the north once they reach the Tian Shan and Pamir.  
600 Therefore, they cannot provide precipitation for the southern and eastern part of Central Asia.  
601 However, during negative NAO phases, when westerly winds are heading on a more southern  
602 trajectory towards Central Asia, the westerly winds seem to be able to contribute to winter  
603 precipitation in southern and eastern Central Asia.

604 As discussed above, the short-term excursions in  $\delta^{18}\text{O}$  of Keklik1 appear to be linked with the  
605 contribution of winter precipitation. In contrast, the long-term trend in the Keklik1 isotope data  
606 cannot be explained in this way, because there is no similar long-term trend in the Sr/Ca ratio.  
607 However, the NAO modes are also thought to govern temperature changes over Northern Eurasia.  
608 Positive phases seem to enhance advection of warm air over central and northern Europe and the  
609 western or even central part of north Asia (Hurrell and Van Loon, 1997; Thompson et al., 2000). This  
610 weakens the Siberian High, which cannot expand during those conditions. As such, a positive NAO  
611 mode could likely not only lead to warmer winter conditions in northern Europe (Hurrell, 1996) but  
612 also in Central Asia. This might be the predominant climate state during the MCA, where the  
613 reconstructed NOA index was generally more positive (Fig. 7; Ortega et al., 2015). Subsequently,  
614 climate runs into a period with phases of a more negative NAO index causing a climate deterioration  
615 known as the LIA. As a result, the Siberian High is becoming more important for Central Asia (e.g.;  
616 Gong et al., 2001; Gong and Ho, 2002) and winter temperatures would decrease. Those temperature  
617 variations occurred not exclusively in winter, but also in the warm season as shown by tree ring

618 reconstructions from the Tian Shan region (Esper et al., 2002; 2003). Variations in surface air  
619 temperatures would cause changes in cave temperature as cave monitoring revealed that carbonate  
620 precipitation occurs during the warm season months, when the cave temperature is sensitive to the  
621 outside air conditions (supplementary Fig. 1). This, must have induced a change in O isotope  
622 fractionation during carbonate precipitation, and might explain the long-term trends in the stable O  
623 isotope records. Thus, in cold climate phases (e.g., during the LIA) isotope fractionation effects must  
624 have been larger, leading to more enriched  $\delta^{18}\text{O}$  values in the carbonate, compared to the  $\delta^{18}\text{O}$   
625 values observed during predominantly warm phases (e.g., MCA).

626 Alternatively, the isotopic composition of precipitation was changing with time and lead to the  
627 observed long-term O isotope variations. Especially, for winter conditions this could be a valid  
628 argument. The isotopic composition of precipitation is certainly different when arriving from  
629 predominantly western locations during positive phases of NAO compared to phases of a negative  
630 NAO, when the source of precipitation is more dominated from the Siberian High.

631 In contrast to the  $\delta^{18}\text{O}$  long-term trend, the long-term variation of the  $\delta^{13}\text{C}$  time series needs an  
632 additional process, since temperature related changes in  $\delta^{13}\text{C}$  fractionation processes are too small  
633 to explain the  $\delta^{13}\text{C}$  range observed in the stalagmite and the origin of precipitation is not important.  
634 Most likely, changes in vegetation also contribute to the long-term trend. Warmer and wetter  
635 periods are favourable for plants and thus, would result in a denser vegetation cover and in higher  
636 soil respiration rates (e.g., Cerling 1984). Both are responsible for a lower C isotopic composition of  
637 soil gas  $\text{CO}_2$ , which signal is stored in the C isotope composition of the speleothem.

638

## 639 **6. Conclusions**

640 We dated a stalagmite from the Keklik-Too in western Kyrgyzstan by means of a newly proposed  
641 dating approach based on radiocarbon measurements. This age-depth-modelling approach is  
642 independent from the knowledge of the radiocarbon reservoir effect or its variations. The method

643 provides an accurate estimate of the growth history of this stalagmite, which cannot be dated by  
644 means of  $^{230}\text{Th}$  methods due to a high detrital component.

645 We have demonstrated that stalagmite Keklik1 from the Keklik-Too in western Kyrgyzstan has  
646 interesting characteristics in terms of cave processes and speleothem growth. Those processes  
647 allowed interpretation of the stalagmite proxies in terms of climate. Sr/Ca,  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  have  
648 simultaneous peaks. Variations in the Sr/Ca ratio are due to changes in water infiltration to the cave  
649 prior and during the cave's warm season mode, where carbonate precipitation is most important.

650 The extreme peaks in the Sr/Ca ratio can have two reasons:

- 651 I) a low amount of winter precipitation, or
- 652 II) warm winters are responsible for a reduced contribution of snow in precipitation and  
653 for early snow melting. The water from the early melting snow penetrates to the  
654 cave, when it is still in its cold season mode, where carbonate precipitation is small.  
655 Thus, less water infiltrates the cave during spring, when carbonate precipitation is  
656 more important.

657 The most likely reason for the Sr/Ca anomalies during the LIA is the low amount of winter  
658 precipitation. However, the western Tian Shan region appears to have experienced significant  
659 changes in climate during the recent past. An indication for this is that in the past 40 years the  
660 second mechanism seems to be responsible for high Sr/Ca ratios (and  $\delta^{18}\text{O}$ ). Thus, the response of  
661 climate proxies was shown to have been changed in time as seen in the course of modern climate  
662 warming. The evidence of this change in proxy interpretation at this location provides a strong  
663 reason to advice similar speleological studies to carefully assess present day relationships of climate  
664 parameters with respect to past climate conditions.

665 In this study, the covariation of  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  is used to deduce on the original  $\delta^{18}\text{O}$  isotopic signature  
666 of infiltrating water entering the cave during the main stalagmite growth season. This new method in  
667 data reduction showed that the amount of winter precipitation in the western Tian Shan region

668 varied strongly during the past millennium. It appears that the periods of strong decrease in winter  
669 precipitation occurred simultaneously to negative excursions of the winter NAO index. Also the low  
670 frequency behaviour of the stable O isotope composition is comparable with those of NAO  
671 reconstructions. Consequently, it is argued that the NAO index and the resulting strength and  
672 direction of winter Westerlies from the North Atlantic to Central Asia is the main driver for winter  
673 precipitation availability in the western Tian Shan region.

674

#### 675 **Acknowledgements**

676 This research was supported by the Helmholtz Centre Potsdam (GFZ) Global Change Observatory of  
677 Central Asia (GCO-CA), and by the Central Asian Climate Dynamics Project (CADY), which was funded  
678 by Germany's Federal Ministry of Education and Research (BMBF). JF acknowledges funding by  
679 Deutsche Forschungsgemeinschaft (DFG) through grant FO809/4-1. We are grateful for B. Richert for  
680  $\mu$ XRF scanning, and H. Meyer for water isotope analysis.

681

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Supplementary material for:

**Winter precipitation changes during the Medieval Climate anomaly and the Little Ice Age in Central Asia**

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Supplemental text:

**A1**

**Conversion of d18O in precipitation (VSMOW) to d18O in carbonates (VPDB)**

The mean d18O in the stalagmite carbonate is approximately -10.5‰ VPDB, when neglecting the positive d18O anomalies. In case this carbonate precipitated from water in equilibrium the

approximate drip water  $\delta^{18}\text{O}$  value on the VPDB scale is  $-40.9\text{‰}$ . For this calculation we used a temperature of  $15^{\circ}\text{C}$  (mean warm season cave temperature) and the fractionation factors of Kim and O Neil. (1997). Transferring the  $\delta^{18}\text{O}$  value of drip water from the VPDB scale to VSMOW results in approximately  $-11.2\text{‰}$  VSMOW. Such low values show that winter contribution must be an important endmember for the drip water and carbonate.

## **A2**

### **Isotope track along the outer part of the stalagmite**

In addition to the central isotope track, a second isotope track along the flank of the stalagmite was measured. In the top part of the stalagmite the growth layers are significantly thinner on the second isotope track than on the centre isotope track (see Fig. 2). In the bottom part of the stalagmite no strong thinning in the growth layers at track two is observed. Despite this difficulty, both isotope systems show the same signal, although in the isotope track along the flank of the stalagmite, not all positive anomalies are present due to the growth layer thinning and lower sampling resolution (supplementary Fig. 3).

## **A3**

### **Snow cover characteristics on the Keklik-Too mountain**

For the analysis of the snow cover characteristics on the Keklik-Too mountain we used the Moderate Resolution Imaging Spectroradiometer (MODIS) snow cover product (Hall et al, 2006). The MODIS snow cover data is globally available since March 2000 and has a spatial resolution of 500 meters. The MODSNOW-Tool (Gafurov, et al, 2016) was used to process raw MODIS snow cover data including cloud elimination for the entire Aravansay River basin (supplementary Fig. 4).

Supplementary Fig. 4a shows that Keklik-Too mountain is located close to the outlet of Aravansay River Basin. Snow cover duration in mountainous regions with higher altitudes (southern part) is longer. The snow cover duration on Bir-Uja Cave varies year to year and was on average 67 days in the last 17 years (supplementary Fig. 4b). Temporal variation of snow cover fraction of the Keklik-Too shows that during the last 17 years the snow often melted away within the middle of winter (End of December and January, supplementary Fig. 4c). This was too early to contribute melt water to the stalagmite growth season, which is starting in May. The main snow melt event started latest in the end of February. This water could contribute to the cave drip water, when accounting a few months of water residence time in the karst.



Supplemental figures:

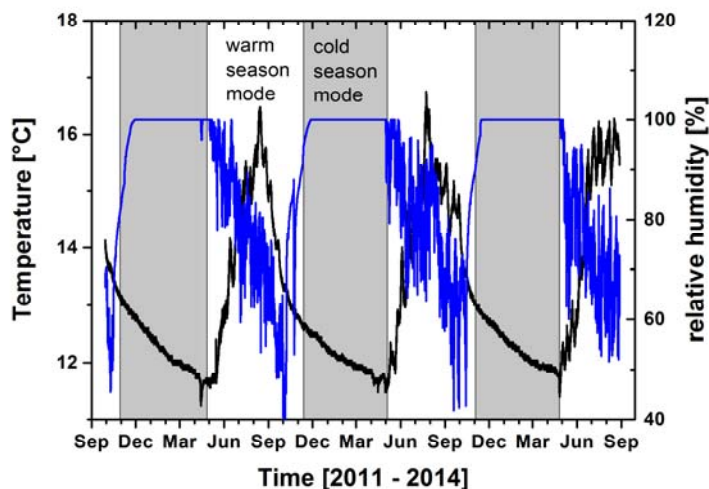


Fig. 1: Logged cave temperature (black) and relative humidity (blue) in short distance to the location of stalagmite Keklik1. Cave ventilation is responsible for two modes: I) cold season mode: relative humidity is 100% and temperature is decreasing to the mean annual atmospheric temperature and II) warm season mode: relative humidity is decreasing to about 60% and cave temperature increases, peaks at about 16°C in August and start to decrease afterwards. According to the logged relative humidity, the cold season mode (grey background) is between end of November and April and the warm season mode is between May to October.

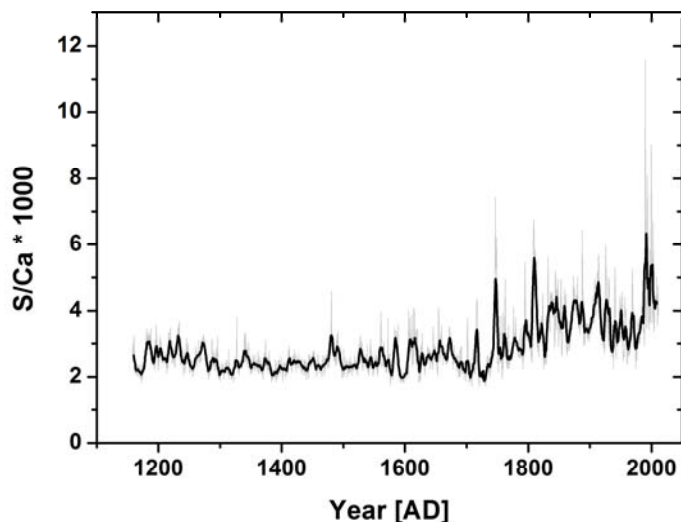


Fig. 2: Sulphur content of Keklik 1. A remarkable increase in the S/Ca ratio is observed between 1750 and 1850 AD. This is related to the rise of industry during the industrial revolution starting in the mid of the 18<sup>th</sup> century. Thus the S/Ca ratio supports the age-depth relationship established by the new radiocarbon method (Lechleitner et al., 2016).

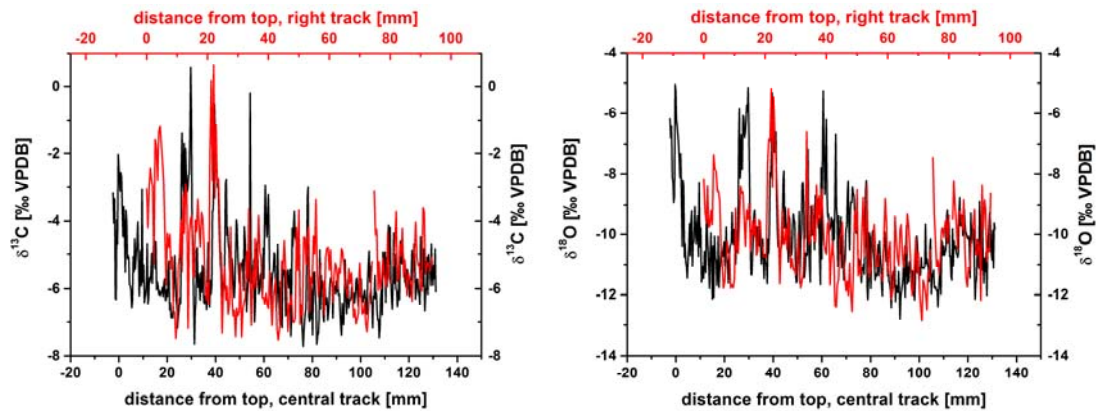


Fig. 3: Stable C isotope composition (left) and the stable O isotope composition (right) of the central track (black) and outer track on the right flank of Keklik1 (red). Both depth scales are adjusted to fit the main isotope anomalies. However, due to the significant thinning of the growth layers in the upper part of Keklik1 (apparently slower growth in the right track than along the central track) the top section of the right track does not fit perfectly. No attempts were made to manually stretch the outer track to better fit the central track. The level of the isotope values for the track along the flank has generally higher  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  values than the track along the growth axis. This is due to fractionation processes along the flank of the stalagmite during stalagmite growth. The significant thinning of growth layers at the flank is also responsible to not detect all positive isotope anomalies to their full range. The increase of the C and C isotopic composition at the very top is not recorded in track along the flank of the stalagmite.

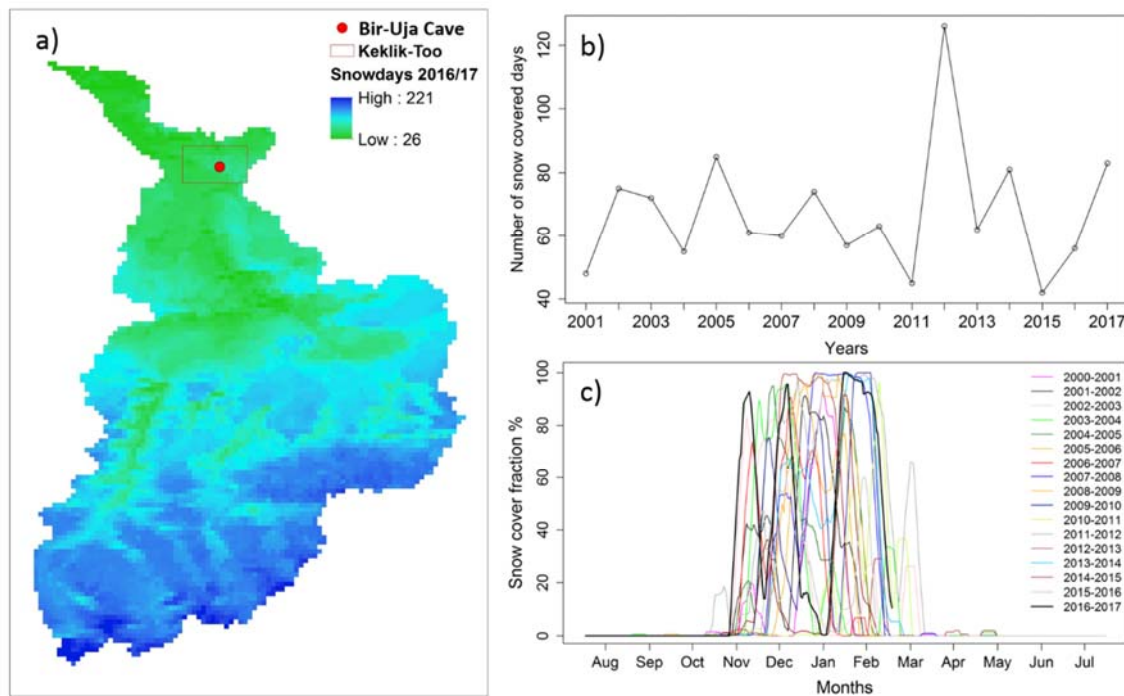


Fig. 4: Snow cover characteristics of the Keklik-Too mountain. a) Number of snow days for each pixel in the catchment area of the Aravansay for the hydrological year 2016/2017. The Keklik-Too

mountain is within the red rectangle and the cave location is marked by the red circle. b) Number of snow days of the pixel covering Bir-Uja Cave from 2001 to 2017. c) Temporal variation of snow cover fraction relative to the area covering Keklik-Too mountain (red rectangle).

Supplemental tables:

Tab. 1: Water oxygen isotopic composition and element distribution. All element concentrations are given in ppb.

Date	T <sub>water</sub>	$\delta^{18}\text{O}$ [‰ vs SMOW]	$\delta\text{D}$ [‰ vs SMOW]	Si	Al	K	Ca	Sr	Mg	U	Cu
05.10.11	15° C	-6.6	-56.3	33559	709	4677	244630	1726	66728	3.7	131
21.05.12	13° C	-12.8	-91.4	27575	498	4599	25661	141	4543	0.8	2
04.09.14	16° C	-6.2	-62.1	45624	29549	4496	278569	674	20685	2.0	7

Tab. 2: Slope between  $\delta^{13}\text{C}$  (X) and  $\delta^{18}\text{O}$  (Y) along the pathway of carbonate precipitation. Cave experiments as well as cave analogue laboratory experiments are listed.

Slope	Cave/laboratory	study
0.27	Bunker cave	Polag, 2009
0.27	B7 cave	Polag, 2009
0.48	B7 cave	Polag, 2009
0.25	Harrison's Cave	Mickler et al., 2006
0.43-0.75	laboratory (various parameters)	Polag 2009; Polag et al., 2010
0.71	laboratory	Wiedner et al., 2008

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