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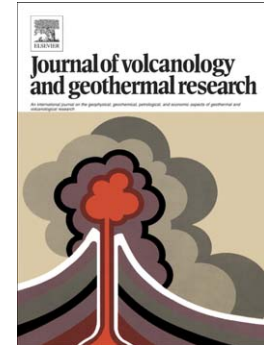
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Long-period seismicity at Shishaldin volcano (Alaska) in 2003-2004: indications of an upward migration of the source before a minor eruption

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Abstract

We have analyzed the long-period (LP) seismic activity at Shishaldin volcano (Aleutians Islands, Alaska) in the period October 2003 - July 2004, during which a minor eruption took place in May 2004, with ash and steam emission, thermal anomalies, volcanic tremor and small explosions. We have focused the attention on the time-evolution of LP rate, size, spectra and polarization dip angle along the dataset.

We find an evolution toward more shallow dip angles in the polarization of the waveforms during the sequence. The dip angle is a manifestation of the source location. Because the LP seismic sources are presumed to reflect the aggregation of gas slug or pockets within the melt, we use the polarization dip at the LP onset as a proxy for the nucleation depth of the seismic events within the conduit. We refer to this parameter as the nucleation dip and the position along the conduit of the gas aggregation as nucleation depth.

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The nucleation dip changes throughout the dataset. It shows a sharp decrease between the end of December 2003 and the end of January 2004, followed by a gradual increase until the onset of the eruption. At the same time, a general increase of the LP rate occurs. We have associated the dip evolution with a sinking and a subsequent decrease of the nucleation depth, which would quickly migrate up to about 8 Km below the crater rim, followed by a slow depth decrease which culminates in the eruption.

The change in the nucleation depth reflects either a pressure variation within the plumbing system, which would affect the confining pressure experienced by the gas aggregations. We have imputed such a pressure change to the intrusion of batches of magma from a deeper magma chamber (< 10 km) toward a shallower one (> 5 km). For a cylindrical conduit with rigid walls, this leads to a volume of the injected new magma of $10^5 - 10^7$ m³, compatible with estimates in other areas, suggesting that the LP process can be considered a good proxy of the thermodynamical conditions of the shallow plumbing system.

Keywords: Shishaldin volcano, long-period events, volcano seismicity, polarization, eruption precursors

1. Introduction

Among the several parameters observed in volcano monitoring, local seismicity is one of the most powerful and exploited. Earthquakes and tremors - induced by displacement of magma and associated gases - precedes and accompanies nearly all eruptions. These phenomena are very sensitive to the internal conditions of the volcano and the time-evolution of their properties

7 - such as energy and rate - reflect the evolution of the system when critical
8 conditions are being approached.

9 Several authors have examined the relationship between seismicity and
10 volcanic activity, with an emphasis on the rapid seismicity increases prior
11 the eruption (Malone et al., 1983; Power et al., 1994; Aki and Ferrazzini,
12 2000; Alparone et al., 2003; Chastin and Main, 2003; Soosalu et al., 2005;
13 Ruppert et al., 2011; De Martino et al., 2011b; Chouet and Matoza, 2012;
14 Power et al., 2012; Sparks et al., 2012). Such a behavior has been associated
15 with an overall increase of the stress within the plumbing system leading
16 to an escalating fracturing process, which has been formally described by a
17 second order differential equation ruling the time evolution of the density
18 of earthquakes (Kilburn and Voight, 1998; Voight, 1988; Kilburn, 2003; Bell
19 et al., 2011b). However, this framework is mainly valid for silicic volcanoes,
20 where the seismicity is mostly induced by fracturing of the volcanic edifice,
21 with the relevant presence of volcano-tectonic events. On the other hand, in
22 volcanoes with low-density magmas, such as basaltic cases, the seismicity is
23 mostly induced by the displacement of coherent gas aggregation nucleated in
24 two-phase magmatic fluids. These conditions often create quasi-steady state
25 seismicity pattern. In such cases, the seismicity is highly sensitive to changes
26 in the conditions of the magmatic system (Bell et al., 2011a; De Martino
27 et al., 2011b,a, 2012; Zecevic et al., 2013).

28 One of the most common seismic signature of the active volcanic areas is
29 the presence of long-period (LP) events, characterized by a narrow frequency
30 band (0.1-4 Hz) and produced by the interaction of flowing magmatic fluids
31 and the conduit system. They have been detected all over the world and

32 their source is now largely modeled as an inhomogeneity of the magma-gas
33 mixture in the plumbing system leading to the aggregation of the gaseous
34 phase. Such an aggregation may have the form of gas slugs or pocket, and
35 ultimately produces a local pressurization of the system and an acceleration
36 of the magmatic fluids (see, e.g., Chouet and Matoza, 2012, and references
37 therein).

38 Due to the crucial role of the gaseous fraction, LP events are strongly
39 affected by changes of the thermodynamic conditions within the plumbing
40 system. Indeed the depth, the size and the recurrence of the gas aggregation
41 are particularly sensitive to the local thermodynamic state of the system;
42 system modifications will be reflected by changes of the waveform, wavefield
43 properties and recurrence frequency of the LP events.

44 In this paper we analyze LP events occurring in the period 2003-2004
45 at Shishaldin volcano (Alaska, Figure 1). We examine the time-evolution of
46 the occurrence rate, of the spectra, of the amplitude and of the polarization
47 vector of the LP events in the period October 2003 - July 2004, which hosted
48 a reactivation of the volcano including a small ash eruption. Our aim is to
49 shine light on the internal processes before and during the eruption to un-
50 derstand how this volcanic system escapes from equilibrium conditions under
51 certain external or internal inputs, which in turn is crucial for mitigating the
52 volcanic risk. With this aim, we will infer insights into the thermodynamic
53 changes occurring when the eruption is approaching, using the LP events as
54 “detectors” of modifications of the state of the shallow plumbing system, in
55 which these pressurization events are normally generated.

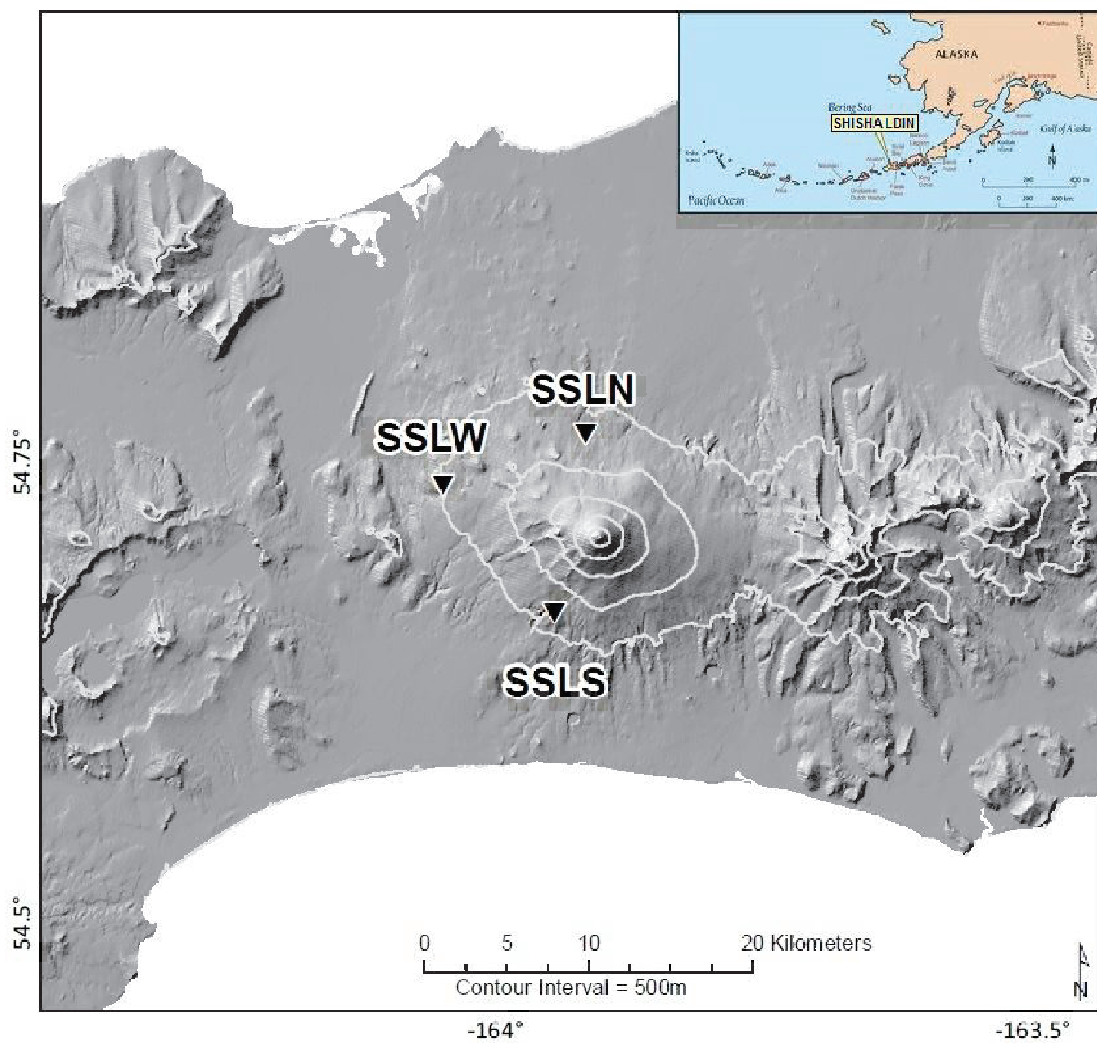


Figure 1: Map of Shishaldin volcano on Unimak Island, Alaska. Black triangles mark the locations of the seismic stations used in this study.

56 2. Shishaldin volcano

57 Shishaldin is a 2857 m-high stratovolcano on Unimak island, which is the
58 Easternmost of the Aleutians Islands. It is the second most frequently active
59 volcano of the archipelago, with nearly 40 eruptions in the last 250 years. A
60 significant eruption sequence began in 1999, which consisted of a VEI (Vol-
61 canic Explosive Index) 3 sub-Plinian basaltic eruption followed by vigorous
62 Strombolian activity (<https://www.avo.alaska.edu/volcanoes/volcact.php?volcane=Shishaldin>).
63 Strong LP activity began about two months prior to the eruption. This ac-
64 tivity was also present during the eruption and continued after for years.
65 These LP events display a dominant frequency between 0.8 Hz and 2 Hz
66 and a strong repetitiveness of the source mechanism, which creates classes of
67 events sharing very similar waveforms (Caplan-Auerbach and Petersen, 2005;
68 Petersen et al., 2006; Petersen, 2007). A time clustering has been detected
69 as well, with most of the reported earthquakes clustered in few swarms, al-
70 though so far only a small sub-set of all the occurring LP signals has been
71 processes, as only high-energy events have been selected for the studies (Pe-
72 tersen, 2007).

73 Since 1999, the presence of a steam or gas plume has been nearly con-
74 stant, and is likely associated in some fashion with ongoing LP process (Pe-
75 tersen et al., 2006). No comparable eruptions occurred in the several years
76 following 1999. However, in the first months of 2004, the volcano reactiv-
77 ated, reaching the strongest phase of a minor eruption in May (VEI =
78 1). In January 2004 two thermal anomalies were observed near the sum-
79 mit in Moderate Resolution Imaging Spectroradiometer (MODIS) imagery
80 (<http://modis.gsfc.nasa.gov/>) and in February several eyewitnesses noticed

81 ash and steam emissions. Between the late April and the early May 2004,
82 the seismicity intensified and volcanic tremor similar to that observed in the
83 1999 eruption appeared for the first time since then (Dixon et al., 2005; Neal
84 et al., 2005, http://www.avo.alaska.edu/activity/avoreport_archives.php). In
85 the same period, acoustic pressure sensors detected airwaves suggesting a
86 shallowing of the tremor source (Petersen et al., 2006). On May 3 a ther-
87 mal anomaly was revealed. Volcanic tremor continued, small explosions were
88 recorded by the pressure sensors, and a weak intermittent thermal anomaly
89 was observed in satellite images into the following summer. Low-level vol-
90 canic tremor continued through the end of the year. The last two ash and
91 steam emissions were observed on September 24.

92 **3. Data-set: picking of the LP events**

93 The data-set used here consists of continuous recordings from October 17,
94 2003 to July 11, 2004, of ground velocity at three seismic stations (Figure
95 1). SLSL station, deployed on the southern flank of the volcano at a dis-
96 tance of 5.3 km from the summit, is equipped with a 2 Hz three-component
97 Mark Products L-22 sensor. However, the EW component of this station
98 malfunctioned in the study period and its signal is not fully reliable. The
99 station on the north, SSLN, and that on the west side, SSLW, are vertical
100 1 Hz L-4C. They are placed at a station-summit distance of 6.3 km and 9.8
101 km, respectively. From each site, analog data is telemetered to Alaska Vol-
102 cano Observatory (AVO) where it is digitized at 100 samples-per-second. We
103 applied an instrument response correction and an acausal filter in the band
104 0.5-5 Hz to all data. In Figure 2 we show an example of an LP event recorded

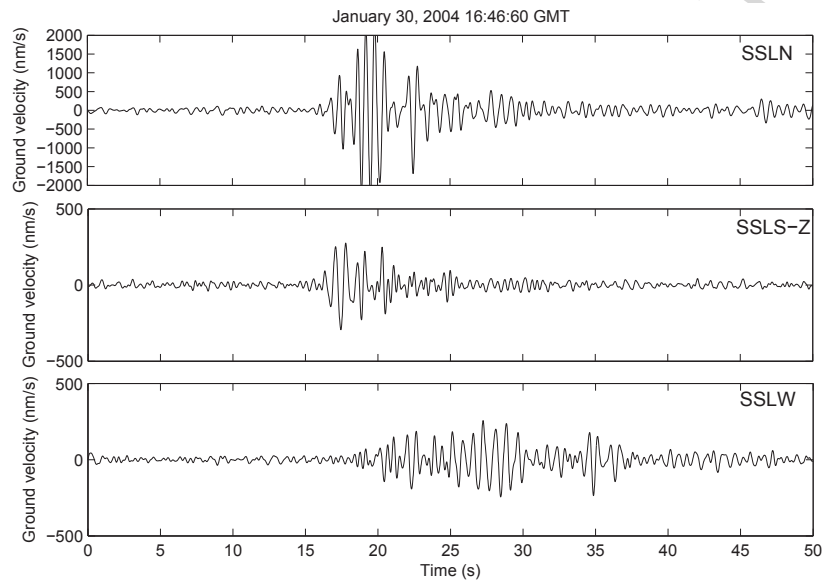


Figure 2: A Long Period earthquake recorded by the three Shishaldin seismic stations, SSLN on top, SSLS-Z (vertical component of SSLS) in the middle and SSLW on the bottom. The signals are filtered in the range 0.5-5 Hz.

105 by the three stations.

106 We used station SSLN to develop a catalog of LP events, since it has the
 107 best signal-to-noise ratio. Following De Martino et al. (2011b), we compute
 108 the maxima of the absolute value of the signal in two adjacent time-windows
 109 (sliding along the continuous recordings without overlapping) and detect an
 110 event when: 1. the ratio between the maximum of the first window and that
 111 of the second window exceeds a threshold, and 2. the amplitude of the second
 112 maximum is larger than four times the standard deviation of the background
 113 seismic signal averaged upon 1 h. The time-window and the threshold have
 114 been set empirically at 9 s and 1.7 s, respectively. Using this approach, about
 115 330,000 events have been detected. For each, a 30-s time-window (centered

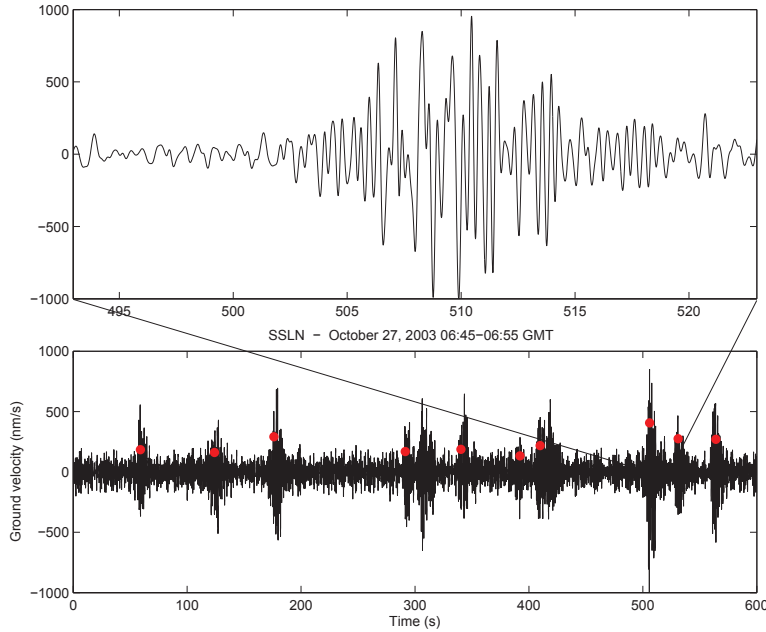


Figure 3: An example of the performance of the picking algorithm over a time windows of 600 seconds. On the bottom, each picked LP event is marked with a red asterisk. On the top, a zoomed view of one LP.

116 at the maximum detected amplitude of the waveform) has been extracted
 117 (Figure 3).

118 In Figure 4a we plot the time-evolution of the LP rate, calculated as
 119 the mean rate of events (per hour) in a day. From the beginning of the
 120 dataset to the end of December 2003, the LP rate shows a constant pattern
 121 (about 60 events/hour). It then increases until January 25, 2004 (about 75
 122 events/hour). In the following phase, between about January 26 and April
 123 25, the rate increases to about 85 events/hour and then returns to about 75
 124 events/hour. This pattern is interrupted by the presence of a local minimum
 125 (less than 40 events/hour) between 11 and 19 of March. After April 26, 2004,

126 the number of picked LP events diminishes drastically, and it remains on a
127 very low level until the end of May (a minimum around 10 events/hour).
128 In this time-interval, an increase of the background signal amplitude occurs
129 (see Figure 5). As reported above, around the end of April, the volcanic
130 tremor reappeared with characteristics similar to those observed during the
131 eruption of 1999. The strong reduction of detected LP events can be due
132 to a real reduction of the LP rate and/or to an increase of the background
133 signal amplitude, which could cause small LP events to be hidden. After the
134 end of May, the event rate stabilized around 45 events/hour, lower than the
135 rate observed at the beginning of the dataset.

136 Based on these parameters and observed volcanic activity, we divide the
137 dataset into five phases. Phase I: October 17-December 29, 2003; Phase II:
138 December 30, 2003-January 24, 2004; Phase III: January 25 - April 25, 2004;
139 Phase IV: April 26 - May 31, 2004; Phase V: June 1 - July 11, 2004. Phase
140 IV includes the strongest phase of the eruption.

141 **4. Seismic amplitude of LP events**

142 As estimator of the LP size we evaluate the time-integral of the envelope
143 of the extracted signals. In this way, we take into account the seismic ra-
144 diation released along the entire duration of the event. The integral values
145 are then averaged over blocks of six hours. In the following, we will refer
146 to this observable with the term seismic amplitude. The time-evolution of
147 the seismic amplitude at SSLN (black line) and at SSLS-NS (NS component
148 of SSLS, red line) is plotted in Figure 4b. Similar patterns are observed at
149 SSLS-Z (Z component of SSLS) and SSLW (not shown).

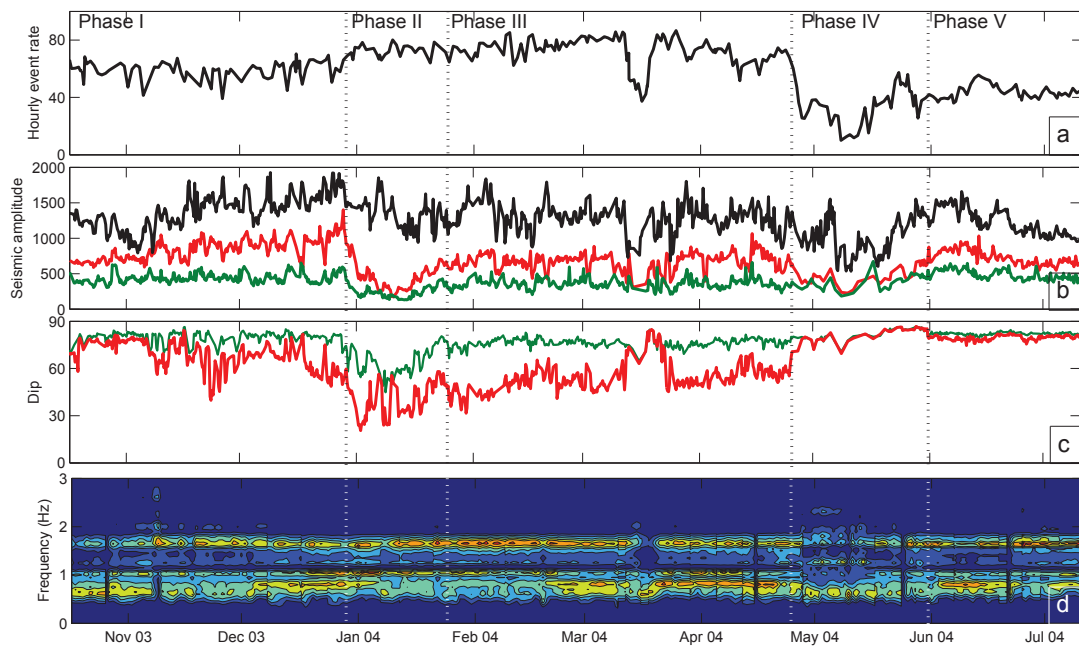


Figure 4: a) LP rate (events/hour); b) Seismic amplitude ($[nm]$) of the LP events recorded at SSLN (black line) and at SSLS-NS (red line), and of the seismic noise at SSLS-NS (green line); c) Nucleation (red line) and background signal (green line) dip; d) Spectrogram of LP events at SSLN. The vertical dotted lines separate the five phases described in the text. High-energy regional tectonic earthquakes have been manually excluded from the analyses.

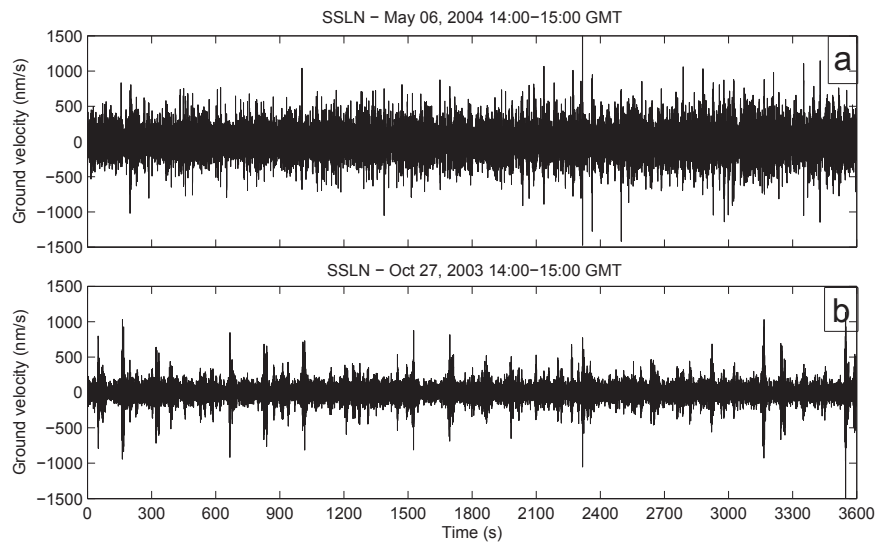


Figure 5: Two samples for SSLN showing the increase of volcanic tremor in Phase IV. Both the samples are frequency filtered in the 0.5-5 Hz band.

150 The seismic amplitude increases from the beginning of the dataset until
 151 the end of Phase I, when it reaches the largest value. It displays a minimum
 152 in Phase II and then follows a nearly linear pattern until the end of April
 153 2004 (Phase III), except for the period March 11-19 when a minimum occurs.
 154 Afterwards, the seismic amplitude displays a sharp decrease lasting through
 155 Phase IV, while in Phase V it returns to the values observed at the beginning
 156 of the dataset.

157 We also estimate the seismic amplitude of the background signal using
 158 the first eight seconds of each extracted waveform. The seismic amplitude of
 159 the background signal (Figure 4b, green line) follows the same pattern of the
 160 LP events, except in Phase IV, when it shows an increasing amplitude that
 161 reaches its maximum value around the middle of May, 2004. This behavior

162 agrees with indications of a strong volcanic tremor in this time interval.

163 5. Polarization analysis

164 To retrieve the properties of the polarization vector of the LPs, we use the
165 algorithm of Kanasevich (1981). If a three-component station is available,
166 this method allows to estimate the polarization vector by diagonalizing the
167 covariance matrix constructed with the three ground motion components.
168 The technique assumes that the eigenvector corresponding to the highest
169 eigenvalue is the best estimate of the polarization vector. In general, the
170 algorithm returns three parameters: the rectilinearity (RL), the azimuth (θ)
171 and the dip (ϕ). RL is a measure of the linearity of the polarization trajectory,
172 while the azimuth is the clockwise angle between the north direction and the
173 polarization vector. The dip (also known as incidence angle or inclination)
174 is the angle between the z axis and the polarization vector, with $\phi = 90^\circ$
175 indicating horizontal oscillations and $\phi = 0^\circ$ vertical oscillations. As the EW
176 component of the three-component station is unreliable, the sole computable
177 polarization parameter is the dip angle.

178 We estimate the dip angle in a 2s-long time window, sliding along the
179 30 s time window of the extracted LP events with a superposition of 75%.
180 The time evolution of the dip shows a peculiar pattern common to all the
181 LP events (Figs 6, 8). Dip angle is stable before the LP onset and then it
182 decreases, reaching a minimum at the onset of the LP. During the event it
183 increases gradually, reaching values $> 70^\circ$, which indicates shallow oscilla-
184 tions. This pattern suggests a deep LP nucleation followed by an upward
185 migration of the source towards the free surface. In this framework, we de-

186 fine the minimum of the dip curve as representative of the source depth of
187 the pressurization phenomena that induces the LP events. For this reason,
188 we refer to the nucleation dip as the minimum value of the dip curve, at the
189 LP onset. We infer a correlation between this minimum dip and the nucle-
190 ation depth of the seismic source. The particle motion in Figure 7 shows the
191 behavior of the dip angle of the ground motion during the LP event, with
192 the evolution from larger dip at the beginning of the event towards shallow
193 oscillations at the end of the event. This analysis shows also that a dominant
194 oscillation direction mostly exists even before the LP onset (although con-
195 taminated by scattered waves), confirming the reliability of the dip angles
196 from the polarization analysis.

197 Although the dip angle follows a common pattern for all the LP events,
198 the actual dip value and its recovery are different in each Phase. We identify
199 one dominant class of dip behavior for each phase. In Figure 6 we show an
200 example of the Phase I dip pattern. Panel a shows four curves displaying
201 the dip averaged over all the LP events occurring in the four six-hour blocks
202 belonging to the day October 28, 2003. Panels b and c show one of the LP
203 events contained in the blocks (NS and vertical component, respectively).
204 These curves demonstrate a stable dip value around 80° before the onset of
205 the LP. We average this value over the first eight seconds to determine a
206 mean dip of the background signal. After reaching a minimum at the LP
207 onset, the dip increases and peaks in about 5 s.

208 Phase II (Fig. 8) is characterized by lower dip angles (60° - 70°) at the
209 beginning of the time window and nucleation dips of about 40° . In Phase III
210 (Fig. 8), the dip of the pre-event signal assumes again higher values (70° -

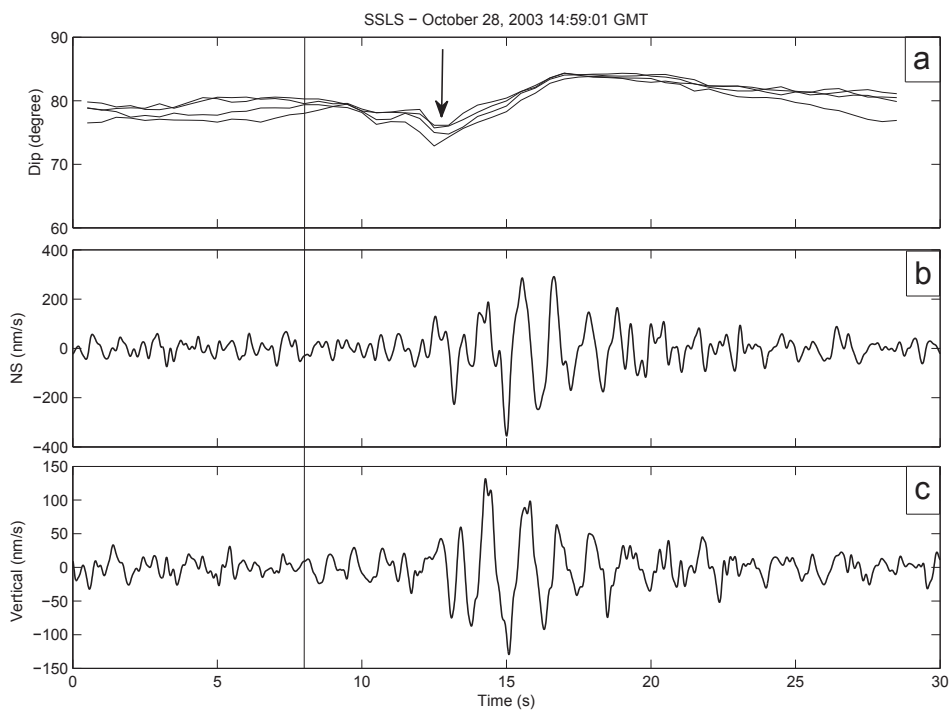


Figure 6: a) Dip angle curves estimated for October 28, 2003; b,c) one of the associated LP signal recorded by NS and Z component, respectively, of SSLS. The signals are frequency filtered in the band 0.5-5 Hz. The arrow highlights the nucleation dip assumed at the LP onset. The dip of the background signal is calculated as the mean value left of the vertical line.

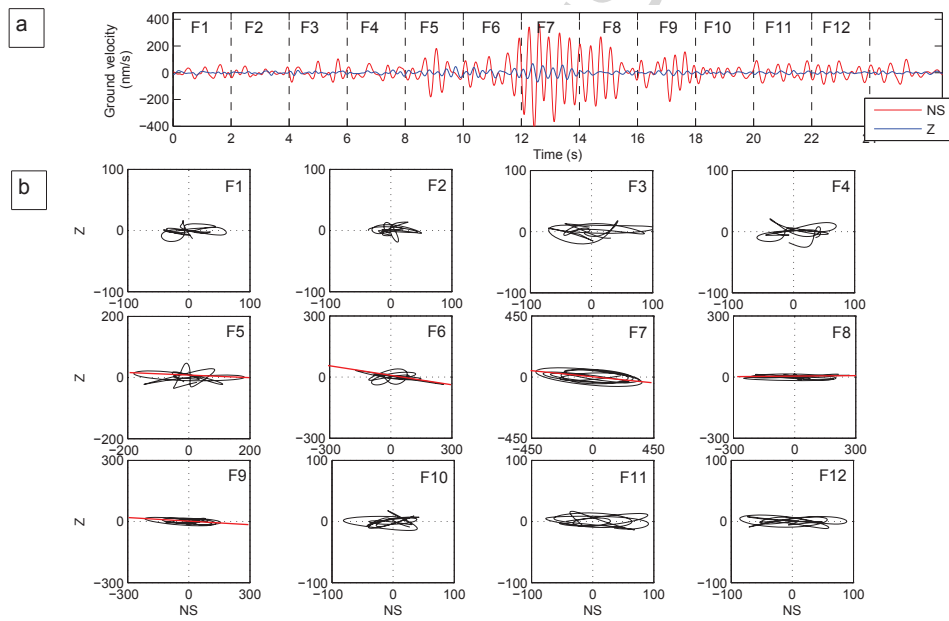


Figure 7: Example of NS-Z plane particle motion analysis performed over a signal of 24 s containing an LP event of Phase IV. Particle motion was calculated in 2s long time windows, without superposition. a) The NS and Z component of the signal used for the calculation, divided in 12 blocks of 2 s (F1-F12) by vertical dashed lines; b) The particle motion calculated over the 12 blocks.

211 80°), while the nucleation dip remains low (up to 50°). We have few data
212 that were collect during the eruption (Phase IV, Fig. 8) on which to perform
213 the analyses, but the results are quite stable. The dips remain high (about
214 80° - 85°) along the signal without any evident minimum. This phenomenon is
215 indicative of a very shallow and persistent source, and possibly of a dominant
216 influence of volcanic tremor. The pattern in Phase V (Fig. 8) matches that
217 of Phase I.

218 In Figure 4c, we plot the nucleation dip averaged over blocks of six hours
219 (red line). The nucleation dip has a nearly constant value of about 80° during
220 the first ~ 20 days of the data, which indicates a shallow source. Afterwards,
221 the dip decreases slowly and irregularly into the beginning of Phase II. At
222 the beginning of January the nucleation dip reaches a minimum around 30° -
223 40° , suggesting a deeper source, although wide oscillations superimpose on
224 the overall trend. The dip increases during Phase III and Phase IV, reaching
225 values around 85° at the end of the eruption, suggesting a steady upward
226 migration of the nucleation depth as the eruption approaches. Moreover, the
227 nucleation dip angle presents a maximum (about 85°) between 11 and 25 of
228 March. During Phase V the dip values become again equal to about 80° ,
229 repeating the behavior exhibited during Phase I.

230 Figure 4c shows that the time history of dip parameter observed for the
231 background signal mostly mimics that of the nucleation dip, but with higher
232 values. In particular, during Phase IV the two curves basically overlap,
233 suggesting that the sources of the two phenomena may be located at similar
234 depths.

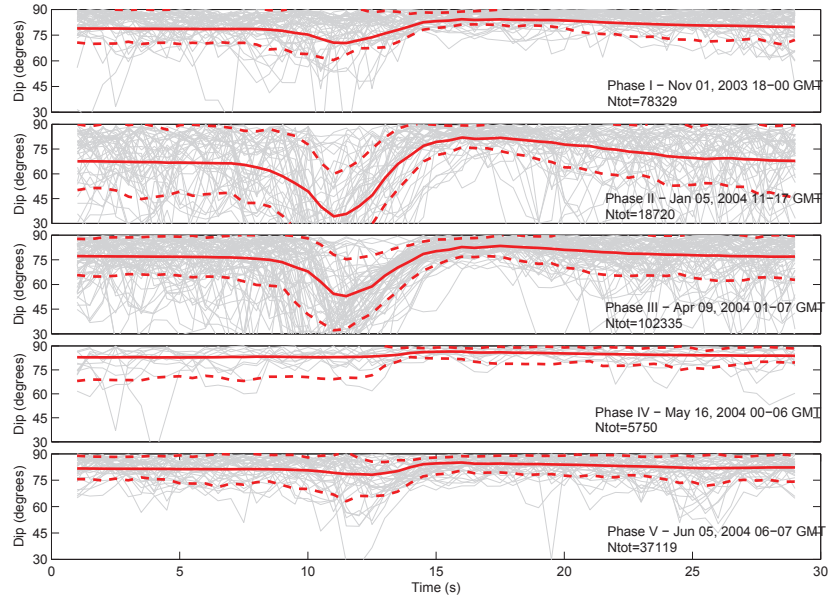


Figure 8: Each panel is relative to the Phase indicated in the bottom-right label. For each of them: Continuous red bold line represents the total mean dip curve estimated by averaging all the dip curves (N_{tot}) of the whole Phase; red dotted lines indicate the dispersion of the curves estimated as the standard deviation of the dip value at each time frame evaluating the events occurring within the 6-hour block indicated in the bottom-right label; light gray lines show the dip curves associated to the LPs of a one-hour-time interval belonging to the 6-hour block. We have estimated the dip dispersion over a block of 6 hours because the nucleation dip evolves on larger time scales and thus artificial larger dispersion can emerge. No sharp changes have been detected changing the selected 6-hour block. The variation of the nucleation dip on large time scales is also the reason why in some cases the mean dip curve does not fit exactly the stacked dip curves of the selected hour (we restricted the plot to the dip curves of one hour to make the figure more readable).

235 *5.1. Uncertainties and assumptions*

236 Throughout the paper we are assuming a straightforward connection be-
237 tween dip angle and source position. This assumption implies that the seismic
238 wavefield is mostly composed of P waves. However, given the source-receiver
239 distances (>5 km) and the stratified structure of the volcanic edifices a con-
240 tribute from surface waves can be imaged. In that sense, the shallowing of
241 the dip angle during an event could be at least favoured by the emergence
242 of surface waves at the end of the LP event. In any case, the onset of the
243 LP event should be dominated by source effects and we assume that in the
244 nucleation phase the wavefield is mostly composed of P waves. On the other
245 hand, other elements suggest that P waves could relevantly contribute also
246 during the other stages of the events and thus that the dip increase is a real
247 effect of an upward migration of a radiating source. In fact, the increase of
248 the dip angle during an event is mostly gradual towards shallow oscillations,
249 whereas a more scattered behaviour would be expected if a mix of surface
250 and body waves would be present. Similarly, the particle motions show a
251 rotation of the principal ground oscillation direction, while the superposi-
252 tion of waves with different polarizations should lead to a scattered motion
253 hardly showing a preferential oscillation direction. Moreover, the mean dip
254 found for the noise follows on average the time evolution of the nucleation
255 dip, while the polarization dip should be basically constant if surface waves
256 would dominate.

257 In volcanic areas, modifications of the source-induced dip angles can arise
258 also from topographic effects, that is the interaction between the waves and
259 the free surface. Following Neuberg and Pointer (2000), we infer this effect

260 for three nucleation dips equal to 40° , 60° and 80° , which are roughly the
261 values assumed at the end of Phase II, Phase III and Phase IV, respectively.

262 The inclination of the surface of volcano in correspondence of SSLS is
263 about 20° , thus these three nucleation dips become respectively 20° , 40° and
264 60° respect to the normal to the volcano flank. Taking into account the
265 pronounced conical symmetry of the edifice (Petersen et al., 2006) and the
266 wavelengths typical of the LP seismicity (2.4 km and 1.9 km respectively for
267 the frequencies 0.8 Hz and 1.6 Hz, assuming a medium velocity of 3 km/s
268 (Dixon et al., 2005)), we can approximate the volcano profile as a triangular
269 shape. In general the velocity model used for Shishaldin is a 1D model with
270 horizontal layers (Dixon et al., 2005). In this case, considering a V_p/V_s ratio
271 of 1.78 (Dixon et al., 2005) and a shallow source (about 500 m below the
272 surface), dip angle distortions are relevant (3° - 8°) only for angles of 80° - 90°
273 (60° - 70° respect to the normal – Neuberg and Pointer (2000)).

274 The other factor of topographic distortion is eventually due to the surficial
275 structure of the volcanic edifice combined with a shallow source. Since a
276 detailed velocity model for Shishaldin does not exist, we can only take into
277 account the simulation performed by Neuberg and Pointer (2000): the particle
278 motion can suffer a distortion of 10° - 20° for a surficial source (source
279 depth of 1 km) and high frequencies (0.5-1 Hz).

280 Together with the topographic effect, which affects the individual dip
281 estimate, one should also take into account the stochastic variability of the
282 source process. This last factor induces a statistical variability of the dip
283 behavior during each LP event. To account for this effect, we evaluate the
284 standard deviation of the dip estimate, that is the error of the mean dip

285 curves (continuous and dotted red curves in Fig. 8) for each Phase. Together
286 with the variability of the source process, the dispersion of the dip values can
287 include also the effect of random scattering of the medium, which can modify
288 the measured dip angle even for a stable source.

289 In general, the standard deviation results relatively higher in correspon-
290 dence of the nucleation dip, ranging between 5° in Phase V and 25° in Phase
291 II. On the contrary, the standard deviation of the relative maximum of the
292 dip curves is on average lower, with values in the range 5° - 10° . These ob-
293 servations can be interpreted in terms of a larger variability of the source
294 position during the LP nucleation, especially during Phase II, while the dip
295 shallowing appears less variable. The error associated with the noise is 10° -
296 20° .

297 Therefore, the statistical variations of the dip angles can be relevant (such
298 as in Phase II) and thus must be considered dominant. These variations re-
299 flect into small-scale oscillations of the time evolution of the nucleation dip
300 (Figure 4c). Nevertheless, the long-term increase and decrease of the nucle-
301 ation dip indicate that the mean behaviour of the dip angle is anyway visible
302 and meaningful and that the overall modifications of the source process over-
303 comes the errors. In this sense, the nucleation dip can be considered a good
304 proxy of the source depth. However, given the involved errors, we will mostly
305 focus on the overall pattern of the nucleation dip and on its time variations,
306 while the exact location of the source is behind the scope of the paper.

307 Finally, we should also mention that the calculated dip angles must be
308 considered apparent dips (ϕ'), that is the projections of the real dips (ϕ)
309 upon the Z-NS plane, as the EW component of the SLS station is not

310 available. Thus, to be able to connect the dip angles to the depth source,
 311 we are implicitly assuming that the source does not change its position over
 312 the NS-EW plane (or at least that the angle between the line connecting
 313 the source and the SSLS station and the North direction is constant). This
 314 assumption is well grounded as the activity of Shishaldin has been historically
 315 located in the crater area, which can be considered as a point source given the
 316 distance between the crater. Given the angle between the North direction and
 317 the line connecting the crater and SSLS ($\beta \sim 20^\circ$), the discrepancy between
 318 real and apparent dip is $\lesssim 2^\circ$ ($\frac{\tan(\phi')}{\tan(\phi)} = \cos\beta$), thus negligible.

319 6. Spectral analysis

320 To evaluate the spectral content of the analyzed dataset we calculated the
 321 power spectrum of the extracted LP events. In detail, we estimate the square
 322 of the Fast-Fourier Transform (FFT) of each event windowed with a Hanning
 323 function. For this analysis, the signals were corrected for the instrumental
 324 response and filtered in the band 0.5-10 Hz.

325 Figure 4d illustrates the time evolution of the LP power spectra along the
 326 whole dataset at SSLN. The spectrograms of SSLS and SSLW are plotted in
 327 Fig. 9. Each bin displays the normalized spectra (the spectrum of each LP is
 328 normalized with respect to its own maximum) averaged over 6-hour blocks.

329 The spectra of all the stations appear composed of two main peaks, one
 330 at 0.8-1 Hz and another at 1.3-1.6 Hz, indicating a major imprinting of
 331 source effects on the waveforms. Nevertheless, these two broad peaks can
 332 be, in some cases, subdivided in two or more peaks; their relative amplitude
 333 depends on the station. In detail, SSLN shows two peaks around 0.8 Hz and

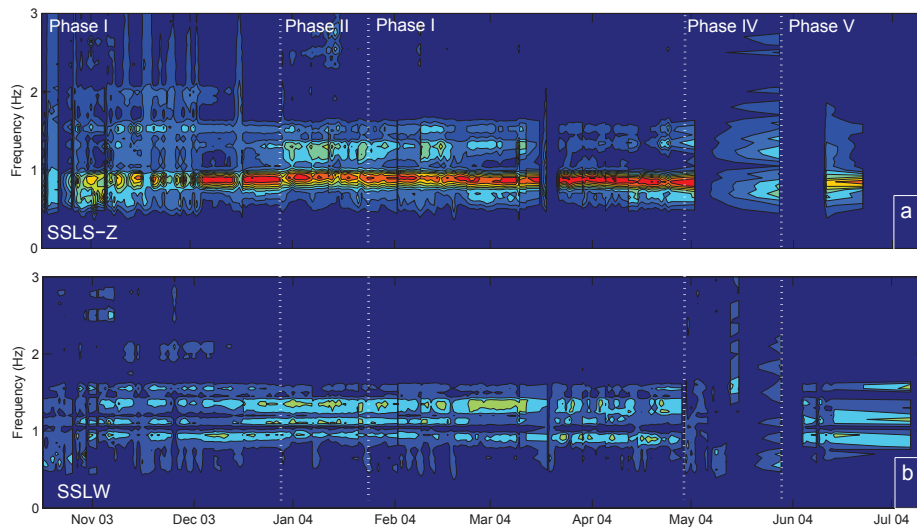


Figure 9: Normalized spectrogram of LP events at SSLS-Z (a) and at SSLW (b). The vertical dotted lines separate the five phases described in the text. To process the LP waveform at each station the picking procedure has been computed separately for each station, with the effect that low-energy events may evade detection at SSLS and SSLW.

334 1 Hz and a strong component around 1.6 Hz, whereas for SSLW and SSLS
 335 the component at 1.4 Hz and 0.9 Hz dominate, respectively.

336 The spectra appear rather stable along the phases, with just a possible
 337 redistribution of the energy among the peaks. During Phase IV, a remarkable
 338 increase of a component at 0.6-0.8 Hz is visible. Such a component is also
 339 observed in the brief time interval between March 11 and 25.

340 7. Discussion and conclusions

341 In this paper, we have analyzed the seismicity of Shishaldin volcano
342 (Alaska) in the period 2003-2004, which includes a small ash and steam
343 eruption culminating in May 2004. We focus on long-period (LP) events,
344 which occurred with a rate of 20-80 events/hour and have a spectral content
345 in the 0.5-3 Hz range.

346 We have extracted a very large dataset of LPs (about 330,000), picked by
347 a revised version of the short-term average/long-term average (STA/LTA)
348 method at the station with the highest signal-to-noise ratio. These LPs
349 show variations in amplitude, spectra and particle motion that reveal the
350 systematic evolutions in generating plumbing system.

351 The dip angles from polarization analysis increase during the LP events
352 nearly linearly from a minimum value at the onset of the event towards shal-
353 low oscillations, suggesting an upward migration of the LP source, consistent
354 with observation elsewhere (Chouet, 2003; Palo et al., 2009; Kumagai et al.,
355 2011). We have associated this minimum value with the depth at which
356 the LP events nucleate. We define as ΔP the local pressure dishomogeneity
357 within the magma-gas mixture in the shallow feeding network leading to the
358 LP events. Specifically, one can depict this framework as a pressure gradient
359 between a coherent gas aggregation and the surrounding magma and/or hy-
360 drothermal system. ΔP induces an acceleration of the fluid, which interacts
361 with the rock radiating seismic waves under the form of LP events.

362 We have also estimated the dip parameter for the background signal,
363 which appears systematically higher than the nucleation dip. Moreover, its
364 time evolution follows the nucleation dip. These behaviors suggest that some

365 of the background signal may also have a volcanic origin, possibly suggesting
366 permanent degassing. This scheme would be similar to many other volca-
367 noes worldwide showing a background volcanic tremor on which intermittent
368 high-energy volcanic quakes are superimposed (Julian, 1994; Chouet, 1996;
369 Bottiglieri et al., 2005; De Lauro et al., 2008, 2009; Palo and Cusano, 2013).
370 The shallowest dips of both the LPs and tremor ($>80^\circ$) overlap during the
371 eruption (Phase IV), suggesting that the two phenomena somehow merge
372 into a unique continuous signal (also supported by the similar amplitudes in
373 this Phase). This phenomenon often appears in volcanoes close to or during
374 an eruption (e.g., Chouet et al., 1994; De Martino et al., 2011a).

375 The nucleation dip evolves from an initial value of 75° at the beginning of
376 our dataset. It decreases to a minimum of about 30° - 40° shortly before the
377 middle of January 2004, in Phase II, though there is considerable scatter at
378 this time. After this, the dip increases slowly until the eruption (May, 2004).
379 At this point it increases from about 60° at the end of Phase III to about 85°
380 during Phase IV. After the eruption (Phase V), the nucleation dip returns
381 to values around 75° , similar to those found at the beginning of the dataset.

382 This gradual change of the nucleation dip suggests an analogous change of
383 the nucleation depth. Assuming a nearly vertical main conduit composed of
384 a homogeneous medium and conducting purely compressional waves, a rough
385 estimate of the nucleation depth gives values of about 0.9 km, 3.0 km and
386 6.3 km respectively for dip of 80° , 60° and 40° respect to SSLS, which has
387 an elevation of about 2 km below the crater. Although our depth estimates
388 are highly approximated, they are, on average, deeper than those found by
389 Petersen et al. (2006), who estimated LP depths at 0–3 km below the crater.

390 However, our estimates should be considered deeper limits, as a more realistic
391 velocity model would probably imply layers with velocities increasing with
392 the depth, which would reduce the depth at which the backtraced seismic ray
393 crosses the vertical conduit. This is especially true for the depth estimate
394 corresponding to the highest dip, as topography effects in this case can be
395 strong and dip angles close to 90° might be basically induced by any source
396 at depths between 0.9 *km* below SSLs and the free surface. Despite these
397 limitations, we cannot exclude that these differences are the signature of the
398 peculiar seismic activity just before the eruption, as opposed to the activity
399 when the volcano is in steady state (Petersen et al., 2006).

400 Dividing our estimates of the nucleation depth by the rise time of the
401 dip during an LP event (~ 5 s), we obtain rising velocities of about 0.2-1
402 Km/s. Assuming that compressive waves radiated from the source dominate
403 the wavefield during the whole LP event, these values are compatible with
404 a pressure wave propagating along the conduit towards the surface, rather
405 than to the upward migration of the gas aggregation (Ishihara, 1985; Palo
406 et al., 2009).

407 Our findings imply that the LP source is at first relatively shallow ($\lesssim 1$
408 km respect to SSLs), than it deepens until reaching about 3.0 km below
409 SSLs at the end of Phase I and about 6.3 km during Phase II. Afterwards, it
410 moves upwards again, reaching depths of about 3.0 km at the end of Phase
411 III. At the beginning of Phase IV, the dip suggests a source nearly as shallow
412 as that observed during Phase I. Later in Phase IV, there is a slight increase
413 of the dip, suggesting a shallowing, until it becomes basically surficial. After
414 the eruption, the source depth becomes again stabilized at around 1 km, as

415 in Phase I.

416 This variable nucleation depth indicates that the source of LP events may
417 shift within the conduit (or, more in general, along the plumbing system)
418 in a nearly continuous way. This implies that structural effects, such as
419 physical constraints that promote gas accumulation (inclined conduits, roofs,
420 asperities, etc.), are negligible in the ΔP nucleation and thus in the LP
421 production. Source mechanisms such as choked fluid flow (e.g., Petersen,
422 2007) seem unlikely. A more probable mechanism for LP generation includes
423 spontaneous gas aggregation in the form of slags or pockets (Bottiglieri et al.,
424 2005). Acoustic measurements and visual observation of gas puffing from the
425 crater indicate that Shishaldin can host such source mechanisms (Vergnolle
426 et al., 2004; Caplan-Auerbach and Petersen, 2005). If this is true, then
427 changes of the source position, as well as other LP properties, are likely
428 manifestation of thermodynamical changes in the plumbing system. The LP
429 source is surprisingly persistent despite its migrating location in agreement
430 with the LP rate pattern. The LP production, that shows an inhibition
431 while a high-energy volcanic tremor appears in Phase IV, restarts in Phase
432 V, indicating that the eruption does not destroy the LP source process.

433 The persistence of the LP source process is also confirmed by the spectral
434 analysis, which shows rather stable frequency content along the dataset. Two
435 main spectral peaks in the frequency bands 0.8-1 Hz and 1.3-1.6 Hz at all
436 the stations suggest that the LP waveforms are dominated by steady source
437 mechanisms. The nearly common spectral bands among the stations suggest
438 that these mechanisms should include an imprinting of the source process. On
439 the other hand, the details of the spectra show a dependence on the station,

440 with a variation of the frequency of the main peaks and of the distribution
 441 of the energy among the peaks. This evidence together with the stability
 442 over time of the spectra suggest also a relevant contribution of site and path
 443 effects, which are less sensitive to modifications of the source position and
 444 of the thermodynamical conditions of the fluid-rich volcanic conduit hosting
 445 the LP activity and feeding the external emissions.

446 The reduction of the seismic amplitude during Phase IV agree with a
 447 persistent gas-driven source. The low amplitude may reflect that the gas
 448 fraction is predominantly driving the eruption instead of discrete seismic
 449 events. This would also explain why at the end of the eruption there is a
 450 gradual recover of the LP rate and amplitude.

451 Moving from Phase I to Phase II, there is a decrease in the seismic am-
 452 plitude and the dip. Considering the estimates of the source depth reported
 453 above, this transition corresponds to a deepening of the source from about
 454 3.0 km to about 6.3 km below SSLS. The attenuation effects associated with
 455 this sinking of the source can be calculated, taking into account geometric
 456 spreading and scattering effects:

$$\frac{A_{II}}{A_I} = \frac{x_I}{x_{II}} e^{\frac{f\pi}{Qv}(x_I - x_{II})} \quad (1)$$

457 where $A_{I,II}$ and $x_{I,II}$ are, respectively, the signal amplitude and the
 458 station-source distance in the Phase I and Phase II. Fixing the frequency
 459 at 1 Hz and adopting typical parameters for volcanic areas of the quality
 460 factor and the wave velocity ($Q=30-100$, $v_P=1-3$ km/s Benoit and McNutt
 461 (1997); Kumagai and Chouet (1999); Morrissey and Chouet (2001); Dixon
 462 et al. (2005)), the attenuation falls in the range 15 % - 40 %. In our case, the

463 amplitude drops by about 50 % - 70 %, depending on the station, suggesting
464 that attenuation effects could combine with a real decrease of the energy of
465 the source process.

466 Thus, we can claim that the most prominent changes of the parame-
467 ters occur in Phase II, as confirmed also by a thermal anomaly recorded in
468 January. We hypothesize that in Phase II 1) changes of nucleation depths
469 indicate a change of the source position, reflecting in turn modifications of
470 the thermodynamic state within the plumbing system and 2) a sinking of the
471 source reflects a decrease of the confining pressure within the plumbing sys-
472 tem, allowing the nucleation at greater depths. In this framework, similarly
473 the source shallowing during Phase III would be the effect of an increase of
474 the pressure, which would lead the ΔP to nucleate upper and upper to find
475 suitable conditions to overcome to the confining pressure. Such an increase
476 of pressure and an upward migration of the source of seismicity are plau-
477 sible before the eruptions and observed at many volcanoes worldwide (e.g.,
478 Castellano et al., 1993; Voight et al., 1998; Sparks, 2003; Battaglia et al.,
479 2005; Sparks et al., 2012; Jousset et al., 2013).

480 Under these hypotheses, it is possible to infer a rough estimate of the
481 internal pressure change that drives the source as it migrates upwards from
482 the end of Phase II (when the source depth h is maximum - $h_{II} \sim 6.3km$) to
483 the end of Phase III ($h_{III} \sim 3.0km$), which begins the eruption. We define
484 such a pressure change as $\Delta\bar{P}$. We adopt a simple hydrostatic model, in
485 which the changes of the depths of the LP source are only due to changes of
486 the mean pressure within the plumbing system, acting as confining pressure
487 for the gas aggregations. We assume that the volcanic crises is induced

488 only by a pressure variation, leaving unchanged all the other parameters
 489 (temperature, gas content, etc.). In this scheme, starting from the depth
 490 estimations introduced above, the change of hydrostatic pressure $\rho g(h_{III} -$
 491 $h_{II})$ would equal the change of internal pressure. Therefore, adopting a value
 492 of the mean magma density (assumed constant) typical of a melt-gas mixture
 493 ($\rho=1500 \text{ kg/m}^3$, Ripepe and Gordeev (1999); Mori and Burton (2009)), we
 494 find a $\Delta\bar{P} \sim 5 \times 10^7 \text{ Pa}$. This value can be connected with the variation of
 495 density via:

$$\frac{d\rho}{\rho} = \frac{\Delta\bar{P}}{K} \quad (2)$$

496 which, assuming rigid conduit walls, can be linked to the mass change,
 497 leading to the pressure variations:

$$\frac{\Delta M}{M} = \frac{\Delta\bar{P}}{K} \quad (3)$$

498 where K is the bulk modulus. For a cylindric conduit, $M = \pi R^2 l \rho$,
 499 where l is the length of the conduit ($l \sim 3 \text{ km}$) and R its radius (fixed to 6 m,
 500 Vergnolle et al. (2004)). For rheological parameters typical of bubbly magma
 501 ($K=10^6-10^8 \text{ Pa}$, $\rho=1500 \text{ kg/m}^3$, Ripepe and Gordeev (1999); Nishimura
 502 (2009)), we get a value of $\Delta M \sim 10^8 - 10^{10} \text{ kg}$, occupying a volume of
 503 $\Delta V \sim 10^5 - 10^7 \text{ m}^3$. Although these values must be considered reliable only
 504 to an order of magnitude, they are compatible with other estimates of emit-
 505 ted material during larger eruptions, such as the 1999 Shishaldin (Stelling
 506 et al., 2002) and of 2007 Stromboli (Landi et al., 2009) eruption, estimated
 507 around 10^7 m^3 . This suggests that the location of LP events, even if roughly
 508 inferred from polarization dip, can be very useful to our goal of mitigating

509 volcanic risk. In particular, rather than the absolute location of the events,
510 relevant for volcanic risk purposes is the source depth variation, which can
511 be roughly (and potentially in real-time) inferred also at volcanoes with poor
512 instrumental monitoring, even with only one three-component seismometer,
513 as we have shown.

514 Geodetic observations during the eruption of 1999 suggest that the main
515 magma chamber at Shishaldin is not shallow ($\lesssim 10$ km, Moran et al. (2006)).
516 On the other hand, the presence of some magma at shallow depth ($\sim 3\text{--}5$ km,
517 possibly coexisting with an hydrothermal system) is indicated by geochemical
518 and seismological evidences (Stelling et al., 2002; Vergnolle and Caplan-
519 Auerbach, 2004; Moran et al., 2006) and by the persistent gas plumes of
520 sulfurous nature (Caplan-Auerbach and Petersen, 2005). Moran et al. (2006)
521 suggest that magma migrated from the deeper chamber to the shallower
522 chamber with a velocity of ~ 80 m/day during the eruption of 1999.

523 Therefore, we hypothesize the existence of a shallow plumbing system,
524 with mostly degassed magma, at low pressure (and possibly interacting with
525 a hydrothermal system), and a deeper plumbing system at higher-pressure
526 conditions, hosting low-degassed magma. The deeper chamber can still host
527 magma with properties similar to those of the magma erupted during the
528 event of 1999; this magma is basaltic and able to produce strombolian foun-
529 tains at shallow depths, where its volatile components can be released (Nye
530 et al., 2002; Stelling et al., 2002).

531 We propose that the activation of a path between the shallow and the deep
532 magma chamber is responsible for the overall downward and the subsequent
533 upward migration of the LP events. In this case, the lower plumbing system

534 would experience a temporary pressure drop favoring the gas nucleation also
535 at larger depths, thus explaining the general dip reduction in Phase I-II. It
536 also explains the strong dip fluctuations, as pressurization events could nu-
537 cleate at more than one depth before the two subsystems clear the pressure
538 discontinuity becoming one. Afterwards, magma from the deeper sector mi-
539 grates upwards slowly increasing the overall pressure and reducing the ther-
540 modynamic inhomogeneities in the plumbing system, which are eventually
541 removed by the eruption.

542 The connection path could be promoted by the high-pressure low-degassed
543 deep magma pushing against the upper structure. From this pushing, a part
544 of the volatile fraction of the magma can exsolve and flush upwards, increas-
545 ing the density of gas in the upper chamber visible as an increase of the LP
546 amplitude during Phase I. On the contrary, the pressure increase in Phase III
547 would be induced by the upward migrations of batches of deep magma, with
548 the consequence of a larger and larger release of gas, which in turn makes
549 higher the internal pressure and the LP rate. When the internal pressure
550 reaches critical conditions, the eruption starts.

551 In our scheme the transient phenomenon occurred between March 11 and
552 25 remains unexplained. The behavior of the estimated parameters (lowering
553 of event rate and seismic amplitude, and decreasing dip angles) indicates a
554 decrease of the nucleation depth by mean of a mechanism similar to that
555 explaining the eruptive Phase. Visual inspection of the waveforms indicates
556 that decreased event rate and seismic amplitude are real changes and not
557 an artifact of increasing tremor. This suggests a temporary reduction in
558 degassing. The absence of observer reports during this time period makes it

559 impossible to confirm this assertion.

560 Nevertheless, our work highlights the importance of observing the pressur-
561 ization phenomena generated by active volcanoes as a tool for inspecting the
562 internal conditions of the shallow plumbing system. In the case of Shishaldin,
563 variations in the LP process began at least three months before the 2004 erup-
564 tion. This study demonstrates the potential for interpreting modest changes
565 in LP earthquakes properties to infer specific physical changes in magmatic
566 system. If assessed quickly, this types of changes may prove useful for estab-
567 lishing the likelihood and timing of potential eruptions.

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735 Investigating the source characteristics of long-period (lp) seismic events
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Highlights

- We analyzed the evolution of Shishaldin volcano Long Period seismicity in 2003-04.
- We found a source deepening and then a shallowing until a small eruption.
- We link source depth variations with pressure changes within the plumbing system.
- We imputed these changes to a magma intrusion from a deeper to a shallower chamber.
- This study shows the LP potential to infer physical changes in magmatic systems.