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1 **How caldera collapse shapes the shallow emplacement and transfer of magma** 2 **in active volcanoes**

3
4 **Authors:** F. Corbi^{1*}, E. Rivalta¹, V. Pinel², F. Maccaferri¹, M. Bagnardi³, V. Acocella⁴

5 **Affiliations:**

6 ¹GFZ German Centre for Geosciences, Section 2.1, Telegrafenberg, 14473 Potsdam, Germany.

7 ²ISTerre, Université Savoie Mont-Blanc, IRD, CNRS, Campus Scientifique, Le Bourget du Lac
8 F73376, France.

9 ³COMET, School of Earth and Environment, University of Leeds, Leeds LS2 9JT, UK.

10 ⁴Dipartimento di Scienze, University of Roma Tre, L. S.L. Murialdo, 1, 00146, Rome, Italy.

11
12 *Correspondence to: fabio.corbi@gfz-potsdam.de.

13
14 **Abstract:** Calderas are topographic depressions formed by the collapse of a partly drained
15 magma reservoir. At volcanic edifices with calderas, eruptive fissures can circumscribe the outer
16 caldera rim, be oriented radially and/or align with the regional tectonic stress field. Constraining
17 the mechanisms that govern this spatial arrangement is fundamental to understand the dynamics
18 of shallow magma storage and transport and evaluate volcanic hazard. Here we show
19 with numerical models that the previously unappreciated unloading effect of caldera formation
20 may contribute significantly to the stress budget of a volcano. We first test this hypothesis
21 against the ideal case of Fernandina, Galápagos, where previous models only partly explained
22 the peculiar pattern of circumferential and radial eruptive fissures and the geometry of the
23 intrusions determined by inverting the deformation data. We show that by taking into account the
24 decompression due to the caldera formation, the modeled edifice stress field is consistent with all
25 the observations. We then develop a general model for the stress state at volcanic edifices with
26 calderas based on the competition of caldera decompression, magma buoyancy forces and
27 tectonic stresses. These factors control: 1) the shallow accumulation of magma in stacked sills,

28 consistently with observations; 2) the conditions for the development of circumferential and/or
29 radial eruptive fissures, as observed on active volcanoes. This top-down control exerted by
30 changes in the distribution of mass at the surface allows better understanding of how shallow
31 magma is transferred at active calderas, contributing to forecasting the location and type of
32 opening fissures.

33

34 **Keywords:** caldera collapse, decompression, dike propagation, Finite Element model,
35 Fernandina

36

37 **1. Introduction**

38 The dynamics of magma storage, transport and eruption are thought to be controlled by both
39 bottom-up (e.g., magma supply rate, volume and composition; e.g., Poland et al., 2012; Galland
40 et al., 2014) and top-down processes (e.g., the effect of edifice load on magma ascent; e.g., Pinel
41 and Jaupart, 2000; Muller et al., 2001). Understanding the state of stress within a volcanic edifice
42 is one of the key ingredients to improve our ability of forecasting magma propagation and
43 eruption. Most polygenetic volcanoes are formed over long time scales by deposition and
44 compaction of volcanic products that create a time-evolving stress state within the edifice. The
45 stress field may be later modified by diffuse fracturing, diking or redistribution of surface mass
46 (e.g. landslides), and by changes in the mechanical properties of the rock layers themselves as
47 the layers tend to become stiffer with time. The simple assumption of a gravitationally loaded
48 volcano may, therefore, be very far from reality for most volcanoes around the world. Important
49 clues into the stress state of a volcano come from the orientation of dikes and fissures observed
50 in the field, as they tend to orient perpendicularly to the direction of the minimum compressive
51 stress, σ_3 (Anderson, 1951) and be controlled by stress gradients (buoyancy, edifice and regional
52 stresses).

53 Calderas are sub-circular topographic depressions created by the yielding of a magma chamber,
54 drained by large intrusions, effusive or explosive eruptions (Lipman 2000; Cole et al., 2005).
55 Post-caldera volcanism is commonly fed by regional, circumferential and radial dikes (e.g.,
56 Acocella and Neri, 2009). Regional dikes are often sub-vertical and aligned orthogonal to the

57 regional tectonic σ_3 , as observed along the axis of rift zones, both within and outside the
58 calderas. Dikes that propagate within the volcanic edifice are likely to be controlled by the local
59 stress field (Gautneb and Gudmundsson, 1992). These dikes may be arranged as centrally-
60 inclined sheets (e.g., cone sheets), which are commonly circular or elliptical in map view, and/or
61 have a radial distribution in alignment with the axis of the edifice (e.g., Acocella and Neri, 2009).
62 Although their orientation at the surface may mimic that of ring faults (i.e., shear fractures),
63 inclined sheets are magma-filled extensional fractures. Both radial dikes and inclined sheets have
64 been observed at several eroded volcanoes, with the latter also possibly associated to shallow
65 viscous magma (Galland et al., 2014). In particular, inclined sheets have been identified in
66 Scotland (e.g. Burchardt et al., 2013), the Canary Islands (e.g., Ancochea et al., 2003), Japan
67 (Geshi, 2005), and Iceland (e.g. Burchardt and Gudmundsson, 2009). Their surface expression as
68 circumferential eruptive fissures is, on the other hand, relatively rare, and has only been observed
69 around calderas in the western Galápagos Archipelago (Fernandina, Wolf, Darwin, Alcedo,
70 Sierra Negra and Cerro Azul; Chadwick and Howard, 1991) and, to lesser extent, in Iceland at
71 Krafla, Grimsvotn (Thordarson and Self, 1993) and Askja calderas (Hartley and Thordarson,
72 2012), Dolomieu (Piton de la Fournaise, La Réunion; Carter et al., 2006), Rano Kau (Easter
73 Island; Vezzoli and Acocella, 2009); and other planets (Venus, Tharsis Province on Mars; e.g.,
74 Montési, 2001) calderas. The rare and selective distribution of circumferential eruptive fissures
75 suggests that most inclined sheets stall at depth, without reaching the surface, and that formation
76 of these fissures is favored, but not guaranteed, by the presence of a caldera. This may imply a
77 specific stressing mechanism active at volcanoes with a caldera, competing with other stressing
78 factors.

79 Some of the best-developed circumferential fissures are found at Fernandina (Galápagos; Fig1;
80 Chadwick and Howard, 1991), which hosts a ~1 km deep and ~6.5 x 4 km wide caldera resulting
81 from several collapses testified by old benches and a ~350 m drop of the SE caldera floor in
82 1968 (Simkin and Howard, 1970; Howard, 2010). Several models have been proposed to explain
83 the formation of circumferential fissures at Fernandina, considering the effect of: a) caldera
84 faults capturing and channeling magma to the caldera rim (Nordlie, 1973, Browning and
85 Gudmundsson, 2015); b) caldera walls unbuttressing re-orienting the minimum compressive
86 stress perpendicular to them (Simkin, 1984; Munro and Rowland 1996); c) stress perturbations
87 due to the pressurization of a magma chamber (Chadwick and Dieterich, 1995; Chestler and

88 Grosfils, 2013) or d) a previous intrusion (Bagnardi et al., 2013). The caldera fault model was
89 excluded based on observing: i) no displacement in layers adjacent to circumferential dikes; ii)
90 circumferential dikes crosscutting caldera faults; and iii) circumferential fissures located well
91 downslope from the caldera rim (Chadwick and Dieterich, 1995). The edifice unbuttressing
92 model fits well with the orientation of circumferential fissures at the surface but is inconsistent
93 with intrusions starting as sills (Chadwick et al., 2011; Bagnardi et al., 2013). The most
94 accredited models now appeal to the inflation of magma reservoirs of various shapes. For
95 example, the inflation of a diapir-shaped source plus the uniform surface load due to the
96 emplacement of lava flows applied from the caldera wall outward produce a stress field
97 consistent with proximal circumferential dikes and distal radial dikes (Chadwick and Dietrich,
98 1995).

99 Recent crustal deformation studies have revealed previously unknown features of magma
100 transport beneath Fernandina. Modeling of InSAR data showed that the dike feeding the 2005
101 eruption started as a sub-horizontal sill that curved upward and erupted through proximal
102 circumferential fissures (Chadwick et al., 2011; Bagnardi et al., 2013). The magma injection
103 feeding the subsequent 2009 eruption also started as a sub-horizontal sill that, propagating
104 laterally, turned into a dike with dip angle increasing from 33° to 50°, indicating a progressive
105 twisting about a radial axis (Bagnardi et al., 2013). Radial fissures present on the volcano flanks
106 result therefore from shallow dipping dikes intersecting the volcano topography (Jónsson et al.,
107 1999; Bagnardi et al., 2013). While former interpretations and models on the mechanics of
108 magma transport were constrained only by the eruptive fissure distribution at the surface, current
109 robust constraints on the 3D sub-surface intrusion geometry now allow us to test previous and
110 new models.

111 Analytical and numerical models of local stresses around magma chambers have been used to
112 infer dike propagation paths to the surface, as well as their arrest at depth (e.g., Gudmundsson
113 2006). Chestler and Grosfils (2014) calculated the stress pattern due to the inflation of an oblate
114 reservoir, as there is a widespread geological, geophysical and modeling evidence that these are
115 the most common shapes for magma reservoirs (e.g., Petford et al., 2000). The authors focus on
116 the conditions necessary to generate radial dikes, inclined sheets and sills twisting into radial
117 dikes based on rupture orientation at the chamber wall as well as orientation of σ_3 within the
118 edifice with specific application to Fernandina. This study revealed that radial dikes can initiate

119 at the reservoir wall only for mildly oblate reservoirs (with aspect ratio around 1.3) however such
120 reservoirs are difficult to reconcile with the flat-topped reservoir geometry imaged for
121 Fernandina (Chadwick et al., 2011; Bagnardi and Amelung, 2012). For more oblate reservoirs
122 (i.e., aspect ratio >2), intrusions are expected to initiate as sills at the chamber wall. Then
123 depending on the chamber aspect ratio and based on the orientation of σ_3 , different magma paths
124 and dike geometries are derived but none of them is consistent either with a sill bending into an
125 upward propagating dike (with an upward concavity) to feed a circumferential fissure or with a
126 radially propagating dike reaching the surface in the flank area, since σ_3 is always in plane in the
127 upper 1.5 – 2.0 km beneath the surface. Moreover, magma reservoirs depressurize while
128 injecting a sill or a dike (e.g., Gudmundsson, 2006) reducing the magnitude of the induced stress
129 perturbation. Simultaneously, as the dikes elongate the stress concentration at their tip will
130 intensify, resulting in a decrease of the role played by the other contributions (magma chamber or
131 unloading).

132 The orientation and location of the eruptive fissures at Fernandina was related to the stress
133 perturbation from earlier intrusions (Bagnardi et al., 2013). For example, the 2005 intrusion was
134 found consistent with the stress perturbation due to the intrusion of a sill with geometry, location
135 and displacement derived for the preceding 1995 intrusion. This model may explain both the
136 location and orientation of the fissures and the alternation between circumferential and radial
137 fissures observed recently (i.e., circumferential in 1982, radial in 1995, circumferential in 2005,
138 and radial in 2009), but provides a mechanism that can only be tested at Fernandina.

139 Here we propose that the gravitational unloading due to surface mass redistribution associated
140 with the formation of a caldera may contribute significantly to the stress budget within a volcanic
141 edifice. Collapses may lower the caldera floors by several hundreds meters up to a few km, so
142 that a large decompression of the magmatic system (~ 8 MPa for 300 m of collapse) may occur in
143 a relatively short time interval. Such decompression may dominate over tectonic stresses and
144 magma chamber pressurizations. Topographic effects have been previously considered as a notch
145 in the morphology. Such notches concentrate stresses around them and are found to influence
146 dike propagation only at very shallow levels (few tens of m to few hundreds m; e.g.,
147 Gudmundsson, 1998; Gudmundsson, 2011), while gravitational unloading due to the removal of
148 mass from the surface may lead to significant rotation of the principal stresses and affect the
149 dynamics of magma propagation also at deeper levels (Hooper et al., 2011; Maccaferri et al.,

150 2014). We test this possibility using numerical Finite Element (FE) models to calculate the stress
151 field within a volcanic edifice decompressed during the formation of a caldera and investigate
152 the expected orientation of the magma intrusions.

153

154 **2. Methods**

155 We use the COMSOL Multiphysics® software based on the Finite Element method to generate a
156 series of models assuming a homogeneous elastic medium with 2D axisymmetric configuration.
157 We use a 100 x 100 km² model shaped accordingly to the average topography of Fernandina
158 extracted from the SRTM VERSION 2_1 DEM and the NGDC-NOAA bathymetry offshore W
159 Fernandina. The properties of the subdomains are set as follows: density $\rho=2700 \text{ kg}\cdot\text{m}^{-3}$,
160 Poisson's ratio $\nu=0.25$ and shear modulus $G=10 \text{ GPa}$. The bottom and lateral boundaries are set
161 as zero normal displacement. The model's surface is set as free.

162 As for the reference stress state of the volcano over which to superpose the stress perturbation,
163 we assume an initially isotropic reference stress field (e.g., Chadwick and Dieterich, 1995;
164 Bagnardi et al., 2013). This is justified by the slow and gradual growth of the volcano, by
165 repeated injection of dikes homogenizing the stress, and by diffuse fracturing that would
166 continuously release the deviatoric stresses by inelastic deformation. Unloading is simulated by
167 applying a vertical tensile stress on the caldera floor and steep caldera walls, assuming that in the
168 pre-caldera stage there was a flat surface at the elevation of the caldera rim, $H_c=1290 \text{ m}$. The
169 amount of unloading, U , is calculated as $U=\rho\cdot g\cdot(H_c-H_t)$; where H_t is the elevation of each point
170 along the caldera profile.

171 The stress field in the volcano after collapse may be rather complicated, with a stress arch in the
172 roof of the magma chamber, stress concentrations and shear/tensile failure (Holohan et al., 2015).
173 We do not model caldera collapse as such. A quantitative estimation of stresses coming from the
174 collapse itself would depend on different collapse structural styles and apply only to specific
175 cases. For this reason, we focus on the decompression stresses acting below the caldera area of a
176 volcano due to mass redistribution. Complications like caldera bounding faults are also neglected
177 because: a) at Fernandina the eruptive activity is located well outside of the ring fault margins
178 (Chadwick and Dieterich, 1995); b) the decompression due to the formation of a 1000 m deep
179 caldera is expected to dominate over other processes such as faulting, that cause localized stress

180 concentrations and are capped by the shear or tensile strength of rock; c) the stress variation
181 depends on the geometry and dip of the faults; inward- and outward-dipping faults will have
182 opposite effects. Moreover, we do not include the stress perturbations due to a pressurized
183 reservoir or previous intrusions. We will rather infer as a result of our model the favored shape
184 and orientation of a reservoir forming in response to the modeled stress conditions.

185

186 **3. Results**

187 We find that added to an isotropically stressed volcano, gravitational unloading induces a
188 complex stress rotation. σ_3 is in plane sub-vertical beneath the caldera region and rotates
189 progressively to sub-horizontal moving toward the sides of the edifice (Fig.2a). σ_3 becomes out
190 of the axisymmetric plane at shallow depth below the volcano flanks, in a region that is ~ 2 km
191 deep at the coastline and tapering towards the summit plateau.

192 Intrusions fed by a shallow magma reservoir (~ 1 km b.s.l.) are expected to initiate horizontally as
193 sills that steepen progressively during their lateral propagation and erupt on the summit plateau.
194 Along such path σ_3 lies on the axisymmetric plane, except for the uppermost ~ 500 m where it
195 becomes out of plane; therefore fissures are expected to be primarily circumferential, with minor
196 deviations e.g. the 1958 fissure at Fernandina (Chadwick and Howard, 1991).

197 Intrusions originating from deeper regions (>2 km b.s.l.) are also expected to start as sills that
198 progressively bend upward, but they encounter a wide region where σ_3 is perpendicular to the
199 axisymmetric plane (i.e., tangential) and the maximum compressive stress σ_1 is radial sub-
200 horizontal, promoting radial dikes. These dikes are forced to twist about an axis parallel to the
201 direction of propagation and feed radial fissures, as in 1995 and 2009 (Jónsson et al., 1999;
202 Bagnardi et al., 2013). The length-scale over which a complete 90° twist occurs will depend on
203 dike overpressure and the magnitude of the deviatoric stress and its gradient, and is inferred to
204 occur beneath off-shore rifts to the W of Fernandina (Bagnardi et al., 2013).

205 We also test the case of a gravitationally loaded volcano. We added a body load to a pre-stressed
206 edifice under lithostatic (isotropic) conditions (e.g., Chestler and Grosfils, 2013). This results in
207 pervasive vertical σ_1 , with only minor deflection (few degrees) in the sub-caldera rock volume.
208 In fact the effect of the volcanic edifice sagging under its own weight is much larger than the

209 caldera missing load (Fig.2b). σ_3 is in plane and sub-horizontal in most of the sub-caldera region
210 and out of plane along the volcano flanks. This configuration would therefore be consistent with
211 vertical proximal circumferential dikes and distal radial dikes, but not with the intrusion
212 initiation as sill and the upward bending observed during the recent intrusions. Moreover, this
213 model is not compatible with the flat-topped magma chamber imaged for Fernandina (Chadwick
214 et al., 2011; Bagnardi and Amelung, 2012), since the sub-horizontal σ_3 would not favor
215 horizontal magma propagation.

216

217 **4. Discussion**

218 **4.1 Unloading pressure vs. dike overpressure control on the eruptive fissure distribution of** 219 **Fernandina and other calderas**

220 Our FE model demonstrates that the unloading effect generated by caldera formation explains the
221 sub-surface intrusion geometry linked to the last three eruptions at Fernandina, including the
222 initial sill propagations and the following bending or twisting. This geometry cannot be
223 explained when considering a stress field resulting from a gravitationally loaded edifice in the
224 absence of stress relaxation. Similar cone sheet geometries, albeit without the characteristic
225 twisting observed at Fernandina, have been also experimentally obtained with shallow intrusions,
226 depending on the geometrical configuration of the system and the dynamic interplay between
227 host-rock properties and viscous stresses (Galland et al., 2014).

228 Our calculation, in line with previous studies for Fernandina, is static, as we do not simulate the
229 dike propagation. We simply assume that the dikes propagate orthogonal to σ_3 . Numerical
230 models of dike propagation (Dahm, 2000; Maccaferri et al., 2011) and analog experiments
231 (Watanabe et al., 2002) revealed that dike trajectories depend on the competition between dike
232 overpressure and the external stresses (i.e., loading or unloading). High-overpressure dikes are
233 expected to propagate straight, insensitive to spatial inhomogeneities of the stress field. Low-
234 overpressure dikes follow closely the trajectories perpendicular to σ_3 . Available numerical
235 models for the trajectory followed by dikes are only 2D, so that it is difficult to estimate
236 quantitatively the distance needed by a dike to twist and align perpendicular to σ_3 .

237 Analog experiments addressed this issue for the case of dike bending, demonstrating that when
238 the ratio between loading pressure (or equivalently unloading), P_l , and dike overpressure, P_e , is
239 larger than 5, the dikes propagate closely orthogonal to σ_3 (Watanabe et al., 2002). $P_l = \rho g H$,
240 where ρ is the density of rock, g is the acceleration due to gravity, and H is the observed caldera
241 depth at present. P_l therefore does not take into account the modality of collapse (incremental or
242 single collapses), as the amount of unloading affects only the magnitude of stresses and not the
243 principal component orientation. $P_e = \Delta\rho g L_z / 4$, where L_z is the projection of the dike length on
244 the vertical axis and $\Delta\rho$ the density contrast between solid host rocks and magma. Since here we
245 are not concerned with the nucleation process of the dikes but only with their propagation path
246 once they have already formed, we simplify the problem by neglecting the stresses of the just
247 decompressed chamber. For this reason P_e must be considered as a conservative estimation of
248 magma driving force.

249 We consider these results and test whether Fernandina, together with 14 of the best-studied
250 calderas worldwide, satisfy the $P_l/P_e > 5$ condition, leading to intrusions propagating closely
251 orthogonal to σ_3 . We assume that the magma chamber depth is a proxy for L_z and that dikes do
252 not get arrested on their way to the surface. The derived P_l/P_e is therefore a conservative
253 estimate. For Fernandina we obtain $P_l/P_e = 13-119$ (with $\Delta\rho$ of $100-300 \text{ kg}\cdot\text{m}^{-3}$, L_z between 1000
254 - 3000 m and $H=1100$ m), indicating that the unloading of the caldera is strong enough to deviate
255 effectively most dikes. If instead of the full caldera depth, only the last event is considered (i.e.,
256 $H=350$ m), the stress pattern remains unchanged as expected due to linearity of equations of
257 elasticity and $P_l/P_e = 4-38$ for the same parameters as above. Similar orientations of the principal
258 stresses are also obtained for the other western Galápagos volcanoes with slightly smaller P_l/P_e
259 (between 7 and 23). Here the magnitude of unloading is smaller due to the shallower calderas
260 (table ST1). These ratios are therefore consistent with the deviations in the pattern of
261 circumferential and radial fissures across the Galápagos volcanoes, and in particular with the
262 more developed pattern of fissures around the deeper Fernandina caldera.

263 We measured the cumulative length of circumferential fissures relative to that of radial ones,
264 C/R , at several calderas worldwide as a function of P_l/P_e (Fig. 3). C/R assumes that the burial
265 probability from previous eruption is the same for circumferential and radial fissures. Calderas
266 with more prominent unloading, least density contrast between magma and host rock, and
267 shallowest magma reservoir develop circumferential fissures, as for example Fernandina (top

268 right). Conversely, calderas with minor collapsed volume (including those filled by post-collapse
269 deposits or water), more buoyant magma and deeper reservoir do not show any circumferential
270 fissure, as Toba, (bottom left). In between, lie calderas with intermediate features, as Tambora,
271 with still prominent unloading but also with deeper reservoirs and gas-rich buoyant magma that
272 limits the propagation of any circumferential dike.

273 In the total edifice stress budget, local and regional tectonic stresses should also be considered.

274 While tangential dikes (as cone sheets) have been found in various tectonic settings, all calderas
275 surrounded by circumferential fissures (with the exception of Oskjuvatn) are characterized by
276 hot-spot volcanism. Therefore the influence of regional stresses can be considered negligible
277 compared to other volcanoes close to plate boundaries. A horizontal regional stress of 5-10 MPa
278 (e.g., Buck et al., 2006; Maccaferri et al., 2014) in extensional or compressional domains would
279 be sufficient to effectively mask the stress field caused by caldera unloading and favor the
280 pervasive emplacement of vertical dikes and sills, respectively. Given its intra-plate location,
281 Fernandina lacks significant regional control and the role of unloading stresses dominates.

282 We conclude that considering the total stress budget as a sum of correctly estimated edifice
283 stresses, buoyancy and regional stresses, explains the pattern of circumferential and radial
284 eruptive fissures at several calderas worldwide. This stress budget also explains why only very
285 few circumferential eruptive fissures on active volcanoes are fed from the widespread cone
286 sheets at depth within eroded volcanoes.

287

288 **4.2 Caldera collapse control on the magma plumbing system**

289 The formation of a caldera will affect the dynamics of the magma plumbing system. Initially, the
290 stress gradient due to caldera collapse promotes the rise of magma (Fig.4a). In general, this may
291 lead to post-caldera resurgence (Kennedy et al., 2012) and/or increased volcanism due to the
292 decompression of a shallow reservoir that would favor the rise of magma from a deeper source if
293 the reservoirs are hydraulically connected through a magmatic plumbing system (Pinel and
294 Jaupart, 2000; Hooper et al., 2011). In case of significant unloading ($P_l/P_e > 5$), the vertical
295 orientation of σ_3 beneath the caldera promotes shallow, flat-topped magma bodies (Fig.4b). This
296 could explain why flat-topped magma reservoirs are common beneath well-known calderas,

297 including Toba (Jaxybulatov et al., 2014), Yellowstone (Chang et al., 2010), Campi Flegrei
298 (Zollo et al., 2008), Sierra Negra (Yun et al., 2006), Fernandina (Chadwick et al., 2011; Bagnardi
299 and Amelung, 2012), Long Valley (Liu et al., 2011), Slaufudalur (Burchardt et al., 2011) and
300 those along the Main Ethiopian Rift (Biggs et al., 2011), and why most extinct magma reservoirs
301 consist of sub-horizontal tabular intrusions (Petford et al., 2000; Annen, 2009).

302 If the thickness is enough to ensure magma mobility as the cooling process proceeds slowly, the
303 sill may grow laterally while absorbing a large fraction of the magma that enters it
304 (Gudmundsson, 1990). The lateral growth of sill-like reservoirs is discouraged beyond the
305 caldera rim (Fig.4c), where the driving force of magma is reduced (Pinel and Jaupart, 2000).
306 Such effect is due to the caldera unloading stress and is strengthened by the loading due to the
307 rim topography. High walls surrounding calderas act as a trap for sills, which would require
308 higher magma overpressure to propagate and erupt, favoring magma stagnation, differentiation
309 and creating the potential for explosive eruptions (Caricchi et al., 2014). Also caldera boundary
310 faults may arrest the lateral propagation of sheets or deflect them vertically into the faults
311 depending on the contrasting mechanical properties of the host rock and fault zone (Browning
312 and Gudmundsson, 2015).

313 If the magma pressure within the intrusions exceeds the compressive effect exerted by the
314 caldera rim, dikes can propagate toward the surface. The final orientation and eruption location
315 can be forecast from their nucleation depth (Fig.4d). Shallow intrusions (with depth in the range
316 of half the caldera diameter or shallower) feed circumferential dikes, while deeper sources feed
317 intrusions that twist about a radial axis erupting as radial fissures.

318

319 **5. Conclusions**

320 Our model of magma storage and transport beneath calderas reveals the previously unappreciated
321 primary role of surface mass removal in the dynamics of the shallow plumbing system.
322 Following caldera collapse, sufficiently high unloading stresses with respect to magma
323 overpressure (i.e., ratio greater than 5) and to regional stresses favor the development of shallow-
324 reaching and flat-topped reservoirs (i.e., systems of stacked sills).

325 Dike trajectories are also controlled by the stress field imposed by unloading, by the depth at
326 which dikes nucleate, and by the density of magma. We show that the unloading due to caldera

327 formation applied to an isotropically stressed volcano is able to reconcile all observations on
328 magma paths at Fernandina, and in general the presence of circumferential and/or radial eruptive
329 fissures at worldwide volcanic edifices with calderas. In particular, we propose a model based on
330 the competition of caldera decompression, magma buoyancy forces and tectonic stresses, which
331 control the shallow accumulation of magma in stacked sills and the conditions to develop
332 circumferential and/or radial eruptive fissures.

333 In addition to the "bottom-up" control by magma inflow, the "top-down" control by changes in
334 the surface mass load may strongly influence the shape and volume of the magma storage system
335 and the spatial distribution of the eruptive vents. This may contribute in forecasting the location
336 and type of opening fissures at calderas.

337

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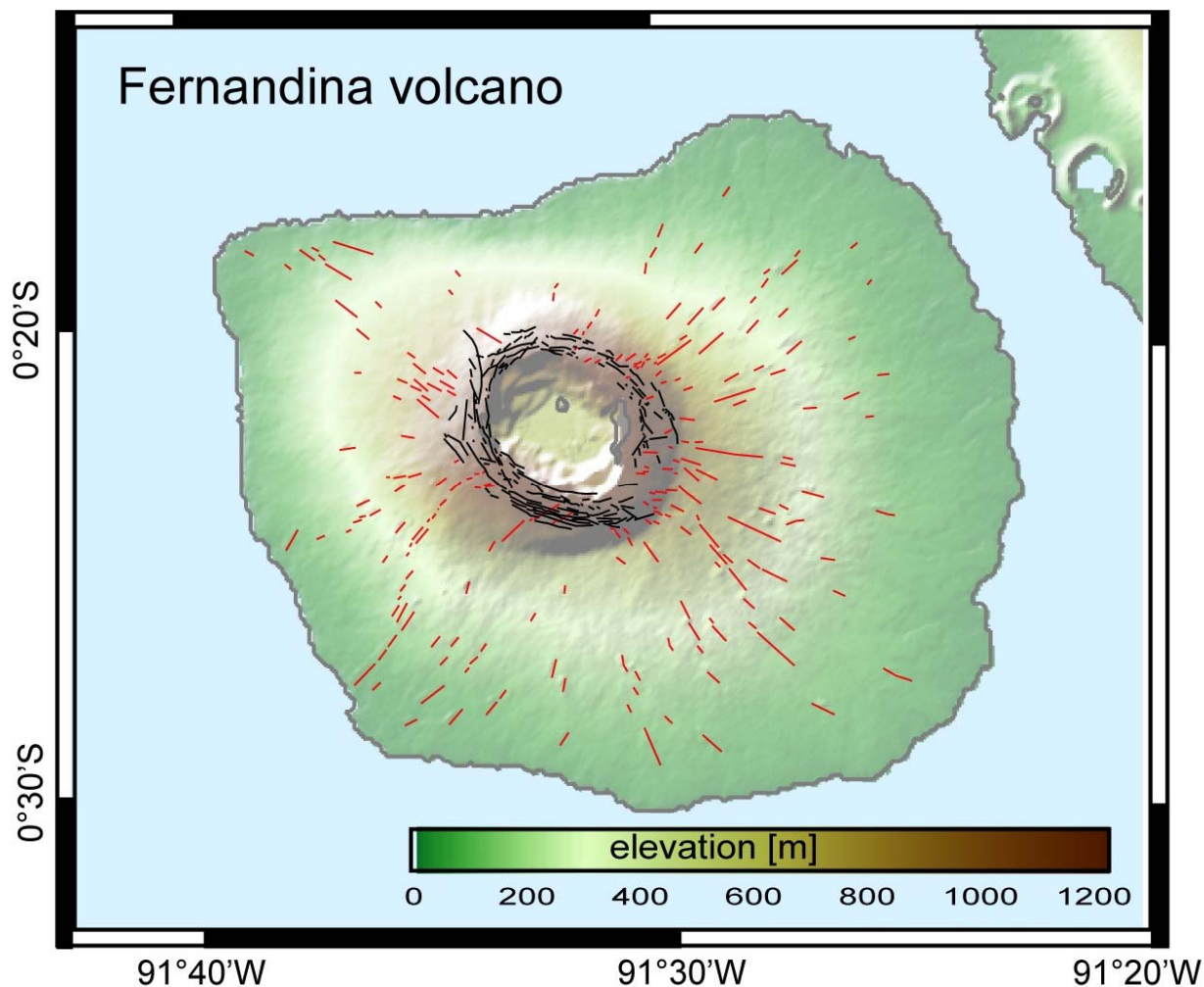
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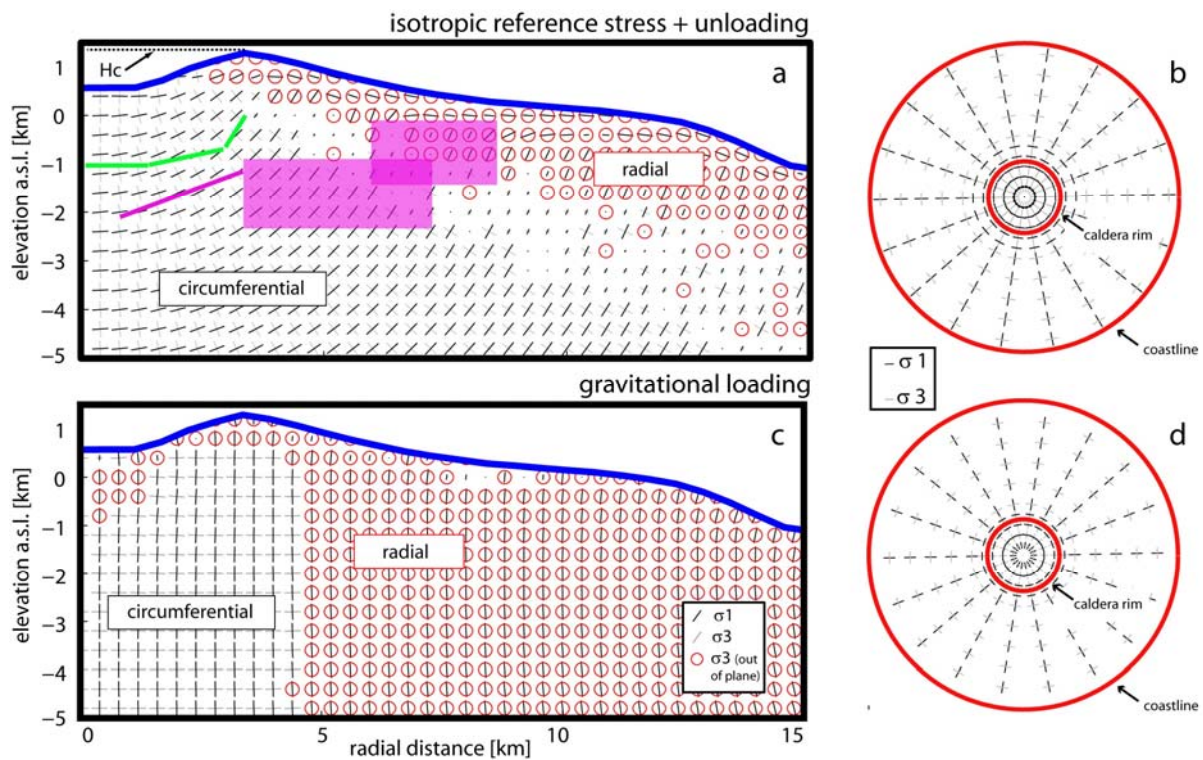
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481 **Figures and Legends:**

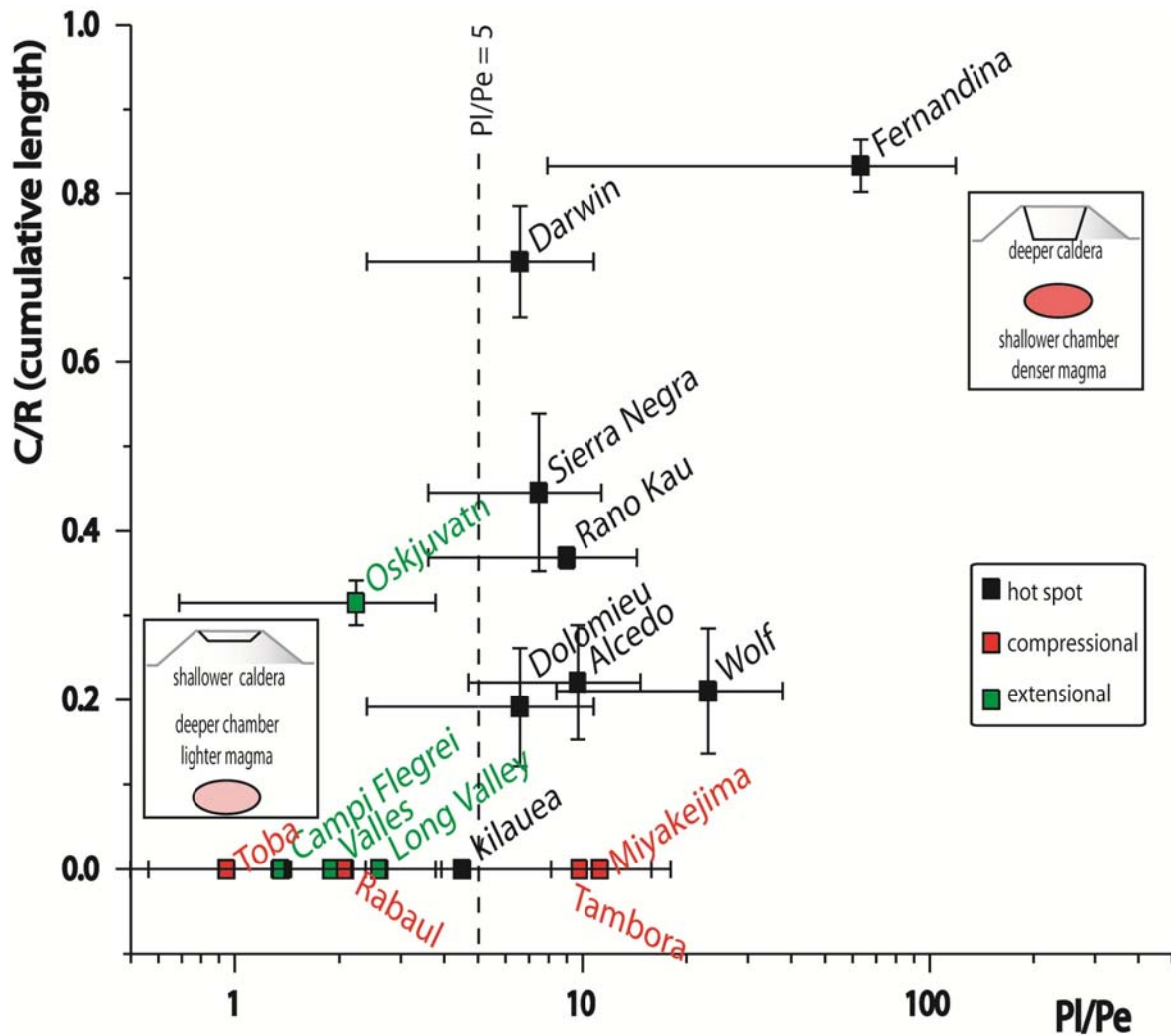


482
483 **Figure 1.** Shaded relief map of Fernandina with colorcoded elevation (digital elevation model
484 from SRTM V2_1). Circumferential and radial fissures (Chadwick and Howard, 1991) are
485 highlighted by the black and red solid lines, respectively.



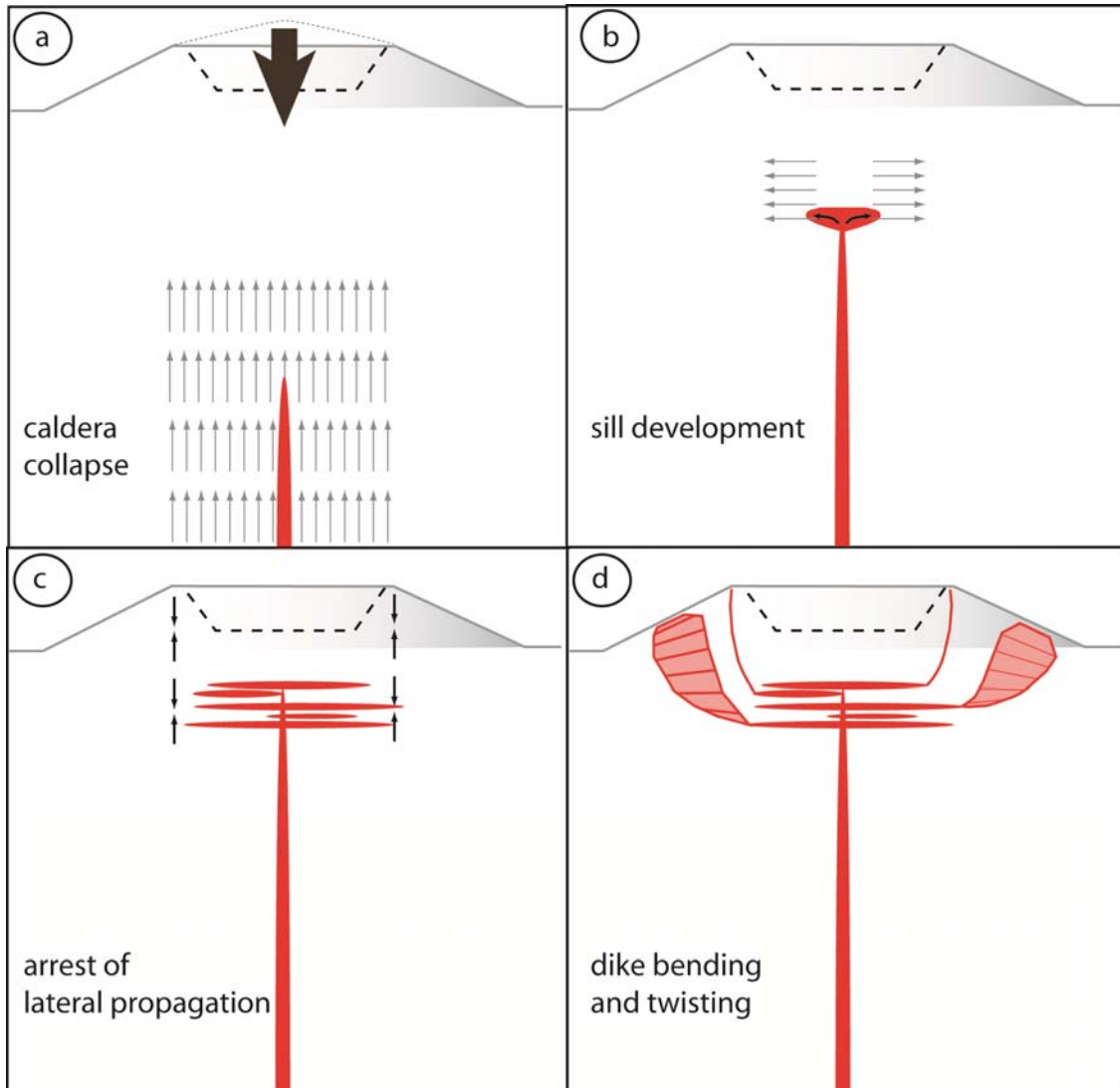
486

487 **Figure 2.** FE modeling results for an isotropic edifice subject to unloading (panels a and b) and
 488 gravitationally loaded (panels c and d). Panels a and c refer to the axisymmetric plane projection
 489 while panels b and d represent the map view calculated at 0 m a.s.l. Hc highlights the elevation
 490 of the caldera rim. The green lines and purple line and polygons in panel a represent the
 491 projections on the axisymmetric plane of dikes feeding the 2005 and 2009 eruptions (Chadwick
 492 et al., 2011; Bagnardi et al., 2013), respectively.



493

494 **Figure 3.** Cumulative length of circumferential fissures relative to that of radial ones C/R as a
 495 function of PI/Pe at well-known calderas worldwide. The loading pressure, PI , depends on the
 496 topographic difference between the average caldera rim and caldera floor. The magma
 497 overpressure, Pe , depends on the density contrast between the magma and the host rock (here
 498 assumed in the $100\text{-}300\text{ kg m}^{-3}$ for basaltic magmas and $500\text{-}700\text{ kg m}^{-3}$ for gas-rich felsic
 499 magmas) and the likely nucleation depth of the dike feeding the fissure (table ST1). Errorbars
 500 represent the depth range of magma chambers and constrain error in digitalization of fissure
 501 distribution maps (Chadwick and Howard, 1991; Carter et al., 2006; Vezzoli and Acocella 2009;
 502 Hartley and Thordarson, 2011).



503

504 **Figure 4.** Four-stages evolution of the magma plumbing system associated with caldera collapse.
 505 Gray arrows indicate the principal magma (highlighted in red) propagation direction. Black
 506 arrows indicate the location of lateral propagation arrest.