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1 **Deep India meets deep Asia: Lithospheric indentation, delamination and break-off under**
2 **Pamir and Hindu Kush (Central Asia)**

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33 **Abstract**

34 Subduction of buoyant continental lithosphere is one of the least understood plate-tectonic
35 processes. Yet under the Pamir-Hindu Kush, at the northwestern margin of the India-Asia collision
36 zone, unusual deep earthquakes and seismic velocity anomalies suggest subduction of Asian and
37 Indian lithosphere. Here, we report new precise earthquake hypocenters, detailed tomographic
38 images and earthquake source mechanisms, which allow distinguishing a narrow sliver of Indian
39 lithosphere beneath the deepest Hindu Kush earthquakes and a broad, arcuate slab of Asian
40 lithosphere beneath the Pamir. We suggest that this double subduction zone arises by contrasting
41 modes of convergence under the Pamir and Hindu Kush, imposed by the different mechanical
42 properties of the three types of lithosphere involved. While the buoyant northwestern salient of
43 Cratonic India bulldozes into Cratonic Asia, forcing delamination and rollback of its lithosphere,
44 India's thinned western continental margin separates from Cratonic India and subducts beneath
45 Asia. This torn-off narrow plate sliver forms a prominent high-velocity anomaly down to the mantle
46 transition zone. Our images show that its uppermost section is thinned or already severed and that
47 intermediate depth earthquakes cluster at the neck connecting it to the deeper slab, providing a rare
48 glimpse at the ephemeral process of slab break-off.

49

50 **Keywords**

51 (1) Pamir-Hindu Kush, (2) India-Asia collision, (3) Slab break-off, (4) Lithosphere delamination,
52 (5) Intermediate depth seismicity, (6) Tomography

53

54 **1. Introduction**

55 The Pamir and Hindu Kush, located northwest of Tibet, are part of Earth's largest active continental
56 collision (Fig. 1a). As in Tibet, the Pamir-Hindu Kush crust comprises terranes that rifted from
57 Gondwana (the Gondwana terranes of Fig. 1b) and then amalgamated to the southern margin of

58 Asia (Tapponnier et al., 1981; Burtman and Molnar, 1993; Schwab et al., 2004). Unlike Tibet, the
59 Pamir-Hindu Kush mantle exhibits vigorous intermediate depth (>100 km) seismicity (Billington et
60 al., 1977; Chatelain et al., 1980; Pegler and Das, 1998; Sippl et al., 2013a) (Fig. 1a) and strong
61 velocity anomalies down to the bottom of the transition zone (Koulakov and Sobolev, 2006;
62 Negredo et al., 2007). Fifteen earthquakes with magnitude greater than 7.0 have occurred in the
63 Hindu Kush deep seismic zone in the last 100 years, including the recent destructive October 2015
64 Mw 7.5 Badakhshan, Afghanistan event (ISC bulletins, 2013; USGS, 2015). Intense intermediate
65 depth seismicity is generally confined to oceanic subduction zones and its occurrence inside a
66 continent is enigmatic (Billington et al., 1977; Vinnik et al., 1977; Chatelain et al., 1980; Roecker,
67 1982; Burtman and Molnar, 1993; Pegler and Das, 1998). The Pamir and Hindu Kush earthquakes
68 form two separate zones (Fig. 1); the provenance of the Hindu Kush earthquakes is debated (Pegler
69 and Das, 1998; Sippl et al., 2013a), but in the Pamir they are associated with continental Asian plate
70 subduction (Schneider et al., 2013; Sippl et al. 2013b). This contradicts the plate-tectonic paradigm
71 that continental lithosphere does not subduct to significant depth without the pull-force of a leading,
72 negatively buoyant oceanic plate. The understanding of the origin of these anomalies is a key to the
73 deep-seated processes of the India-Asia collision and continental dynamics in general.

74

75 We present a regional tomographic model, new earthquake hypocenters and source mechanisms, all
76 based on recent seismic experiments. The detailed lithospheric structure revealed by the
77 tomography and seismicity, and the stress field inferred from earthquake focal mechanisms allow us
78 to deduce the geodynamic processes currently acting under the Pamir and Hindu Kush. We propose
79 a tectonic scenario that led to the unique constellation observed today, suggesting a solution to the
80 long-standing controversy on slab provenance and explaining the formation of the peculiar double
81 subduction zone.

82

83

84 **2. Data**

85 Our results were obtained from the analysis of seismic data recorded by three temporary networks
86 (Fig. 1a), namely the TIPAGE (Mechie et al., 2012) and FERGHANA (Feld et al., 2015) networks
87 operated between 2008 and 2010 in the Pamir and Tian Shan, and the TIPTIMON network (Schurr
88 et al., 2012; Schurr et al., 2013) from 2012-2014 in the western Pamir, Tajik basin and Hindu Kush.
89 TIPTIMON operated 33 broadband stations in Tajikistan and eight short period sensors in
90 Afghanistan (Mark L-3D, 1 Hz natural frequency) and shared seven sites with the TIPAGE network.
91 The stations in Afghanistan were situated on top of the Hindu Kush intermediate depth seismic
92 zone, allowing to constrain its geometry at high resolution. Additional permanent station data were
93 collected for the operating periods of the temporary networks (Fig. 1b). In total, we analyzed data
94 from 180 seismograph sites with a spacing between ~ 20 km along a north-south profile in the
95 central Pamir and 40-60 km in the western Pamir, Hindu Kush and Tajik basin. Waveforms from the
96 permanent stations were accessed via the GEOFON, IRIS and Chinese Earthquake Network data
97 centers.

98

99 **3. Earthquake analysis**

100 **3.1 Extended earthquake catalogue**

101 We augmented the existing Pamir-Hindu Kush earthquake catalogue (Sippl et al., 2013a), which is
102 based on the TIPAGE and FERGHANA networks, with the events located during the TIPTIMON
103 experiment between 2013 and 2014, i.e. while the Afghan stations were recording. The superior
104 event-station geometry of this network in relation to the Hindu Kush earthquakes significantly
105 improved their locations. For the earthquake-catalogue production, we followed essentially the
106 same automated procedure applied for the TIPAGE catalogue (see details in Sippl et al., 2013a).
107 Here, we aimed to improve the image of the Hindu Kush seismic zone, therefore only earthquakes

108 that were registered by at least one of the seismic stations in Afghanistan and located west of
109 71.8°E, the approximate border between the Pamir and Hindu Kush seismic zones, were added to
110 the combined catalogue. To ensure location quality, at least eight P-picks, one S-pick and a root
111 mean square (RMS) residual smaller than one second, based on the initial single event location,
112 were required for event selection. This yielded ~3700 new earthquake hypocenters (Supplementary
113 Fig. 1a, b), which were merged with a subset of the existing event catalogue (Supplementary Fig.
114 1c). West of 71.8°E, the TIPAGE subset is restricted to events with a maximum backazimuthal gap
115 of 120°, to retain only the best locations under the Hindu Kush. The merged catalogue (Fig. 2) was
116 relocated in a regional 1D velocity model (Sippl et al., 2013a). We applied the double difference
117 location algorithm (*hypoDD*; Waldhauser and Ellsworth, 2000) to improve the relative location of
118 intermediate depth seismicity (for hypocenters deeper than 50 km). This joint relocation not only
119 ensures the consistency of the whole catalogue but also improves the relative locations of individual
120 events with larger backazimuthal gap due to the common recording stations of the different
121 temporal networks.

122

123 Absolute location errors were assessed using a probabilistic relocation scheme (*NonLinLoc*; Lomax
124 et al., 2000), where a probability density function (PDF) for the location of each single event is
125 calculated. Commonly, the 68% error ellipse of this PDF serves as a measure for the absolute
126 location uncertainty of the events (Lomax et al., 2000). The average volume of the PDF error
127 ellipses of all events is 270 km³ (equivalent to a sphere with 4 km radius); the average RMS is 0.31
128 s. 91 percent of all intermediate depth earthquakes could be relocated with the double difference
129 scheme. The relative location errors of these were obtained by running *hypoDD* in the singular
130 value decomposition mode on subsets of the event clusters, yielding an average relative error of 1.3
131 km. For those events not relocated with *hypoDD*, mainly isolated events not belonging to a cluster,
132 the single event locations were used.

133

134 In the updated earthquake catalogue (Fig. 2), the sub-crustal seismicity forms two separate zones:
135 (1) A roughly 90° arc under the Pamir that dips from the Asian side due south in the northeast and
136 due east in the southwest (described in detail by Sippl et al., 2013a); (2) a narrow, slab-like, east-
137 striking and north-dipping structure under the Hindu Kush. In the uppermost mantle, the latter dips
138 clearly north, steepening to sub-vertical at depths greater than ~ 140 km. Seismicity in this deepest
139 Hindu Kush cluster is very intense, e.g., producing almost one third of all detected intermediate
140 depth earthquakes in our catalogue, despite its compact size.

141

142 **3.2 Focal mechanisms and stress inversion**

143 We derived earthquake source mechanisms for intermediate depth earthquakes in the time period
144 from 2008 to 2010 in two ways. For 72 events, which were strong enough (M_w 3.9-6.2) to produce
145 sufficient signal at long periods (>10 s), we inverted complete displacement seismograms from all
146 broadband stations in the time domain for the deviatoric moment tensor (Schurr and Nábělek,
147 1999). All inversions were done interactively to allow quality control of the data and the fit. For 166
148 events, which were too small for moment tensor inversion, yet had enough coverage in station
149 azimuth and distance range to constrain the two nodal planes, we determined fault-plane solutions
150 from first-motion polarities (Hardebeck and Shearer, 2002). The first motions were picked
151 manually. We kept only earthquakes with more than eight measurements, a maximum azimuthal gap
152 of 150° , and a maximum take-off angle gap of 60° for the focal-mechanism determination. In total,
153 8200 P-polarities were determined, yielding an average of 49 polarity picks per earthquake. We
154 accepted the best-fit mechanism only if all possible fault-plane solutions had a RMS fault-plane
155 uncertainty smaller than 35° with a maximum of 5% polarity outliers. Maps with event locations,
156 data examples and a comparison of the results obtained from both methods can be found in the
157 Supplementary Material Figure 2.

158 In Figure 3a, we project the tensional (T) axes of the individual source mechanisms on a crooked
159 cross section following the strike of the seismic zones, which is also later used for displaying the
160 tomographic model. Although there is significant scatter, a clear pattern is recognizable. For deep
161 (depth >150 km) events, the T-axes plunge in general steeply but vary slightly between the Hindu
162 Kush and the western and eastern Pamir clusters (Figure 3a). For the central Pamir earthquakes, T-
163 axes plunge more horizontally. The scatter in the stress axes from the individual source mechanisms
164 is expected, because pre-existing weaknesses, probably randomly distributed, allow ruptures to
165 deviate from optimal geometries for the ambient stress field. To estimate the regional stress field,
166 we inverted the fault-plane data for stress tensors (Figs. 3b-f). To satisfy the assumption of a
167 uniform stress field, the mechanisms were subdivided into four sub-regions according to their
168 hypocenter locations and clustering of the T-axis orientations (Fig. 3b). One sub-region
169 encompasses all Hindu Kush earthquakes (52 mechanisms); the other sub-regions follow the Pamir
170 seismicity along strike (western, central and eastern Pamir: 107, 42 and 37 mechanisms). The linear
171 inversion minimizes the total amount of rotation around an arbitrary axis necessary to rotate the
172 focal mechanisms to fit the stress tensor using the software *slick* (Michael, 1987).

173

174 To inspect the robustness of the inversion results, we applied a bootstrap test, re-sampling the data
175 set 1000 times and flipping the selected fault and slip directions 10% of the times. Parameters that
176 quantify the quality of the stress tensors are the average rotation angle β and the variance. As the
177 focal mechanisms for the Hindu Kush earthquakes exhibit overall a very similar orientation, the
178 resulting stress tensor is well defined (Fig. 3c), expressed by a low variance (0.104) and a small
179 average rotation angle (24.6°). Re-sampled bootstrap inversions show very stable orientations of the
180 stress axes (σ axes). The mechanisms for the central, western and eastern Pamir (Figs. 3d-f) are
181 more scattered, but all three stress tensors yielded a variance smaller than 0.26. The σ_3 axes confirm
182 the pattern seen in the T-axes ensemble. For the deeper clusters under the Hindu Kush, western and

183 eastern Pamir, they plunge steeply to sub-vertically, while for the shallower central Pamir events σ_3
184 lies sub-horizontally.

185

186 **4. Teleseismic tomography**

187 **4.1 Travel times**

188 For the regional seismic tomography, we used P-wave travel-time residuals from 1029 teleseismic
189 earthquakes (30-90° epicentral distance, $M_w > 5.5$, Fig. 4a). Earthquake parameters were obtained
190 from the USGS global PDE catalogue. Waveforms were corrected for seismometer response and
191 bandpass filtered between 0.5 and 2.0 Hz. P wave travel times were picked semi-automatically on
192 all available vertical records by detecting the nearest extremum of the waveform to the theoretical
193 onset (Bianchi et al., 2013). We inspected all picks visually and discarded uncertain and noisy ones.
194 In total, 36,339 valid travel-time observations were made, yielding on average 35 picks per
195 earthquake and 200 picks per station. To ensure that events from the two major recording periods
196 were linked, we always required picks from stations that were active during both periods (i.e., re-
197 occupied temporary and permanent stations).

198

199 **4.2 Tomographic inversion**

200 Our P-wave velocity model was calculated using a modified version of the LOTOS code (Koulakov,
201 2009), allowing for the inversion of teleseismic data (Bianchi et al., 2013). The inversion for
202 velocity perturbation was performed on a grid with 30 km horizontal and variable vertical node
203 spacing dependent on the ray coverage. To determine the node positions, the ray density was
204 calculated in cubes of 30 km edge length (Fig. 4b). This ray density grid was then scanned along
205 vertical columns, summing up the cumulative ray length, until it exceeded the average ray-length
206 value (here 907 km per cube). Then, a grid node was introduced, the sum was set back to zero and
207 the scanning was continued. This procedure resulted in dense node spacing in well resolved regions

208 and ensured that inversion nodes were only introduced if enough rays were available. The velocity
209 between the nodes is defined by linear interpolation using tetrahedral volumes around the nodes. In
210 the final tomographic model, we only show velocity anomalies that are less than 25 km away from
211 the nearest node, in order not to blur the image by extensive anomalies caused by sparse ray and
212 node density.

213

214 Travel-time residuals were calculated from the initial picks including elevation and crustal
215 corrections for each event-station pair separately. For the crustal correction, we constructed a
216 smoothed Moho map based on receiver functions from the TIPAGE, TIPTIMON and FERGHANA
217 deployments (Schneider, 2014) and additional data from the CRUST1x1 model (Laske et al., 2013).
218 The resulting map has a maximum/minimum Moho depth of ~ 85 / ~ 45 km in the western Pamir and
219 Tajik basin, respectively. The crustal velocities of the background 1D velocity model (ak135;
220 Kennett et al., 1995) were stretched to the depth where the ray penetrates the Moho. Theoretical
221 travel times were then calculated in this modified velocity model. We took the elevations of the
222 recording stations into account by reducing the picked travel times by the theoretical travel time
223 from zero elevation to the station (assuming a P-wave velocity of 5.86 km/s). After applying these
224 corrections, the average residual was subtracted for each event to generate relative travel-time
225 residuals.

226

227 The inversion matrix contains elements for the velocity perturbation at each node, elements for
228 station corrections and a regularization block. Station corrections were strongly damped to avoid a
229 trade-off with the shallow mantle structure. The inversion was performed simultaneously for
230 velocity perturbations and station corrections using the LSQR method (Paige and Saunders, 1982).
231 After each iteration, the ray paths and travel-time residuals were recalculated for the updated
232 velocity model. The inversion converged after five iterations. To avoid possible artifacts from grid

233 orientation, we performed the whole inversion procedure on four individual grids, where the x-y
234 coordinate system used for the calculation of ray density and node position was rotated by 0°, 22°,
235 45° and 67°, respectively (Fig. 4b shows for example the first of these grids). The velocities from
236 these individual inversions were re-sampled on a rectangular grid and then averaged to obtain the
237 final model.

238

239 **4.3 Evaluation of optimum inversion parameters and checkerboard test**

240 We determined the optimum parameter for flattening by computing synthetic and real models over a
241 set of different flattening values. The optimum parameter yielded the best trade-off between the
242 competing influences of the reduction in RMS travel-time residuals and the increase of model
243 variance (see trade-off curve in Supplementary Fig. 3). The optimum damping parameter and the
244 extent of the well-resolved regions were evaluated from synthetic tests. We calculated theoretical
245 travel times for the resolution tests by 3D ray tracing through a synthetic velocity model using the
246 same station-event geometry as in the real data. Noise was added to the synthetic travel times
247 according to the unmodelled residuals after the inversion of the real data.

248

249 Figure 5 shows two sets of checkerboard tests calculated with the optimum flattening parameter
250 (big checkerboard pattern: cubes of 150 km edge length with alternating anomalies of $\pm 3\%$,
251 separated by a 50 km wide neutral zone; small checkerboard pattern: 100 km anomaly edge length
252 and 25 km wide neutral zone). With our preferred regularization parameters for flattening and
253 damping, amplitude recovery decreased slightly with depth (amplitudes of big checkerboard
254 anomalies at 550 km are $\sim 35\%$ smaller than at 150 km), but the pattern and especially the neutral
255 zone between the anomalies can still be resolved. As expected for teleseismic tomography, vertical
256 smearing exceeds horizontal smearing, and the horizontal extent of the anomalies is accurately
257 mapped in most of the model domains. Only in the deeper layers beneath the western Hindu Kush

258 (~450-600 km, west of ~70°E), the lower ray coverage allows resolution of only the larger
259 checkerboard anomalies. With this set of regularization parameters, the total variance reduction of
260 the travel-time residuals of the real data was 63%. The final station corrections are overall small due
261 to the high damping (extreme values of -0.11 s at station KBU in Kabul/Afghanistan, 0.04 s at
262 EKS2 near Bishkek/Kyrgyzstan and a median of 0.003 s).

263

264 **4.4 The mantle velocity structure and its relation to the Pamir and Hindu Kush seismic zones**

265 Our three-dimensional teleseismic P-wave model shows a complex high-velocity structure at sub-
266 crustal depths (Fig. 6; see also Supplementary Fig. 4 and Supplementary section 1 for the whole
267 tomographic model, which also includes parts of the Tian Shan). We interpret the relative velocity
268 anomalies as mainly due to temperature differences (Sobolev et al., 1997), i.e., high velocity
269 anomalies (HVAs) likely represent subducted cold lithosphere. Figure 6 displays sections through
270 the tomographic velocity model together with the projected earthquake hypocenters and the σ_3 -axes
271 of the stress inversion.

272

273 Under the Hindu Kush a strong, narrow high-velocity anomaly reaches from the base of the
274 earthquake zone at ~250 km depth to the bottom of the mantle transition zone at ~600 km depth
275 (anomaly *HK'* in Fig. 6). The Hindu Kush seismic zone itself occurs within neutral velocity material
276 (G_{HK} in Fig. 6). This is in contrast to oceanic subduction zones, where intermediate depth
277 earthquakes occur inside the high-velocity slabs. Slightly displaced southward, adjoining the
278 seismic zone, lies a shallower HVA (*HK*) that connects upwards to the crust and thins from west to
279 east. It is connected to the deeper *HK'* anomaly by a narrow neck of high-velocity material, which
280 appears to be almost severed in the easternmost section through the Hindu Kush (Figs. 6a-c). For
281 the Hindu Kush earthquakes, σ_3 of the stress tensor points vertically down toward the deepest HVA
282 *HK'*, paralleling the sub-vertical dip of the seismic zone and the velocity anomalies, indicating

283 down-dip extension (Fig. 6h). With synthetic tests, we assessed to what extent the neck in the
284 anomaly is resolvable. We built a model with a gap separating the anomalies *HK* and *HK'* as well as
285 one with a continuous HVA between Pamir and Hindu Kush (Figs. 7a, b). Both cases are well
286 resolved, hence, the gap in the HVA containing the Hindu Kush earthquakes and the observed
287 thinning of the anomalies *HK* and *HK'* does not appear to be an artifact. To test the possibility of the
288 presence of a highly thinned lithospheric layer near the Hindu Kush earthquakes, we built a third
289 synthetic model with a 15 km thick high and 15 km thick low velocity layer, simulating a thinned
290 crust-mantle lithosphere compound at the position of the Hindu Kush seismicity (Fig. 7c). Such a
291 thin structure could not be recovered; instead, the extent of the adjacent high-velocity zone is
292 slightly decreased.

293

294 In contrast to the Hindu Kush, the deepest Pamir earthquakes coincide with a prominent velocity
295 anomaly (*P* in Fig. 6), crossing the Pamir from the Tarim basin in the east to the Pamir-Hindu Kush
296 syntaxis in the west, where its deepest section *P'* abuts against the deep *HK'* anomaly below ~350
297 km depth. It overlaps with the Pamir earthquakes in its upper part but penetrates deeper, to ~400
298 and ~450 km depth in the east and southwest, respectively (Figs. 6d, h). In the center of the Pamir
299 anomaly, a vertical tear (*T_P*) splits the slab from ~200 to ~400 km depth (Figs. 6f, h). All synthetic
300 models (Fig. 7) show only little vertical smearing at the bottom of the Pamir anomaly, rendering the
301 shape of the slab, the inferred tear and the junction of the Pamir and the Hindu Kush anomalies
302 reliable (*P*, *P'*, *HK* and *T_P* in Fig. 6). The σ_3 axes of the Pamir stress tensors vary from dominantly
303 vertically plunging in the western and eastern Pamir to a sub-horizontal orientation in the central
304 Pamir, just above the vertical tear *T_P*. Hence, the stress field in the Pamir slab appears more
305 complex compared to the Hindu Kush, involving both down-dip stretching at the outer wings of the
306 slab, as well as along-arc stretching and tearing in its curved center (Fig. 6h).

307

308 **5. Discussion and Interpretation**

309 Combining our observations on lithospheric structure, occurrence of earthquakes, and the intra-slab
310 stress field, we aim to understand the geodynamic processes acting under the Pamir and Hindu
311 Kush. Starting out from this current state, we go back in time to sketch a possible late Cenozoic
312 tectonic history of the western part of the India-Asia collision, which can explain the formation of
313 the major structural characteristics observed today.

314

315 **5.1 Lithospheric stretching and slab-detachment under the Hindu Kush**

316 In the Hindu Kush, our observation of an inclined upper, downward steepening and thinning HVA,
317 accompanied by increasingly intense seismicity, match numerical simulations of slab detachment
318 following subduction and collision (Duretz et al., 2012; Magni et al., 2012). In these models, shortly
319 before detachment, the slab steepens to near vertical in the deeper part and the subducting
320 lithosphere is highly thinned at the point where the final break-off will happen. Strain localizes
321 where the lithosphere is thinnest. Although the transition between a leading oceanic and subducted
322 continental plate is the preferred zone for slab break-off, subsequent break-offs might occur when
323 continental lithosphere continues to subduct, as has been the case for the Indian plate (Capitanio and
324 Replumaz, 2013). Slab break-off had already been suggested in the Hindu Kush (e.g. Sobolev and
325 Koulakov, 2006; Lister et al., 2008). Here, we confirm this idea and provide clear images of this
326 often postulated but rarely observed tectonic event. Figure 8 shows the synoptic interpretation of
327 our results. The consistent down-dip extensional stress field of the Hindu Kush earthquakes, all the
328 way to the bottom of the crust, indicates that the massive deeper lithospheric fragment (anomaly
329 *HK'*) has to be still attached to its thin, upper continuation (*HK*). This part underlies the inclined
330 upper part of the Hindu Kush seismicity and dips from the Indian side (Figs. 6a-c). The gap
331 between the shallow and the deep HVA (G_{HK}) likely represents the part of the slab where the mantle
332 lithosphere is thinned to an extent that it can no longer be imaged by teleseismic tomography (<30

333 km; see synthetic example in Fig. 7c). The north-dipping Hindu Kush seismic zone is partly
334 separated from the underlying HVA *HK* by a narrow neutral zone (Figs. 6a-c). This offset might
335 mark the resolution limit of our tomography and could arise from a crustal layer (see synthetic test
336 in Fig. 7c), pulled to depth by and still attached to the mantle lithosphere as has been suggested by
337 Roecker (1982). The earthquakes, particularly in the upper inclined section, may actually occur in
338 subducted lower crust, as they do under the Pamir (Schneider et al., 2013). This crustal layer is
339 likely too thin to be resolved by tomography (Sippl et al., 2013b). In a synthetic test, a 15 km thick
340 crustal layer together with a thin remnant lithospheric mantle layer reproduces the observed neutral
341 to slightly reduced velocities (Fig. 7c). The most active seismicity clusters and largest earthquakes
342 both in our catalog (Figs. 2, 6) and global catalogues (e.g., the recent October 2015 Mw 7.5 event,
343 Fig. 6h) occurred adjacent to the thin neck between the *HK* and *HK'* anomalies (Figs. 6a-c) in a
344 depth range between ~180 and 220 km. This agrees well with detachment depths predicted by
345 numerical models for moderate lithospheric ages and convergence rates (e.g., Duretz et al. 2011,
346 2012). Seismicity is less intense in the western Hindu Kush where the deep and shallow anomalies
347 (*HK* and *HK'*) appear to be still connected (Fig. 6h). This might indicate that the largest earthquakes
348 under the Hindu Kush are directly associated with the final pinching-off (Lister et al., 2008). We
349 might in fact witness the point in time, where the Hindu Kush slab is just about to break free.
350 Although viscous necking is likely to be the dominant deformation mechanism (Duretz et al., 2012),
351 strain localization may produce such high strain rates that brittle failure is enabled.

352

353 Assuming that the Hindu Kush anomalies once formed an intact lithospheric slab, the thinning and
354 necking of the upper part of the Hindu Kush slab implies that the initial length of subducted
355 lithosphere was less than its current penetration depth. Thus, the total length of the lithosphere has
356 to be corrected for stretching in any paleogeographic reconstruction. We estimated the first-order
357 magnitude of this extension under simplified assumptions. The Hindu Kush slab is significantly

358 thinned in its upper part between ~50 and 300 km depth. Here, it is on average less than 50 km
359 thick, compared to a thickness of ~150 km below ~300 km depth. This amounts to ~66% thinning
360 assuming plane strain (schematically shown in Fig. 9a). Restoration would hence shorten the upper
361 250 km of the slab to ~83 km length. Between 250 and 300 km, the slab is already that thin, that it
362 can be neglected. The total slab penetration is ~600 km (Fig. 6; Koulakov and Sobolev, 2006;
363 Negredo et al., 2007) and the total restored slab length is hence ~380 km (Fig. 9b). Subducting ~380
364 km of slab at ~34 mm/yr (Molnar and Stock, 2009) takes ~11 Myr, suggesting that the Hindu Kush
365 slab is a young feature in the India-Asia collision history. Obviously, this is a rough estimate, as
366 slab-thickness measurements are affected by uncertainties in the tomographic model, although the
367 lateral resolution is very good in this region (Fig. 7). The lower portion of the Hindu Kush slab
368 could have been shortened, which would lead to an overestimation of its original thickness. The
369 Pamir anomaly, which penetrates to ~400 km depth, is apparently less affected by vertical
370 stretching, as no significant thinning of the HVAs P and P' is observed (Fig. 6d). Thus, the restored
371 Hindu Kush slab (Fig. 9b) and the Pamir slab (Fig. 6d) appear to have a similar length.

372

373 **5.2 Slab provenance – one or two plates?**

374 The complex topology of the seismic planes under the Pamir and Hindu Kush has puzzled scientists
375 for a long time. Interpretations ranged from the juxtaposition of two subduction zones of opposite
376 polarity (Chatelain et al., 1980; Burtman and Molnar, 1993; Negredo et al., 2007) to one-plate
377 models either of Indian (Billington et al., 1977; Pegler and Das, 1998) or Asian provenance (Sippl
378 et al., 2013a). Our new data together with other recent results help to clarify the situation. The
379 Hindu Kush earthquake zone outlines a structure that dips moderately, but clearly to the north
380 between the Moho at ~60 km depth and ~140 km depth (Figs. 2, 6a-c). This is in agreement with
381 the observations of Pegler and Das (1998), who inferred an Indian origin of the Hindu Kush slab
382 based on the dip of the earthquake zone. That the north-dipping seismic zone is underlain by high

383 velocity material on its southern side (Fig. 6a-c) strongly supports this interpretation. Any other
384 configuration, e.g., a contorted and overturned slab of Asian origin would not comply with this
385 geometric relation. Consequently, we infer that the Hindu Kush mantle anomalies belong to
386 lithosphere that came from the south, from the Indian side.

387

388 Compared to the Hindu Kush, the Pamir velocity anomalies are bent and offset northwards (Fig. 6),
389 just as the Pamir seismic zone (Fig. 2) and tectonic structures (Fig. 1). Recent geophysical studies
390 related the Pamir seismic zone (Sippl et al., 2013a) and associated mantle anomalies to depths of
391 ~180 km to subduction of Asian lithosphere (Sippl et al., 2013b, Schneider et al., 2013). The deeper
392 Pamir velocity anomalies imaged here (Figs. 6d, e) likely represent the continuation of the same
393 down-going Asian plate, as it overlaps in the upper part with the structures imaged by Sippl et al.
394 (2013b) and Schneider et al. (2013). Together with our inference on the provenance of the Hindu
395 Kush slab, the currently available observations strongly suggest a two-plate model, where the Hindu
396 Kush slab is subducting from the Indian side, detaching and abutting against the western edge of the
397 arcuate Asian Pamir slab at depth. This configuration is sketched in Figure 8.

398

399 **5.3 A scenario for the recent tectonic history of the western India-Asia collision**

400 The problem remains how the peculiar configuration of two narrow plates of different continental
401 origin subducting next to each other in opposite directions formed. While the Hindu Kush slab is
402 straightforwardly explained as part of the subduction of the Indian slab, the retro-side subduction
403 and along-arc stretching and tearing of the Asian Pamir slab is more difficult to explain. We suggest
404 that the peculiar configuration of two slabs of different tectonic origin (Fig. 8) arises quite naturally
405 when considering the shape, structure and rheology of India and Asia during the collision history.
406 Paleomagnetic reconstructions, geological balancing, and global tomography (Van der Voo et al.,
407 1999; Guillot et al., 2003; van Hinsbergen et al., 2011) demand that before the Indian cratonic

408 lithosphere (*Cratonic India* in Fig. 10) arrived at the Asian margin, some 1000 km of a
409 (super-)extended passive margin, so-called Greater India, was subducted. Cratonic India's
410 buoyancy, with its thick and stable Proterozoic crust and depleted mantle lid (Kumar et al., 2001),
411 presumably choked the subduction zone, leading to the break-off of the Greater Indian slab
412 (DeCelles et al., 2002; Stearns et al., 2013, 2015). Its remains are apparently resting now just below
413 the mantle transition zone (Van der Voo et al., 1999; Replumaz et al., 2010; Capitanio and
414 Replumaz 2013; schematically sketched in the inset of Fig. 10a). After break-off of Greater India,
415 the mode of convergence switched from subduction to underthrusting. Underthrusting of India
416 might have been facilitated by the constitution of Asia's southern margin at the time. It was built by
417 the amalgamated Gondwana terranes that form the Pamir, Karakoram and Tibet crust today (Guillot
418 et al., 2003; Schwab et al., 2004). The lithosphere of these terranes was rheologically weakened by
419 a long history of subduction, accretion, arc formation and tectonism (e.g., Schwab et al., 2004;
420 Schmidt et al., 2011; Smit et al., 2014; Stearns et al., 2015). Although Asian and Indian rocks now
421 abut along the Indus-Yarlung suture at the surface (Fig. 1), Indian mantle lithosphere and possibly
422 lower crust underthrust Asia several hundred kilometers further north (Nábělek et al., 2009, Kind
423 and Yuan, 2010), reaching the Tarim basin in westernmost Tibet (Li et al., 2008) and also
424 underthrusting the Pamir (Mechie et al., 2012; Sippl et al., 2013b). We suggest that the Tarim-Tajik
425 cratonic lithosphere (*Cratonic Asia* in Fig. 10) constituted the first real obstacle for advancing
426 Cratonic India as the Gondwana terrane collage further to the south likely lacked a lithospheric keel
427 (e.g., Schwab et al., 2004; Schmidt et al., 2011; Smit et al., 2014; Stearns et al., 2015). Then, the
428 first contact between Cratonic Asia and Cratonic India must naturally have occurred along India's
429 western promontory. Its imprint is still visible in topography and structural grain from the western
430 Himalaya to the northern Pamir (Fig. 1). As the two cratons started to collide here, it must have had
431 consequences for the style of deformation.

432

433 We estimated that the central portion of the Pamir slab and the restored Hindu Kush slab have
434 roughly a similar length (Section 6.1, Fig. 9). Rolling back the collision by this amount places the
435 underthrust Indian lithospheric spur along the straight line connection between today's southern
436 Tajik basin and southern Tarim basin (Fig. 10a). We suggest that this was the southern margin of
437 Cratonic Asia, which got subsequently indented, forcing the Asian lithosphere to roll-back and
438 forming the Pamir slab (Fig. 10b).

439

440 India's western salient is delimited on its western flank by a deformed margin, which terminates at
441 the present plate boundary along the Chaman fault system (Fig. 1b). The structure of this margin is
442 well exposed in Pakistan's Katawaz basin (Fig. 1b), where strongly folded marine sediments overlie
443 a thin transitional basement (Tapponnier et al., 1981; Treloar and Izatt, 1993; Mitra et al., 2006). It
444 probably constitutes a vestige of India's extended continental margin (Replumaz et al., 2010), akin
445 in structure and rheology to the vanished Greater India. Extrapolating India's now underthrust
446 western margin to the north, it naturally connects to the Hindu Kush earthquake zone (Fig. 1). We
447 consequently propose that the narrow slab that subducts under the Hindu Kush is India's extended
448 western continental margin (*Marginal India* in Fig. 10). Convergence between India and Asia must
449 have been accommodated differently for Cratonic and Marginal India due to their difference in
450 buoyancy. While Cratonic India's buoyant spur penetrated into Cratonic Asia, Marginal India's
451 thinner crust and denser lithosphere separated from Cratonic India and subducted beneath Cratonic
452 Asia. At this point (at ~10 Ma), the Pamir crust, which was pushed onto Cratonic Asia (the former
453 connection of the Tajik and Tarim basins), was already critically thickened (Schmidt et al., 2011;
454 Stübner et al., 2013; Smit et al., 2014, Stearns et al., 2013, 2015). Its load depressed the Asian
455 lithosphere, initiating its subduction. Hence, to the extent that Cratonic India advanced into Asia
456 and forced the Asian lithosphere to roll back under the Pamir, Marginal India subducted under the
457 Hindu Kush, forming the two oppositely dipping slabs (Fig. 10b). The process of pushing back and

458 bringing down the Asian plate is thus more akin to delamination than classic plate subduction,
459 driven initially not primarily by gravitational instability but by the penetration of India. Rollback of
460 the Pamir slab must have caused along-arc extension, recorded by the more shallow Pamir
461 earthquake mechanisms (Fig. 6h). As the Pamir slab was forced to retreat in its center and was bent
462 around the western corner of advancing Cratonic India, it tore apart in the middle (Fig. 8). If the
463 Pamir slab became partly eclogitized (Sippl et al., 2013b), negative buoyancy would accelerate the
464 roll-back and tearing.

465

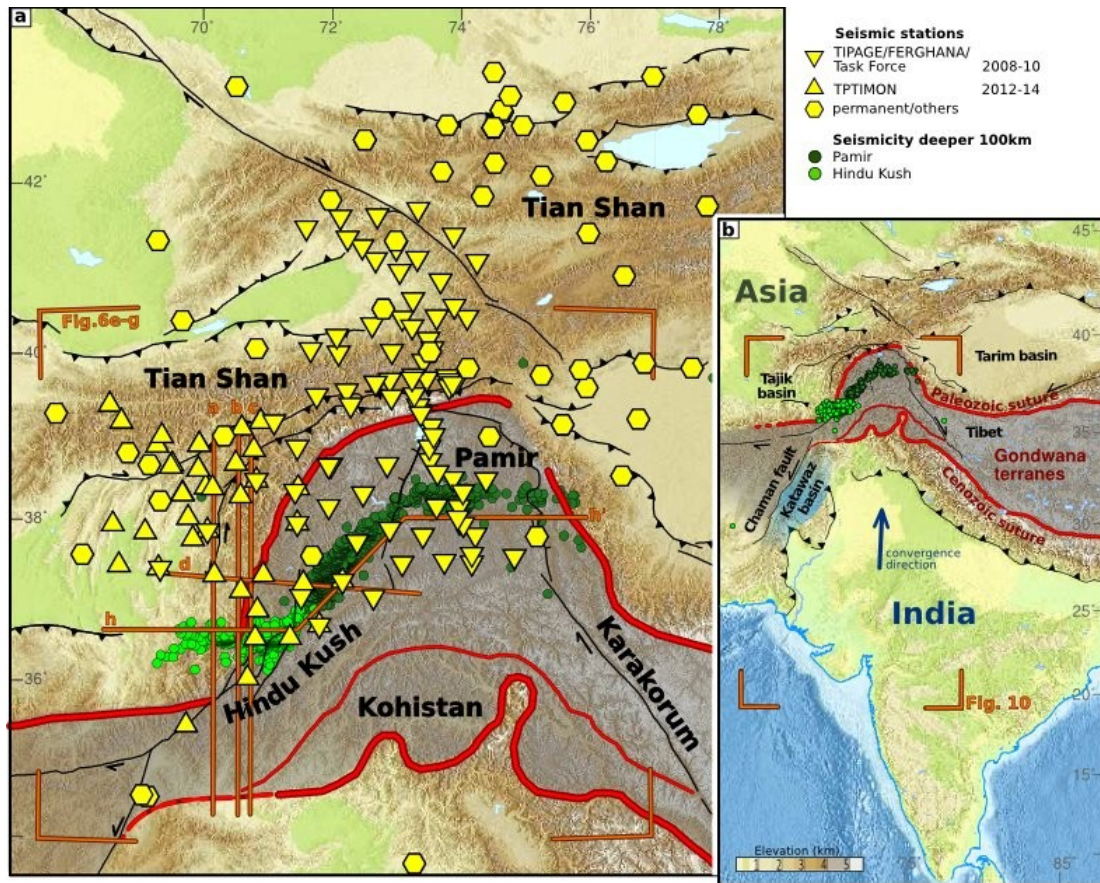
466 **6. Conclusion**

467 The Pamir and Hindu Kush are the only place in the India-Asia collision zone where deep
468 earthquakes occur, strong velocity anomalies penetrate deeply into the mantle and continental crust
469 subducts to at least 150 km depth without the help of a leading oceanic plate. We evaluated seismic
470 data from recent temporary deployments in the Pamir and Hindu Kush in order to understand why
471 this happens. Using detailed seismicity, earthquake source mechanisms and stress inversions, and
472 high-resolution tomographic images, we show that the Hindu Kush earthquakes are caused by the
473 detachment of a foundering lithospheric plate sliver that once was India's continental margin. In
474 contrast, the velocity anomaly under the Pamir is caused by Asian lithosphere (Cratonic Asia),
475 which is forced to delaminate and roll back by the northward advancing promontory of Indian
476 lithosphere (Cratonic India). While buoyant Cratonic India bulldozes into Cratonic Asia, the heavier
477 Marginal India lithosphere tears off from Cratonic India and subducts. Hence, the different
478 mechanical properties of the adjacent lithospheres (Marginal India versus Cratonic India) activated
479 the two contrasting modes of convergence, side by side and coevally under Pamir and Hindu Kush,
480 forming the two juxtaposed subduction zones observed today.

481

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486 2008-2010; 6C 2009-2010 and 2013-2014 (Schurr et al., 2013); 4B 2008-2009; 5C 2012-2014
487 (Schurr et al., 2012)) are archived at GEOFON data center, permanent station waveform data can
488 be obtained through the IRIS DMC (KN – 10 stations used here, KR-13 (Institute of Seismology,
489 National Academy of Sciences of Kyrgyz Republic (KIS), 2007), KZ-4 (KNDC/Institute of
490 Geophysical Research (Kazakhstan), 1994), TJ-7 (PMP International (Tajikistan), 2005), II-3
491 (Scripps Institution of Oceanography, 1986), IU-1 (Albuquerque Seismological Laboratory
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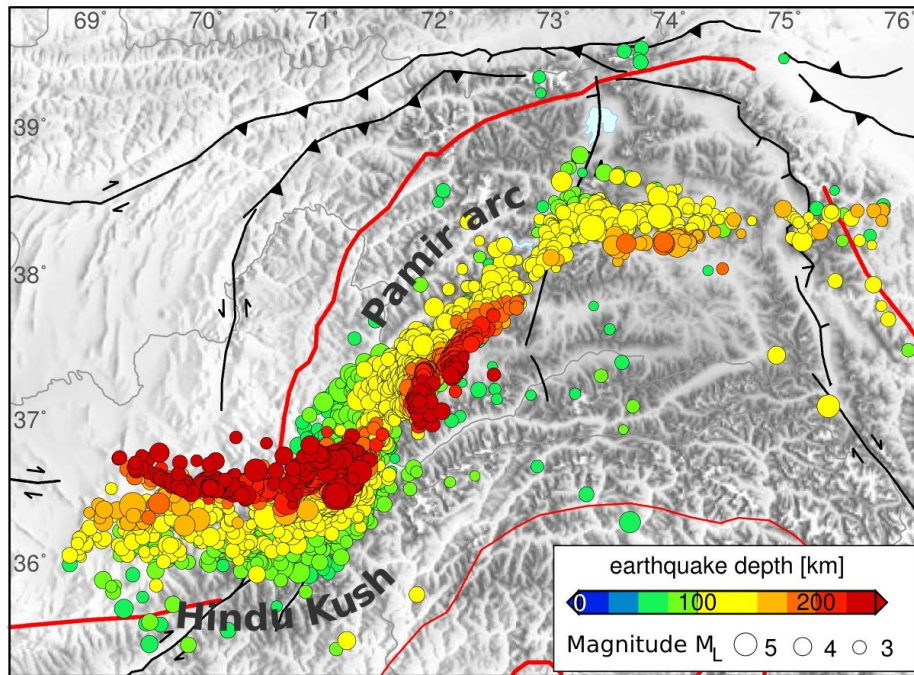


Figure 2: Updated earthquake catalogue for the Pamir and Hindu Kush. Earthquake catalogue at sub-crustal depths with hypocenters deeper than 50 km. Size of the symbols corresponds to local magnitude, color to depth. Hypocenters are sorted by depth where deepest earthquakes are plotted on top. Main tectonic features as in Figure 1a.

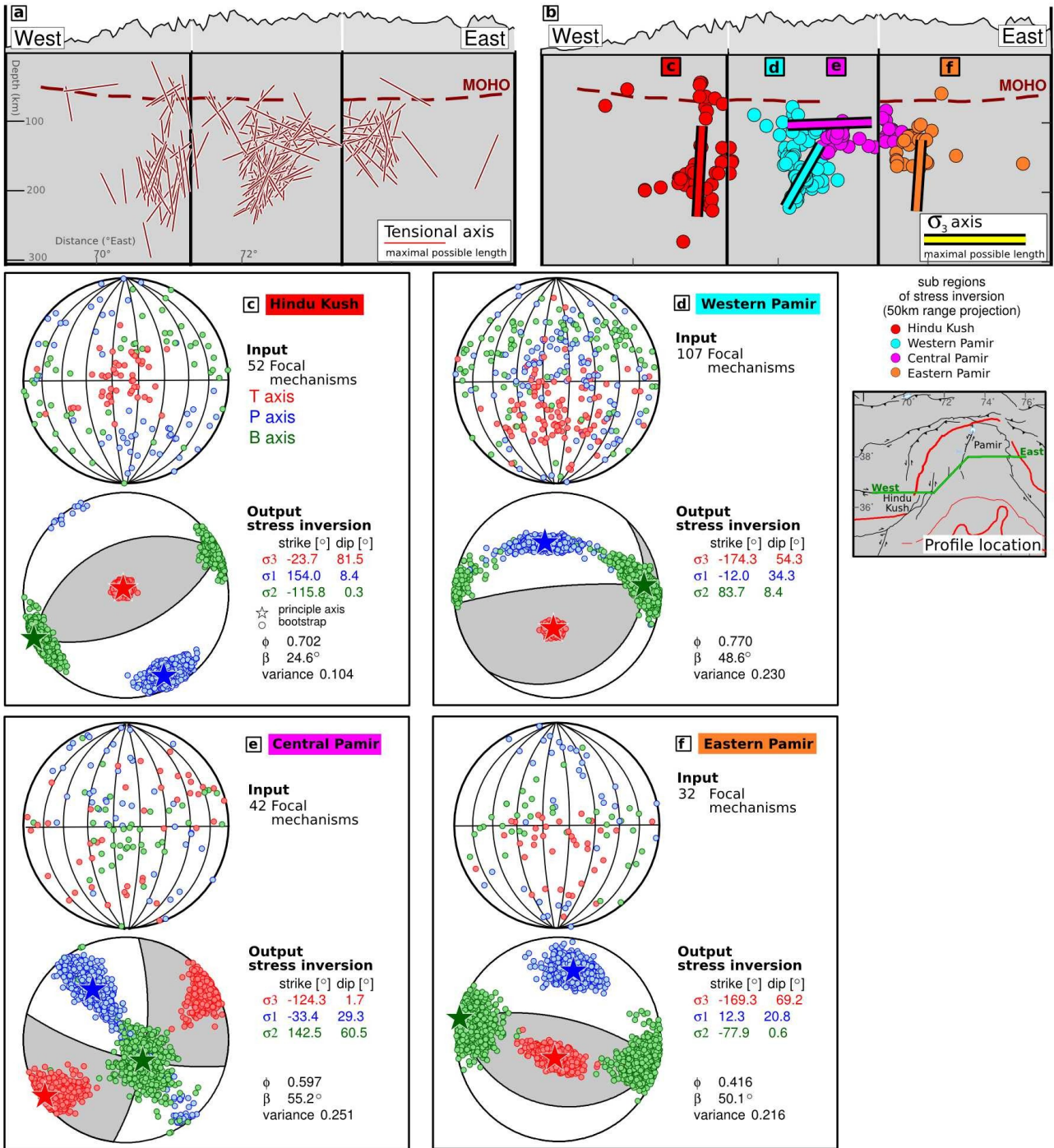


Figure 3: Earthquake tensional axes and stress inversion. (a) σ_3 axes (T-axes) from 238 earthquake focal mechanisms projected onto cross section of Figure 6h. Length of vectors scales with in-plane proportion of their amplitudes (longest possible vector is 100% in-plane, point means perpendicular to plane). (b) Partitioning of earthquakes in four sub-regions (color coded) for the stress inversions and resulting σ_3 axes projections. (c-f) Top panels: Principal axes of focal mechanisms in a stereonet used as input to the stress inversion. Bottom panels: Inverted stress tensors plotted as beachball and with principal stress axes (stars). $\Phi = (\sigma_2 - \sigma_1) / (\sigma_3 - \sigma_1)$ is the relative stress magnitude, β the average rotation angle. Colored circles indicate results of bootstrap inversions, providing a measure of inversion robustness.

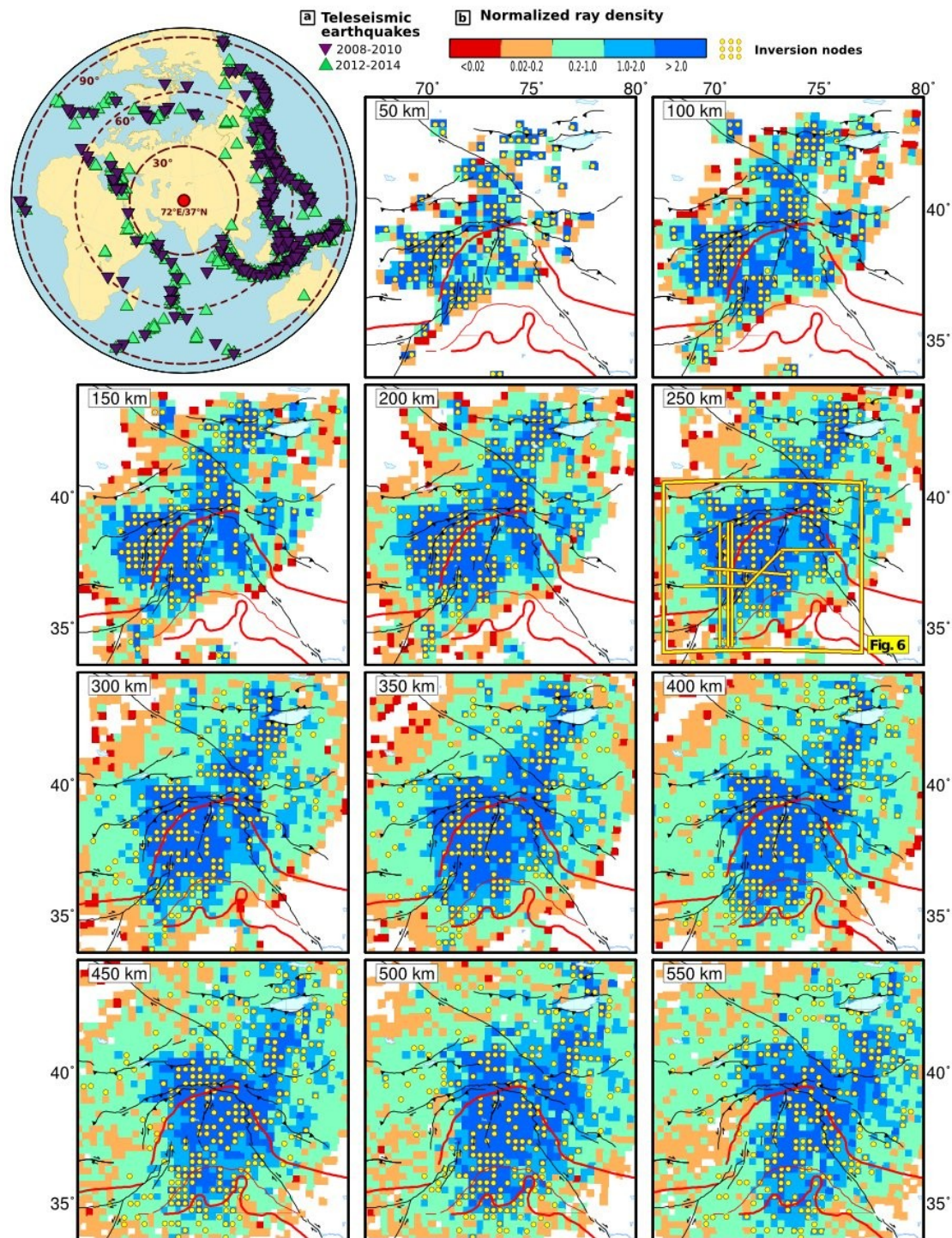


Figure 4: Distribution of teleseismic earthquakes and ray coverage. (a) Location of teleseismic earthquakes used in tomography. Colors differentiate the two recording periods. (b) Ray coverage: cumulative ray length in each 30 km cube, normalized by the average length per cube. Yellow dots mark the node positions in the inversion grid (vertical projection width: ± 25 km). The positions of the cross sections shown in Figure 6 are plotted for orientation in the 250 km slice. Main tectonic features as in Figure 1a.

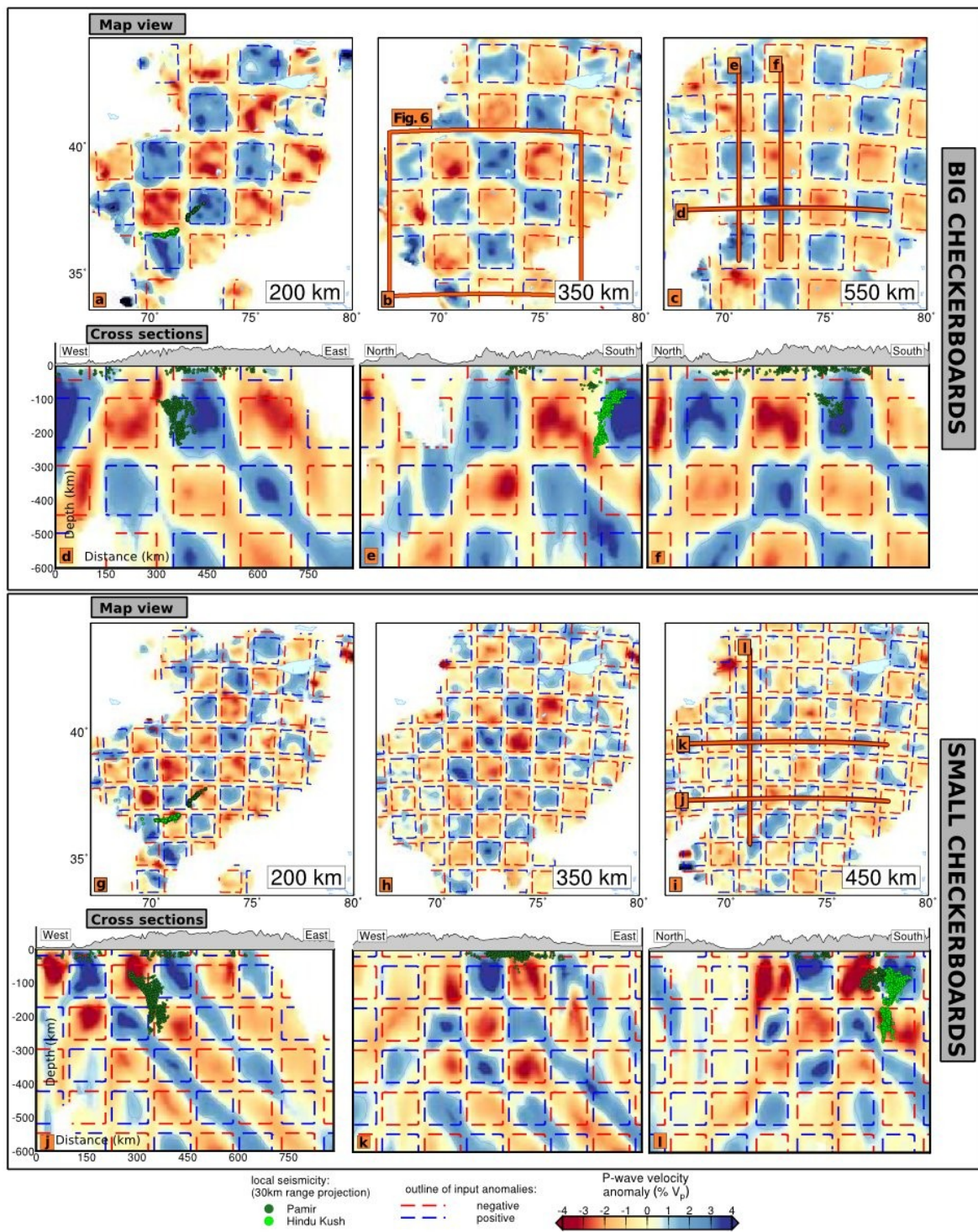


Figure 5: Checkerboard tests. The recovered output velocity model is color coded as in Figure 6. Seismicity from Figure 2 is plotted for orientation. **(a-f)** Input anomalies (dashed, $\pm 3\%$) are cubes of 150 km edge length separated by a 50 km wide neutral zone. **(g-l)** Cubes with edge length of 100 km separated by 25 km wide neutral zone.

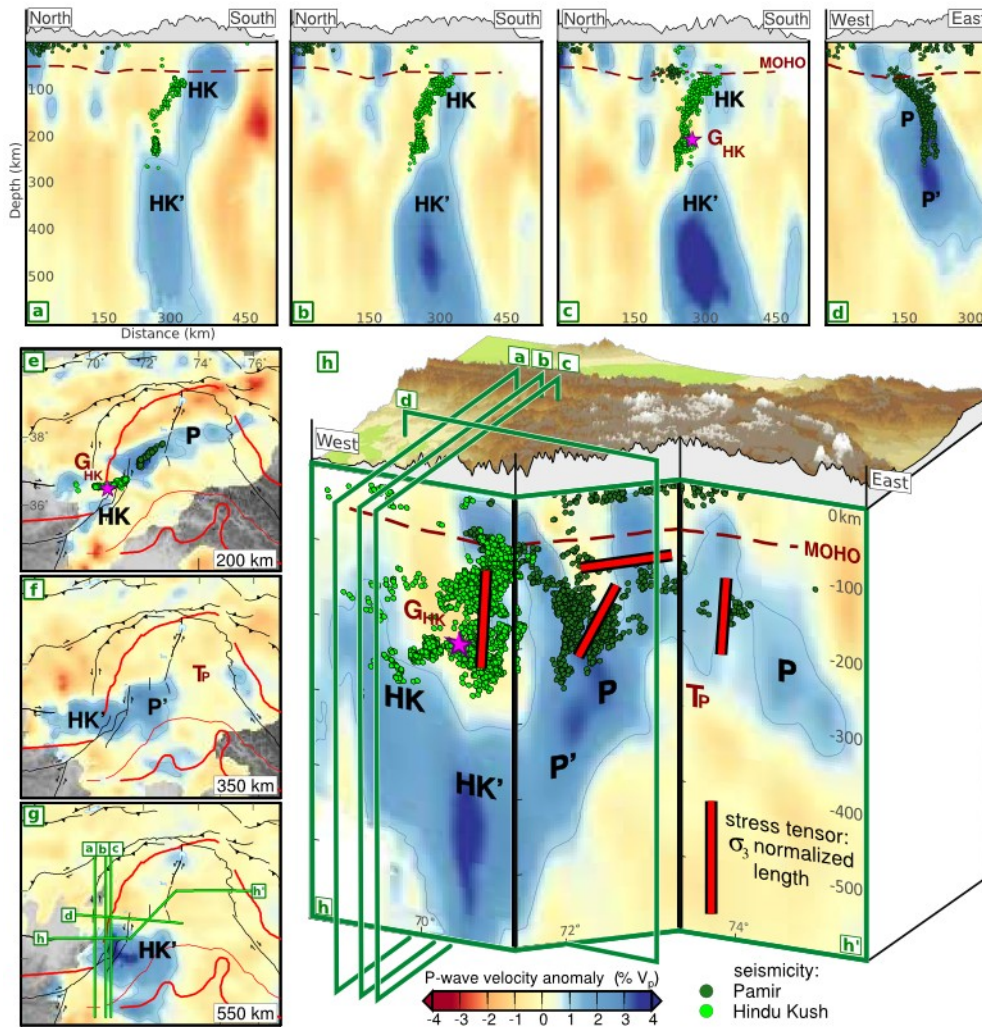


Figure 6: Tomographic velocity model, seismicity and stress axes. (a-d) Vertical sections. **(e-g)** Depth maps. **(h)** Vertical section along the Hindu Kush and Pamir slabs (+1% anomaly of the velocity model is contoured). Earthquakes of the Pamir (dark green) and Hindu Kush (light green) seismic zones (30 km swath width) and σ_3 (tension) axes (red bars) from stress inversions of 238 focal mechanisms projected onto the cross-section planes. Capital letters mark anomalies described in the text. Pink star marks the hypocenter of the recent October 2015 Mw 7.5 Badakhshan, Afghanistan event (USGS, 2015). Discrepancy between USGS event locations and our catalogue is less than 10 km at this depth.

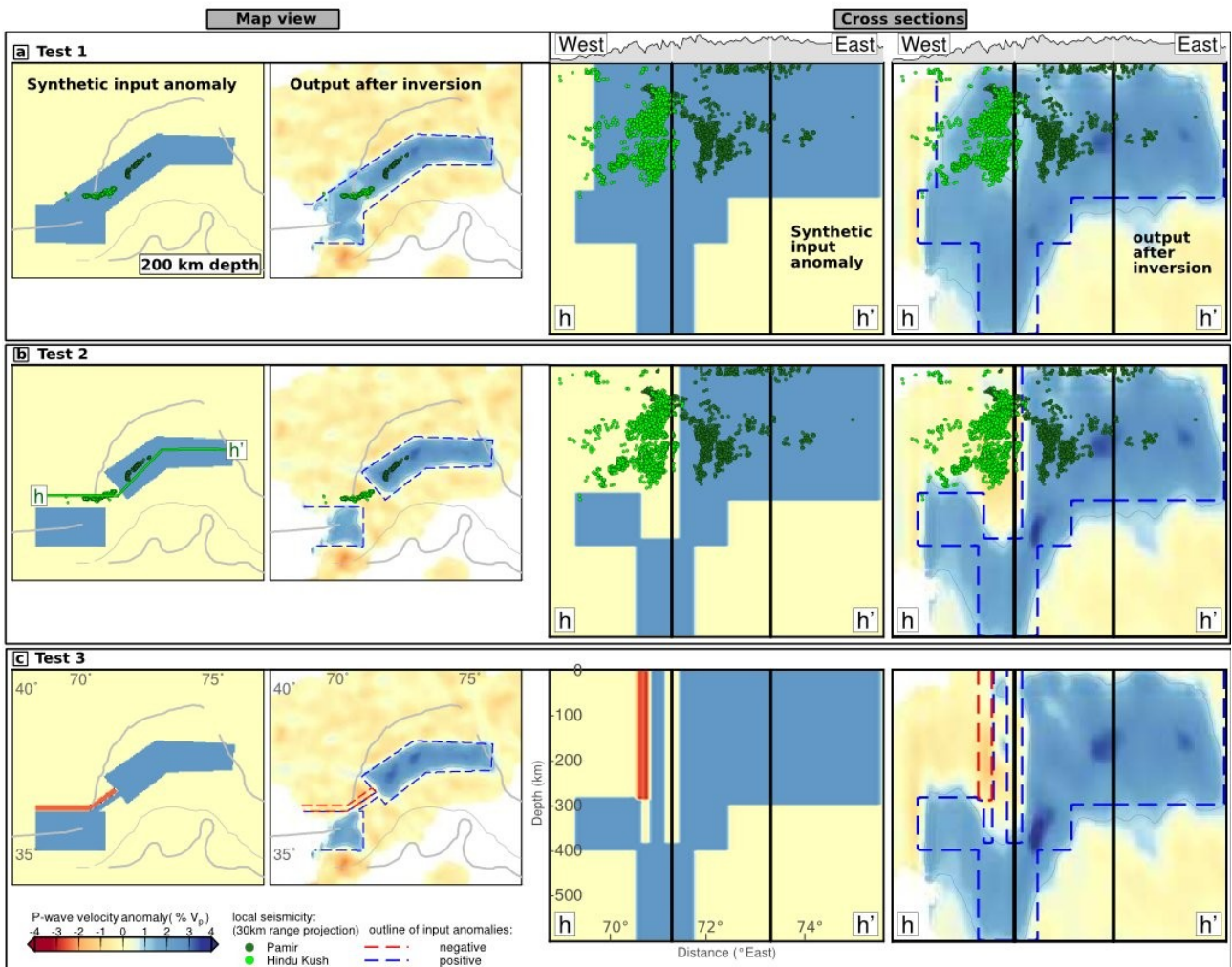


Figure 7: Synthetic tests to assess the reliability of the features discussed in the text. Left panels show the input synthetic anomalies, right panels the recovered models (input anomalies dashed). Map view and cross-section as in Figures 6e, h. Earthquakes and tectonic features as in Figure 6. **(a)** Scenario 1: Pamir-Hindu Kush anomalies are connected in the upper mantle. **(b)** Scenario 2: Pamir-Hindu Kush anomalies are separated in the upper mantle and the Hindu Kush earthquakes occur in the gap. **(c)** Scenario 3: A ~15 km thick low and a ~15 km thick high velocity zone occupy the zone between the Pamir and Hindu Kush anomalies.

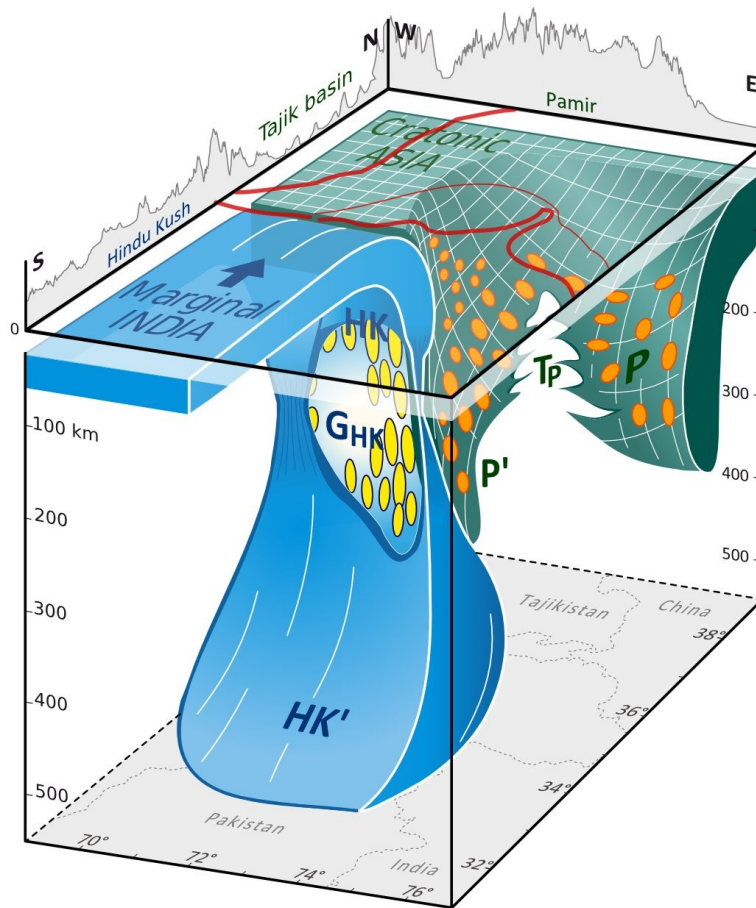


Figure 8: Synoptic interpretation of the tomographic model. Blue: The Indian-plate sliver detaching under the Hindu Kush. Earthquakes (yellow) cluster in regions of stretching and necking where lithosphere is extremely thinned. Green: Asian plate delamination and rollback under the Pamir, with along-arc stretching and central tearing; Pamir earthquakes in orange. Capital letters mark the velocity anomalies described in the text and annotated in Figure 6.

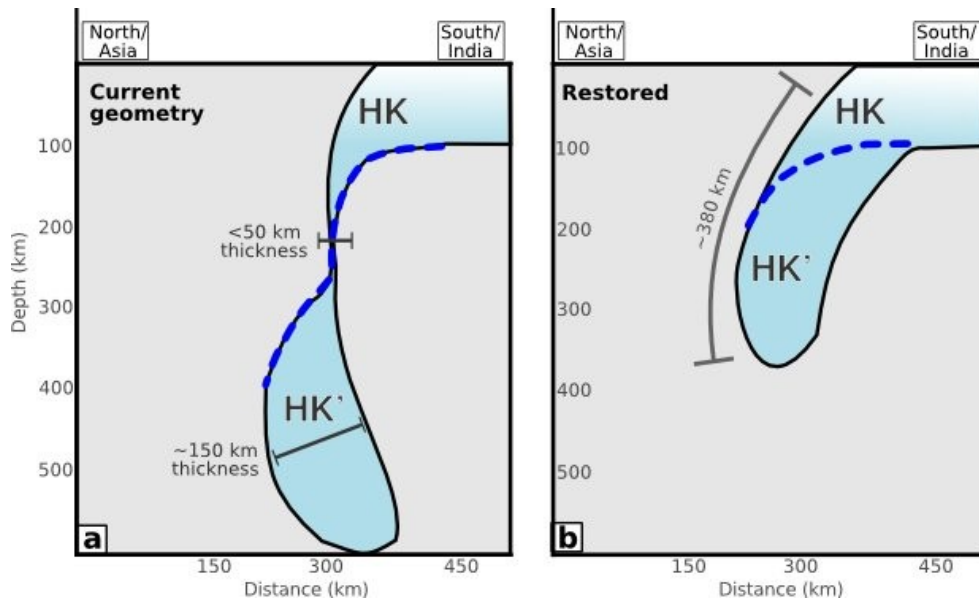


Figure 9: Sketch of slab-restoration for the Hindu Kush. (a) Current situation similar to imaged Hindu Kush slab (Figs. 6a-c). **(b)** Restored slab. Although addressing the mechanism of slab stretching is beyond the scope of this article, current geometry may have been achieved by simple shear extension as sketched; the final detachment is probably due to necking.

507

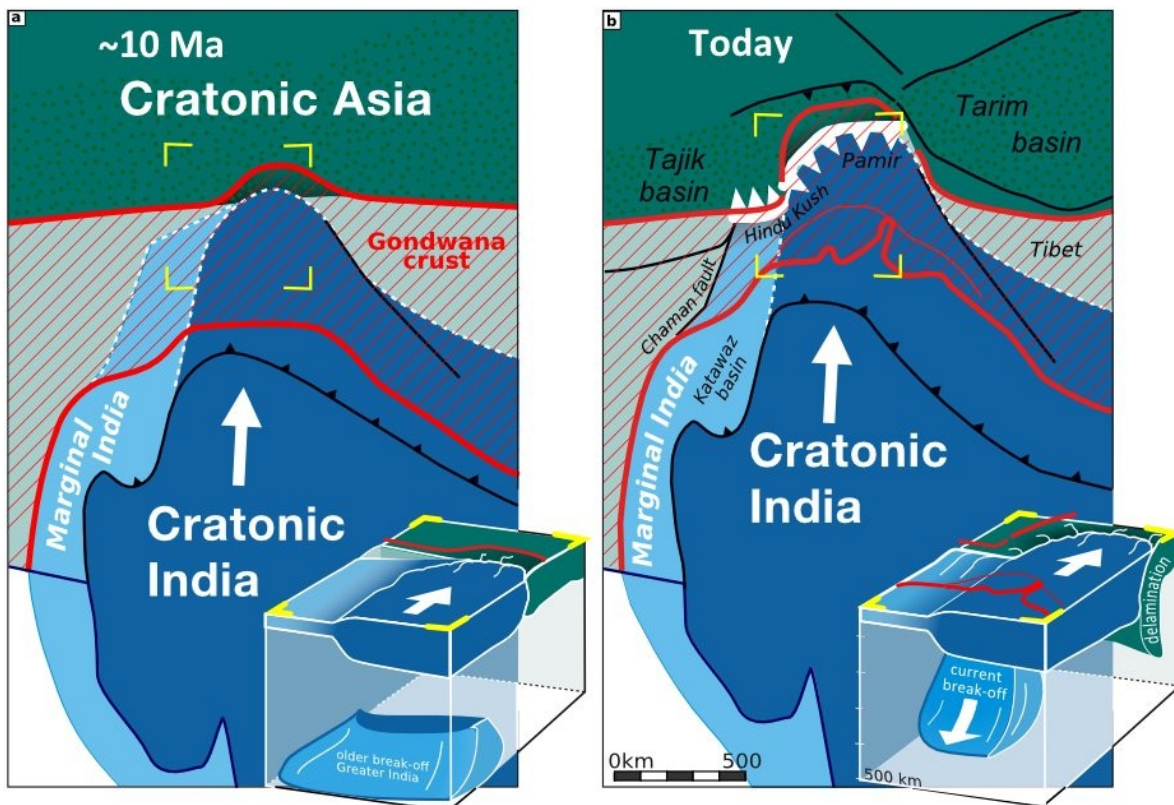


Fig. 10: Evolution scenario of the western India-Asia collision zone for the ~10 Myr of collision history. Sketches outline the tectonic plates at depth, which differ from the boundaries at the surface (sutures as in Fig. 1). Insets focus on the Pamir-Hindu Kush, illustrating the plate interaction in perspective view (region and view point as in Fig. 8) **(a)** At ~10 Ma, the Indian cratonic lithosphere (Cratonic India) impinges on Asian cratonic lithosphere (Cratonic Asia, comprising the basement of the Tarim and Tajik basins), pushing thickened crust, comprising rheological weak Gondwana crust (hatched red) on top. The previously subducted Greater Indian lithosphere (extended passive margin of India) has already detached along the entire collision front, allowing a rearrangement of the continental subduction system. **(b)** Present: Cratonic India has underthrust the Pamir, shortened the Gondwana crust, and indented Cratonic Asia, which delaminates and rolls back. The thinned and less buoyant crust of the western passive margin of India (Marginal India) tears off from Cratonic India and subducts under Asia.

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