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# Present-day stress field in subduction zones: insights from 3D viscoelastic models and data

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#### Abstract

3D viscoelastic FE models were performed to investigate the impact of geometry and kinematics on the lithospheric stress in convergent margins. Generic geometries were designed in order to resemble natural subduction. Our models predictions mirror the results of previous 2D models concerning the effects of lithosphere-mantle relative flow on stress regimes, and allow a better understanding of the lateral variability of the stress field. In particular, in both upper and lower plates, stress axes orientations depend on the adopted geometry and axes rotations occur following the trench shape. Generally stress axes are oriented perpendicular or parallel to the trench, with the exception of the slab lateral tips where rotations occur. Overall compression results in the upper plate when convergence rate is faster than mantle flow rate, suggesting a major role for convergence. In the slab, along-strike tension occurs at intermediate and deeper depths (> 100 km) in case of mantle flow sustaining the sinking lithosphere and slab convex geometry facing mantle flow or in case of opposing mantle flow and slab concave geometry facing mantle flow. Along-strike compression is predicted in case of sustaining mantle flow and concave slabs or in case of opposing mantle flow and convex slabs. The slab stress field is thus controlled by the direction of impact of mantle flow onto the slab and by slab

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longitudinal curvature. Slab pull produces not only tension in the bending region of subducted plate but also compression where upper and lower plates are coupled. A qualitative comparison between results and data in selected subductions indicates good match for South America, Mariana and Tonga-Kermadec subductions. Discrepancies, as for Sumatra-Java, emerge due to missing geometric (e.g., occurrence of fault systems and local changes in the orientation of plate boundaries) and rheological (e.g., plasticity associated with slab bending, anisotropy) complexities in the models.

Keywords: stress, subduction geometry, numerical viscoelastic modeling, absolute plates motion.

#### 1. Introduction

- The dynamics of subduction zones depend on the balance of driving and
- 3 resisting forces (fig. 1a) and on the geometry, in turn controlled by plate-scale
- 4 processes and paleogeography. In addition, mantle flow affects the sinking rate
- 5 and the geometry of the subducting lithosphere (Rodríguez-González et al.,
- 6 2014).
- $_{7}\,$  Tomographic studies indicate that subducted slabs are not simple 2D fea-
- 8 tures (e.g., Zhao, 2004; Obayashi et al., 2013). Slab shape changes not only
- 9 along-dip, but also along the length of many arcs. Therefore, slab geometry
- must be described by its dip, lateral extent and shape (i.e., straight or curved,
- with direction of concavity when curved). As far as the slab dip is concerned, sig-
- nificant effort has been spent in the literature to explain the observed variability.
- Dickinson (1978) and Uyeda (1981) first noted the evident differences between
- shallow and steep subduction zones, discriminating two end member styles of
- subduction: the Chilean-type (fig. 1b) and the Mariana-type (fig. 1c). In ad-
- dition to the difference in the slab dip-angle (e.g., Ricard et al., 1991; Doglioni,
- 1993), the corresponding characteristics for the two classes are (Doglioni et al.,
- 18 2007, and references therein): maximum depth of seismicity (300 km vs 700
- km, respectively); state of stress in the back-arc region (compressive vs exten-

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sional); state of stress in the slab at intermediate depths (down-dip tensional vs
   down-dip compressional); structural elevation and vergence of the accretionary
   wedge (low-elevated single-verging vs high-elevated double-verging); subsidence
   rate in the foredeep (low vs high); thin- vs thick-skinned tectonics.
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       Considering the third dimension, both arcuate and straight subduction zones
   exist. Frank (1968) first noted that the curvature of subduction zone trenches
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   and arcs varies considerably on Earth (fig. 2), from concave toward the mantle
    wedge (e.g., Aleutians-Alaska, Scotia, Calabria, Hellenic, North Ryukyu, Mari-
   anas, Sumatra; Hsui & Youngquist (1985); Mahadevan et al. (2010)), to straight
    (e.g., Tonga-Kermadec, South Solomon, Central America, Vanuatu), to convex
    (e.g., North Solomon, South Ryukyu, Central-South America, Cascadia; Morra
   et al. (2006)).
       Subduction zones and their associated slabs are limited in lateral extent
    (with lengths spanning in the 250-7400 km range). Their three-dimensional ge-
   ometry evolves over time (Schellart et al., 2007) and can often be quite complex
    (Jadamec & Billen, 2010).
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       The dynamics of plate margins is also affected by plate convergence and
   by the absolute plate motion (e.g., Doglioni et al., 1999; Carminati & Petricca,
   2010; Rodríguez-González et al., 2014). Hotspot tracks provide a reference frame
   for the absolute motion of plates (e.g., Norton, 2000), indicating that a relative
   motion occurs between the lithosphere and the underlying deep, high-viscosity
   mantle (Net Rotation; e.g., Ricard et al., 1991), the asthenosphere likely acting
   as a detachment layer owing to its low viscosity (e.g., Panza et al., 2010). The
   eastward mantle flow velocity depends on the adopted assumptions (e.g., Gripp
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   & Gordon, 2002; Steinberger et al., 2004; Cuffaro & Jurdy, 2006; Crespi et al.,
   2007; Becker, 2008), ranging from 2 cm/yr to a maximum value of 13.4 cm/yr.
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       Using three-dimensional numerical simulations of free subduction, Schellart
   et al. (2007) showed that the 3D effect mainly operates close to the lateral edges
   of slabs. As a consequence, 2D simulations (e.g., Giunchi et al., 1996; Gardi
   et al., 2002; Carminati & Petricca, 2010) apply best to portions of wide slabs
   far from the slab edges, while for a full descriptions of the stress pattern a third
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dimension is needed. Few 3D models of subduction considering viscoelastic rheologies are available in the literature (Negredo et al., 1997, 1999; Hashima et al., 2008), and no one concerns with the lateral variability of stress within the slab. This means that no systematic studies were dedicated to understand the factors controlling the variability of states of stress observed in natural subductions. With this study we aim at bridging this gap. For this purpose we built several numerical viscoelastic models characterized by 3D geometries inspired by the variability observed in natural subductions. The efficiency of the slab gravitational pull, the relative motion between upper and lower plates and mantle and lithosphere were investigated as controlling forces over the present-day stress field. The generic 3D geometries (although not simulating specific subduction zones) were specifically designed after a review of available databases of subduction zones geometries (e.g., Gudmundsson & SambRidge, 1998; Hayes et al., 2012; Basili et al., 2013, and references therein). In the following, we first discuss the characteristics of the state of stress at crustal depths and within the slabs in subductions worldwide using the World stress map database (Heidbach et al., 2008). After evaluating the compatibility of 3D simulations results with respect to previous 2D models (Carminati & Petricca, 2010), we focus on along-strike deformation and stress axes rotations within the lower plate and on the stress field in the upper plate. Modeling results are then compared with stress data available for selected subductions whose geometries are similar to those modeled and consistencies and inconsistencies are discussed.

#### 2. Stress field in natural subduction zones

In natural subductions the crustal stress field (depth 5-50 km) is extremely variable. In the lower plates, a transition from tension to compression with depth is described by shallow seismicity in the trench-outer rise (e.g., Seno & Yamanaka, 1996). Extension is consistent with the bending of the plate prior to subduction and compression at crustal depths is related to the coupling between

upper and lower plates, which generates the largest earthquakes worldwide (e.g., Barrientos & Ward, 1990; Stein & Okal, 2005; Fujii et al., 2011). Few stress data are available for the non-subducted portion of lower plates. Available data show that the stress field is here generally characterized by tensional regimes 83 and maximum horizontal stress (red axes in fig. 3, hereafter SHmax; Heidbach et al., 2008) sub-parallel to the trenches. This pattern means extension  $(\sigma_3)$ 85 perpendicular to the trench and is related to the stretching due to the bending of the plate. Strike-slip and compressional regimes (green and blue axes in fig. 3) do not follow any particular trend, and are generally observed around the trench lateral edges or in regions of strong interactions between mantle flow and complexly shaped slabs (i.e., trench deflections, slab lateral terminations or lithospheric discontinuities). Best examples come from the eastern edge of the Central America (fig. 3c), the northern edge of the Kermadec-Tonga (fig. 3g), the eastern edge of the Aleutians-Alaska (fig. 3f) and the lateral edges of 93 the Ryukyu subductions (fig. 3h). The stress field in the upper plates shows the highest variability. What one might expect in a convergent setting is overall trench-perpendicular compression. This is true in several cases (e.g., South America, Sumatra, Central America, Vanuatu and Solomon; see fig. 3a-e), but extensional processes characterize upper plates of other subductions. These tensional stresses are described by SHmax parallel to the slab trench and are associated with the development and evolution of back-arc basins. The best 100 example is the upper plate of the Izu-Bonin subduction (fig. 3i), where a ten-101 sional stress pattern can be tracked from north to south, parallel to the strike of the trench (i.e., extension perpendicular and SHmax parallel to the trench). 103 The same stress field characterizes the Kermadec (fig. 3g) and the Aleutians 104 subductions (fig. 3f), although less clear from data. In subductions governed by 105 overall trench-perpendicular extension, local compression can affect the upper 106 plate in regions lying over the slab projection on the surface. Local trenchperpendicular compression occurs in the upper plate of the Aleutians (fig. 3f), 108 the Kermadec (fig. 3g) and the Ryukyu (fig. 3h) subductions, generally char-109 acterized by trench-perpendicular extensional processes.

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Focusing on the orientation of stress axes, a large variability mirrors the differences in the geometry of trenches. In east dipping subductions (generally sustained by the eastward relative mantle flow; fig. 1b) the lithosphere in the upper plate shows a compressional regime and typical trench-perpendicular or sub-perpendicular direction for SHmax axes (blue axes in fig. 3a-e). In west dipping subductions (generally opposed by the mantle flow; fig. 1c) the stress field is tensional (with tension perpendicular to the trench) in the upper plate as indicated by SHmax axes sub-parallel to the trench (red axes in fig. 3f-j). This pattern is maintained along the strike of linear trenches (e.g., Chile, Solomon and Kermadec) or it adapts in case of trench deflections (e.g., Sumatra, Aleutians and Mariana). Major rotations affect the stress axes approaching the trench lateral edges (e.g., north of Vanuatu or east of Alaska; see fig. 3d and f). As a specific example, the lithosphere in the upper plate of the South America margin is under compression and shows preferentially SHmax axes sub-perpendicular to the trench (fig. 3a). The stress field is laterally maintained along the straight portions of the subduction (e.g., in the Chile region). A clear reorientation of the stress axes coincides with the trench deflection moving northward (i.e., in the South Peru area). Again thrust faulting regimes emerge in the Central America subduction (fig. 3c), and the straight portions of the Solomon subduction trench (fig. 3e), with SHmax axes perpendicular to the straight trenches. The progressive rotation of SHmax axes from a NE-SW direction (in its western part) to an approximately N-S direction (to the east), still perpendicular to the plate boundary, is associated with the smooth curvature of the Sumatra-Java subduction (fig. 3b). A complete description of deformation in subduction systems requires also a

A complete description of deformation in subduction systems requires also a description of the stress state affecting the subducting slab. From focal mechanisms of earthquakes, the stress field reconstructed for intermediate depths (focal depths between 100 km and 300 km; Isacks & Molnar, 1971) can range from down-dip tension to down-dip compression (Bailey et al., 2012). At intermediate depths, bending and unbending (fig. 1a) have been invoked as the cause of double seismic zones with opposing stresses in the upper and lower interfaces of

the slab (Engdahl & Scholz, 1977; Kawakatsu, 1986), usually showing down-dip 142 compression and tension respectively (Hasegawa et al., 1978). Deep earthquakes 143 (300-700 km) are typically down-dip compressional in all Wadati-Benioff zones 144 that reach the upper-lower mantle boundary, reflecting the increasing resistance 145 encountered by the subducting lithosphere (Isacks & Molnar, 1969, 1971) at the viscosity jump affecting the 670 km discontinuity and the slab positive buoyancy 147 anomalies induced by thermally down-warped perovskite-forming reactions at these depths (fig. 1a). As a first approximation, density driven slab pull gener-149 ates down-dip extension in the slab (e.g., Anderson, 2001; Conrad & Lithgow-150 Bertelloni, 2002), while viscous resistance to penetration into the lower mantle results in down-dip compression, that propagates up-dip along slabs toward 152 intermediate depths depending on the magnitude of this deep-seated positive buoyancy (Chen et al., 2004).

#### 3. Model description

et al., 2013). The constitutive equation is:

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The 3D modeling was performed using the finite elements method, with a 156 viscoelastic approach. Our models simulate the subduction of an oceanic plate under a continental plate (fig. 4). The compatibility ( $\varepsilon = \nabla \vec{s}$ , where  $\varepsilon = \text{strain}$ 158 and  $\vec{s} = \text{displacement}$ ), equilibrium and constitutive equations for a viscoelastic 159 Maxwell body were solved simultaneously using the software COMSOL Multiphysics (http://www.comsol.com/). 161 Viscoelastic materials have been widely used in the literature to simulate 162 stress distribution in slabs (Giunchi et al., 1996; Carminati et al., 1999; Negredo et al., 1999; Gardi et al., 2002; Carminati & Petricca, 2010; Petricca

$$\delta \varepsilon_{Total} / \delta t = (\sigma / \eta) + 1 / E \left( \delta \sigma / \delta t \right) \tag{1}$$

The equilibrium equations account for the balance of internal and external forces. With F defining the volume forces, the formulation becomes:

$$\nabla \sigma + \mathbf{F} = 0 \tag{2}$$

The assumptions, rheological parameters, geometries and forcing factors adopted in our simulations will be now discussed.

#### 3.1. Rheology

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The elastic rheological parameters used in this work are based on the PREM model (Dziewonski & Anderson, 1981), while the adopted mantle viscosity values are consistent with literature studies for the upper mantle (Spada et al., 1992; Kaufmann & Lambeck, 2000; Nakada & Lambeck, 1989) and for the lithospheric mantle (Houseman & Gubbins, 1997). The adopted rheological parameters are also in line with previous viscoelastic models of subductions (e.g., Negredo et al., 1999; Giunchi et al., 1996; Gardi et al., 2002, 2010).

The models represent the Earth through three-dimensional portions of a convergent margin that extend from the topographic surface down to a maximum depth of 1000 km. All the models include the crust, the lithospheric mantle, the asthenosphere, the upper mantle and part of the lower mantle. For every region of the model, materials are defined by their elastic properties (Young modulus E and Poisson coefficient  $\nu$ ) and the dynamic viscosity ( $\eta$ ) (table 1).

Our models do not include rheological and density changes associated with the olivine-wadsleyite phase transition. For a discussion on the contrasting effects of this phase transition on the density anomaly of sinking slabs, the reader should refer to Bina (1996, 1997), Tetzlaff & Schmeling (2009), Lee & King (2011), Quinteros & Sobolev (2013) and to the following discussion. As in previous studies (e.g., Giunchi et al., 1996), our models assume that the former stress in the system has been released by creep and seismic activity at the moment of starting calculations.

#### 192 3.2. Model geometries

In agreement with average global values, the continental lithosphere has a thickness of 85 km, including a 35 km thick crust and 50 km thick lithospheric

mantle. The oceanic lithosphere is 60 km thick due to 10 km thick crust summed 195 with 50 km of lithospheric mantle. In order to test the effects of varying the coupling between lithosphere and mantle by gradually lowering its viscosity in 197 different models, an asthenosphere approximately 200 km thick (i.e., down to a 198 depth of 300 km) was introduced. The asthenosphere (or low velocity zone) was modeled in some runs as a distinct layer because of its lower viscosity with re-200 spect to the rest of the upper mantle (Anderson, 1989). The assumed thickness 201 is consistent with natural observations ranging from 100 to 400 km (e.g., Gung 202 et al., 2003). However our results show (accompanying material) that the litho-203 sphere/mantle coupling does not affect the stress regime and direction (we are interested for), but only the stress magnitude. As a consequence, results shown 205 in the main text come from models considering the mantle as a homogeneous layer. The effects of imposing an asthenosphere viscosity lower than that of the remaining mantle will be briefly discussed. A fundamental arrangement in the 208 design phase was to split the subducting slab into sub-domains, needed during the process of model setup to force negative buoyancy anomalies (fig. 4a). 210

In agreement with previous studies (e.g., Giunchi et al., 1996; Petricca et al., 2013; Zhong et al., 1998), the contact between upper and lower plates is modeled as a single fault, considered locked in all the calculation. This choice is motivated by the fact that we are interested in the regional stress field (compression or tension axes direction), rather than in stress magnitude (controlled by the upper-lower plate coupling). However, the maximum deviatoric stress that we obtain in our solutions along the interface domain never exceeds 250 MPa (auxiliary material fig. AUX1), similarly to previous studies (e.g., Giunchi et al., 1996).

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Our models are truly three-dimensional, since the slabs are laterally finite. The mesh is designed using tetrahedral elements with nodal distance ranging between 12 km (lithosphere, slab and mantle surrounding the slab) and 300 km (at the bottom of the model). Planes of symmetry were used to halve the geometries in order to simplify the calculations, making the models less time and memory consuming. The effects of slab dip variations on the stress field

were addressed in previous works (Carminati and Petricca, 2010). Thus, in this
paper we analyze the effects of the lateral finiteness of slabs rather than of slab
dip. The following geometries were tested:

- 3D models with linear trench (fig. 4b): different lateral extent for the trench (in the range between 500 to 3000 km) provides a good representation of almost all known cases of linear worldwide subduction (e.g., from the laterally short Makran subduction to the 3000 km long Chile subduction; fig. 2).
- 3D models with curved trench: these models are meant to simulate convergent margins described by a curved slab shape, a feature common to 235 subduction arcs. In a first set of geometries concave downward slabs are 236 simulated (hereafter concave; fig. 4c). This geometry of slabs is typical 237 of retreating hinge subduction. Slabs with a concave upward shape were 238 also modeled (hereafter convex; fig. 4d), corresponding to several advancing hinge subduction zones. Several lateral lengths of slabs (from 500 km 240 to 3000 km along strike) were tested in order to represent the variety of 241 natural cases, starting from the smallest Calabrian and Nova Scotia arcs, up to the largest Aleutian and Sumatran arcs. 243

Only the intermediate case (i.e., 1000 km lateral extent of halved slab) of the described geometries are shown in fig. 4.

#### 246 3.3. Model boundary conditions

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Different kinematic scenarios are recognizable in natural subductions. The mantle flow and the slab dip can be toward the same direction or they can oppose either with curved or linear trenches (fig. 3). A list of the main subductions and their principal features is provided in table 3.

Mantle flow impacts the slabs at different rates, either supporting (e.g., in the South America, Sumatra, Central America, Vanuatu and Solomon) or opposing (e.g., in the Aleutians, Kermadec, Ryukyu, Izu-Bonin and Philippines) the subduction (see table 3). The effects of subduction kinematics variability

are tested in our models. The forces acting alternately or simultaneously are 255 defined as boundary conditions and as body forces (fig. 4). The forcing processes that were simulated are: slab pull, mantle flow and plate convergence. 257 As widely adopted in the literature (e.g., Giunchi et al., 1996; Negredo et al., 258 1999), gravity is not applied as a body force through the models, but is considered an adjunctive sub-domain condition acting on the upper surface of the 260 model (Winkler foundation; Williams & Richardson, 1991) as a vertical pressure equal to  $-\Delta \rho g v$  (where  $\Delta \rho$  is the difference between crust and air densities, g 262 is the gravity acceleration an v the vertical displacement). This representation 263 of isostatic forces allows us to consider the density variations only in volumes affected by gravity anomalies. Slab pull, is simulated imposing the density 265 anomaly in the deepest part of the slab (i.e., from 200 km down to the lower tip of the slab). Given the difficulty in evaluating the precise magnitude of slab pull in real subductions, a constant value of 60 kg/m<sup>3</sup> in the density anomaly was 268 used, consistent with seismological data (Yoshii, 1973). The chosen value represents a good average of those proposed in the literature, ranging between 50 270 kg/m<sup>3</sup> (obtained with thermo-viscous models of slow subductions; e.g. Davies & 271 Stevenson, 1992) and 100 kg/m<sup>3</sup> if the eclogitization of lower crustal gabbros is 272 taken into account. Otherwise, it has to be emphasized that seismological data 273 suggest no slab pull in natural slabs (e.g., Ionian oceanic subduction Brand-274 mayr et al., 2011). Owing to the uncertainty in the depth range and efficiency 275 of slab pull, some runs were made adopting shallower density anomalies (from 276 lithosphere bottom downwards) or no slab pull. Plates convergence and mantle flow are simulated via a prescribed displace-278

Plates convergence and mantle flow are simulated via a prescribed displacement condition over the boundary surfaces of the involved sub-domains (fig. 4). The velocity is imposed at the model lateral boundary as a horizontal displacement calculated at each time step multiplying the velocity by the duration of the time step. The bottom of the models, located within the lower mantle, is free to move in the tangential direction while zero displacement is allowed in the direction perpendicular to the boundary. A free boundary is imposed at the sides of the lower mantle while the lithospheric lateral boundaries are kept

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fixed in the horizontal direction if no plate convergence is simulated. A free boundary condition is applied to the upper mantle lateral boundaries, if no asthenospheric flow relative to the lithosphere is imposed. Free slip is imposed at the other planes that bound the modeled domains. A list of modeled geometries can be found in table 2.

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The whole set of models (described in the accompanying material) was run assuming convergence rates varying between 0 and 4 cm/yr and mantle flow rates varying between 0 and 8 cm/yr, to simulate the variability of mantle flow velocity predicted by plate kinematic models available in the literature.

Contrary to thermo-mechanical approaches (see Gerya, 2011, and references therein) and in agreement with previous works (e.g., Gardi et al., 2010), our 296 models do not aim to simulate the initiation and the progressive evolution of 297 subduction, but rather to study the actual stress regime by exploring the control exerted by different geodynamic processes. This approach implies computations 299 simulating the evolution of subduction zones during relatively short time spans. All the models were initially solved through a static analysis. This approach is 301 required to ensure an initial balance between the applied forces and the internal 302 initial deformation of the system. Then, the static solution was used as an initial condition for the transient analysis. For the adopted viscoelastic materials, the 304 steady state of the solutions is always achieved in a time of model evolution of 250 kyr. Such time span is smaller than the relaxation time assumed for crustal rocks, so that in our models the crust behaves largely in an elastic way. The 307 models results are shown for the 250 kyr time, which is sufficient in this kind of models to reach a dynamic equilibrium between tectonic and isostatic forces, 309 and for stress and strain rates to reach steady-state values (fig. AUX1). Similar 310 time spans (200-250 kyr) to reach steady state were obtained in previous studies 311 (Gardi et al., 2010; Giunchi et al., 1994, 1996). In the accompanying material 312 the solutions for longer evolution times are shown (fig. AUX2).

#### 314 4. Results

#### 4.1. State of stress in slabs

The states of stress obtained within subducting slabs for the intermediate-length 3D geometries (see fig. 4 and table 2) are shown in fig. 5. Since the shape of the slab is closely linked to the dynamics of the subduction system, the results obtained for convex subduction geometry are shown for models characterized by mantle flow sustaining the slab (fig. 5a) and results for concave geometry are shown for models including mantle flow opposing the subduction (fig. 5c). The model with linear subduction geometry is forced only by slab pull (fig. 5b), and is thought to represent the initial phase of retreating slabs. The results of 3D models are consistent with the findings of the 2D models proposed by Giunchi et al. (1996) and Carminati & Petricca (2010): mantle flow opposing slabs enhances down-dip compression, whereas mantle flow sustaining slabs enhances tension.

A major difference with respect to 2D models is that, if mantle flow is applied, substantial along-strike deformation occurs within the subducted plate. If mantle flow opposes a concave slab (fig. 5c), two sets of tensional axes can be distinguished: 1) those associated with the down-bending of the lithosphere (as seen in 2D models and for the linear trench models), which characterize the shallow bending region and tend to rotate towards the slab lateral edges; 2) those related to the slab differential retreat induced by the mantle push. The latter are mainly along-strike oriented and affect intermediate and deeper regions of the slab. Down-dip and along strike axes form a compression-tension couple that rotates moving towards the slab lateral edges.

If sustaining mantle flow is imposed over a convex slab (fig. 5a) tension, associated with slab pull and plate bending, still takes place at shallow depths. In addition, overall down-dip tension is observed at intermediate and deeper depths and along-strike tension occurs in central and lateral slabs domains. The compression-tension couples discussed earlier, are in this case replaced by tension-tension couples (respectively down-dip and along-strike). Inverting the

adopted mantle flow direction for the curved geometries (fig. AUX3), reverse 344 stress states are obtained. If mantle flow is forced to sustain a concave slab, the down-dip compressional and along-strike tensional axes of fig. 5c turn into down-346 dip tensional and along-strike compressional. The opposite occurs if mantle flow 347 opposes a convex slab, forcing down-dip and along-strike compression within the sinking lithosphere (fig. AUX3). In both cases, along-strike compression is due 349 to the lateral push of the slab against the confining mantle. In addition, when the mantle flow parallels the slab interface, along-strike compression or tension 351 occur because the lithosphere is squeezed or stretched in that direction (fig. 352 AUX3).

#### 354 4.2. Models stability

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To verify the stability of the models, simulations were also performed varying the rheological parameters of lithospheric mantle and asthenosphere. The 356 viscosity of the lithospheric mantle was varied between 10<sup>21</sup> Pa s and 10<sup>23</sup> Pa s. A low viscosity lithospheric mantle (e.g.,  $10^{21}$  Pa s) sustains little stresses. 358 Increasing viscosity allows the lithosphere to sustain larger stresses. These ef-359 fects on stress magnitudes are also emphasized in the upper plate and in the bending part of the slabs (fig. AUX4). Imposing a 200 km thick asthenosphere 361 (fig. AUX5), to generate a more efficient decoupling between the lithosphere and the upper mantle, does not affect significantly the described stress patterns. A viscosity contrast of 1.5 orders of magnitude between lithosphere and upper 364 mantle (i.e.,  $5x10^{22}$  in the lithospheric mantle versus  $10^{21}$  Pa s in the upper mantle, as in the reference models) is sufficient to provide enough decoupling between the subducting slab and the surrounding mantle. 367

The mantle flow rate controls also the magnitude of both down-dip and along-strike stress within slabs. Obviously, as already discussed for 2D models by Carminati & Petricca (2010), the higher the speed, the larger the stress magnitude. The results of the sensitivity analysis suggest that even changing significantly the viscous parameters, the main predictions of our models are maintained.

#### 4.3. State of stress in the crust

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The effects of using 3D geometries are even clearer in map view. Slicing the 375 models at 5 km depth and considering the upper plate, the stress field shows 376 overall trench-perpendicular compression when only slab pull is applied (fig. 6a-377 c) or if a sustaining mantle flow is added (fig. 6d-f). If subduction is forced by an opposing mantle flow (fig 6g-i), the overriding plate is characterized by over-379 all tension. Despite the general tensional stress field, a region of the overriding plate is always characterized by trench-perpendicular compression. This area 381 is located within the lithosphere lying above the slab projection, and follows 382 the linear or curved trend of the slab (fig. 6). The stability of this feature is evaluated by comparison with models with no slab pull or with increased slab 384 pull (from the lithosphere bottom to the lower slab tip). Results show that 385 in case of sustaining mantle flow, the stress field in the upper plate is steadily compressional (fig. AUX6 a-c). In case of opposing mantle flow, the discussed 387 tension-compression-tension pattern occurs in the upper plate, and the larger the slab pull the longer are the compressional stress axes and the narrower is 389 the strip of tension near the subduction trench (fig. AUX6 d-f). 300

Concerning the lower plate, the stress field at shallow depths is generally neutral to tensional when the model is forced only by slab pull, or in case of sustaining mantle flow (fig. 6a-f). Instead, widespread compression is generated by mantle flow opposing the sinking slab (fig. 6g-i). If plate convergence is forced, the shallow lithosphere (depth of 5 km) undergoes overall compression as described for 2D models (Carminati & Petricca, 2010). This is true for lithospheric convergence rate comparable to or faster than mantle flow rate (fig. AUX7).

Shallow compressional axes have a constant (trench-perpendicular) orientation along straight plate boundaries, while they rotate following the trench curvature to maintain a trench-perpendicular orientation in curved subductions (fig. 6). Far from plate boundaries the influence of geometry ceases and stress axes tend to parallel the mantle flow. Stress axes rotations occur in both upper and lower plates close to the lateral termination of the subducted lithosphere.

(fig. 6d) and, to a lesser extent, in convex subductions sustained by mantle flow (fig. 6f) or concave subductions opposed by mantle flow (fig. 6h). In these regions, the axes directions may reflect the direction of the mantle drag (e.g., 408 Petricca et al., 2013), that rotates around the lateral slab tip. At greater depths (i.e., in slices cut at 50 km), the stress magnitude in the 410 lithosphere decreases (fig. AUX7), but the stress orientations remain identical. 411 The slab's lateral length controls the stress field less efficiently than the trench 412 shape. In models characterized by large trench lateral length, stress axes ro-413 tation does not affect the central part the subduction system. In other words, the larger the slab's lateral extent, the more peripheral is this rotational effect 415 related to lateral slab termination (fig. AUX8).

Larger rotations are predicted in linear trench case sustained by mantle flow

#### 4.4. Comparison with World Stress Map data

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The geometries of our models are generic. Although they were designed in 418 order to resemble natural subduction zones, they do not faithfully represent 419 specific subductions. Keeping in mind this limitation, natural subductions were 420 selected for comparison with model results first by geometric similarity and second on availability of stress data. We excluded Vanuatu and Solomon sub-422 ductions that show articulate trenches, Japan that is considered a non-standard 423 case of W-directed subductions (e.g., Doglioni et al., 2007), central America that is characterized by oblique convergence and the Philippines because of the 425 very sparse stress data (see fig. 3). Marianas is preferred to the Aleutians because is the typical W-directed subduction. Data from the World Stress Map 427 (WSM, Heidbach et al., 2008) and classifications of the state of stress of upper 428 plates (Heuret & Lallemand, 2005) are used for a comparison with model results at crustal depths. 430 As the WSM data show SHmax axes (i.e.,  $\sigma_1$  in compressional and strike-slip 431

domains,  $\sigma_2$  in tensional areas), while our results show maximum compression  $(\sigma_1)$  or tension  $(\sigma_3)$  stress axes, tensional SHmax axes from WSM in figures 7 and 8 were rotated by 90 degrees to allow direct comparison with our results.

Conversely, compressional SHmax from WSM and maximum compression di-435 rections from our models can be directly compared. Moreover, when comparing stress data with our results, it should be kept in mind that the state of stress 437 of plates is controlled by the stresses imposed at all the boundaries of plates 438 (e.g., Meijer & Wortel, 1999). In our simulations we force the model simulating the kinematics of subduction zones forcing convergence to the right and left 440 boundaries, whereas the other boundaries are left free. This means that the 441 resulting stress field is the result of the sole subduction process and should be 442 summed with the stress field rising from other boundary conditions to be fully 443 comparable with specific cases, as shown by Petricca et al. (2013) for the central Mediterranean area. Discrepancies between our models results and stress data 445 will be discussed.

#### 4.4.1. Upper plate - sustaining mantle flow kinematics

In fig. 7, results of models forced by a sustaining mantle flow (advancing hinge subductions) are compared with stress fields from natural subductions. 449 All models in which subductions are sustained by mantle flow, predict compression in the upper plate and tension in the lower plate (fig. 7c-d). Smaller tension 451 is predicted in the lower plate for concave geometries (fig. 7c). Compression 452 predicted by models of subductions sustained by mantle flow is generally confirmed by seismic data, although a large variability occurs. Heuret & Lallemand 454 (2005) classified strain of subduction zones upper plates using focal mechanisms of earthquakes occurring at a crustal depths. In their classification, among sub-456 ductions sustained by mantle flow, Alaska and South America (Colombia, Peru, 457 Chile, Juan Fernandez) subductions are characterized by upper-plate compression. Sumatra, Java and Cascadia have neutral stress states. Andaman is 459 characterized by tension and Central America is characterized by prevailing strike-slip tectonics.

The concave shaped Sumatra-Java subduction (fig. 7a), and the convex part of the South America subduction corresponding with the trench at 20 south latitude (fig. 7b) were selected for more detailed comparisons and to highlight

consistencies and discuss the sources of inconsistencies between results and
data. Away from slab edges, trench-perpendicular compression characterizes
the South America margin, in agreement with modelling results. In the upper
plate, strike-slip axes are abundant in the Sumatra subduction. Tension is also
very diffuse and does not follow any particular trend. These observation is at
odds with modeling results, with the exception of the easternmost part where
compression perpendicular to the trench clearly emerges (fig. 7a).

#### 4.4.2. Upper plate - opposing mantle flow kinematics

Upper plate tension predicted by our models of subductions opposed by mantle flow is consistent with seismic data (Heuret & Lallemand, 2005) that 474 show less variability with respect to subductions sustained by mantle flow. Among subductions opposed by mantle flow, Ryukyu, Mariana, Izu-Bonin, 476 Kamchatka, Antilles, Sandwich, Tonga-Kermadec are characterized by upper-477 plate tension, whereas Japan and Kuril are characterized by compression. The linear Kermadec-Tonga (fig. 8a) and the curved Mariana (fig. 8b) subductions 479 were selected for more detailed comparison with models forced by opposing mantle flow (retreating hinge subductions).  $\sigma_3$  axes of the World stress map 481 run mostly perpendicular to the plates boundary in the upper plate of the 482 Kermadec-Tonga and Mariana subductions (fig. 8a-b). Trench-perpendicular tension is generally reproduced in the upper plate of models forced by mantle 484 flow opposing the subducting slab (fig. 8c-d). Both in curved and linear models, stripes of trench-perpendicular tension are predicted close to the trench and far from the trench, separated by trench perpendicular compression above the 487 slab. In the WSM data of the Kermadec-Tonga subduction, some regions of the overriding plates are characterized by trench-normal compression and locally by 489 strike-slip stress field. Compression is in agreement with our models predictions, for regions of the upper plate lying above the slab (fig. 8c-d). Such compressional tectonics is not observed in the Mariana subduction, with the exception 492 of a small area in the southern part. Consistent with model results, stress axes rotation controlled by trench shape occurs in the Mariana subduction (fig. 8b),

where tensional axes remain always perpendicular to the trench in the upper plate. Notwithstanding the linear shape of the Kermadec-Tonga subduction, some stress axes reorientation take place in its northern part, due to a change in the trench direction (fig. 8a).

#### 4.4.3. Lower plate

As the lower plate is concerned, our models generally show trench-perpendicular compression, related to strong coupling between upper and lower plate. Trench-perpendicular tension is clearly predicted only considering a linear subduction forced by a sustaining mantle flow (fig. 6d). On the contrary, WSM data show sparce trench perpendicular  $\sigma_3$  axes in all natural cases close to the plate boundaries (fig. 7a-b and 8a-b). Compressive and strike-slip deformation appears in the lower plate of the Sumatra subduction, in regions complicated by the presence of lithospheric scale structures (e.g., the Ninety East transfer zone west of Sumatra or the Sumatra-East Java micro-plate boundary, fig. 7a).

#### 5. Discussion

Our modeling results allow evaluating how different driving forces affect the 510 regional stress field in subduction zones. If slab pull is the only active force 511 (fig. 5b) the resulting stress field is controlled by the flexural bending of the 512 sinking plate (Buffett, 2006). Down-dip tension occurs in the upper part (bend-513 ing region) and compression in the deeper portion (resistance to penetration 514 at the lower tip) of the slab. The larger the slab lateral extent, the larger the bending, owing to the greater density anomaly within the subducted litho-516 sphere. Consistent with previous 2D results (Carminati & Petricca, 2010), if 517 mantle flow sustains the subducted plate, intermediate down-dip tension pre-518 vails within the slab (fig. 5a), while down-dip compression prevails if the mantle 519 flow opposes the slab (fig. 5c). This is consistent with what observed in natural subductions. Intermediate depth down-dip compression occurs in the Pacific 521 plate in the Tonga subduction (Chen & Brudzinski, 2001; Chen et al., 2004), 522 in the Calabrian subduction (Frepoli et al., 2007), in the Molucca and Mariana

subductions (Bailey et al., 2012), all opposed by mantle flow. Conversely, inter-524 mediate depth down-dip tension has been described in slabs sustained by the 525 mantle flow, such as the South America (Manea et al., 2006; Bailey et al., 2012) 526 and the Aegean subductions (Papazachos et al., 2005). 527

The actual improvement from using 3D models concerns the evaluation of the lateral variability of stress conditions. Substantial along-strike deformation 529 is predicted within the subducted slabs, especially when mantle flow is applied. Along-strike tension is predicted for slabs curved in the mantle flow direction (fig. 5a and c), while along-strike compression occurs if the slab has a curvature 532 opposing the mantle flow direction (fig. AUX3). Along-strike tension or compression resulting from flexure (forward or backward) of the slab was invoked 534 by (Creager & Boyd, 1991) and (Chen et al., 2004) to explain lateral stress vari-535 ability for the Mariana and Aleutian slabs at intermediate depths. Down-dip and along strike stress axes rotate moving towards the slab lateral edges (fig. 5a and c). It is here proposed that the local angle of incidence of the mantle flow onto the sinking slabs controls their lateral deformation, producing this rotation 539 of stress axes. 540

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Focusing on upper plates, many authors proposed that the stress field at 541 crustal depths is controlled by slab geometry (e.g., Barazangi & Isacks, 1976; 542 Ruff & Kanamori, 1980; Jordán et al., 1983; Bonnardot et al., 2008) by the efficiency of slab pull (Uyeda & Kanamori, 1979; Anderson, 2001) or by the mantle relative motion with respect to the lithosphere (e.g., Doglioni et al., 2007; Carmi-545 nati & Petricca, 2010). Our models confirm these statements in the following ways. The subduction geometry exerts an important control on the stress axes 547 orientation at shallow depths. In general, stress axes are predicted to orient perpendicular and parallel to the trench and rotate following the trench curvature (fig. 6). The stress field shows overall trench-perpendicular compression when 550 only slab pull is applied (fig. 6a-c) or if a sustaining mantle flow is added (fig. 6d-f). An opposing mantle flow generates trench-perpendicular tension near the 552 plate boundary (fig 6g-i). This stress pattern is consistent with the stress field 553 described for several natural subductions (e.g., Malinverno & Ryan, 1986; Lon-

ergan & White, 1997; Booth-Rea et al., 2007). Trench roll-back and slab retreat 555 were identified as the principal mechanisms originating tensional stresses in the upper plate (Doglioni, 1991; Doglioni et al., 2007; Dvorkin et al., 1993; Faccenna 557 et al., 1996, 2001a,b; Jolivet et al., 1994), while trench-perpendicular compres-558 sion occurs when the overriding plate is advancing (Heuret & Lallemand, 2005; Doglioni et al., 2007). In addition, our models with opposing mantle flow display 560 a region of the overriding plate characterized by trench-perpendicular compression (fig. AUX6 d-f). This area is located within the lithosphere lying above the 562 slab projection and is controlled by the slab pull efficiency. Is observed that the 563 stronger the slab pull, the longer the compressional stress axes and the wider the strip of compression (fig. AUX6 d-f). This feature cannot be observed in mod-565 els with sustaining mantle flow, since it is summed to the overall compression which characterizes the upper plate. It is worth observing that, for opposing mantle flow and assuming lithospheric convergence rates comparable or faster 568 than mantle flow rates (fig. AUX7), overall compression emerges in the upper plate, suggesting that convergence rate contributes to the shallow stress field. 570 In summary, our models suggest that opposing mantle flow generates tension in 571 the upper plate that can be partly (stripe under compression as in Fig. AUX6 d f) or totally (Fig. AUX7) overcome by compression generated by slab pull 573 and plate convergence. 574

Not all models predictions are confirmed by available data. Among natural cases of subductions sustained by mantle flow, Alaska and South America show upper-plate compression (Heuret & Lallemand, 2005) consistent with modeling (fig. 7c-d). Sumatra, Java and Cascadia have neutral stress states. Model results and stress data match well for South America, where, in addition to compression in the upper plate, the stress axes rotate from north to south following the trench deflection (fig. 7b and d). Sumatra is at odds with modeling results. Here, abundant strike-slip and tension axes are observed in WSM data. No trench perpendicular compression nor clear trench-governed rotation of stress axes is recognized (fig. 7a). Oblique convergence can explain strike-slip deformation in part of the upper plate of the Java-Sumatra subduction. Tension

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could be the effect of local complex kinematics of plates involved in the Sumatra subduction (e.g., Sella et al., 2002).

A better consistency between model results and seismic data occurs for subductions forced by opposing mantle flow. Mariana, Izu-Bonin and Tonga-Kermadec are characterized by upper-plate tension (Heuret & Lallemand, 2005). As in our results, WSM tensional stress axes rotate and remain mostly perpendicular to the plate boundary in the upper plate of the Kermadec-Tonga and Mariana subductions (fig. 8). The already discussed stripe of trench-perpendicular compression predicted by models and due to the slab pull efficiency, is observed in the WSM data close to the Kermadec-Tonga trench (fig. 8a). This feature is not observed in the Mariana subduction, possibly due to more sparse data. Tonga subduction is characterized by the fastest subduction rates worldwide (Doglioni et al., 2007). Since slab pull increases with increasing subduction rate, this observation is consistent with our inference, i.e., that the stripe of compression in backare basins is controlled by slab pull efficiency.

In the lower plates our models generally show trench-perpendicular compression. Trench-perpendicular tension is predicted for very few cases (fig. 6). Instead, WSM data show trench perpendicular tension in all natural cases close to the plate boundaries. This misfit is probably due to a larger contribution of slab bending in nature than in numerical models, possibly explained by an exceedingly stiff rheology adopted in our calculations, that do not allow for plasticity associated with large slab bending. Compressive and strike-slip deformation also appears in the lower plate of many subductions (fig. 3), such as in regions complicated by the presence of lithospheric scale structures (e.g., for Sumatra plate boundaries, fig. 7a). These observations, probably associated with local tectonic features or complex rheology, are not reproduced by our models.

It is finally emphasized that convergence rate is well constrained for tectonic plates, while the rate of net rotation of the lithosphere with respect to the mantle is largely debated (values from 2 to 13 cm/yr have been proposed; e.g., Gripp & Gordon, 2002; Steinberger et al., 2004; Cuffaro & Jurdy, 2006;

Crespi et al., 2007; Becker, 2008). This renders difficult a quantitative comparison between model results and natural observations. Oblique convergence and
the occurrence of more than one plate in the subduction hanging-wall can drive
other major deviations between WSM data and models. Other perturbations
could be provided by anisotropy of the lithosphere, slip along complex systems
of faults and processes of sediment differential compaction (Carminati et al.,
2001; Carminati & Vadacca, 2010; Petricca et al., 2013).

#### 624 6. Conclusions

Our 3D generic viscoelastic models emphasize the control of the system 625 geometry on stress axes orientation in subduction zones, while mantle flow di-626 rection primarily controls the stress regimes within both upper and lower plates. 627 The bending of subducting slabs generates tension in the stretching outer arc 628 at shallow depths (< 100 km). At intermediate depths (100-300 km), down-dip 629 tension or compression affect the slabs, depending on the flow direction of the mantle (i.e., sustaining or opposing the subducting plate). At intermediate and 631 deeper depths, along-strike deformation is predicted by our models, especially in 632 case of mantle flow impacting curved slabs. Along-strike tension occurs in slabs 633 curved towards the mantle flow direction (i.e., opposing mantle over concave 634 slabs and sustaining mantle over convex slabs), while along-strike compression occurs if the slab has an opposite curvature relative to the mantle flow direc-636 tion (i.e., sustaining mantle over concave slabs and opposing mantle over convex 637 slabs). The longitudinal finiteness and curvature of the subducted lithosphere 638 induces lateral variations and rotations of down-dip and along-strike stress axes. 639 In the shallow crust (depth of 5 km), model results show that the subduction geometry controls the stress axes orientation near the trench. Depending on the 641 trench geometry, the stress axes rotate following the trench shape, orienting per-642 pendicular and parallel to the trench. In the upper plate, trench-perpendicular compression is generated in models characterized only by slab pull or when sustaining mantle flow is forced. Trench-perpendicular tension dominates near the

plate boundary in case of opposing mantle flow. However, the overall exten-646 sion in the upper plate is discontinued by a stripe under compression near the subduction trench, especially for large slab pull. In models characterized by 648 opposing mantle flow, lithospheric convergence produces compression in both 649 the upper and the lower plate, only if convergence rate is faster than mantle 651

Comparing results and data from natural subductions, not all predictions are confirmed. In slabs sustained by mantle flow, a good match stands out for 653 South America subduction. Here, trench-perpendicular compression in the up-654 per plate and the stress axes rotation following the trench are observed in both data and results. On the contrary, Sumatra is at odds with modeling results. 656 WSM data are consistent with model results for subductions forced by oppos-657 ing mantle flow. Mariana and Tonga-Kermadec show upper-plate tension and stress axes rotate adapting to the trench shape in the Mariana subduction. A 659 stripe of trench-perpendicular compression, possibly due to slab pull due to very fast subduction rates, is present close to the Kermadec-Tonga trench, consistent 661 with model results. Our models fail to reproduce tension observed from data at 662 crustal depths in the lower plate. Misfits between model results and data may 663 be related to simplifications on assumed rheology or to local complex tectonic 664 and geological features.

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Table 1: Main constants and expressions used for the Earth's layers in viscoelastic models. The subscripts indicate the described layer: crust (cr), lithosphere (lit), upper mantle (um) and lower mantle (lm).

Parameter	Value	Units	Description	
$E_{cr}$	$60 \text{x} 10^9$	Pa	$Elastic\ modulus$	
$\eta$ $_{cr}$	$1x10^{24}$	$Pa\ s$	Viscosity	
$G_{\ cr}$	$3.75 \text{x} 10^4$	MPa	$Shear\ modulus$	
$E_{\ lit}$	$1.75 \times 10^{11}$	Pa	$Elastic\ modulus$	
$\eta$ $_{lit}$	$5x10^{22}$	$Pa \ s$	Viscosity	
$G_{\ lit}$	$1.11 \text{x} 10^5$	MPa	$Shear\ modulus$	
$E_{um}$	$1.75 \times 10^{11}$	Pa	Elastic modulus	
$\eta_{\ um}$	$1 \text{x} 10^{21}$	Pa s	Viscosity	
$G_{\ um}$	$1.11 \text{x} 10^5$	MPa	$Shear\ modulus$	
$E_{lm}$	$1.27 \times 10^{11}$	Pa	Elastic modulus	
$\eta_{\ lm}$	$1 \times 10^{22}$	$Pa\ s$	Viscosity	
$G_{\ lm}$	$8x10^{4}$	MPa	$Shear\ modulus$	

Table 2: List of developed 3D models and geometries dimension. All the modeled geometries were tested applying different mantle flow (0-8 cm/yr) and plate convergence (0-5 cm/yr) velocities. Summaries of models and applied kinematics are provided in the auxiliary material.

Model name	Reference	Model lenght/width/depth	slab (halved)					
	figure	(km)	lateral extent (km)					
3D Linear trench								
L3D-500		2000x1250x1000	500					
L3D-1000	figure 4b	$2000 \mathrm{x} 1500 \mathrm{x} 1000$	1000					
L3D-3000		$2000 \\ \text{x} \\ 2500 \\ \text{x} \\ 1000$	1500					
3D Curved trench - concave slab								
CCV3D-20		2350x2000x1000	500					
CCV3D-50	figure 4c	2350x2000x1000	1000					
CCV3D-80		$2350 \\ \text{x} \\ 2000 \\ \text{x} \\ 1000$	1500					
3D Curved trench - Convex slab								
CVX3D-20		2350x2000x1000	500					
CVX3D-50	figure 4d	2350x2000x1000	1000					
CVX3D-80		$2350 \\ \text{x} \\ 2000 \\ \text{x} \\ 1000$	1500					

Table 3: Compilation of the main subduction zones of fig. 3 with indications about the trench geometry (i.e., linear, curved or variable along-strike), the mantle flow direction relative to the slab dip (SMF=sustaining mantle flow; EMF=opposing mantle flow), the plate convergence (HS3 reference frame; Gripp & Gordon, 2002) and the kind of deformation affecting the upper plate (UPS: C=compressional; N=neutral; T=tensional).

Subduction	Reference	Trench	$\mathbf{SMF}$	$\mathbf{EMF}$	P. convergence	$\mathbf{UPS}$
name	figure	$_{\mathrm{shape}}$			(mm/yr)	
				9		
S. America	3a	mixed	X		14 - 78	C-N
Sumatra	3b	curved	X		32 - 77	N
C. America	3c	linear	X		29 - 50	N
Vanuatu	3d	mixed	X		54 - 84	N
Solomon	3e	mixed	X		32 - 50	C-N
Aleutians	3f	curved		X	46 - 84	N
Kermadec	3g	linear		X	145 - 220	T-N
Ryukyu	3h	linear		X	24 - 63	T-N
Izu-Bonin	3i	mixed		X	21 - 102	${ m T}$
Philippines	3j	linear		X	97 - 106	N-C

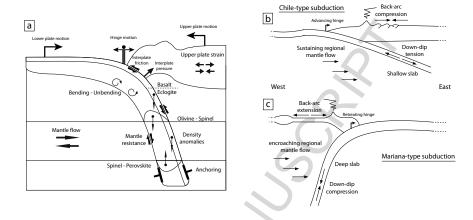


Figure 1: Subduction dynamics. a) Principal driving and resisting forces for subducting lithosphere (modified after Billen (2008) and Lallemand et al. (2005)). Phase changes affecting the density of rocks are also indicated. Plate kinematics, mantle motions and upper plate strain are indicated by arrows; b) Chilean and c) Mariana end-member types of subduction zones (modified after Uyeda & Kanamori, 1979), differ because of the geometry, the subduction direction relative to the mantle flow and the stress field affecting the upper and the lower plates.

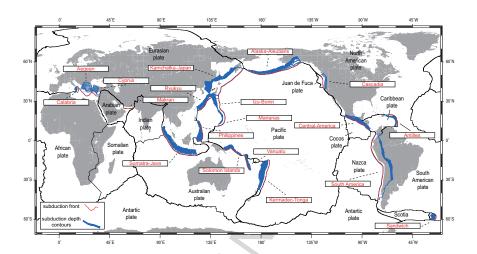


Figure 2: Active subduction zones and major plate boundaries (black lines). Red lines indicate the trench and blue lines the contours of slab every 100 km. Calabria, Aegean and Cyprus slab contours are from the SHARE-edsf database (Basili et al., 2013). Antilles and South-Philippines slab contours are from the RUM-database (Gudmundsson & SambRidge, 1998). The remaining subduction contours are from the slab 1.0 database (Hayes et al., 2012). The name of the subduction systems are reported in the text boxes.

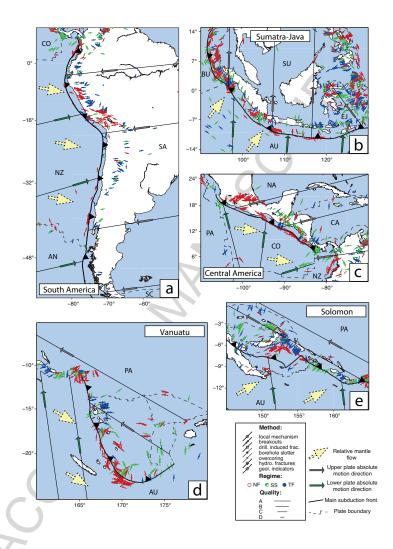


Figure 3: stress field (0-50 km depth) for the principal subduction zones. Direction of the largest horizontal principal axes (SHmax) for thrust (blue), normal (red) and strike-slip (green) stress regimes is shown (data after Heidbach et al., 2008). Plate boundaries ((after Bird et al., 2008) and coastlines are shown as black lines. NZ (Nazca), CO (Cocos), SA (S. America), AN(Antarctica), SC(Scotia), SU(Sunda), BU(Burma), EJ(E-Java), AU (Australia), NA (N-America), CA (Caribbean), PA (Pacific), KE (Kermadec), AM (Amur), MA (Mariana), PS (Philippine), YA (Yangtze). Green and gray arrows indicate the absolute motion directions for the lower and the upper plates (after Gripp & Gordon, 2002). Yellow dashed arrows represent the relative motion of the global mantle flow (modified from Doglioni et al., 1999). Black arrows indicate the mean dip direction of the slabs. Note that in panels a-e the mantle motion sustain the slab. In panels f-j the mantle motion opposes slab dip

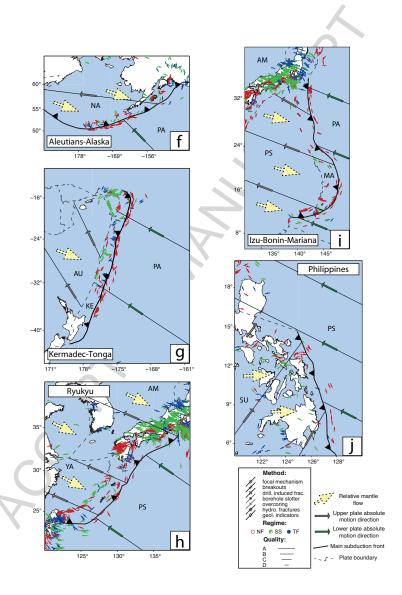


Figure 3 (continued)

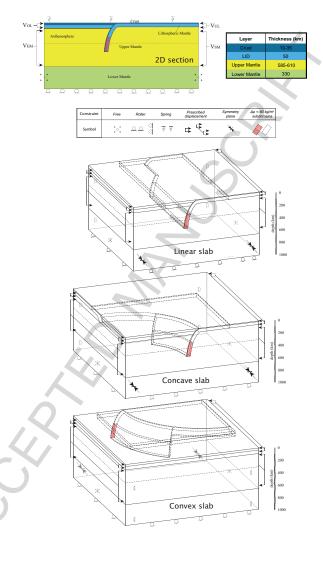


Figure 4: Geometry, materials, and boundary conditions of the models reproducing the three classes described in the text. a) In 2D a dotted line shows the base of the asthenosphere. When modeled, a density contrast was applied to the areas marked by the red grid (in 2D) or down to the dashed plane within the subducted lithosphere (in 3D).  $V_{OL}$  (velocity of the overriding plate),  $V_{UL}$  (velocity of the underlying plate),  $V_{EM}$  (velocity of the mantle at the left boundary, i.e. opposing the slab), and  $V_{SM}$  (velocity of the mantle at the right boundary, i.e. sustaining the slab) are applied as boundary conditions. The symbols are explained in the central panel. Bright symbols refer to hidden planes. Only the intermediate-length geometry is shown for both linear and curved 3D models (see text for explanations). The front boundary surfaces of the linear b) and the curved concave c) slab models are symmetry planes. Instead, the back plane is symmetric for the curved 3D model with convex slab d).

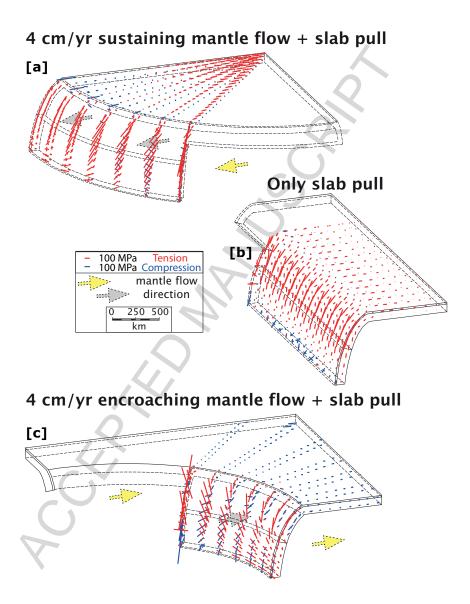


Figure 5: Prospective view of the 3D linear and curved shaped geometries results. Results are shown for models simulating: a) slab pull plus a sustaining mantle flow forcing a convex subducting slab, b) linear slab forced only by slab pull, c) slab pull plus an opposing mantle flow forcing a concave subducting slab. Yellow arrows indicate the mantle flow direction and velocity. Stress axes are plotted at crustal (5 km) and at lithospheric (50 km) depths within the lower plate, and follow the adopted depths within the sinking slabs. Upper plates are not shown.

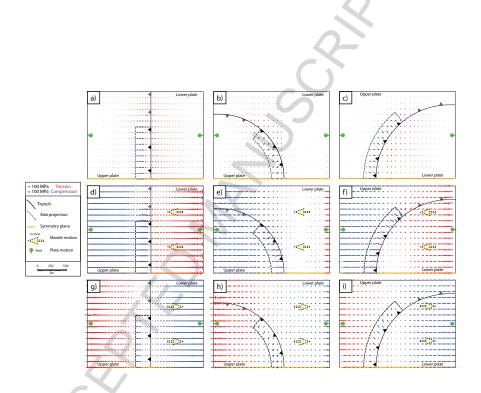


Figure 6: Map view showing the stress field predicted at crustal depth (5 km) by 3D models with linear and curved trench geometries. Results are referred to models: forced by only slab pull (panels a-c); characterized by slab pull and 4 cm/yr mantle flow sustaining the slab (panels d-f); forced by slab pull and 4 cm/yr mantle flow opposing the slab (panels g-i). No plate convergence is included.

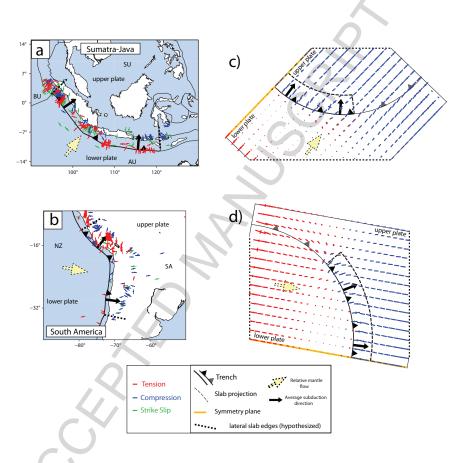


Figure 7: Intraplate stress orientation (0-50 km depth) for the sustaining mantle flow subduction zone cases. Direction of the stress axes for (blue) compressional  $(\sigma_1)$ , (red) tensional  $(\sigma_3)$  and (green) strike-slip  $(\sigma_1)$  stress regimes is shown for the a) Sumatra and b) South America (data after Heidbach et al., 2008). Major plates are named as in fig. 3. Lateral edges of natural slabs are indicatively represented with black dashed-thick lines. Map view of the principal stress axes at crustal depth (5 km) within both the lower and the upper plates obtained with 3D models with linear and curved trench geometries forcing slab pull and 4 cm/yr mantle flow sustaining the slab. No convergence is imposed.

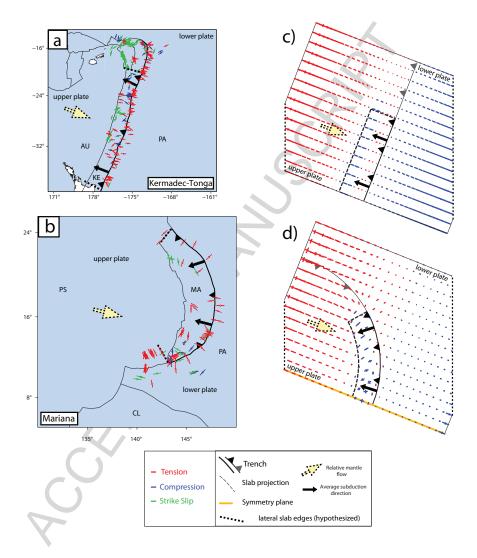


Figure 8: Intraplate stress orientation (0-50 km depth) for the opposite mantle flow subduction zone cases. Direction of the stress axes for (blue) compressional ( $\sigma_1$ ), (red) tensional ( $\sigma_3$ ) and (green) strike-slip ( $\sigma_1$ ) stress regimes is shown for the a) Kermadec and b) Mariana subduction zones (data after Heidbach et al., 2008). Major plates are named as in fig. 3. Map view of the principal stress axes at crustal depth (5 km) within both the lower and the upper plates obtained by 3D models with linear and curved trench geometries forcing slab pull and 4 cm/yr mantle flow opposing the slab. No convergence is imposed.

Comparison of 3D viscoelastic FEMs and present-day stress field data Role of kinematics and subduction geometry in generating the observables Mantle flow direction controls the stress regimes within plates The trench curvature induces stress axes rotations at crustal depths Along-strike deformation is predicted at intermediate and deeper depths in slabs