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## GPS buoys for stage monitoring of large rivers

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## 1 **Abstract**

2 Monitoring of river stages is one of the basic observations required for understanding  
3 catchment hydrology and hydraulic systems. There are numerous measurement techniques  
4 available for this purpose, but in case of large rivers technical as well as financial problems  
5 often restrict the use of traditional techniques, e.g. pressure probes or float gauges. We  
6 explored the potential of Global Navigation Satellite System (GNSS) based water level  
7 measurements for stage monitoring by developing small and easy to handle buoys equipped  
8 with high-quality geodetic GNSS receivers. The design of the buoys was particularly suited to  
9 the requirements of application in rivers, distinguishing them from typical wave rider buoys  
10 used in marine offshore applications. The advantages of buoys include the freedom of  
11 positioning and their quick and easy deployment. The developed buoys were tested in the  
12 Mekong Delta, Vietnam, at two different locations: on the Mekong river under high currents,  
13 and the second in a small nearby lake with hydraulic connections to a major channel with  
14 negligible currents. For this study, only data of the Global Positioning System (GPS) was  
15 collected and processed. Processing was undertaken in baseline mode using a nearby  
16 reference station and validated against standard pressure gauge data. The recorded stages  
17 proved to be of high quality (i.e. mean absolute error  $< 2$  cm), thus potentially providing a  
18 useful resource for flood monitoring and modeling. In addition to the stage data, the results  
19 showed also the potential for monitoring position changes of the buoy and thus water  
20 movement. In the presented case study alternating currents caused by ocean tides could be  
21 detected, thus providing additional information about the hydraulic system. We conclude that  
22 the developed buoys add well to the existing hydrological monitoring pool and are a good  
23 option for the monitoring in rivers where traditional methods are technically difficult to  
24 deploy or too costly.

25 **Keywords:** GPS river buoy, GPS water elevation, hydrological monitoring, Mekong Delta

## 26 **1. Introduction**

27 In the past decade, monitoring marine water elevation using the Global Navigation Satellite  
28 System (GNSS) has been developed continuously and is now an accepted alternative to  
29 standard hydrometric procedures. Reported accuracies reach the sub-centimeter level  
30 [Marshall and Denys, 2008]. There are two main applications of using GNSS in marine  
31 applications, the first is the use in wave rider buoys to estimate 2-dimensional wave spectra,  
32 and the second application is the use in deriving well defined water level heights. The scope  
33 of this paper focuses on the latter application. In this type of application the buoys are  
34 equipped with a high-quality geodetic GNSS receiver. In previous works these buoys were  
35 applied in temporal campaign-like installations in coastal areas [Watson *et al.*, 2009], for tidal  
36 datum transfer [Marshall and Denys, 2008], for the calibration of satellite radar altimetry in  
37 short-term installations (e.g. Watson *et al.*, 2003; Schueler and Hein, 2004; Chen *et al.*, 2004),  
38 or in permanent installations on the open ocean as part of the Indonesian Tsunami Warning  
39 System [Schöne *et al.*, 2011; Schöne *et al.*, 2003]. There are two main types of buoys, which  
40 can be differentiated into small and easy to handle buoys using, e.g., a life-saver as floatation  
41 device, and ruggedized large-scale buoys for application at the open ocean. The application of  
42 the small buoys is usually restricted to short duration because of power supply limitations,  
43 while the large-scale buoys are designed for autonomous long-term use [Marshall and Denys,  
44 2008]. There is also a trade-off between handling and the momentum of the buoy. Small  
45 buoys are easy to handle and deploy but are limited to near-coastal installations and calm to  
46 moderate weather conditions. The large-scale buoys require large vessels and cranes for  
47 deployment due to their sheer dimensions and weights, but can be operated under severe  
48 weather conditions. For example, the buoys used in the German-Indonesian Tsunami Early  
49 Warning System (GITEWS) (Schöne *et al.*, 2011), are about 6 m high, 2.2 m in diameter and  
50 weight about 3 tons, but can be operated in storms reaching wind speeds of BF12. An  
51 overview of marine GNSS buoy designs is given in Watson (2005).

52 In contrast to marine sciences the use of GNSS techniques for water elevation measurement  
53 of rivers, especially for continuous monitoring, is practically unknown. The only notion of  
54 using the techniques was given by [Moore *et al.*, 2000], where laboratory experiments and a  
55 short term test of a GPS buoy on a river yielded promising results. To our knowledge, also  
56 industrial developments do not exist in this respect. The present study draws on these  
57 experiences of Moore *et al.* and aims at the development and testing of GNSS (GPS) buoys  
58 for continuous water level monitoring in rivers in order to explore the potential of this  
59 technology for hydrologists and hydraulic engineers. The following sections describe the  
60 development of the buoys according to the specific design criteria, the data processing and  
61 evaluation in detail.

## 62 **2. Buoy development**

63 The design of the buoys aimed at a maximum flexibility in selecting mooring options, i.e. it  
64 should be possible to fix them to another floating device as well as to a ground anchor without  
65 impairing the accuracy of the measurements. Therefore, the development of the buoys was  
66 guided by the following design criteria:

- 67 a) the buoy should have a minimal roll and pitch in various sea state conditions and  
68 should not submerge in high currents up to 3 m/s
- 69 b) size and weight should be small enough that the buoy can be handled by two to three  
70 persons from a small boat or vessel
- 71 c) the buoy should have an autonomous power supply sufficient for unrestricted  
72 continuous operation
- 73 d) data retrieval and GPS receiver control at the buoy should be possible during operation  
74 on water.

75 In order to meet design criteria a) the hull of the buoy was shaped similar to punt boats  
76 providing sufficient stability, i.e. minimum dipping and tilt and a stable distance of the  
77 antenna reference point (ARP) to the water surface, even under high currents. This feature  
78 distinguishes them from the mainly spherical shape of the small marine (“wave rider”) buoys.  
79 This is of particular importance when the buoys are moored in a river with high or alternating  
80 currents, e.g. in flood situations. Under these conditions the current in combination with the  
81 vertical drag force component of the anchor chain would cause the spherical wave riders to  
82 dip and thus creating errors in the water elevation measurements. The extra buoyancy and the  
83 boat-like shape of the hull in combination with a frontal anchor point ensures minimum  
84 dipping of the buoy and, therefore, of the antenna position. Qualitative evidence of negligible  
85 dipping was gathered by observing the buoys in operation. However, experiments during the  
86 design of the buoy have shown that the buoy tilts slightly under different flow velocities, but  
87 the distance of the center point, where the antenna is fixed, to the water surface remains  
88 almost constant. By counterbalancing the frontal drag of the anchor chain with the weight of  
89 the battery in the rear, the buoy tilts around the center point. The tilt can be trimmed by  
90 variable anchor points at the buoy, which can be chosen according to the load of the buoy, the  
91 length of the anchor wire, and the water depth.

92 In order to reduce multipath effects, i.e. disturbing influences of backscattered GPS signals on  
93 the measurements, the solar panels are mounted horizontally and as low as possible. Also the  
94 use of a choke ring antenna aims at a minimization of multipath effects.

95 Figure 1 gives impressions of the buoys in operation and of their interior, while Fig. 2  
96 illustrates the tethering. The dimensions of the buoy are 130x60x50 cm (length x width x  
97 height) with a volume of approximately 0.4 m<sup>3</sup>. The hull is manufactured from aluminum  
98 alloy with a wide top lid for easy access to the buoys interior during maintenance on land. The  
99 buoy is equipped with a Topcon GB1000 GPS receiver and a CR-G3 choke ring antenna. The

100 distance of the ARP to the water surface is 30.5 cm, The power supply is ensured by 3 solar  
101 panels with a combined maximum power output of 65 Wp (Watt-peak), charging a 12V, 100  
102 Ah Absorbed Glass Mat (AGM) battery through a charge control unit. The Topcon GB1000  
103 features interface connectors for data access and control, which were extended to the hull of  
104 the buoy by water-proof connectors and are accessible without opening of the buoy (Fig. 1d).  
105 Remote data transfer is not implemented in the current design, but with the prospect of further  
106 development of the buoys and a potential operational use, this will be considered in future.  
107 With all components installed the buoys weight is approx. 50 kg, which can be reasonably  
108 handled by 2-4 persons. The dimension and weight also allow easy mooring of the buoys at  
109 the desired location via small vessels.

110 It should be noted, that, although the Topcon GB1000 tracks GPS and GLONASS, only GPS  
111 data was acquired to reduce the data volume to be stored at the buoy. For the same reason the  
112 sampling frequency of the buoy receivers was set to 0.5 Hz.

113

### 114 **3. Study site**

115 The developed buoys were tested in the Plain of Reeds of the Mekong Delta in Vietnam near  
116 the border to Cambodia. In the flat area of the Delta in Vietnam, numerous man-made  
117 channels exist in addition to the natural streams. Most of the rivers and channels are enclosed  
118 by dikes which, in combination with sluice gates and pumps, create a very complex hydraulic  
119 system. Due to the low-lying topography, the monitoring of water levels has to be accurate to  
120 a few centimeters, because small differences in water levels can cause considerably larger  
121 inundation areas. The delta is hydrologically characterized by two major aspects:

- 122 1. Pronounced low flow and flood seasons, where the flood season approximately  
123 ranging from end of July to November causes large inundations. At the study area, low

124 flow velocities are in the range of 0.6 m/s in the Mekong river, and rise to about 1.5  
125 m/s during an average high flow (flood) . Flow velocities in the channels are  
126 considerably lower.

127 2. A strong tidal influence on the river water levels even more than 200 km upstream of  
128 the river mouths. A mixed tidal regime prevails with a period length of approximately  
129 12 hours at the study site. Here the tidal regime is composed of a semi-diurnal signal  
130 from the South China Sea coming from South through the main river, and a diurnal  
131 signal from the Gulf of Thailand coming from West through the channels connecting  
132 the main river with the west-coast of the Mekong Delta. Other periodic signals of  
133 higher frequency do not exist (cf. Hung et al 2011 and results below).

134 Two prototypes of the buoys were deployed at different locations: The first buoy, B1, was  
135 deployed in the Mekong river (Tien river in Vietnamese), approximately 50 m off the river  
136 bank. This location can be regarded as a typical application site for the buoys, because the  
137 Mekong is about 1000 m wide at this location and the river banks are very unstable. A  
138 traditional gauging station would, therefore, require large construction works in order to  
139 guarantee reliable water level monitoring. However, a conventional absolute pressure probe  
140 has been installed at a bridge near a junction of a major channel to the Mekong close to the  
141 buoy location (Fig. 3). The probe is located 250 m away from the buoy. Buoy and pressure  
142 probe could not be installed at exactly the same location, because of the limitations of  
143 installing a gauging station on large rivers mentioned above and the restrictions of putting a  
144 buoy in a channel of limited width and with heavy ship traffic. The readings of the pressure  
145 probe (T1) were used for evaluating the quality of the data derived by the GPS buoy. . The  
146 pressure readings of T1 were collected in 15 min intervals and offline corrected for  
147 atmospheric air pressure measured 4 km away from the gauge location.

148 The second buoy, B2, is located in a small lake near a channel junction with a hydraulic  
149 connection to a major channel. This location was selected because at the end of the flood  
150 season often a reversal of the dominant flow direction can be observed. The initial plan was to  
151 place the buoy directly at the channel junction in order to observe this phenomenon. However,  
152 due to the limited channel width and the frequent ship traffic, the buoy had to be moved into  
153 the lake. Figure 3 shows the positions of the buoys, the base station and the reference gauging  
154 station T1.

155 Both buoys were deployed from June 2009 to start of September 2009.

156

#### 157 **4. Data processing**

158 For the processing of kinematic GNSS buoy data two different approaches exist: precise point  
159 positioning (PPP) and differential (baseline) solutions. To obtain elevation data, in this study  
160 the more precise differential GPS solution computing the baseline from a reference station  
161 was preferred to obtain the highest accuracy possible. For this purpose we installed a fixed  
162 reference GPS station in the investigation area (Fig. 3). The antenna of the reference station  
163 was fixed on the banister of the flat roof of a building, which is the highest in the vicinity.  
164 However, there are some palm trees growing higher than the antenna nearby. On the roof  
165 there is also a steel water tank, which might deter the quality of the recorded GPS signals.  
166 The base station was coordinated through a long-term static GPS observation and referenced  
167 to UTM-coordinate system. The coordinates of the reference station antenna including  
168 correction for geoid undulations [EIGEN5C mean tide geoid model, *Foerste et al.*, 2008] in  
169 UTM Zone 48N coordinates are:

170 Northing [m]: 1182742.091

171 Easting [m]: 541464.977

172 Elevation [m]: 12.480

173 The baselines (distance) from the base station to the buoys are 8.1 km and 12.3 km to B1, and  
174 B2 respectively. GPS signals were recorded at 0.5 Hz at the buoys and at 1 Hz at the base  
175 station and stored in files with 0.5 Hz sampling interval covering 6 hour time slices. The  
176 frequency of 0.5 Hz sampling of the buoys was chosen as a compromise between accuracy of  
177 the GPS solution and data storage limitations of the GPS receiver in the buoys. Since sea state  
178 can be neglected, no aliasing is expected. With the given storage capacity of the receiver of 1  
179 GB, data could be recorded for about 10 continuous days, after which the data had to be  
180 downloaded directly from the buoys. With the prospect of future remote data transfer, a  
181 higher GPS sampling rate can be implemented. The recorded data was processed with the  
182 Topcon Tools<sup>TM</sup> software in baseline method. The processing is done on the dual frequencies  
183 (L1 and L2) with a fixed ionosphere-free solution. The elevation cutoff angle was set to 10°.

184 The datum of T1 was also determined relatively to the base station by GPS using static  
185 records of 60 min occupation length, the vertical distance of GPS antenna to the pressure  
186 probe and the EIGNEN5C mean tide geoid model. The result of the processing of the buoy  
187 data is shown as “GPS raw data” in Figure 4. It is obvious that the data contains a  
188 considerable amount of noise and errors. The errors are mainly caused by incorrectly solved  
189 or unresolved cycle slips and possible multipath effects reducing the quality of the GPS  
190 signals. In order to assess the multipath effects we processed the recorded data with a  
191 different software (GAMIT) in baseline mode for a period of 8 days and with a PPP method,  
192 which available online (Automatic Precise Positioning Service – APPS,  
193 <http://apps.gdgps.net/>). The PPP solution did not produce reasonable results, while GAMIT  
194 yields results comparable to the Topcon solution, suggesting multipath effects in the GPS  
195 data. An analysis of the multipath metrics of both buoy and base station GPS data revealed  
196 that the buoy behaves well showing only small multipath influence,. The base station data,

197 however, is impaired by multipath effects, most likely induced by reflections from the water  
198 tank and the nearby tree tops.

199 Nevertheless, the processed data show the same characteristics as the reference station T1  
200 (Fig. 4), despite noise and unresolved cycle slips. We therefore developed a three step  
201 filtering procedure to extract the actual water level from the GPS data and to subsample the  
202 data from 2 sec intervals to 15 min intervals. For the given hydrological system, this data rate  
203 is still more than appropriate. The three steps of the filtering procedure illustrated in Fig. 5  
204 are:

#### 205 1. Automatic error detection and removal

206 For automatic detection of jumps and other significant errors in the 0.5 Hz time series we  
207 performed a wavelet analysis [*Torrence and Compo, 1998*] of 6 hour long time slices. Cycle  
208 slip related errors become apparent as significant signals in the wavelet power spectrum (Fig.  
209 6, black encircled areas in middle panel) as well as in the average variance of signals over  
210 periods between 0 – 30 seconds (Fig. 6, lower panel). We used this information from the  
211 average variance for the detection of errors. The maximum period of the averaging window  
212 (30 seconds) is significantly smaller than any signal of interest in the given river system,  
213 especially from a hydrological point of view. All records of this scale averaged variance  
214 exceeding the 95% significance level compared to red noise (*Torrence and Compo, 1998*)  
215 were classified as high-frequency errors and are removed from the time series. This procedure  
216 is equivalent to a removal of significant high-frequencies in the wavelet power spectrum in  
217 Fig. 6b. The result of this operation is shown in the upper panel of Figure 6 in green along  
218 with the original data in red.

#### 219 2. Intermediate data smoothing and subsampling

220 In a second step the GPS raw data was smoothed by a 15 minute average moving window.  
221 The smoothing was performed bi-directionally over the time series in order to prevent phase  
222 shifts. From this smoothed series individual records were subsampled at 15 minute intervals.  
223 The result of this operation is shown in Figure 4. After the subsampling all the obtained 15  
224 min interval time series covering 6 hours each were concatenated to a water level series  
225 covering the whole observation period.

### 226 3. Frequency filtering of 15 min water level series

227 The 15 minute time series still contains noise, which has to be removed from the series.  
228 Therefore, another filtering was performed with frequency filters. Here we used again a  
229 wavelet analysis, but also a Butterworth digital filter was applied for comparison. Because the  
230 highest frequency with hydrological meaning in the water level series in this system is the  
231 tidal influence, we performed low pass filtering and removed periods below 6 hours  
232 corresponding to a half tidal cycle. The removal of the high-frequency signals is visualized in  
233 Figure 7 showing the power spectra before and after the frequency filtering. In the power  
234 spectrum of the filtered series no significant high-frequency signals are present, but the  
235 significant periods of 12 and 24 hours of the different tides are retained. Figure 4 shows the  
236 resulting water elevation time series of steps 2 and 3 for a number of days in August 2009 in  
237 comparison with the unfiltered data and the gauge readings of the nearby station T1.

238

## 239 **5. Results and discussion**

### 240 **5.1 Data evaluation – water elevation**

241 The final water level series of the buoys obtained by the filtering process was evaluated by  
242 comparison with records of the pressure gauge at T1. Figure 4 illustrates the differences  
243 exemplarily for some days in August 2009. It can be seen that there is no phase shift or bias

244 between the B1 and T1, and that the GPS derived water levels are of comparable accuracy to  
245 the gauge data. However, the tidal amplitude ranges are often slightly higher in the GPS water  
246 elevation time series compared to the pressure gauge. These features are characteristic for the  
247 whole time series of several months. The visual impression is corroborated by performance  
248 measures calculated for B1 referenced to T1 over a period of about 4 weeks. In the period  
249 13.8.2009 – 9.9.2009 the bias between the gauge and buoy series is  $<1$  mm, whereas the Root  
250 Mean Square Error (RMSE) and the Mean Absolute Error (MAE) indicating amplitude errors  
251 are 2.57 cm and 1.85 cm respectively. In the evaluation of these error figures, the scale error  
252 of the pressure probes has to be considered. The scale error of the pressure probe in the  
253 observed range a water depths is  $\pm 0.1\%$ , which is equivalent to a maximum error of about  
254  $\pm 6$  mm water depths in the observed time period. I.e. the scale error of the gauge is  
255 considerably smaller than the observed amplitude errors, therefore other sources have to be  
256 investigated.

257 Table 1 lists possible error sources in the data processing and other relevant phenomena along  
258 with their influence on the processed time series. Given the fact that the hydraulic gradient  
259 between B1 and T1, and possible errors in the georeferencing of T1, i.e. the determination of  
260 the datum of the gauge, would only affect the bias, the errors in amplitude difference have to  
261 be attributed to the remaining sources. From those the amplitude dampening of the tidal signal  
262 in the channel compared to the Mekong is an aleatory error source that cannot be attributed to  
263 the method itself, in contrast to the possible errors caused by the buoy movement, the GPS  
264 signals and processing, and the filtering procedure. Due to the distance in location between  
265 B1 and T1, the lack of other reference data, missing movement sensors in the buoy and the  
266 complex hydraulic system it is hard to quantify the individual error contributions exactly.  
267 However, some estimations and sensitivity analysis were performed.

268 The maximum error introduced by the movement of the buoy (roll and tilt) can be estimated  
269 by the maximum expected tilt and roll angles. Since the buoy in its current development state  
270 does not contain a gyroscope, these angles can only be estimated from our observation of the  
271 buoy. We expect a maximum tilt and roll of  $3^\circ$ , which equates to a maximum elevation error  
272 of -5 mm given the vertical distance of the ARP to the water surface of 34 cm. This is  
273 comparable to the precision of the pressure gauge and is thus not considered further in the  
274 error analysis.

275 The heave of the buoy is likely to be influenced by the flow velocity of the river. In general,  
276 with higher flow velocities the buoy is likely to dip deeper compared to low flow velocities.  
277 As the buoy overestimates the tide induced amplitudes, this effect may contribute to the  
278 observed error. With high tide induced backwater effects, the flow velocity in the Mekong is  
279 lower compared to flow during low tide. Thus the tide induced amplitudes observed by the  
280 buoy may be amplified by this effect. However, at present it is not possible to quantify this  
281 effect due to missing additional instrumentation of the buoy quantifying the dip or other  
282 relevant information like direct flow velocity measurements of the Mekong.

283 The amplitude dampening can be estimated empirically from other gauging stations along the  
284 channel perpendicular to the Mekong river in which T1 is located. Comparing the amplitude  
285 readings of those stations, progressive amplitude dampening with increasing distance from the  
286 main river-channel junction becomes apparent. Based on these readings the amplitude  
287 dampening between B1 and T1 should be in the range of 0.5 – 1 cm. The effect of the filtering  
288 on the amplitude dampening was evaluated by a sensitivity analysis varying the width of the  
289 moving window in filtering step 2 and the cutoff period length of step 3 from 0 - 60 min, and  
290 3 – 12 h respectively. The size of the moving window had only marginal effects on the  
291 amplitude after the frequency filtering of step 3. The RMSE of the reference period changed  
292 only in the range of +/-3 mm. However, the different cutoff periods, i.e. low-pass filter

293 thresholds used in the filtering (cf. Section 3, filter step 3), showed significant effects on the  
294 amplitude. This is shown in terms of performance measures in Fig. 8 and water elevations in  
295 Fig. 9. Fig. 8 shows that in fact the cutoff period of 6 hours yielded the best results in terms of  
296 RMSE, MAE and Nash-Sutcliffe efficiency [Nash and Sutcliffe, 1970]. Elongating or  
297 shortening the cutoff periods resulted in lower efficiency, while the bias remained constant.  
298 Figure 9 visualizes the filtering results with very short (1 h) and very long (12 h) cutoff  
299 periods on the water levels compared to the 6 h filtering and the reference gauging station T1.  
300 With only 1 h cutoff period a considerable amount of noise remains, while with 12 h the tidal  
301 amplitude is considerably dampened. This emphasizes the importance of selecting cutoff  
302 periods appropriate for the hydraulic system in the filtering process. It also shows that the  
303 results cannot be improved by filtering. This means in consequence that the observed  
304 differences in amplitude between buoy and reference are composed of the natural amplitude  
305 dampening in the channel, the quality and processing of the GPS signals, and to a lesser  
306 extend to the scale error of the pressure probes. A closer inspection of Fig. 4 shows that the  
307 most significant differences between B1 and T1 are associated with time periods of high noise  
308 in the GPS raw data (August 25<sup>th</sup>, 12:00 – 24:00). Therefore, future work on improving the  
309 accuracy of the buoy data will focus on improving the floating stability of the buoy in water in  
310 order to reduce noise caused by unsteady floating, reducing the effects of multipath both at  
311 the buoys and the base station, and the GPS processing.

## 312 **5.2 Data evaluation – buoy movement**

313 In addition to the buoy derived altimetry the precise GPS positioning of the buoy can be used  
314 to calculate the horizontal velocity. Since the buoy is floating the position changes can be  
315 used as a proxy for detecting flow direction changes and determining the flow velocity for a  
316 short period during these changes. In our case study we calculated the movement velocity for  
317 buoy B2, because at its location near the channel junction far from the Mekong river, the buoy

318 can move more freely compared to B1, which position is dominated by the constant current of  
319 the Mekong and therefore the buoy tether is taught for most of the deployment.. This is  
320 illustrated by Figure 10 showing the tracks of B1 and B2 over the period June – November  
321 2009, where the position is given relatively to the minimum easting and northing during this  
322 period. At the location of B2, the tide induced water elevation changes cause alternating in-  
323 and out-flowing currents in the small lake where the buoy is moored (Fig. 3). However, only  
324 the dominant diurnal low tides significantly change the flow in and out of the lake, i.e.  
325 outflow of the lake is associated with the diurnal low tide. Fig. 12 illustrates the different  
326 amplitudes (water elevations) of the diurnal and semi-diurnal tides during low flow condition  
327 in June 2009 as recorded by B2. Wind impacts play only a minor and temporary role in the  
328 movement of the buoys, because no constant winds, both in direction and time, occur in the  
329 area. Wind gusts are usually associated with heavy monsoonal storms of short duration.

330 The calculation of the movement velocities follows a similar procedure as the processing of  
331 the water elevations described in section 5.1. Based on the 0.5 Hz GPS-derived time series,  
332 the velocity is calculated from the position changes (projected x-y-coordinates). The resulting  
333 velocity time series is processed analogously to the water elevations, i.e. corrected for  
334 apparent cycle slips, smoothed by a 15 min average moving window and reduced to a 15 min  
335 time series. This is again followed by a frequency filter removing periods smaller than 6  
336 hours. Figure 11a shows the resulting 15 min time series. The series exhibits a tidal signal  
337 that, in contrast to the water level, has only a single peak with a significant period of 1 day.  
338 This is shown in the wavelet power spectrum in Fig. 11b and the global wavelet spectrum in  
339 Fig. 11c. The comparison of the movement velocity and the acceleration calculated from the  
340 velocities with the water elevations of B2 in Figure 12 shows that the acceleration of the buoy  
341 is associated with the onset of the high tide after the lowest diurnal tide. This means that the  
342 buoy is recording the in-flowing current. Over the next low tide, which is usually higher than  
343 the lowest tide, the buoy does not accelerate as much, i.e. moves slowly without a distinct

344 direction. This is illustrated in Fig. 13. It shows that the buoy is moving around 6 a.m. local  
345 time daily into a distinct direction and back. This corresponds to the onset of the first daily  
346 high tide (Fig. 12). This evaluation of the buoy movement and its interpretation with respect  
347 to tidal influences on the hydraulic conditions in the lake of B2 illustrates the potential of the  
348 developed GPS buoys to detect and quantify valuable hydraulic information in addition to  
349 water elevations. This may be of particular significance in regions with low hydraulic  
350 gradients and changing flow patterns or alternating currents. However, it has to be noted that  
351 the anchored buoys cannot produce continuous time series of actual flow velocities, because  
352 their movement is restricted by the anchor chain. The emphasis here is rather on the  
353 quantification of the position change and acceleration as a short-term view of the flow  
354 velocities and the detection of flow direction changes and their timing. For continuous time  
355 series the buoys could be applied free floating. This, however, requires supervision to avoid  
356 collisions, grounding or even loss of the devices. Another option would be a flow rate sensor  
357 fixed underneath the buoy, whose readings can be corrected by velocities caused by buoy  
358 movement detected through the GPS records.

359

## 360 **6. Conclusions**

361 The developed GPS river buoys in combination with the proposed data processing chain  
362 proved to be capable of producing water elevation time series of acceptable accuracy  
363 compared to traditional pressure gauge data. The filtering procedure proved to be able to  
364 remove errors caused by unresolved cycle slips and noise caused by multipath effects at both  
365 buoy and reference station. The comparison of water elevations recorded at the gauge with  
366 elevations derived from the GPS buoy showed no time lag and bias between the buoy and the  
367 river gauge. However, the buoy apparently slightly overestimates the tide induced amplitudes.  
368 The causes for this effect remain equivocal, given the potential for different tidal dampening

369 at the buoy and gauge location, the influence of river flow velocities on the heave of the buoy,  
370 and possible remaining errors in the processing of the GPS data. However, at present the  
371 individual contribution of these error sources to the observed differences cannot be quantified.  
372 Therefore, future studies will focus on the improvement of the buoys floating dynamics in  
373 order to reduce the influence of wave action on the data quality. The buoy will also be  
374 equipped with sensors determining the dip in order to correct for possible influences of river  
375 flow velocities on the heave of the buoy. Other technical improvements will focus on the  
376 reduction of multipath effects on the GPS signals. This includes the use of ultra-slim solar  
377 panels and/or a higher position of the antenna- Also the position of the reference station will  
378 changed in order to reduce multipath at the GPS reference. Additionally the experimental  
379 setup will be changed in order to reduce the spatial distance between the buoy and the  
380 reference gauge. This should minimize the impact of natural hydraulic effects on the data  
381 evaluation and ease the error discussion of the water elevations derived by the buoy.

382 In addition to the water surface elevations, the GPS buoys provide information on water  
383 movement through evaluation of the horizontal coordinates recorded at high frequency.  
384 Utilizing these data the movement velocities as proxies for water flow velocities can be  
385 extracted in case the buoy changes position due to changing flow directions. This data has the  
386 potential to detect the timing of changes in flow patterns or alternating currents and to  
387 quantify the flow velocities shortly after the changes. When continuous time series of flow  
388 velocities are required, the buoys could be used in free floating mode, e.g. for the monitoring  
389 river flood peaks and flow velocities. However, it has to be noted that this application requires  
390 close supervision to avoid collision and other accidents.

391 Overall we conclude that even at the present development stage, the GPS river buoys provide  
392 a valuable tool that supplements the existing pool of hydrometric instruments. Given their

393 ease of deployment, they are well suited for water elevation monitoring of large rivers or  
394 lakes. The GPS buoy technology appears particularly useful in situations such as:

- 395 • where traditional gauging techniques are difficult or too costly to apply,
- 396 • whenever only a temporary gauging campaign covering, e.g., a single hydrological  
397 year is desired,
- 398 • or when additional information about flow direction or detection of changes in flow  
399 direction are sought after.

400 Another advantage of GPS technology is that height time series are derived in a geocentric  
401 reference frame, independent of subsidence or submerging of gauge constructions, which may  
402 occur at gauge constructions at river banks . Of course, it has to be ensured that no subsidence  
403 of the necessary reference station occurs. This can be achieved more easily compared to  
404 constructions along river banks, because the position of the reference station can be chosen  
405 freely at any point, i.e. a geologically stable position can be selected. However, it has to be  
406 kept in mind that a reference station needs to be established in the proximity of the buoy in  
407 order to obtain data with acceptable precision.

408 In perspective of operational use and improved handling of the buoys, their technical  
409 infrastructure will be extended by remote access and control, and online data transfer  
410 capabilities in the near future.

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**Figure 1.** The developed GPS buoys “STREAM 1” in operation. a) buoy floating in Mekong river, b) interior of the buoy with GPS receiver and battery, c) solar panel and position lights, d) service interface underneath solar panel.

**Figure 2.** Sketch of the buoys tethering to a ground anchor.

**Figure 3.** Location of GPS buoys B1 and B2, the reference water level station T1, and the GPS reference station (source: Google Earth). Positioning of buoys (Lat/Long): B1:  $10^{\circ}46'08.55''\text{N} / 105^{\circ}21'23.08''\text{E}$ , B2:  $10^{\circ}41'03.76''\text{N} / 105^{\circ}29'26.60''\text{E}$ , reference station:  $10^{\circ}41'57,14''\text{N} / 105^{\circ}22'45,24''\text{E}$

**Figure 4.** Comparison of filtered GPS buoy water elevations B1 with water elevation of pressure gauge T1: processed unfiltered GPS data B1 (gray), 15 min moving window average smoothed series after error removal B1 (green), 15 min final frequency filtered water levels B1 (red), reference water level of T1 (blue).

**Figure 5.** Flow chart of the data processing

**Figure 6.** Wavelet analysis of processed unfiltered GPS data with 2 seconds time interval (cf. Fig. 4, gray lines). a) original series (blue), wavelet decomposed and reconstructed series for quality control of wavelet decomposition of time series (red, this is equal to the original series in case of optimal wavelet decomposition of the original series)), time series with errors removed (green); b) wavelet power spectrum of the raw GPS data series with significant signals encircled by black lines, white area below the cone of influence is not significant; c) global wavelet spectrum (blue solid) and significance level (dashed blue); d) average variance over periods from 0-30 seconds (blue solid) and significance level (blue dashed).

**Figure 7.** a) Long-term 15 min time series before (“errors removed”) and after low pass frequency filtering; b) wavelet power spectrum before low pass filter; c) wavelet power spectrum after low pass filter. The gray shaded areas indicate periods where no base stations

data were recorded due to hardware failures. Significant signals (at 95% significance level) in the power spectra are encircled in black. The low pass filter efficiently removed all high frequency noise as shown in c).

**Figure 8.** Performance criteria Root Mean Square error (RMSE), Mean absolute Error (MAE) and Nash-Sutcliffe efficiency (NSC) of comparison of B1 water elevations to T1 depending on different cutoff periods of the frequency filtering.

**Figure 9.** Effect of different cutoff periods (cp) used in the frequency filtering on B1 water elevations exemplarily for 1, 6, and 12 h cutoff periods, with 6 h being the best performing. Upper panel: direct comparison of the water level time series, lower panel: difference between reference T1 and B1.

**Figure 10.** Position plots of B1 and B2 for the period June 1<sup>st</sup> to November 30<sup>th</sup> with relative movement distances in east (x-axis) and north (y-axis) component.

**Figure 11.** Movement velocity of B1 for the period June 1<sup>st</sup> to July 16<sup>th</sup> (a); wavelet power spectrum of velocity time series before frequency filtering (b), black enclosed areas indicate significant signals; and c) global wavelet spectrum (blue) and significance level (black dashed)

**Figure 12.** Water elevation H, movement velocity v, and acceleration a of B2 for a number of consecutive days in June 2009, normalized to zero mean and standard deviation of 1 for direct comparison.

**Figure 13.** 3D position plot of B2 showing the acceleration of the buoy with the onset of low tide around 6 a.m. local time.

| <b>Error source</b>            | <b>Affecting</b> |
|--------------------------------|------------------|
| GPS signals & processing       | Bias, Amplitude  |
| Buoy dynamics (Heave and Tilt) | Amplitude        |
| Buoy dynamics (Roll)           | Bias             |
| Filtering                      | Amplitude        |
| Amplitude dampening in channel | Amplitude        |
| Georeferencing of T1           | Bias             |
| Hydraulic gradient B1 to T1    | Bias             |

**Table 1.** Possible error sources associated to water elevations derived from buoy B1

Figure 1



Figure 2

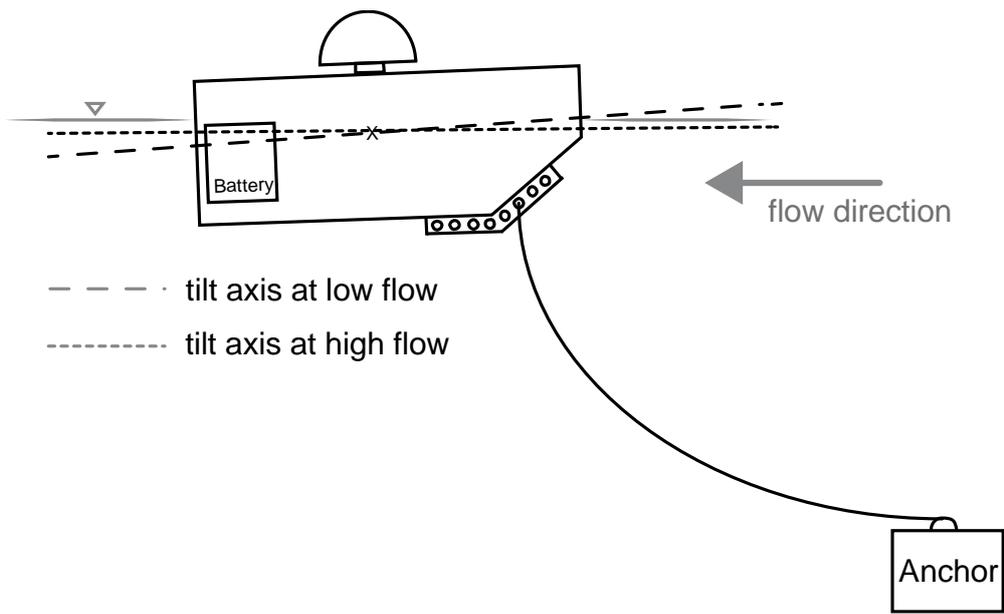


Figure 3

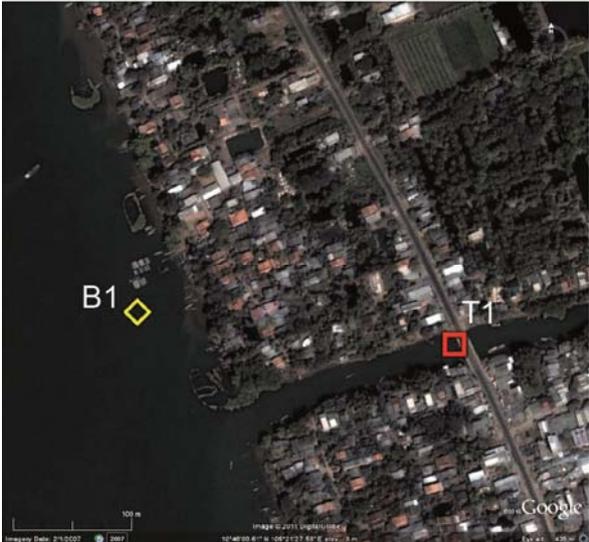


Figure 4

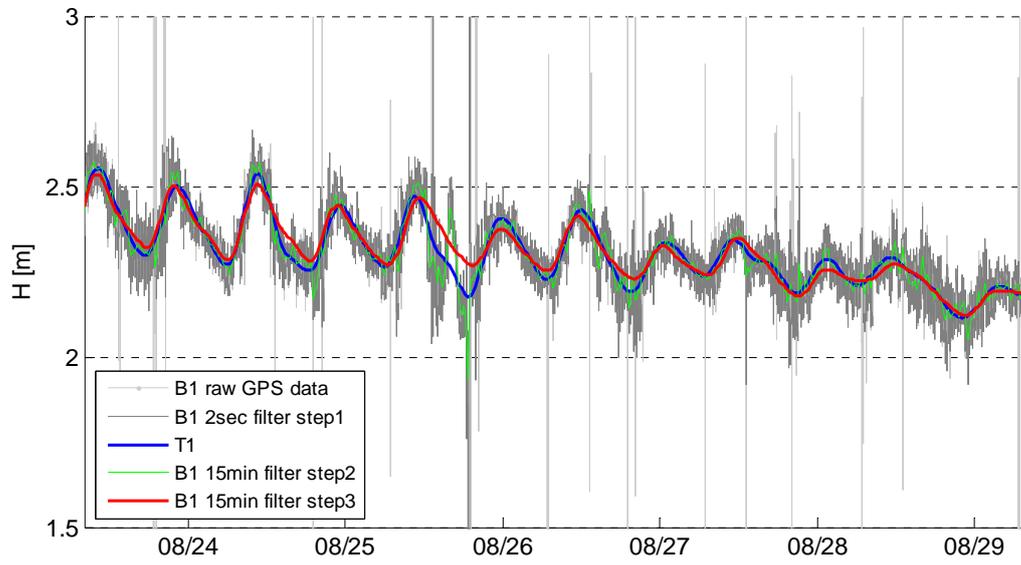


Figure 5

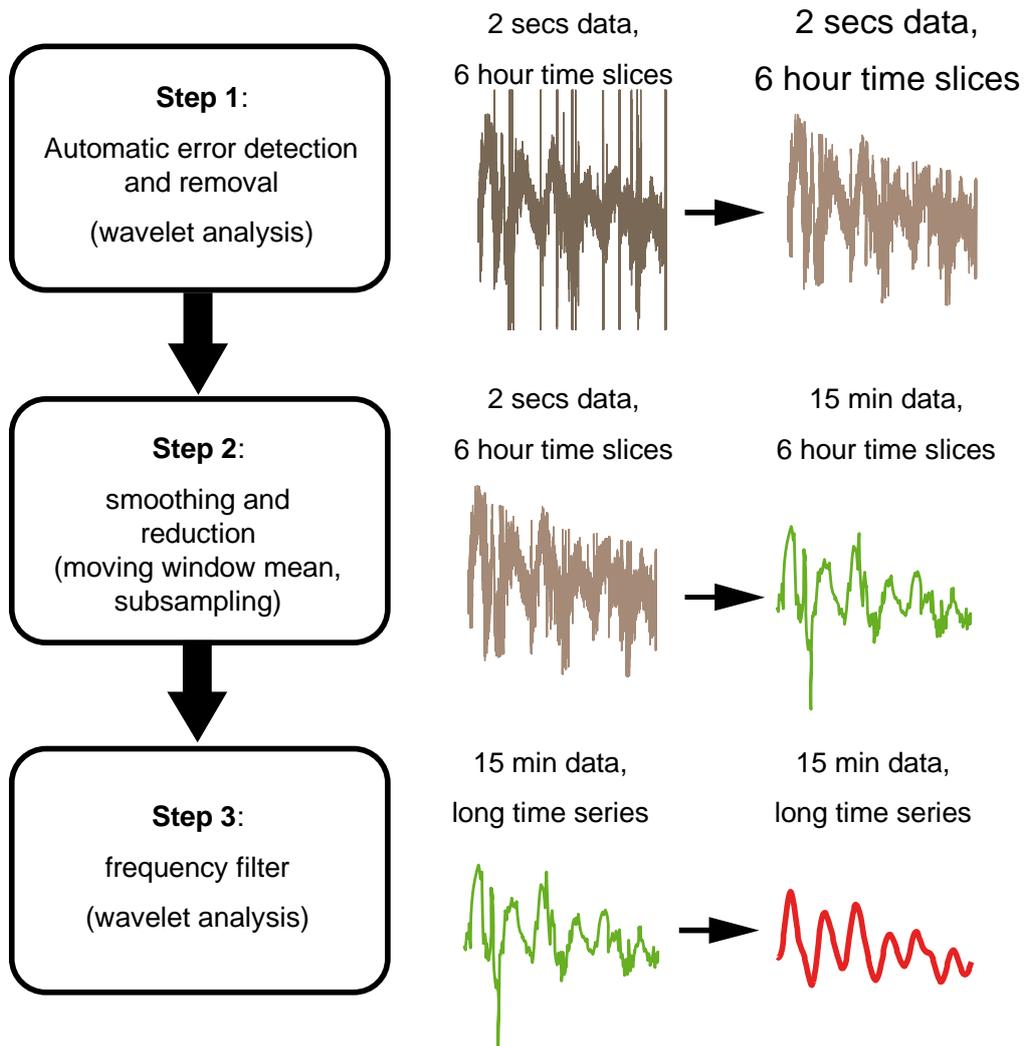


Figure 6

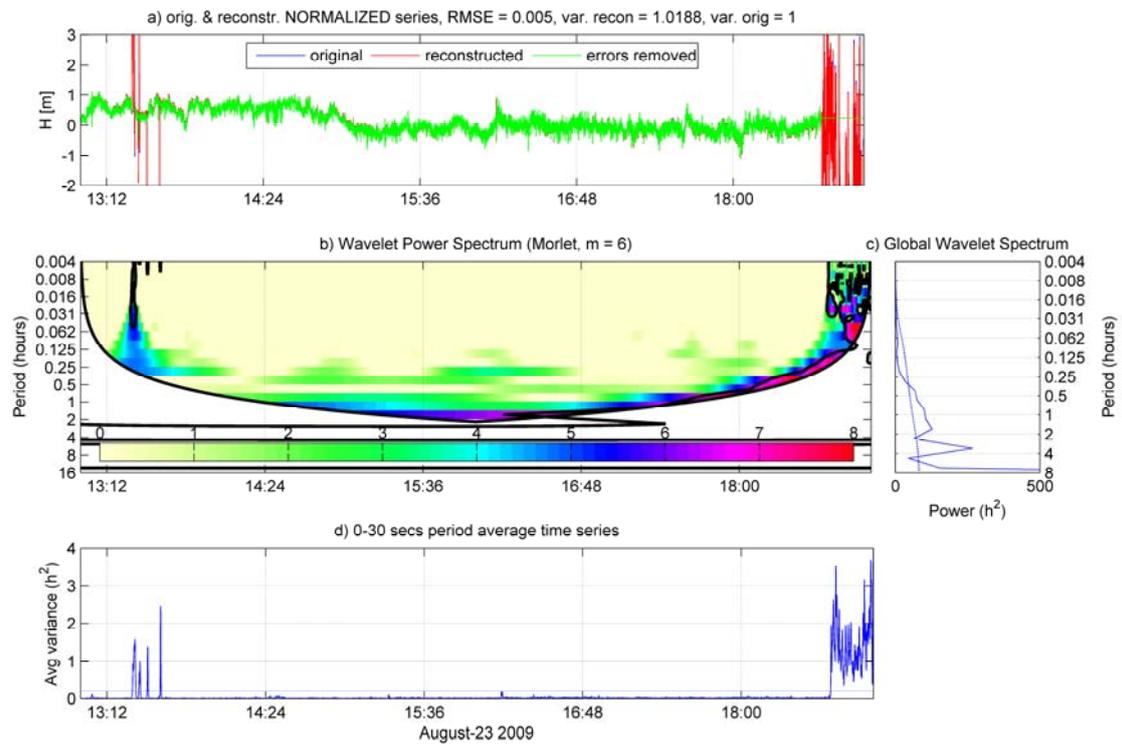


Figure 7

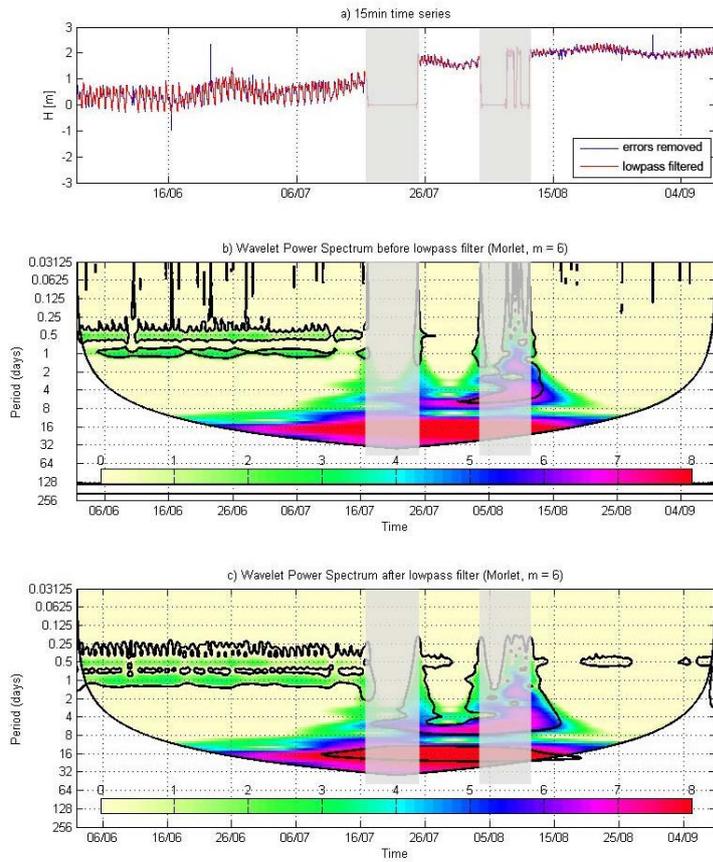


Figure 8

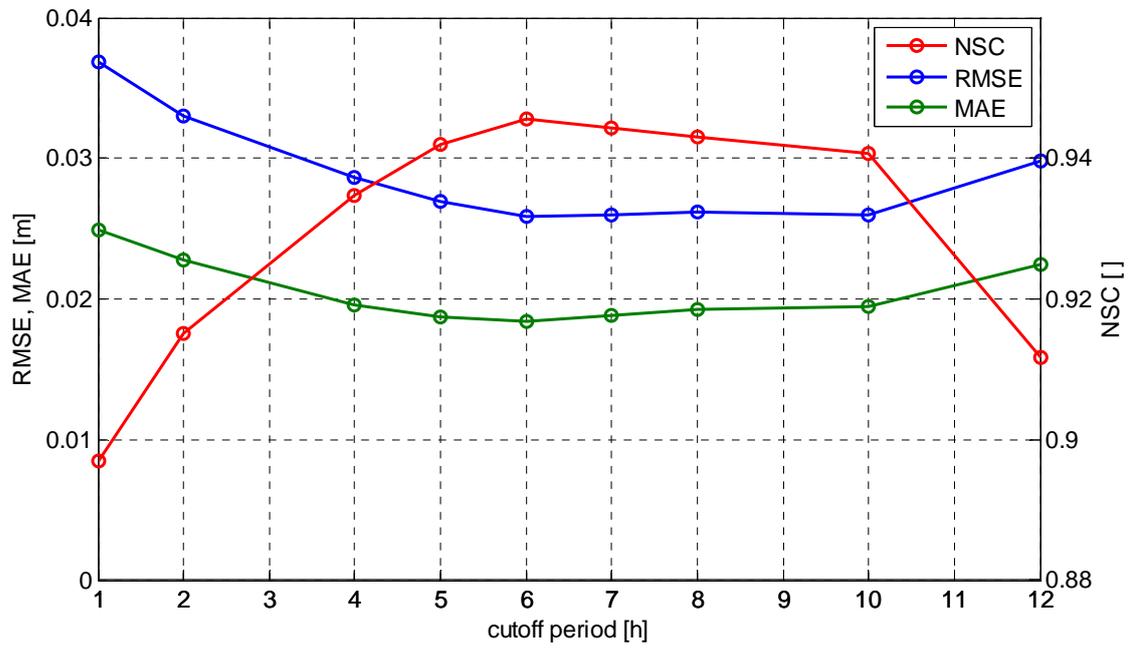


Figure 9

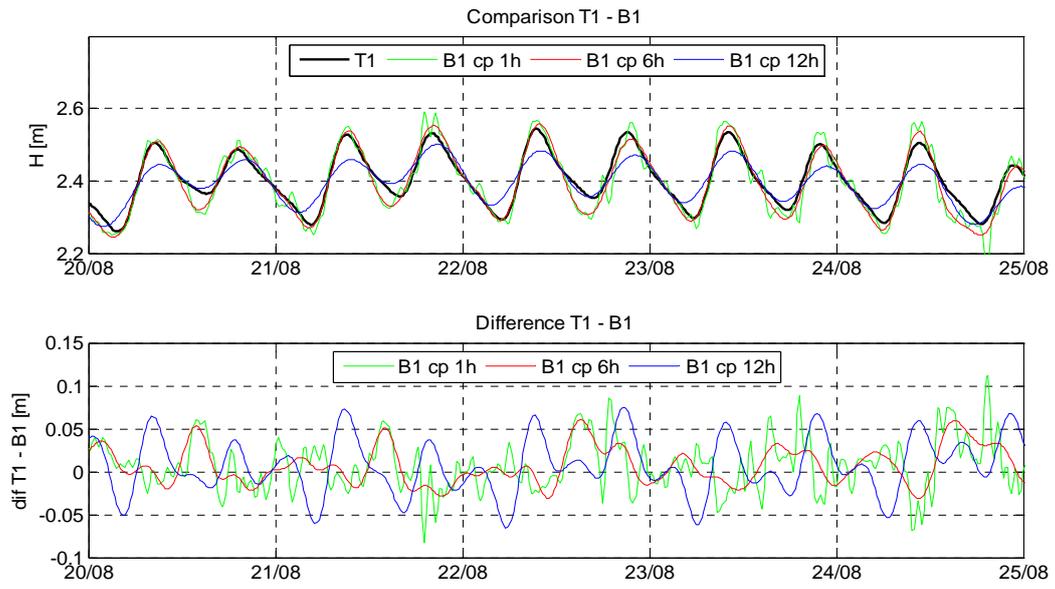
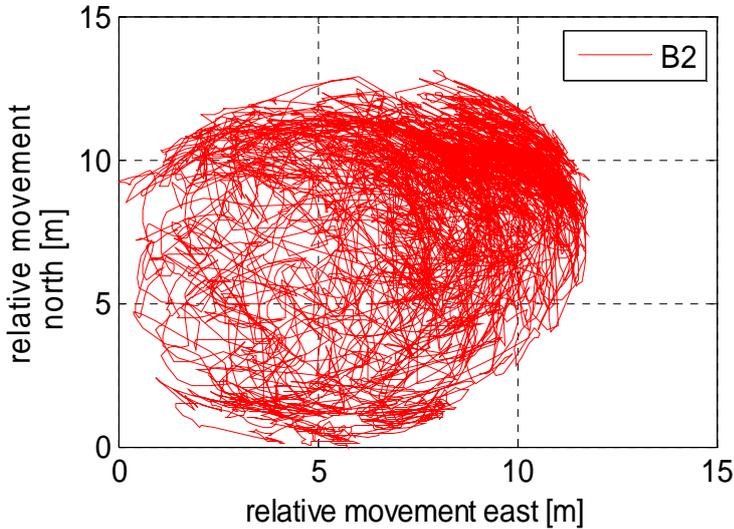
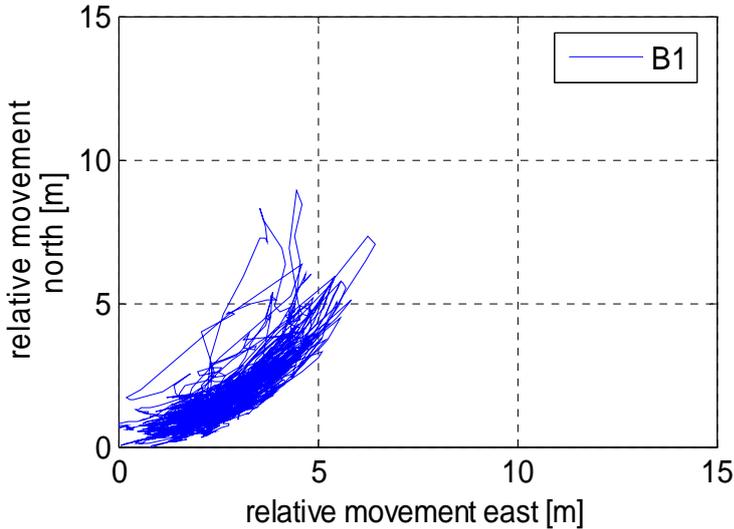


Figure 10



Figure

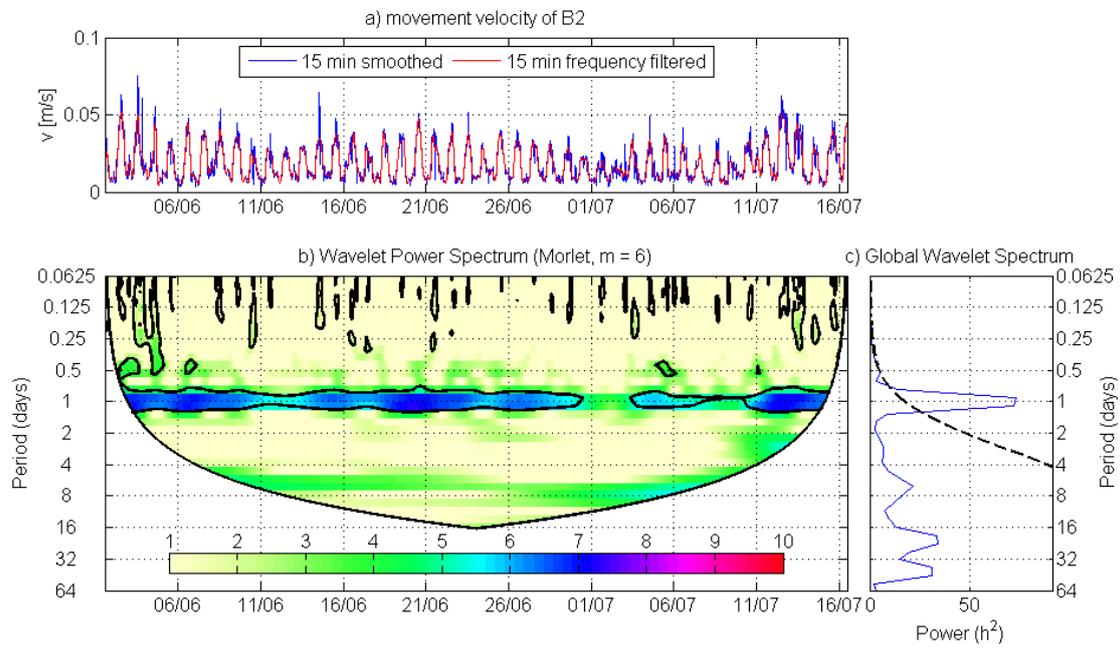


Figure 12

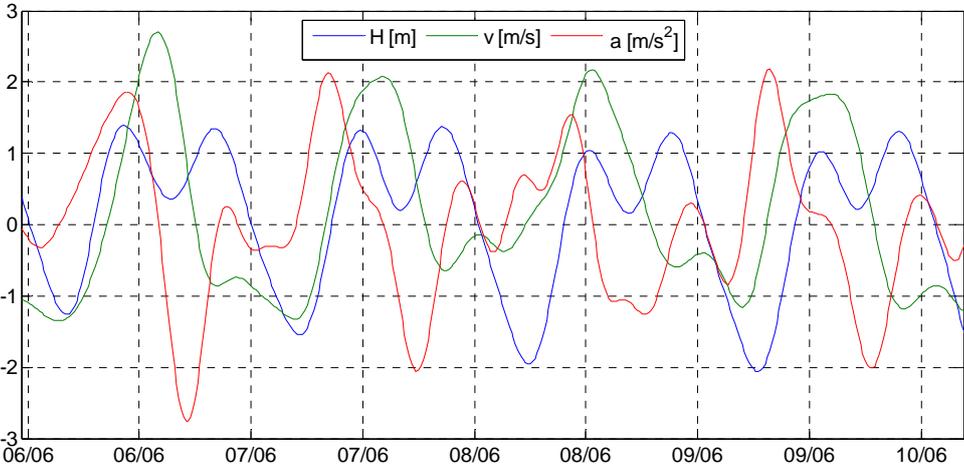


Figure 13

