



Originally published as:

Zhang, Y., Sachs, T., Changsheng, L., Boike, J. (2012): Upscaling methane fluxes from closed chambers to eddy covariance based on a permafrost biogeochemistry integrated model. - *Global Biogeochemical Cycles*, 18, 4, 1428-1440

DOI: [10.1111/j.1365-2486.2011.02587.x](https://doi.org/10.1111/j.1365-2486.2011.02587.x)

Received Date : 17-Oct-2011  
Revised Date : 17-Oct-2011  
Accepted Date : 18-Oct-2011  
Article type : Primary Research Articles

**Running Title:** Model Upscaling CH<sub>4</sub> Fluxes

**Upscaling methane fluxes from closed chambers to eddy covariance  
based on a permafrost biogeochemistry integrated model**

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Submitted to: *Global Change Biology*

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This is an Accepted Article that has been peer-reviewed and approved for publication in the *Global Change Biology*, but has yet to undergo copy-editing and proof correction. Please cite this article as an “Accepted Article”; doi: 10.1111/j.1365-2486.2011.02587.x

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## Abstract

Northern peatlands are a major natural source of methane ( $\text{CH}_4$ ) to the atmosphere. Permafrost conditions and spatial heterogeneity are two of the major challenges for estimating  $\text{CH}_4$  fluxes from the northern high latitudes. This study reports the development of a new model to upscale  $\text{CH}_4$  fluxes from plant communities to ecosystem scale in permafrost peatlands by integrating an existing biogeochemical model (DNDC) with a permafrost model (NEST). A new ebullition module was developed to track the changes of bubble volumes in the soil profile based on the ideal gas law and Henry's law. The integrated model was tested against observations of  $\text{CH}_4$  fluxes measured by closed chambers and eddy covariance method in a polygonal permafrost area in the Lena River Delta, Russia. Results from the tests showed that the simulated soil temperature, summer thaw depths and  $\text{CH}_4$  fluxes were in agreement with the measurements at the five chamber observation sites; and the modeled area-weighted average  $\text{CH}_4$  fluxes were similar to the eddy covariance observations in seasonal patterns and annual totals though discrepancy existed in shorter time-scales. This study indicates that the integrated model,

NEST-DNDC, is capable of upscaling CH<sub>4</sub> fluxes from plant communities to larger spatial scales.

**Key words:** methane flux, model, permafrost, upscale, peatland, DNDC, NEST.

## 1. Introduction

Methane (CH<sub>4</sub>) is an important greenhouse gas in the atmosphere responsible for about 22% of the presently enhanced greenhouse effect (Lelieveld *et al.* 1998). Wetlands are the largest natural source of atmospheric CH<sub>4</sub>, contributing 110 - 260 Tg of CH<sub>4</sub> per year to the global CH<sub>4</sub> budget (Prather *et al.* 2001), a quarter to a third of which is emitted from the wet soils of high latitudes (Walter *et al.* 2001). Northern peatlands have accumulated 547 Gt of carbon since the Last Glacial Maximum (Yu *et al.* 2010) and most northern peatlands are associated with permafrost (Tarnocai *et al.* 2009). Climate warming at high latitudes was about twice the global average during the 20<sup>th</sup> century, and climate models projected that this pattern will continue during the 21<sup>st</sup> century. Climate warming directly affects active-layer thickness and permafrost distribution, which could alter hydrological conditions as well. These changes in soil thermal and hydrological conditions may cause the release of the soil carbon stock as greenhouse gases, especially as CH<sub>4</sub>, further enhancing climate warming (Frolking *et al.* 2010).

Northern peatlands are strongly heterogeneous, with hollows, hummocks, lawns, and pools, associated with tussocks, polygons, and local collapse of permafrost. CH<sub>4</sub> fluxes could differ by one to two orders of magnitude in a distance of meters (Whalen *et al.*

1991; Morrissey & Livingston 1992; Bubier *et al.* 1993; Sachs *et al.* 2010). Therefore it is important to consider the effects of the spatial heterogeneity in estimating regional CH<sub>4</sub> fluxes (Dinsmore *et al.* 2009). Upscaling from local scale to regional or global scales is one of the major challenges in quantifying the impacts of northern peatlands on the climate system (Frolking *et al.* 2010). Several studies have upscaled CH<sub>4</sub> fluxes based on site observations of CH<sub>4</sub> fluxes from different land types (e.g. Matthews & Fung 1987; Whalen *et al.* 1991; Schneider *et al.* 2009). Although this approach can specify the spatial differences, field observations are costly and can only cover very limited sites and time periods. Process-based models can integrate biophysical and biogeochemical processes to understand and estimate CH<sub>4</sub> fluxes in different conditions. Most model estimations of CH<sub>4</sub> fluxes for regional and global scales used half-degree latitude/longitude or coarser spatial resolutions without considering the effects of local spatial heterogeneity (e.g., Cao & Marshall 1998; Walter *et al.* 2001; Zhuang *et al.* 2004). Therefore it is important to develop a method to understand the effects of local spatial heterogeneity in spatial modeling and regional estimation.

CH<sub>4</sub> fluxes have been measured using closed chambers at many locations in the last two decades (e.g., Bubier *et al.* 1993). Closed chambers can be put in different plant communities and the observations represent CH<sub>4</sub> fluxes at a scale of a few meters. CH<sub>4</sub> fluxes can also be observed using eddy covariance (EC) techniques. Depending on the heights of the towers, the EC observations represent CH<sub>4</sub> fluxes at a scale of several hectares to about a square kilometer. Following the terms used by Riutta *et al.* (2007), we referred to the scales represented by the chambers and EC observations as the plant

community scale and the ecosystem scale, respectively. Plant communities are land units with separable and distinct plant conditions, water table behavior, regimes of net peat accumulation, and relatively uniform ecohydrological and biogeochemical processes. Therefore plant communities are the basic scale for upscaling to ecosystem or larger scales.

Since ecosystem scale CH<sub>4</sub> fluxes can be estimated using chamber observations at different plant communities in the ecosystem, several studies compared the upscaled CH<sub>4</sub> fluxes with the observations by EC techniques (Heikkinen *et al.* 2006; Riutta *et al.* 2007; Hendriks *et al.* 2010; Sachs *et al.* 2010; Schrie-Uijl *et al.* 2010). Spatial upscaling is based on the areal fractions of the plant communities within the footprint of the towers. Since chamber observations were not conducted continuously, regression equations were used to upscale temporally. Most of these studies found that the upscaled CH<sub>4</sub> and/or CO<sub>2</sub> fluxes agreed with the observations of the EC techniques in monthly or seasonal totals (Riutta *et al.* 2007; Sachs *et al.* 2010; Schrie-Uijl *et al.* 2010) although no studies explicitly compared the upscaled and EC observed CH<sub>4</sub> fluxes at a daily time-scale. All these studies show that spatial heterogeneity is important for upscaling CH<sub>4</sub> fluxes, and the regression models developed based on chamber observed CH<sub>4</sub> fluxes and biophysical variables were different among plant communities and study areas.

The purpose of this study is to develop a process-based model to quantify CH<sub>4</sub> fluxes in permafrost conditions. To explicitly consider spatial heterogeneity, we used the ecosystem scale as the spatial domain of the model. An ecosystem can be composed of a

number of plant communities. The model simulates the biophysical and biogeochemical processes in each plant community and upscales to the ecosystem scale based on the areal fractions of the plant communities in the ecosystem. Thus the model may be useful to understand the processes and major controls of CH<sub>4</sub> fluxes at the plant community scale, and to assess the gaps and uncertainties in upscaling to the ecosystem scale. The model can also be used as a tool to estimate CH<sub>4</sub> fluxes at large scales considering the effects of plant communities. We developed the model by integrating an existing biogeochemical model with a permafrost model. The datasets measured by Sachs and colleagues (2008a, b, 2010) with both chamber and EC methods at an experimental site in the wet polygonal tundra in the Lena River Delta, Russia, were used to test the applicability of the new model.

## **2. Method and Data**

### **2.1 Model fusion**

An existing biogeochemical model, DeNitrification-DeComposition or DNDC, was integrated with a permafrost model, Northern Ecosystem Soil Temperature or NEST, to gain capacity for modeling the interactions between soil thermal-hydrological conditions and biogeochemical processes in permafrost soils. The new model, NEST-DNDC, inherited the characters of its parents on soil layer structure, one-dimension mass and energy fluxes, daily climate data for input but usually with shorter time-steps in calculation. Figure 1 shows the structure of the integrated model.

## **The DNDC model**

The DNDC model was originally developed for estimating carbon sequestration and nitrogen trace gas emissions from agricultural ecosystems (Li *et al.* 1992). Later on Li *et al.* (2000) integrated DNDC with a forest model and a nitrification model to simulate carbon and nitrogen dynamics in forest ecosystems. A kinetic scheme “anaerobic balloon” was developed to quantify the relative aeration status in soil (Li *et al.* 2000). Zhang *et al.* (2002a) further extended the model to wetland ecosystems considering water table dynamics, CH<sub>4</sub> fluxes, and multiple strata of vegetation, including bryophytes. The DNDC model has been validated against a wide range of observations worldwide (e.g., Li *et al.* 2002; Zhang *et al.* 2002b; Grant *et al.* 2004; Fumoto *et al.* 2008; Giltrap *et al.* 2010).

The DNDC model consists of four major components: soil climate, plant growth, soil carbon and nitrogen dynamics. The soil climate component calculates soil temperature, soil moisture, water table, and redox potential (Eh) profiles (Most of this component will be replaced by NEST during model fusion). The vegetation component calculates photosynthesis, respiration, plant growth, nitrogen uptake, and litter production. The routines simulating growth of woody plants and litter production were adopted from a forest model, PnET, developed by Aber & Federer (1992). The growth of mosses and herbaceous plants has been added in DNDC for wetland conditions (Zhang *et al.* 2002a). The component of soil carbon dynamics calculates decomposition of soil organic matter and CH<sub>4</sub> fluxes. The soil organic carbon (SOC) is divided into four pools (i.e., litter, microbes, humads, and passive humus), and each pool is further divided into labile and



resistant fractions. The decomposition of each SOC fraction depends on its specific decomposition rate and soil thermal and moisture conditions. Methane fluxes are calculated based on CH<sub>4</sub> production, consumption and transport processes. Eh, temperature and pH are the major factors affecting CH<sub>4</sub> production and oxidation rates. Transport of CH<sub>4</sub> from soil to the atmosphere included plant mediated transport, ebullition and diffusion (Zhang *et al.* 2002a). The component of soil nitrogen dynamics simulates nitrification and denitrification. The nitrification submodel predicts conversion of ammonium to nitrate with nitric oxide (NO) and nitrous oxide (N<sub>2</sub>O) as byproducts. The denitrification submodel calculates growth and death of denitrifiers, substrate consumption, and production of nitrogen gases (i.e., NO, N<sub>2</sub>O and N<sub>2</sub>). Fluxes of nitrogen gases depend on their production, consumption and diffusion in the soil profile (Li *et al.* 1992, 2000).

### **The NEST model**

NEST is a one-dimensional model simulating ground thermal dynamics and associated thawing/freezing and permafrost conditions. It also integrates snow and soil water dynamics (Zhang *et al.* 2003). Soil temperature dynamics is simulated by solving the one-dimensional heat conduction equation. The upper boundary condition (the ground surface or snow surface if snow is present) is determined by the surface energy balance; and the lower boundary condition is defined based on the geothermal heat flux. The amount of snow on the ground (water equivalent) is determined as the cumulative difference between snowfall and snow loss from snowmelt and sublimation driven by the surface energy balance. The profile of snow density is calculated considering compaction and destructive metamorphism. Soil water dynamics include water input (rainfall and

snowmelt), output (evaporation and transpiration), and distribution across the soil layers. Soil thawing and freezing and associated changes in fractions of ice and water are determined based on energy conservation. Detailed description of the model has been presented by Zhang *et al.* (2003). The model has been validated against measurements of energy fluxes, snow depth, soil temperature, thaw depth, and spatial distributions of permafrost in Canada (Zhang *et al.* 2003, 2005, 2008a, 2008b). Lateral water exchange is parameterized based on an empirical approach developed for the Wetland-DNDC model (Zhang *et al.* 2002a).

### **Integrating NEST with DNDC**

We integrated NEST with DNDC at code level to ensure that the information exchange between the two component models were precise and efficient. The new model, NEST-DNDC, is able to simulate an ecosystem domain which contains a number of plant communities. All the plant communities in the ecosystem share common weather and geological conditions but differ in their biophysical factors such as vegetation, soil and hydrology. The ecosystem-scale fluxes can be calculated by area-weighted sum of the modeled plant community scale fluxes. Inheriting from NEST, the new model simulates a deeper ground to capture the changes in summer thaw depth as well as the long-term variations of permafrost with climate. The deep ground profile also provides a stable lower boundary condition for water table simulation. The initial soil thermal and hydrological conditions for each plant community type are determined by running the model iteratively based on the climate data of the first year until the modeled annual mean soil temperature is stable.

In NEST-DNDC, the ebullition emissions of CH<sub>4</sub> from wetland were improved. Ebullition is an important pathway of CH<sub>4</sub> transport from the wetland soil to the atmosphere. The release of CH<sub>4</sub> in bubbles could be associated with a number of factors such as water level, barometric pressure and temperature (Fechner-Levy & Hemond 1996; Beckmann *et al.* 2004; Strack *et al.* 2005; Tokida *et al.* 2005; Kellner *et al.* 2006). Significant CH<sub>4</sub> emissions, probably related to the CH<sub>4</sub> stored in bubble form in soils, were observed during spring thaw (Moore & Knowles 1990; Hargreaves *et al.* 2001; Tokida *et al.* 2007) and early winter freezing of the summer thawed layers in permafrost regions (Sachs *et al.* 2008a; Mastepanov *et al.* 2008). Several studies explained the impacts of various factors on ebullition based on Henry's law and the ideal gas law (Fechner-Levy & Hemond 1996; Strack *et al.* 2005; Tokida *et al.* 2005; Kellner *et al.* 2006). The Wetland-DNDC model estimated ebullition as the amount of CH<sub>4</sub> concentration above a threshold based on the approach of Walter & Heimann (2000). In this study, we developed a new ebullition module to track the changes of bubble volumes in the soil profile and the release of CH<sub>4</sub> through ebullition. The new module integrates the effects of CH<sub>4</sub> in soil water, soil temperature, atmospheric pressure, water table, and thawing/freezing based on the ideal gas law and Henry's law. A detailed description of the module is provided in the Appendix.

By inheriting the features existing in the original NEST or DNDC model, NEST-DNDC maintains the capacity for modeling the upland and wetland ecosystems without permafrost. For example, the soil profile can include organic soil and mineral soil layers with different texture, thickness, fractions of stone/gravels and SOC content. The

modeled vegetation can include an upper story and an understory of woody plants (trees or shrubs), a layer of grasses or sedges, and a layer of mosses. Thus, the model can be used for a broad range of ecosystems from forest to tundra across permafrost and non-permafrost regions.

## **2.2 Field measurements**

### **The study area**

The study area is located on Samoylov Island (72°22'N, 126°30'E) in the Lena River Delta, Russia (Figure 2). The delta is composed of more than 1500 islands covering about 32000 km<sup>2</sup>. The Samoylov Island was selected for intensive study because it is considered representative of the Late Holocene terrace, which accounts for about 65% of the delta area (Are & Reimnitz 2000; Sachs *et al.* 2008a). Samoylov Island covers an area of about 5 km<sup>2</sup> with two different geomorphologic units: a modern floodplain in the west (2 km<sup>2</sup>) and wet polygonal tundra in the east (3 km<sup>2</sup>) (Sachs *et al.* 2010). The study area is located in the center of the eastern part. This region is in the continuous permafrost zone characterized by an arctic continental climate with a mean annual air temperature of -14.7 °C and mean summer precipitation of 137 mm during 1999-2005 (Boike *et al.* 2008).

The study area has a flat macro-relief with slope gradients less than 0.2% except at shores of large lakes. However, the land surface has a micro-relief due to the development of ice wedge polygons. The depressed polygon centers can be about 0.5 m lower than the polygon rims. Degradation of the polygon rims also led to the formation of small ponds. The low-center polygons usually contain some shallow water while the rims are much

drier. Vegetation and soil conditions are different between polygon centers and rims as well. More detailed information about the vegetation, soil, hydrological and climatic conditions at the study area can be found in the related publications (e.g., Kutzbach *et al.* 2004; Wagner *et al.* 2005; Boike *et al.* 2008).

### **Field observations**

The observation sites were located in the center of the eastern part of the Samoylov Island, an area with relatively homogenous wet polygons (Figure 2). CH<sub>4</sub> fluxes were measured using EC techniques as well as closed chambers. Successful EC measurements were conducted for 103 days from June 9 to September 19, 2006, covering an entire growing season from the middle of snowmelt to initial freezing back. Detailed description of the EC technical setup and data analysis can be found in Sachs *et al.* (2008a, 2010). Closed chamber observations of CH<sub>4</sub> fluxes were conducted at five representative micro-sites within the EC fetch from July 12 to September 19, 2006. Three chamber sites were in the middle of three different low-center polygons (referred to as site 1, 3, and 4. Figure 2d), one chamber site was in the middle of a high-center polygon (site 2), and one chamber site was on the rim of a low-center polygon (site 5). Three chamber collars were installed at each chamber site for replication measurements. Detailed description of the observations can be found in Sachs *et al.* (2008b, 2010). Water table was measured at the chamber observation sites as well. Other measurements at the EC system and an automatic climate station about 700 m south of the tower included air temperature, precipitation, relative humidity, solar radiation, barometric pressure, and soil temperature at various depths.

### 2.3 Model setup and input data

Sachs *et al.* (2010) classified the land types (or plant community types) in the study area based on a 0.5m resolution aerial image (about 600m by 600m with the chamber and EC observation sites near the center of the image). The study area can be classified into five land types: I) open water (14%), II) overgrown water (ponds with emerging plants) (14%), III) wet low-centered polygons (10%), IV) moist high-centered polygons (35%), and V) polygon rims (27%) (Sachs *et al.* 2010). These results are similar to that of Muster *et al.* (submitted) although their classification covered a larger area in this island and used finer aerial images (Their results show that the area is composed of 15% open water, 10% overgrown water, 17% wet tundra (equivalent to land type III), and 58% dry tundra (equivalent to land type IV and V)). We ran the model for these land types except the open water since the present version of NEST-DNDC does not simulate aquatic biogeochemistry of deep water.

The vegetation and soil conditions differed between the low centers and rims. About a 10 cm organic layer was accumulated at the low centers, while there was almost no organic layer on the surface of the polygon rims. The mineral soils are sandy loam and loam at the low centers and the rims, respectively. We defined the profiles of soil texture, SOC concentration, C/N ratios according to the observations by Kutzbach *et al.* (2004) and Wagner *et al.* (2005) (Table 1). The thermal conductivity of the bedrock and the geothermal heat flux were  $2.8 \text{ W m}^{-1} \text{ }^{\circ}\text{C}^{-1}$  and  $0.053 \text{ W m}^{-2}$ , respectively, based on observations at Efaghc Tiksi ( $71^{\circ}27' \text{N}$ ,  $129^{\circ}0' \text{E}$ ) (Pollack *et al.* 1991). In the study, we used a soil profile of 37.8m (50 layers) to simulate soil thermal/hydrological dynamics

while biogeochemical processes were simulated only for the top 0.5m (10 layers). The vegetation in the low centers is dominated by hydrophilic sedge *Carex aquatilis* and mosses. The polygon rims are dominated by mesophytic dwarf shrub *Dryas octopetala* and mosses, with much less *Carex aquatilis* than in the low centers. We estimated the above ground biomass of the sedges, shrubs and mosses using the equations developed by Chen *et al.* (2009) based on observations of coverage and heights of the plants (Kutzbach *et al.* 2004) (Table 2).

Daily weather data (maximum and minimum air temperature, precipitation, water vapor pressure, solar radiation, wind speed and atmospheric pressure) measured by the EC tower in 2006 were used for the simulation. To extend the data, we used the measurements from an automatic meteorological station about 700 m south of the EC tower in the Samoylov Island. Some data gaps were filled using the observations at the Stolb Island weather station (about 5 km away from the study area). Snowfall was not observed at either the Samoylov station or the Stolb Island weather station after 2004. We filled these data gaps using the precipitation data during the same season but in 2002 and 2003.

To initialize the soil climate conditions, we first ran the soil thermal and hydrological sub-models of NEST-DNDC iteratively using the first year's climate data (year 1999) until the simulated annual mean soil temperature was stable. Then we proceeded with the 1999-2006 simulations using the whole span of the model's capacity including soil climate, hydrology and biogeochemistry. The hydrological parameters for modeling

lateral flows were calibrated against datasets of water table observed at each chamber site in 2006 (Table 3). Since there were no water table observations for land type II (overgrown water), we calibrated the lateral flow parameters by arbitrarily assuming that the water table at this land type was about 10 cm higher than that at the lower centers (Table 3). Under flooded conditions, CH<sub>4</sub> fluxes increase with increase in SOC. We calibrated SOC contents for land type II by comparing the modeled CH<sub>4</sub> flux with the observed CH<sub>4</sub> flux reported by Spott (2003). To reduce the effects of simulation error of water table on soil biogeochemical processes, we used observed water table for the simulations if it was measured on that day. The modeled CH<sub>4</sub> fluxes from all the land types were summed up to the ecosystem scale based on their areal fractions, and then we compared this upscaled CH<sub>4</sub> fluxes with the observations by EC techniques.

### **3. Results and Analyses**

#### **3.1 Soil temperature and active-layer thickness**

Figure 3 shows comparisons between modeled and observed summer thaw depth and soil temperature at different depths for the low-center and rim sites. The modeled soil temperatures were close to that of observations. Correlation coefficients at different depths ranged from 0.83 to 0.90 for the low-center site and 0.91-0.92 for the rim site (the number of samples is 101 for the two sites and the three depths). The correlation coefficients are lower at the low-center site than at the rim site, probably because the heterogeneous effects of the water conditions, mosses and roots are stronger than at the rim site. The modeled soil temperature near the surface was lower than the measurements during Aug. 28 to Sept. 10 (Day of year (DOY) 240-253), especially at the low-center site, probably because the model did not accurately capture the snowpack dynamics as



well as the effects of the above surface water during this period when air temperature briefly dropped down to below freezing point.

The modeled deepening of the thaw depth followed the observations until the late growing season, during which the modeled thaw depth stayed at the ground surface for about 10 days (Figure 3c). The model results did not show thawing from the surface during this period because the near-surface temperature was slightly below 0 °C (thaw depth was defined based on soil temperature). The modeled thaw depth quickly deepened after this cold spell because most of the water in the soil profile still remained unfrozen. Thus, the discrepancy between the modeled and observed thaw depth during this cold period is an artifact of the definition of the thaw depth in the model rather than significant changes in thawing/freezing of soil water. The thawing depth in the polygon rims was shallower than that in the wet low-center because the rims were relatively drier and better insulated.

### **3.2 Water table and CH<sub>4</sub> fluxes in different land types**

Figures 4a-f show the water table dynamics. Water table was simulated if there is no observation for that day, otherwise observed water table was used for that day to determine the soil water conditions. Figures 4g-l show comparisons between modeled and measured CH<sub>4</sub> fluxes at the five chamber observation sites. The model captured the differences among the three land types (Types III, IV and V) and their general temporal patterns of CH<sub>4</sub> fluxes, including some of the pulses. The seasonal CH<sub>4</sub> fluxes from the wet low-centers were almost one order higher than that from the moist high-center or the polygon rim. The correlations between the modeled and chamber observed daily CH<sub>4</sub>

fluxes were significant for the three wet low-centers (Figure 5a-c). However, the correlation coefficients were negative for the two non-inundated sites (Figure 5d, 5e).

The high CH<sub>4</sub> emissions (50-200 mgC m<sup>-2</sup> day<sup>-1</sup>) from the wet low-centers were mainly due to their inundation conditions, while the temporal patterns mainly followed the changes of soil temperature, which also associated with plant growth and soil decomposition. The modeled large pulses of CH<sub>4</sub> flux were usually associated with the drops of water table just below the surface. The patterns and magnitudes of CH<sub>4</sub> fluxes modeled for the three wet low-centers (sites 1, 3 and 4) were similar to each other since their soil and vegetation conditions were assumed to be the same and the water table dynamics were similar and were above the land surface most of the season. The CH<sub>4</sub> fluxes at the high-center site were slightly higher than at the rim site due to its slightly wetter conditions (Figures 4d and 4e). By calibrating SOC, the modeled CH<sub>4</sub> fluxes from the land type II (overgrown water) were similar to the fluxes reported by Spott (2003) (The modeled average CH<sub>4</sub> fluxes in July and August were 31.4 and 43.8 mgC m<sup>-2</sup> day<sup>-1</sup>, respectively, comparing to 30.2 and 36.1 mgC m<sup>-2</sup> day<sup>-1</sup> reported by Spott (2003) for these two months, respectively).

### **3.3 Area-weighted average CH<sub>4</sub> fluxes at the ecosystem scale**

The ecosystem-scale CH<sub>4</sub> emissions were calculated based on the modeled CH<sub>4</sub> fluxes of all the land types and their areal fractions in the study area. To include the CH<sub>4</sub> fluxes from the deep open water, which NEST-DNDC does not simulate, we set the CH<sub>4</sub> fluxes from such water bodies as 50% of the fluxes from land type II (overgrown water) based on observations from Spott (2003), whose observations show that the CH<sub>4</sub> flux from open

water bodies, mainly through diffusion, were generally less than  $8 \text{ mgC m}^{-2} \text{ day}^{-1}$ , but ebullition could contribute to an extra of 0 to  $23 \text{ mgC m}^{-2} \text{ day}^{-1}$ . Figure 6 shows a comparison of the modeled area-weighted average  $\text{CH}_4$  fluxes with the EC observed  $\text{CH}_4$  fluxes. The magnitude and the temporal pattern of the modeled ecosystem  $\text{CH}_4$  fluxes were similar to that of the EC observed. The modeled ecosystem  $\text{CH}_4$  fluxes were lower than the observations in two periods, the early growing season (DOY 160-187, or June 9 to July 6) and the cold period during Sept. 9-19 (DOY 252-262). The correlation between the modeled daily ecosystem  $\text{CH}_4$  fluxes and EC measured daily  $\text{CH}_4$  fluxes was low, with the correlation coefficient being 0.21 (Figure 5f) or 0.34 if excluding the extremely high  $\text{CH}_4$  flux observed on June 27 (DOY 178). However, if we compare the 3-day running averages of the modeled ecosystem  $\text{CH}_4$  fluxes and EC observed  $\text{CH}_4$  fluxes, the correlation coefficient increased from 0.21 to 0.42 or from 0.34 to 0.54 if excluding the extremely high  $\text{CH}_4$  flux observed on DOY 178. The modeled total ecosystem  $\text{CH}_4$  flux during the EC observation period (DOY 160-262, or June 9 to Sept. 19, 2006) was  $1.30 \text{ gC m}^{-2}$ , which was 10.3% less than that of the EC observations ( $1.45 \text{ gC m}^{-2}$ ). If we used the areal fractions classified by Muster *et al* (submitted), the modeled total ecosystem  $\text{CH}_4$  flux was 7.2% higher than that of the EC observations, because they classified larger wet tundra.

## **4. Discussions**

### **4.1 Comparing model results with observations at the chamber sites**

Our modeled soil thermal dynamics and  $\text{CH}_4$  fluxes were comparable with the observations at the chamber sites, and water table dynamics could also be simulated by calibrating the lateral water flow parameters at such scale (Figures 4a-f). These tests

indicated that the one-dimensional model is suitable to quantify the thermal, hydrological, vegetation and biogeochemical processes and their interactions at the plant community scale as energy and matter fluxes (heat, radiation, water, carbon and nitrogen) and their interactions were directly connected at this scale.

Based on our model results, the annual CH<sub>4</sub> fluxes in 2006 were 1.6, 3.2, 5.7, 0.4, and 0.1 gC m<sup>-2</sup> year<sup>-1</sup> from land types I to V, respectively, comparing to 1.4 gC m<sup>-2</sup> year<sup>-1</sup> as the area-weighted average for the ecosystem scale. Land type III accounts for only 10% of the area, but contributed 40% of the CH<sub>4</sub> fluxes to the ecosystem. The total wet vegetated areas (land types II and III) accounted for 24% of the area but contributed 72% of the CH<sub>4</sub> fluxes to the ecosystem, while the high-centered polygons and rims accounted for 62% of the area but contributed to only 13% of the CH<sub>4</sub> fluxes. These results again emphasize that it is important to consider spatial heterogeneity in estimating CH<sub>4</sub> fluxes on the ecosystem scale.

In NEST-DNDC, lateral flows can be estimated by calibrating several lateral flow parameters based on water table observations. This parameterization approach provides a simplified and efficient way to capture water dynamics at the plant community scale in wetlands without explicitly considering detailed spatial features (e.g., the sizes and elevations of the plant communities, their spatial arrangement and connections, and the associated flow patterns, etc). Figure 6 shows a comparison between the modeled and observed water tables for the wet low-centered polygons (Land type III). The lateral flow parameters were calibrated as 0 for outflows and 10% for surface inflow (Table 3) (i.e.,

no lateral outflows, surface inflow was 10% of the rainfall or snow melt). This is reasonable because some water in the high rim can flow into the depressed polygon center while the high rim with permafrost disconnected the low center from the surrounding troughs (Sachs *et al.* 2010). We also tested this approach at a bog in northern Minnesota, USA, and it showed that the modeled water table in 39 years was consistent with the observations (Zhang *et al.* 2002a).

#### **4.2 Comparing upscaled CH<sub>4</sub> fluxes with EC observations**

The seasonal pattern and the magnitudes of CH<sub>4</sub> flux measured by EC techniques can generally be explained by the contributions of CH<sub>4</sub> fluxes from the different land types within the fetch of the EC tower. This conclusion is in agreement with the results of several other studies (Riutta *et al.* 2007; Sachs *et al.* 2010; Schrie-Uijl *et al.* 2010). Although we reasonably modeled CH<sub>4</sub> fluxes at the chamber sites, the modeled area-weighted average CH<sub>4</sub> fluxes did not totally match the EC observations, especially on short-time scales. Several reasons may contribute to this discrepancy.

Firstly, the chamber observation sites might not be representative enough for the different land types in the study area. Although the average and general seasonal patterns observed at the wet low-centered polygons (Site 1, 3, and 4) were similar (the average seasonal CH<sub>4</sub> fluxes were 58.4, 75.0 and 60.6 mgC m<sup>-2</sup> day<sup>-1</sup> for these three sites respectively), but the standard variations of the measurements in a day or the short-time variations were large (Sachs *et al.* 2010). There was only one observation site for land type IV and V each, and no observations for the land type I and II. Therefore the modeled or estimated CH<sub>4</sub> fluxes from these land types may be inaccurate, especially for land types I and II.

The CH<sub>4</sub> fluxes from the lakes and ponds in this area were much smaller than observations by Walter *et al.* (2006) in Siberia (18.7 gC m<sup>-2</sup> yr<sup>-1</sup>), probably because of the poorer SOC and colder climate conditions. However, these lakes and ponds could still be important sources of CH<sub>4</sub> flux observed by EC method. For example, the extremely high CH<sub>4</sub> flux observed by EC method on June 27, 2006 (DOY 178) was probably due to thawing and breaking of the lake/pond ice, which disturbed the bottom sediments and causing a release of the CH<sub>4</sub> stored in the bottom of the lakes or ponds (Sachs *et al.* 2008a). During the cold period in mid September (around DOY 255), all the chamber observations showed low CH<sub>4</sub> fluxes while noticeable CH<sub>4</sub> fluxes were measured by EC method. This was probably due to the contributions of the open water bodies as well. Atmosphere pressure was decreasing on DOY 178 and 255 (Figure 8), which could promote ebullition from the open water.

Secondly, the areal fraction of the inundated area may change with time. The aerial image used for land type classification was taken in August. The water table was higher and a larger area was inundated just after snow melting. Since we used constant areal fractions determined from the late growing season, this treatment may have underestimated CH<sub>4</sub> fluxes when water table is high, especially in the early growing season. Therefore more spatially detailed and temporally frequent images may be needed to reduce this error. In addition, the sources of CH<sub>4</sub> observed by the eddy tower might change with time due to the changes in footprint and wind direction. The average 80% cumulative footprint was 518 m during snow-free periods (Sachs *et al.* 2008). Although the wet polygonal tundra extended more than 600 m in all directions from the eddy tower, the distributions of the

land cover types, especially ponds and shallow lakes, differ slightly within the footprint of the EC observations (Figure 2c), which may cause some differences in EC observed fluxes due to variations in wind directions. Statistic analysis shows that EC observed CH<sub>4</sub> fluxes tend to be larger when wind is from south or from north than from other directions.

Thirdly, although we improved the model for ebullition emissions of CH<sub>4</sub>, the eventful or episodic nature of ebullition (Tokida *et al.* 2007) and the atmospheric effects on diffusion of CH<sub>4</sub>, especially we did not model emissions from open water, could cause mismatches between the modeled daily CH<sub>4</sub> fluxes and the EC observations. Sachs *et al.* (2008a) found that EC observed CH<sub>4</sub> fluxes were closely correlated with changes in friction velocity (which is related with wind velocity) and air pressure, probably due to reduction in boundary resistance and enhancement of ebullition. Our modeled daily CH<sub>4</sub> fluxes did not show strong correlations with wind speed and atmospheric pressure (more discussion in the next section). However, the correlation coefficient between the model upscaled and the EC observed CH<sub>4</sub> fluxes almost doubled if we used 3-day running averages comparing to the original daily fluxes. This result suggests that the atmospheric conditions probably mainly affect the short time (hours to several days) variations in CH<sub>4</sub> fluxes. The variation patterns of CH<sub>4</sub> flux in longer time (several days or longer) is mainly determined by soil processes (CH<sub>4</sub> production, consumption and transportation). Thus, our soil-based modeling approach (comparing to the atmospheric condition based approach, such as the equation developed by Sachs *et al.* (2008a)) can generally capture the magnitudes and the long-term patterns of the CH<sub>4</sub> fluxes but may miss some short term variations due to the impacts of atmospheric conditions on CH<sub>4</sub> transport processes.

### **4.3 Major controls of CH<sub>4</sub> fluxes on plant community and ecosystem scales**

Our model results for different land types indicated that water table depth was the most important factor controlling CH<sub>4</sub> fluxes. The annual total CH<sub>4</sub> fluxes differed by about one order of magnitude per unit area between the inundated land types (Types I, II and III) and the non-inundated land types (Types IV and V). Several studies have indicated that CH<sub>4</sub> fluxes are high in inundated conditions but decrease significantly when water table is 5 cm or more below the land surface (e.g., Morrissey & Livingston 1992). Soil moisture conditions were important for the non-inundated land types as well. As for seasonal variations, air or near surface soil temperatures were closely correlated with CH<sub>4</sub> fluxes for the inundated land types (correlation coefficients were 0.62-0.74 between the modeled daily CH<sub>4</sub> fluxes and air temperature, and 0.70-0.83 between the modeled daily CH<sub>4</sub> fluxes and soil temperature at 5 cm depth for the three chamber observation sites during the EC observation period). This result is in agreement with Sachs *et al.* (2010) who found close correlations between chamber measured daily CH<sub>4</sub> fluxes and the soil surface temperature. This is because water table was usually above the land surface in this land type, and the major controls of CH<sub>4</sub> fluxes were CH<sub>4</sub> production and transport to the atmosphere, which were mainly dependent on temperature constrained microbial activities and plant growth in this cold region. For the non-inundated land types, modeled daily CH<sub>4</sub> emissions occurred when soil was thawed but the correlation with soil temperature was low (0.11 and 0.44 for chamber site 2 and 5, respectively, during the EC observation period). Daily CH<sub>4</sub> fluxes seem responded to the fluctuations of water table, but the correlation was not very high (0.45 and 0.41 for chamber sites 2 and 5, respectively, for the EC observation period), probably because the soil moisture



conditions above the saturated zone is more important when water table is low. The fluctuation of the modeled daily CH<sub>4</sub> fluxes sometimes corresponded to changes in atmosphere pressure due to its impacts on ebullition, especially during the mid growing season (Figure 8a). The correlation coefficient, however, was not significant (-0.21 for the mid growing season period (DOY 185-250, or July 4 to Sept. 7, 2006), but 0.21 for the EC observation period), probably due to the effects of other factors (e.g., water table, temperature, and solar radiation, etc).

At ecosystem scale, the inundated land types were the major contributors to CH<sub>4</sub> fluxes. Therefore the flux rates and areal fractions of different plant communities are critical for the total CH<sub>4</sub> fluxes at the ecosystem scale. The modeled ecosystem CH<sub>4</sub> fluxes (i.e., the modeled area-weighted average CH<sub>4</sub> fluxes from all the land types) were correlated with the daily soil temperature at 5cm depth in the wet low-centered polygons (the correlation coefficient was 0.63 for the EC observation period). The fluctuation of the modeled ecosystem CH<sub>4</sub> fluxes also corresponded to changes in atmosphere pressure, especially during the mid growing season (Figure 8b), but the correlation coefficient was low (-0.25 for the mid growing season period (DOY 185-250, or July 4 to Sept. 7, 2006) but 0.22 for the EC observation period). This was similar to the correlations for the inundated land types since they were the major contributors to the ecosystem CH<sub>4</sub> fluxes.

In summary, this study integrated a biogeochemical model with a permafrost model so that the new model is suitable to simulating biogeochemical processes in high latitudes. The new model possesses several improved features, including upscaling from plant

communities to the ecosystem scale and a new ebullition module based on the ideal gas law and Henry's law, with which the effects of water level, temperature, thawing/freezing and atmospheric pressure on ebullition were integrated. Model tests showed that the simulated soil temperature and thaw depths were in agreement with observations at a polygonal permafrost area. The modeled CH<sub>4</sub> fluxes at five different sites were close to the chamber observations. The modeled area-weighted average CH<sub>4</sub> fluxes were similar to the EC observations in temporal pattern and in the annual total although discrepancies existed on short time scales. These tests suggest that the model is capable to quantify the biophysical and biogeochemical processes at plant community scale, and from which CH<sub>4</sub> fluxes at ecosystem scale can be estimated.

### **Acknowledgements**

The authors would like to thank Drs. Ian Olthof and Wenjun Chen for their critical internal review of the paper. The comments and suggestions from two journal reviewers are very helpful to improve the manuscript. This study is supported by a NASA project on northern high latitude wetland carbon dynamics (08-TE08-0013), the climate change geoscience program in Natural Resources Canada (the contribution number is 20110086), a GRIP project (ParkSPACE) funded by Canadian Space Agency, and Canada's IPY funding (CiCAT).

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Table 1 Soil profiles for the polygon centers and polygon rims. The data are from Kutzbach *et al.* (2004) and Wagner *et al.* (2005).

Depth (cm)	Texture	SOC (%)	C/N ratio	Bulk density (g cm <sup>-3</sup> )	pH
Polygon centers					
0-11	Peat	22.1	43	0.4	7.9
11-26	Peat with sand	12.6	35	0.6	7.4
26-31	Sand	4.1	100	0.82	7.4*
31-64	Sandy loam	4.2	30	0.82*	7.4*
Polygon rims					
0-15	Loamy Sand	1.8	21	1.06	7.9*
15-18	Sandy loam	2.2	21	1.21	7.9
18-32	Loam	3.4	25	1.23	6.7
32-46	Loam	2.3	22	1.35	6.7*
46-90	Loam	3.0	20	1.35*	6.7*

\*Not observed and was assumed as the same as the above layer.

Table 2 The input data for leaf area index and above-ground biomass. They were estimated based on the equations developed by Chen *et al.* (2009) according to the coverage and height observations from Kutzbach *et al.* (2004)

Vegetation	Parameters	Low centers	Rims
Sedges	Above-ground biomass (kg C/ha)	146.3	27.9
	Leaf area index	0.29	0.06
Shrubs	Above-ground biomass (kg C/ha)	0	214.8
	Leaf area index	0	0.21
Mosses	Biomass of mosses (kg C/ha)	802.9	802.9



Table 3 Hydrological parameters for lateral flows and snow drifting of different land types (Calibrated based on observed water table depth at different sites. Land types II to V are overgrown water, wet low-centered polygons, moist high-centered polygons, and polygon rims, respectively)

Parameters*	Type II	Type III	Type IV	Type V
Surface inflow rate	0.3	0.1	0.0	0.0
Surface outflow depth (m)	-0.2	0.0	0.05	0.1
Surface outflow rate	0.01	0.0	0.5	0.5
Ground outflow depth (m)	0.1	0.0	0.5	0.5
Ground outflow rate	0.001	0.0	0.0025	0.0025
Snow drifting factor	-0.2	0.0	0.25	0.5

\* Surface inflow rate is the fraction of rainfall (or water from snow melting) added to the site from its surroundings. The surface outflow depth is the lowest water table depth (positive for below the land surface, and negative for above the land surface) above which lateral outflow occurring. The surface outflow rate is the fraction of water table above the lowest depth will be lost as lateral outflow in a day. The definitions for ground outflow depth and rate were similar as that for surface outflow (See Zhang *et al.* (2002a) for details). The snow drifting factor was the fraction of daily snowfall blown away from the site (a negative value means snowfall blown into the site from its surroundings).

## Captions

Figure 1. The structure of the NEST-DNDC model developed based on the DNDC model (Zhang *et al.* 2002a, Li *et al.* 2000) and the NEST model (Zhang *et al.*, 2003). Solid lines are for matter flows, and dashed lines are for information flow. Rectangles are for major state variables, circles are for gases could release to the atmosphere, and the octagon is for CH<sub>4</sub> in bubbles.

Figure 2. The location of the study area. a) the location of the Lena River Delta (marked by a red square) in the arctic map (from UNEP/GRID-Arendal Maps and Graphics Library, 2006). b) the location of the Samoylov Island (marked by a red rectangle) in the Lena river delta (Landsat 7 satellite image from NASA and U.S. Geological Survey). c) an aerial image show the location of the field observation area (marked by a red rectangle) in the Samoylov Island. d) the chamber and EC observation sites (sites 1, 3 and 4 are in wet low-centered polygons, site 2 is in a high-centered polygon, and site 5 is at polygon rim. EC tower is at site 6, and site 7 is for a tent and equipment).

Figure 3. Comparisons between modeled and observed soil temperature at different depths a) at a low-center and b) at polygon rims, and c) summer thaw depth (c). The low-center was simulated based on chamber site 1 using measured water table. The results for other low-centers (sites 3 and 4) were similar to that of the site 1.

Figure 4. Modeled water table dynamics and comparisons between simulated and measured CH<sub>4</sub> fluxes at the five chamber observation sites. The circles are observed and the curves are modeled. Water table was modeled when there were no observations; otherwise observed water table was used during simulation (negative water table depth is for water level above the land surface).

Figure 5. Scatter-graph comparisons between modeled and measured CH<sub>4</sub> fluxes at the five chamber observation sites (a-e) and between the modeled area-weighted average CH<sub>4</sub> fluxes and the EC measured CH<sub>4</sub> fluxes (R is correlation coefficient and n is the number of days with observations).

Figure 6. Comparisons between the modeled area-weighted average CH<sub>4</sub> fluxes (the curves) and the EC observed CH<sub>4</sub> fluxes (the circles).

Figure 7 Comparison between the modeled and observed water-table at three wet low-centered polygons (Land type III) (negative water table depth is for water level above the land surface).

Figure 8. Variations of atmospheric pressure (curves with circles) and modeled CH<sub>4</sub> fluxes (curves with dots) at plant community scale (chamber site 1) and at ecosystem scale.



















