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1	Wood anatomical analysis of Swiss willow (Salix helvetica) shrubs growing on
2	creeping mountain permafrost
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#### 20 Abstract

Permafrost and related landforms (rockglaciers) are widespread phenomena in alpine geosystems. In the context of changing environments due to the significant warming, there is a need for thoroughly monitoring and analyzing the complex responses of these cryospheric geosystems. Here, the first-time application of wood anatomical methods in this context is presented in order to investigate whether rockglacier movement is reflected in varying cell structures of plants growing on top of the rockglaciers.

In order to determine the influence of ground movements (by permafrost creep) and 27 their influence on the conductive elements within roots of plants, wood-samples were 28 taken from active and inactive rockglaciers in the Turtmann Valley, southern Swiss 29 Alps. Since the occurrence of trees is limited altitudinally, the investigation was 30 restricted to Swiss willow shrubs (Salix helvetica) frequently growing in permafrost 31 32 areas above the timberline in the European Alps. This rather new approach concentrates on general vessel size differences as a result of mechanical stresses. The comparison of 33 vessel sizes in roots of Swiss willow shrubs growing on active and inactive permafrost 34 bodies depicts differences within the roots, which are related to the activity status of the 35 respective rockglacier creep. 36

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38 Keywords: Dendroecology, rockglacier activity, vessel size, roots

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# 41 Introduction

42 Permafrost and related landforms (e.g., rockglaciers) are widespread phenomena in 43 many high mountain geosystems (Barsch, 1992). In the context of changing 44 environments due to the significant warming, there is a need for thoroughly monitoring 45 and analyzing the sensitivity and the complex response of the considered geosystems.

Various methods like geomorphological mapping, terrestrial geodetic survey as well as 46 47 photogrammetric analyses have been applied in order to assess the activity of rockglaciers and to quantify their movements (Roer et al., 2005a; Roer and Nyenhuis, 48 2007). In general, the activity of rockglaciers is classified by their ice content and flow 49 behavior (Haeberli, 1985; Barsch, 1996). Hence, active rockglaciers contain ice and 50 51 move with at least 0.1 m/a, whereas inactive landforms still contain ice but actually do not move. Relict rockglaciers indicate former permafrost conditions; they lack the ice 52 and definitely stopped moving (often several hundred to thousand years ago) 53 54 (Frauenfelder et al., 2001).

55 In addition to these techniques, a new approach has recently been designed which assesses rockglacier activities by means of dendrogeomorphological methods combined 56 with wood anatomical analyses (Roer, 2007). In recent years, annual rings of several 57 58 shrub species in high arctic and tundra areas proved to be feasible indicators for environmental change (Hallinger et al., 2010), but comparable studies have hardly ever 59 been conducted in alpine areas. Particularly with regard to rockglacier studies, 60 dendrogeomorphological methods have rarely been applied. This is mainly due to the 61 fact that the vegetation cover on rockglacier surfaces is generally sparse, since the 62 63 surfaces mostly consist of coarse and blocky material (e.g., Giardino et al., 1984; Roer and Nyenhuis, 2007). Thus, on active rockglaciers herbs and small shrubs are mostly 64 restricted to areas with fine material usually found at the lobe fronts and on ridges. 65

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The application of tree-ring analysis in geomorphology (dendrogeomorphology; cf., Alestalo, 1971) facilitates the reconstruction and dating of gravitational processes (e.g., Schweingruber, 1983, 1996; Shroder, 1980; Gärtner 2007a). The underlying principle of the 'process-event-response-chain' (cf., Shroder, 1978) describes the link between geomorphological processes, their influences on trees and the corresponding tree growth

reactions. These reactions include not only variations in tree-ring width (e.g., sudden 72 73 reduction in growth), but also changes in the structure of the cells, such as the development of compression or tension wood (Fantucci and McCord, 1995; Heinrich 74 75 and Gärtner, 2008) caused by the tilting of the stem. So far, various dendrogeomorphological approaches have been used in reconstructing erosion rates 76 (e.g., LaMarche, 1968; Gärtner et al., 2001; Gärtner, 2007b), enlargements of cracks at 77 the Questa-scarp (Sahling et al., 2003), in determining frequency and magnitudes of 78 79 debris flow events (e.g., Strunk, 1991; Gärtner et al., 2003; Sorg et al., 2010), in identifying landslides (e.g., Paolini et al., 2005) or dating flood-plain sedimentation 80 81 (Friedman et al., 2005). Annual ring-width variations and related growth variations due to environmental stress have rarely been studied in shrubs (e.g., Gers et al., 2001). A 82 comprehensive overview on growth rings in herbs and shrubs has recently been 83 84 presented by Schweingruber and Poschlod (2005). However, only a few publications concentrate on the combination of shrubs and geomorphic processes (e.g., Owczarek 85 2010), the ability to build tree-ring chronologies and to reconstruct temperature changes 86 (Bär et al., 2008). In general, recent studies on wood anatomical structures in tree and 87 shrub rings have demonstrated the great potential of wood anatomical investigations 88 (Copenheaver et al., 2010; Fonti et al., 2010; Roer et al., 2007). Regarding 89 dendrogeomorphological studies on periglacial processes, Zoltai (1975) was the first to 90 report different phases of activity related to gelifluction in the Subarctic. The 91 conclusions were based on the analysis of reaction wood in spruce (Picea mariana, 92 93 Picea glauca) and larch (Larix laricina) trees. Giardino et al. (1984) studied reaction wood, tree-ring width variations and tilting of 283 trees on a rockglacier complex and 94 95 revealed different periods of movement since the 15th century. Jakob (1995) monitored dwarf-shrubs in the Canadian Arctic, which had been run over by gelifluction lobes. He 96 was able to quantify movement rates of 1.9 to 3.5 cm/a. Bachrach et al. (2004) used 97

dendrogeomorphological methods, to document the long-term development of a 98 99 rockglacier in Alberta, Canada. In this case, trees (Picea engelmannii and Abies lasiocarpa) were buried by an advancing rockglacier. By comparing the death-dates of 100 101 different trees, a front advance of 1.6 cm/a was estimated. More recently, Körner and Hoch (2006) investigated the dwarfing of trees growing on low elevation permafrost 102 103 islands concluding that the temperature of the root zone most dominantly determined 104 the growth of these trees. Moreover, there is evidence that changes of the direct 105 environment of roots (e.g., soil pressure, mechanical stress or temperature) result in a variation of their anatomical structure (Gärtner et al., 2001; Gärtner 2007b). 106

107 At the site investigated here (Turtmann Valley, Swiss Alps), different techniques have been used to quantify rockglacier movements (Roer, 2007; Roer et al., 2005a). While 108 109 terrestrial geodetic survey and digital photogrammetry are standard techniques for this 110 purpose (cf., Kääb et al., 2005), wood anatomical techniques have never been applied in this regard, but have the potential to supply additional information (Filion and Gärtner, 111 2010; Gärtner and Heinrich, 2010). Therefore, the main purpose of this study is to 112 demonstrate the usefulness of wood anatomical analyses especially of roots of alpine 113 shrubs for detecting ground movements resulting from permafrost creep. The key 114 115 question is whether the movements due to rockglacier activity are reflected in the anatomical structure of the shrubs, thus allowing a long-term monitoring as well as a 116 better understanding of the particular conditions in which accelerated movements of 117 118 rockglaciers occur.

The investigation focuses on wood-anatomical features in the xylem of shrubs, more precisely the conduction tissue (vessels) in their roots, which have not been examined in this regard yet neither in the given context nor for the selected species. The resulting data are interpreted and verified in relation to information on rockglacier movement derived from digital photogrammetric analyses (Roer et al., 2005a, b). The verification will help to judge whether wood anatomical techniques can be applied to periglacialinvestigations and hence established as an innovative approach in this field of research.

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# 127 Study area

The sampling of shrubs on rockglaciers was conducted in the Turtmann valley, located 128 south of the River Rhone in southern Switzerland (7° 38' E, 46° 13' N) (Fig. 3). Due to 129 130 its inner-alpine location, the area is characterized by an intramontane climate with an annual precipitation rate of 600-900 mm at c. 2000 m a.s.l. and a 0°C isotherm of the 131 mean annual air temperature (MAAT) at c. 2550 m a.s.l. (Van Tatenhove and Dikau, 132 133 1990). The timberline is situated at 2200 m a.s.l., while the treeline, which is affected by grazing during the summer months, runs at 2400 m a.s.l. The geomorphology of the 134 Turtmann valley is dominated by several hanging valleys and two big glaciers. In 135 136 addition, a multitude of periglacial landforms such as gelifluction lobes, ploughing boulders, and rockglaciers covering 4.2% of the total area of 110 km<sup>2</sup> are found above 137 the shoulders of the glacial trough. Rockglaciers occur in different states of activity and 138 with varying degrees of vegetation cover (Roer and Nyenhuis, 2007), thus making them 139 suitable for investigations by dendroecological methods. 140

The active and inactive rockglaciers from which the samples were taken are situated next to each other (Fig. 4). Apart from the activity conditions, other factors influencing plant growth (e.g., altitude, aspect, slope angle, etc.) are similar at the two sites (Roer, 2007). The active rockglacier lobe creeps with extraordinary high velocities and indicates instability at its terminus (Roer, 2007; Kääb et al., 2005, 2007). At the location of the sampled shrubs (Fig. 4), horizontal velocities between 0.5 and 2 m/a have been measured (Roer, 2007).

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## 149 Material and Methods

The study focuses on analyzing vessel lumen area (VLA) within the diffuse to semi 150 151 ring-porous annual rings of Swiss willow (Salix helvetica) shrubs. Due to the fact that we focus on activity stages of rockglaciers and with this also on permafrost related 152 153 movements of the ground, we concentrate on the roots of these shrubs, which are directly influenced by low ground temperatures as well as by mechanical stress exerted 154 to the roots. The vessel sizes within the roots of these shrubs were quantified and 155 156 analyzed ecophysiologically. In doing so we were not concentrating on single rings but 157 on the overall vessel differences of individual shrub roots.

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### 159 Measurement design

During various field campaigns, Swiss willow shrubs growing on an active and an 160 adjacent inactive rockglacier in the Turtmann valley were sampled (cf., Roer, 2007). 161 162 The inactive rockglacier was not affected by creep during the last 30 years and is known from an outcrop to contain a frozen core (Broccard, 1998; Roer, 2007). This strategy 163 ensured that all shrubs sampled for the study were affected by the same climatic 164 conditions and were growing on comparable substrates, both having ice in the 165 subsurface. Consequently, the main ecological difference at the two sampling sites was 166 167 the mechanical stress due to ground movements influencing only the shrubs growing on the active rockglacier. For that reason, the inactive rockglacier was regarded as the 168 reference site and respective samples from the inactive rockglacier were treated as a 169 reference to demonstrate differences to samples taken from the active rockglacier. 170

In general, reaction wood in shrubs is difficult to investigate due to the lack of a main stem. The single branches (or stems) of shrubs often show reaction wood which might be caused by different mechanical stress factors, such as snow cover and wind exposure. In contrast, the root collar and especially the roots of shrubs grow under more stable conditions, i.e., in the soil, and hence they may be suited best for the investigation of

possible influences of ground movements envisaged in this study. Changes in the root
environment may lead to growth stress and result in changes of the anatomical structure
(Gärtner et al., 2001).

179 In order to study these potential changes, entire specimen of Swiss willow shrubs were 180 taken from an active rockglacier lobe (n = 10) as well as from a reference site, an 181 adjacent inactive rockglacier (n = 10), including their entire root systems (Fig. 1).

182 In the laboratory, several discs were taken from the individual stems and roots of the shrubs at a distance of about 2 cm from the root collar. The small size of most roots 183 (diameters of ~1.5 cm) enabled the preparation of micro-sections (thickness ~15  $\mu$ m) of 184 185 whole cross-sections (Fig. 2) using a sledge microtome GSL1. These micro-sections were stained with Safranin and Astrablue to distinguish between lignified (Safranin) and 186 non-lignified (Astrablue) parts of the rings and to obtain a better contrast for the 187 188 following image analysis procedure. For dehydration, the samples were rinsed with alcohol, immersed in Xylol, embedded in Canada-Balsam and oven-dried at 60° C for 189 about 24 hours (Gärtner et al., 2001). 190

The resulting micro-slides were then placed under a microscope and digital photos, with
400 times magnification, were taken. The anatomical structure of the rings was clearly
visible, which is not always the case in deciduous plants (Fig. 2).

The micro photos were then used to measure vessel sizes of stressed (active rockglacier) 194 and unstressed (inactive rockglacier) reference samples using the image analysis 195 program WinCELL (Regent Instruments, Canada). This program automatically 196 measures cell dimensions, that is, radial length, width and area of individual vessel 197 lumen. To realize an automated measurement of the vessel lumen area (VLA), a filter 198 which excluded all cells with an area smaller than 200  $\mu$ m<sup>2</sup> was applied. These cells 199 were classified as fiber cells, that is, cells of the ground tissue of the rings. All cells 200 larger than 200  $\mu$ m<sup>2</sup> were classified as vessels. 201

For an a posteriori examination of the variation of the VLA-values between the single 202 203 roots as well as regarding their site specific variations, box-whisker plots were created (Heinrich et al., 2007). Box-whisker plots show some important features, that is, the box 204 205 in the center spans the quartiles, limited by the 25% and 75% quartiles; the horizontal line in the center marks the median; the vertical lines extending out from the box are 206 capped by horizontal lines often called whiskers indicating certain minima and maxima. 207 208 In general, box-plots allow an easy comparison of the mean values and the spread of the data. Two data sets are considered significantly different if the boundary of one box 209 does not overlap the median of the other box (Cleveland, 1994). 210

An additional focus was set on analyzing the variation of the biggest vessels occurring in the roots of the single shrubs. This was done because it is known for several tree species, that the variation of the biggest vessels in single annual rings show high correlations to summer temperatures (Garcia-Gonzalez and Fonti, 2006) or other environmental factors as floodings (George et al., 2002) or forest fires (Cames et al., 2011).

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#### 218 **Results**

A simple comparison of ring-width variations did not show any obvious differences between unstressed and stressed samples from the inactive and active rockglacier lobe, respectively. This also applies to variations in the structure of fiber cells formed mainly to stabilize the plant. However, a basic visual comparison of the vessel sizes between individual roots of stressed and unstressed samples revealed clear differences (Fig. 5). The vessels in roots from the active rockglacier tend to be smaller than those from the inactive site.

To support this visual impression, the vessel sizes in all micro-sections were measured.Although there is a certain variability of the vessel lumen area (VLA) within and

between the single roots, there is a distinct difference when comparing the VLA of single roots as well as of the single shrubs growing on the active and inactive rockglacier (Fig. 6). The overall average VLA values for roots were 2.6 times higher in shrubs growing on the inactive rockglacier (1441  $\mu$ m<sup>2</sup>) than on the active rockglacier (554  $\mu$ m<sup>2</sup>).

This rather simple analysis does show an obvious difference in the VLA values of the
two sites. On average, 659 vessels were measured per micro-section (average numbers:
active - 602; inactive - 716).

The box-plot results confirm the visual impressions (Fig. 7); although vessels sizes are 236 237 reduced in stressed roots on the active rockglacier compared to undisturbed roots on the inactive lobe, these results are barely significant (lower diagram in Figure 7). 238 Nevertheless, the median for vessel sizes in roots of all plants from the active part is on 239 240 average 60% lower than the value in roots of the inactive rockglacier. Moreover, the spread of the data around the median values, the maximum values as well as the outliers 241 242 are lower in all plants growing on the active lobe (boxes upper left diagram in Figure 7). 243 Consequently, further analysis concentrated on the measurements closer to the median, that is, all data within the range of the upper and lower quartile around the median 244 values (Fig. 8). 245

Within this more confined data range, significant differences exist between the VLAvalues of shrub roots growing on active and inactive rockglaciers. As it was shown for the entire dataset, the spread of the data in the confined dataset around the respective median values is also lower for all plants growing on the active lobe (Fig. 8).

When limiting the analysis of the entire data set on the largest vessels only, the differences between shrubs growing on the active and inactive rockglaciers are significant, no matter if concentrating on the 10% or 35% biggest vessels occurring in

individual roots (Fig. 9). In contrast to the analysis before, the differences in the spreadof the data around the median values are less pronounced.

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## 257 Discussion

When comparing the overall VLA data of the single roots of shrubs growing on the 258 inactive and active rockglacier, the difference of the average values is significant, 259 although the distance between the two boxes is not large. This problem might be related 260 to the fact, that the lower threshold for lumen sizes to be defined as vessels was set to 261  $200 \ \mu\text{m}^2$ . This threshold value is based on various tests using the images of the sample 262 roots which identified no vessels with VLA smaller than 200  $\mu$ m<sup>2</sup>. While it is important 263 to state that this value is helpful for the current analysis, it is difficult to define a 264 265 universally valid threshold for vessel sizes. The general size as well as the variability of vessels is species-specific, but there is also a huge variation depending on the position 266 of the vessels within the plant (Aloni and Zimmermann, 1983) and depending on 267 ecological conditions during the vegetation period (Sass and Eckstein, 1995). For this 268 reason, focusing on the main data spreading around the median values is reliable. The 269 additional concentration on the 10% and 35% biggest vessels showed highly significant 270 results which are comparable to the findings of Garcia-Gonzalez and Fonti (2006). They 271 found significant correlations when comparing the biggest vessels of single annual rings 272 in ring-porous species to temperature variations during the vegetation period. 273

The comparison and interpretation of Swiss willow shrubs growing on active and inactive permafrost bodies is complex, although on both landforms, the topographic and climatic conditions are similar (Fig. 4). However, beside the distinction in their state of activity, differences may exist in the thickness of the frozen core of the rockglacier. On the active rockglacier, the upper part (several meters), the so-called active layer, thaws in summer time but is completely frozen during winter. On the inactive lobe, the thawed layer presumably is much thicker in summer and the freezing during winter time cannot completely penetrate through this layer; thus an unfrozen layer may exist in several meters depth. Unfortunately, suitable temperature data do not exist for the individual rockglaciers investigated here. But, since the roots that were cropped penetrated to a maximum depth of 10-15 cm only, we conclude that the ground temperature is not the main driving factor.

At least it is known with certainty that the inactive rockglacier contains a frozen core, which has been documented by photographs taken during construction works at an avalanche dam nearby (Broccard, 1998). Based on the present observations, the anatomical differences regarding the size of the vessels in the roots of Swiss willow shrubs growing on the active and inactive rockglacier surface may be related to the following factors: (i) the movement of the active rockglacier exerts mechanical stress to the roots of the respective shrubs and (ii) differences in ground thermal conditions.

293 We regard constant growth stresses in the ground due to mechanical influences by 294 rockglacier creep as the more dominant reason for the differences in vessel size. Until now, this behavior has not been described for roots of shrubs. In general, vessels are 295 296 formed to support the plant by transporting water and nutrients and they are known to 297 be variable in size due to environmental stress (Kozlowski, 1979). Since vessels are formed only for transporting water it may be assumed that their sizes are closely related 298 299 to availability of water rather than temperatures. Furthermore, Heinrich and Gärtner 300 (2008) showed that vessel sizes are correlated to mechanical stimuli due to geomorphic events, and thus, it seems more likely that the relatively strong reduction in vessel 301 302 lumen areas of the shrubs growing on the active rockglacier is due to mechanical rather than the thermal stress. 303

Nevertheless, these thermal conditions cannot be neglected as possible additional factor. 304 305 Although both rockglaciers contain a frozen core and the upper part of the substratum is constantly frozen during wintertime, there might be an effect of the reduced and most 306 307 likely deeper seated ice core of the inactive rockglacier regarding the temperature of the upper soil layer during the vegetation period of the shrubs. Compared to the thickness of 308 the "active layer" of the active rockglacier, the higher thickness of the unfrozen 309 310 substratum on the inactive rockglacier may result in potentially higher maximum and minimum temperatures of the soil layer penetrated by the roots on the inactive 311 landform. 312

Differences in daily maximum and minimum temperatures are postulated to be a potential reason for tracheid size differences in exposed and unexposed roots of conifers (Fayle, 1968; Gärtner et al. 2001; Gärtner, 2003). But in fact, the definite influence of soil temperature differences on the anatomical structure of plant roots has never been tested in detail.

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#### 319 Conclusion

Anatomical variations in roots of 20 shrubs of Swiss willow were investigated and statistically quantified with digital imagery. The results showed clear differences between the vessel sizes in roots of shrubs growing on active and inactive rockglaciers, respectively. The differences in vessel sizes seem to be caused by two factors, first of all the differences in mechanical stresses exerted to the roots, and secondly, possibly to a lower extend, by differences in the thermal regime causing differences in soil temperature close to the surface.

327 This first time application of wood anatomical techniques appears to be a feasible328 method and the findings are promising for more extended on-going research.

The combination of geophysical and wood anatomical methods provides a good opportunity to thoroughly describe and analyze rockglacier and ground movements in general. Hence, the current study indicates a new way to carefully interpret the complex responses of mountain geosystems in the context of changing environments along with significant warming and related process changes.

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489 **Figure captions** 

490

491 Figure 1: Swiss willow shrub growing on an active rockglacier (left) and after sampling
492 in the lab (right). The black line indicates the position of the root sample cut off for
493 anatomical analysis.

494

Figure 2: Digital micro-photo of a lateral root of Swiss willoe which grew on the activerockglacier, note the distinct annual rings and the thick surrounding bark.

497

498 Figure 3: Location of the sample areas on the rockglaciers and of the study area499 (Turtmann valley) within Switzerland.

500

Figure 4: Location of the sample sites on the active (white circle) and on the inactive
rockglacier (black circle), note the extraordinary high horizontal velocities of the active
lobe. Underlying orthophoto of 1993; based on aerial images © Swisstopo.

504

505 Figure 5: Micro-sections of Swiss willow roots from an active (left) and inactive 506 rockglacier (right); black arrows indicate vessels (width of white bar in images = 0.5 507 mm).

508

Figure 6: Comparison of vessel lumen area (VLA) values averaged for single roots from the active (N=17) and inactive rockglacier (N=17) (upper diagrams) as well as for the single shrubs (N= 10 per site) (based on the values of their single roots) (lower diagrams).

513

Figure 7: Box-plot diagrams indicating the variation of vessel lumen area (VLA) values of all single roots on the active and inactive rockglacier (upper diagrams), the lower diagram shows the difference between the average VLA-values of all single roots growing on the active and inactive rockglacier.

518

Figure 8: Box-plot diagrams based on the data from within the range of the upper and lower quartile around the respective median values in Figure 7. Upper diagrams indicate the variation of VLA-values of single roots on the active and inactive rockglacier, the lower diagram shows the averaged VLA-values of all roots growing on the active and inactive rockglacier.

524

Figure 9: Box-plot diagrams showing the size variations of the 10% biggest (left) and 35% biggest vessels (right) in roots for both rockglaciers. The upper two diagrams indicate the variation of VLA-values of single roots on the active and inactive rockglacier, the lower diagram shows the averaged VLA-values of all roots growing on the active and inactive rockglacier.

530









nnual nonzonial velocity (m/a) 1967 - 199		
∆ 0-0.15	<b>∲ 0.51 - 1</b>	
0.16 - 0.3	1.01 - 2	
▲ 0.31 - 0.5	2.01 - 4.82	

#### Root vessels, active rockglacier





#### Root vessels, inactive rockglacier









