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1	Fluid ascent and magma storage beneath Gunung Merapi
2	revealed by multi-scale seismic imaging
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18	Abstract

19 Magma is fed to a volcano through a complex "plumbing" system that involves not only shallow 20 structures beneath the volcano edifice, but also deep structures and processes within the underlying crust and 21 upper mantle. This paper summarizes seismic experiments carried out over many years at Gunung Merapi in 22 Central Java. These have resolved the 3D seismic velocity structure of the Merapi edifice, and provided a 3D 23 structural image of the lithosphere and subduction zone beneath Central Java. Earthquake locations reveal 24 that with distance from the trench, the dip of the subducting slab steepens from nearly horizontal (0-150 km), 25 through 45 degrees (150 - 250 km), to 70 degrees (>250 km). The slab appears as a 30 km thick double layer 26 of seismicity in a depth range of 80 km to 150 km, and it can be identified seismically to a depth of more 27 than 600 km. The active volcanoes of Merapi, Sumbing, and Lawu are located at the edge of a large low 28 velocity body that extends from the upper crust to the upper mantle beneath Central Java. Shear wave signals 29 recorded above this anomaly are strongly attenuated compared to neighboring areas. The anomalous body

30 has a detected volume of >50,000 km³ and a decrease in P and S velocities relative to adjacent regions of up 31 to 30%. The resulting Vp/Vs ratio of up to 1.9 is unusually high for lower crust. Additionally, the anomaly 32 extends along a 45 degree-slope downward from beneath the volcanic arc and meets the slab at 100 km 33 depth. We interpret this sloping anomaly as a pathway for fluids and partial melts. Increased seismicity is 34 observed at depths of ~ 100 km, possibly as a result of dehydration of the subducting slab with related fluid 35 releases causing partial melting of overlying mantle material. The large velocity reduction and high Vp/Vs 36 ratio in the region are consistent with an increase in temperature, a reduction of shear strength, and the 37 presence of fluids or melts of 13 to 25 vol. %. The detected strong anomaly beneath Central Java is unique in 38 size and amplitude compared to other subduction zones. The geophysical evidence suggests that this segment 39 of the arc has a high magma flux and is thus capable of developing even larger shallow crustal reservoirs and 40 more voluminous explosive eruptions in the future.

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43 **1. Introduction**

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45 Gunung Merapi, located in Central Java, is one of the most active volcanoes in the world. It is one of 46 ~98 active volcanoes located along the Sunda Arc (Simkin and Siebert, 1994), a 5,000-km-long collision belt 47 between the Eurasian and the Indo-Australian tectonic plates (Figure 1), where the Australian plate is being 48 subducted beneath the Sunda block at a rate of about 6.5 cm/year (DeMets et al., 1990). Merapi's dominant 49 type of volcanism is andesitic and its frequent eruptions threaten the surrounding densely populated area, 50 mainly with pyroclastic flows, surges and lahars. For decades, researchers have investigated this volcano to 51 understand the dynamics and structure of its magmatic system. Such knowledge about the volcanic system 52 provides an important framework for improved assessment of related hazards.

The high seismic and volcanic hazard potential of Central Java was demonstrated by the disastrous Bantul earthquake (M=6.3) on May 26, 2006, which resulted in 5,750 fatalities, and most recently by the last eruption of Gunung Merapi in late October and early November 2010. This last eruption was unusually strong, classified as a VEI 4 event, comparable to the eruption of 1872. The volume of erupted lava of about 0.12 cubic kilometres (*Surono et al., 2012*) was ten times larger than all other eruptions during the 20th century. Pyroclastic density currents ran out to 16 km from the summit and devastated populated areas. Fortunately, due to telemetric data from monitoring instruments analyzed by Indonesian experts and satellite radar data on the rate and size of a rapidly growing summit lava dome, an exclusion zone around the southern slope of the volcano was increased finally to a radius of 20 km prior to the paroxysmal eruption on 4-5 November 2010. As a result of the eruption, a total of about 400,000 residents were displaced according to data from Indonesia's National Agency for Disaster Management (BNPB; see also *Mei et al., 2013*), and only 367 people lost their lives. Approximately 10,000 to 20,000 lives were likely saved by the accurate eruption forecast (*Surono et al., 2012*).

66 Here we provide a broad geophysical context for future studies of Merapi, and the other papers in 67 this special issue, by summarizing our current understanding of structure of the crust and mantle beneath 68 Central Java. Our review is based heavily on our previous geophysical studies (Koulakov et al., 2007, 2009b, 69 Wagner et al., 2007). The ascent of fluids and the formation and distribution of partial melts in the crust can 70 be detected by seismic and seismological methods, and imaged with seismic tomography. In particular, as 71 has been shown elsewhere in volcanic arcs, areas of partial melt are indicated by reduced seismic velocities 72 and attenuation of seismic waves. We firstly focus on results from studies of global seismological data, local 73 earthquake tomography of Central Java, and gravity modelling – studies that have revealed the geometry of 74 the subducting slab beneath the magmatic arc. We then present a regional scale tomographic structure of the 75 Java subduction zone section in which Merapi is embedded, and we review the seismic experiment (MERAMEX project) carried out to investigate the structure beneath Central Java. We then focus on the 76 77 region directly beneath Merapi by presenting results from seismic studies that were particularly designed to 78 illuminate the deep structure and magmatic plumbing of the volcano. Finally, in the discussion section, we 79 combine the seismic results with petrological findings on magma genesis in order to arrive at new 80 conclusions on the dimensions, depths and hazard potential of the Merapi volcanic zone.

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83 2. The Central Java subduction zone

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85 **2.1. Distribution of slab-related seismicity**

86 The largest databank of worldwide seismicity is the ISC catalogue (*ISC 2001*), which includes

87 information on earthquakes and travel times of recorded seismic waves since 1964. The ISC catalogue data

88 provide fairly good coverage in most seismically active regions of the world, which makes it suitable for 89 performing tomographic inversions on regional and global scales. However, the data quality in the initial ISC 90 catalogue is rather poor due to a significant amount of erroneous data, which may bias the locations of 91 sources. Therefore, special efforts have been made to reprocess the ISC catalogue and as a result many 92 specialists use a relocation method developed by Engdahl, van der Hilst, and Buland (the EHB method, 93 *Engdahl et al.*, 1998). With these or similar corrections, the revised ISC catalogue is widely used for 94 earthquake analysis and tomographic inversion. In our studies, we used a similar relocation method 95 developed by Koulakov and Sobolev (2006), which is specially oriented to detection and rejection of outliers 96 in the data. The locations of events according to this procedure are based on travel times in a 1D spherical 97 velocity model (here AK135 by Kennett et al., 1995) corrected for the Earth ellipticity, station elevations, 98 and Moho depth.

99 In Figure 2 we present the distribution of seismicity beneath Java from the revised ISC catalogue for 100 the period from 1964 to 2001, consisting mainly of large and moderate events recorded by worldwide 101 networks. Despite our pre-processing, the location quality of these events is still low compared to the 102 locations determined with data from the *MERAMEX* project, which used more than 100 local stations 103 temporarily installed in Central Java (see description of MERAMEX in section 6). However, the very long 104 period of recording makes the ISC data more representative. For example, due to the relatively short five 105 month period of the MERAMEX project relative to the periodicity of seismicity along the arc, some seismic 106 clusters in Figure 2 are poorly defined by MERAMEX, but well defined in the longer term ISC catalogue. 107 Thus, both global and local catalogues provide valuable data which supplement each other.

108 It can be seen in Figure 2 that the events form clearly separated linear segments which mark the 109 seismicity in different depth intervals. Shallow seismicity (down to 50 km depth) occurred mostly along the 110 trench and in the accretionary prism beneath the offshore area. We suggest that the shallow seismicity in 111 these areas is related to a high asperity of the slab, which generates strong stresses and resulting deformation 112 in the overriding plate above the shallowest segments of the subducting slab. Note that the record presented 113 in Figure 2 stops at 2001; it does not include the M 6.3 Bantul earthquake of 27 May 2006. The area where 114 this onshore event took place, southeast of Merapi, had little seismicity during the years 1964-2006. This 115 suggests that the crustal stresses released during this event had accumulated for fairly long time, i.e., more 116 than the forty year coverage of the ISC catalogue.

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Based on Figure 2 we can define several deep clusters of slab-related seismicity beneath Java. The

first level corresponds to a 50-100 km depth interval (purple zone) that is observed beneath the offshore part south of Java. The second zone is located along the southern coast of Java at a depth interval between 100 and 200 km (orange zone). The next zone is beneath the northern coast (red zone) at depths of 200 to 250 km. Finally, the deepest cluster is located along a fairly narrow zone beneath the Java Sea (brown zone) at 550-650 km depth. These four levels possibly mark the different stages of rock transformations occurring in the slab. It can be seen that most of the volcanoes of Java are located above the second seismic zone (orange) at 100-200 km depth.

125 In the distribution of seismicity in the 50-100 km and 100-200 km zones we observe a seismic gap 126 beneath central Java that coincides onshore with the location of Merapi volcano. In addition, the oceanic 127 plate subducting beneath Central Java is segmented into two subplates of considerably different ages (e.g. 128 Müller et al., 1997). These two oceanic plate segments may be subducting independently of each other 129 opening a lateral slab window located beneath the Merapi volcanic complex. This window could form the 130 pathway for deep-sourced magmas (e.g., Costa et al., 2013) and may be the cause of the high eruption 131 frequency of Merapi. Slab windows have been suggested previously as a mechanism to explain unusual 132 characteristics of volcanic systems, for example for the Kluchevskov volcano group in Kamchatka (e.g., 133 Levin et al., 2003, Koulakov et al., 2011a,b).

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136 **2.2. Insights from regional tomography**

137 Additional information regarding the geometry of the subducting slab can be determined from 138 seismic tomography. There are several published global and regional models that provide consistent images 139 of the subducted lithosphere beneath the Sunda arc (Bijwaard et al., 1998, Widiyantoro et al., 1999, 140 Gorbatov and Kennett, 2003, Pesicek et al., 2008). Here, we present a model of P and S velocity anomalies 141 based on travel time data from the revised ISC catalogue and calculated with an algorithm for regional 142 tomographic inversion (Koulakov et al., 2002; Koulakov and Sobolev 2006) for the Sunda Arc down to 1000 143 km depth. The inversion is performed in a series of overlapping circular windows using data on body waves 144 that travel, at least partly, through the studied volume. We include rays from events located in the 145 investigated region that are recorded by worldwide stations, and rays from remote events recorded by 146 regional stations. This algorithm has been successfully implemented for studying the upper mantle beneath 147 Europe (Koulakov et al., 2009c), Asia (Koulakov, 2011), Kurile-Kamchatka subduction zone (Koulakov et

148 *al.*, 2011b), and many other regions.

Our tomographic inversion results are presented in Figure 3. As in most previous tomographic studies, our P and S-seismic models for the Sunda Arc provide clear images of the subducting Indo-Australian plate, which is visible as a high-velocity anomaly aligned with seismicity in the Benioff-Wadati zone. However, some details in our model differ from the previously created models and provide new information on the structure of the slab. Here, we mostly focus on results related to the Central Java segment. In Figure 3 we present two vertical sections for P and S anomalies to the west and to the east of the Merapi. We can see that the slab appears to vary in thickness.

156 For the western section in the region from the surface down to 350 km depth, the slab is clearly 157 imaged as prominent P and S anomalies. In the eastern section the anomalies are less prominent, especially 158 the S-wave velocity anomaly. This diminution of the anomalies favours the hypothesis for a slab window 159 beneath the Merapi area, as discussed in the previous section. This zone has a thickness of 200 km - thicker 160 than expected for subducting oceanic lithosphere. The great thickness could result in part from a smearing 161 effect due to insufficient model resolution; however, our synthetic tests show that smearing in this area is not 162 a major factor. Another possible explanation is that unusual cooling of the mantle above and below the slab 163 produces a much thicker high-velocity area than that of the slab itself.

Between 350 and 450 km depth, the slab-related high-velocity anomaly is weaker. We suggest that the lower velocity might be related to the geometry of olivine phase transition interfaces to spinel minerals, which theoretically begin at about 410 km depth. Seismic tomography is not capable of resolving the geometry of such first-order interfaces, but these features can bias a 3D velocity structure.

168 Below 450 km depth in both sections, we observe a large high-velocity anomaly, which extends to 169 the base of the model at 1000 km depth. For the eastern section the high-velocity anomaly appears to be 170 much thicker and appear as an isometric body rather than a slab. We propose that this large high-velocity 171 body represents an accumulation zone for remains of the subducted slab. The transition of mantle minerals 172 from spinel to perovskite at about 670 km depth should create a considerable density and viscosity contrast, 173 such that the subducting plate cannot pass directly through this interface. In theory, only after a long period 174 of crystallization of the denser perovskite phase a critical mass is generated such that the remains of the slab 175 and surrounding upper mantle rocks can penetrate to the lower mantle (e.g., Goes et al., 2008). However, the 176 process of dense-phase accumulation and descent is not synchronous beneath different segments of the 177 subduction zone. Thus we observe rather big differences in the shape of the high-velocity body in the

178 transition zone and uppermost part of the lower mantle. Similar features were observed in other subduction

179 zones, such as beneath the Kurile-Kamchatka arc (*Koulakov et al., 2011b*).

Regional-scale seismic tomography, as well as the distribution of Benioff-Wadati seismicity from the revised ISC catalogue, provides the shape of the subducting slab down to 1000 km depth. However, the robustness of these determinations is much lower for the uppermost part of the model (roughly down to 150 km). This lack of robust data at this shallow depth interval is compensated by using the local earthquake data, which provide the fine structure of the slab-related Benioff-Wadati zone beneath Central Java and the Merapi.

As we can see from the results of regional tomography, the subducting slab cannot be represented by an oversimplified conveyer-type 2D model. The considerable lateral and vertical variations in the slab thickness probably have effects upon the surface tectonics. In particular, the arc volcanism, which is ultimately fed from the subducting slab, has a link with the slab behaviour. In particular, we believe that the specific character of Merapi volcano could be caused by a slab window formed in the contact zone between two autonomously subducting oceanic plates.

However, in the upper part of the mantle and even in the crust, the resolution of the global data cannot provide the detail we need to resolve the magmatic plumbing of the volcanic zone. This limitation led to a seismic tomography experiment, designed to resolve the crust and upper mantle below the volcanoes of Central Java from the surface down to 150 km depths (*Bohm et al., 2005*).

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3. Imaging the crustal and upper mantle plumbing system beneath Central Java

200 **3.1. The MERAMEX project**

Between May and October 2004, combined amphibious seismological investigations at 110°E were
performed as part of the *MERAMEX* (MERapi AMphibious EXperiment) project (*Reichert and Luehr, 2005*).
The measurements were carried out in co-operation with Indonesian research institutions to investigate the
tectonic setting and the volcanic feeding system beneath Central Java. A temporarily installed seismic
network of 134 continuously recording stations (triangles in Figure 4), 106 short period Mark L4

seismometers, 14 broadband Guralp seismometers, 8 ocean bottom hydrophones (OBH), and 6 ocean bottom

207 seismometers (OBS), covered a region of about 150x200 km. OBH's and OBS's were deployed during the 208 RV SONNE cruise SO176 to extend the land network offshore to the south of Central Java. The average 209 onshore station spacing was about 20 km. Two of the stations were installed 60 km to the north of the main 210 network on the Karimun Jawa island group in the Java Sea above a cluster of hypocenters at 600 km depth. 211 Offshore, the spacing of the ocean bottom instruments was about 40-90 km. Active seismic experiments 212 were carried out offshore during a second cruise in September/October 2004, SO179. The amphibious data 213 consist of 50,060 first arrival travel time picks of airgun shots fired along three seismic wide-angle profiles 214 and recorded with the onshore MERAMEX network. Four to 5 local earthquake events could be recorded per 215 day, in addition to regional and teleseismic events. The clearest signals were observed at southern and 216 northern coastal areas. In the interior of Central Java, north to Merapi and Lawu volcanoes, the recorded 217 shear wave phases were strongly attenuated. Thus, even a preliminary qualitative evaluation of the 218 seismograms from the experiment showed that there is a significant anomaly beneath Central Java.

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220 **3.2. Results of the MERAMEX tomographic inversion**

221 For the passive source tomographic inversion, 292 earthquake events were used. In total, 13,800 222 phases (8,000 P- and 5,800 S-phases) were selected for simultaneous iterative source location and 223 tomographic inversion. A detailed description of this study can be found in Koulakov et al. (2007, 2009b). 224 The P velocity reference model down to the depth of 20 km was estimated based on results of an active 225 seismic experiment performed in the off-shore part of MERAMEX (Wagner et al., 2007). For deeper parts, no 226 reliable constraints were available, therefore, for the range below 20 km depth, the velocity model was 227 defined based on the global AK135 model (Kennett et al., 1995). A first S velocity distribution was 228 determined according to a fixed Vp/Vs ratio of 1.74.

229 Final earthquake locations are shown in Figure 4 in map view (upper plot) and cross section (lower 230 plot). In the vertical section of Figure 4 it can be recognized that the earthquakes line up along the Benioff-231 Wadati zone. The events depict variable dipping angles of the slab. For the first 150 km distance from the 232 trench (50-250 km in the profile P1-P2) the slab appears to be almost horizontal. From 250 km to 450 km 233 along profile P1-P2, the dip angle of the slab becomes about 45°. Between 40 and 130 km depth this branch 234 shows a rather clear double seismic zone with a thickness of 20-30 km that decreases with depth. Similar 235 doubled seismicity zones with spacing of \sim 30 km have been observed at other subduction zones, e.g. Japan 236 (Okada and Hasegawa, 2000; Nakajima et al., 2001), New Zealand (Okaya et al., 2002). It is assumed that

- the intermediate depth events are related to phase transition of blueshist to eclogite and to the
- deserpentinization of hydrated oceanic mantle (*Peacock, 1993, 2001, Gill, 1981*) and that the double seismic zone indicates isotherms in the subducted oceanic plate. In the depth interval from 250 to 600 km, the slab dips \sim 70°.

Models of the anisotropic structure beneath central Java based on the local earthquake tomography (*Koulakov et al., 2009b*) are shown in Figures 5 and 6 for relative P (Vp) and S (Vs) velocity anomalies in horizontal and vertical sections. The reconstruction of relative anomalies even in highly heterogeneous areas was fairly stable and does not depend very much on the chosen reference model (*Koulakov et al., 2007*). A good spatial resolution was achieved down to 150 km.

246 The first striking feature is an almost perfect correlation of P and S anomalies in the crust. In the 247 upper mantle, the correlation between P and S models is less clear. It might be caused by lower reliability of 248 features in the uppermost mantle compared to crustal structures. The most prominent feature in the crust is a 249 strong low-velocity anomaly (MLA, Merapi-Lawu Anomaly) with a reduction in velocity up to 30% for the 250 P-model, and up to 36% in case of the S-model. The MLA fills the areas between the main volcanic 251 complexes in Central Java. The largest part of this anomaly is located close to Merapi and Merbabu 252 volcanoes (for short, Merapi complex), and extends to Lawu volcano in the east. The second, smaller part is 253 between Merapi complex and the Sumbing-Sundoro-Dieng volcanic chain (for short, Sumbing complex). 254 Between October 2011 and January 2012 the Indonesian Centre for Volcanology and Geologic Hazard 255 Mitigation (CVGHM) reported increased activity for Sundoro. Surprisingly, the active volcanoes are not 256 located above the central part of the anomaly, but surrounding it.

In the vertical sections (Figure 6), it can be seen that the MLA is inclined southwards towards the slab and extends into the upper mantle. The reliability of the models was tested comprehensively and carefully as described by Koulakov et al. (2007, 2009b). The most active volcanoes (Sundoro, Merapi, and Lawu) are located just above the contact region between this anomaly and the high-velocity forearc.

In the forearc, between the southern coast of Java and the volcanic arc, the crust appears to be highly heterogeneous. This may be due to alternation of highly deformed low-velocity limestone massifs and Cenozoic gabbroic intrusions (Rahardjo et al., 1995; van Bemmelen, 1949). However, in most parts, the link between geology and tomographic images is not clear, because the main geological structures of Central Java are covered by younger volcanic deposits and sediments. Anomalies within the slab could not be resolved, because events in the Benioff-Wadati zone are located mainly at the uppermost boundary of the slab. Therefore, only few upward travelling seismic rays pass through the slab, and the corresponding travel time data provide almost no information about the inner structure of the slab.

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272 **3.3. Gravity modelling**

273 The obtained seismic anomalies were compared with the Bouguer gravity anomalies of Smith and 274 Sandwell (1997), as illustrated in Figure 7A. It can be seen that the main features of gravity and seismic 275 models correspond well to one another. For example, the strong negative seismic anomaly located north of 276 Merapi and Lawu volcanoes (MLA) corresponds to a negative gravity anomaly. In the forearc, dominantly 277 positive seismic anomalies correspond to positive gravity anomalies. To quantify this link, we have 278 performed gravity modelling with the aim of estimating values of $d\rho/dv$ coefficients (anomalies of density 279 over anomalies of seismic velocity) for different crustal zones. When performing this modelling, we presume 280 that on this scale of anomalies, the observed gravity effect is only due to the crustal patterns; the mantle 281 anomalies were not included in the modelling.

282 For the modelling, the crust was subdivided into several zones representing the main geological and 283 geophysical features. For example, zones 1 and 2 represent two segments of the offshore crust, zone 3 284 corresponds to the onshore part of the forearc, zone 4 passes through the main arc volcanoes in Central Java, 285 zone 5 coincides with the MLA and low-gravity anomaly, zone 6 represents the onshore backarc area, and 286 zone 7 is located in the offshore area north of Java. The contours of these zones are shown in Figure 7B and 287 C. All zones were defined down to 25 km depth. The gravity effects of each of these zones were computed 288 separately by using P or S anomalies obtained from local earthquake tomography (Figure 5) and by assuming 289 constant values for the coefficients converting seismic velocity to density. Calculations of the gravity effect 290 on the surface from 3D velocity distributions were performed by using a direct 3D integration in a regular 291 grid. Then the gravity effects of all zones were summed with manually defined $d\rho/dv$ coefficients for each 292 zone. The values of these parameters were optimized to achieve the best fit of the observed and computed 293 gravity fields. The final computed gravity fields derived from the P- or S-velocity anomalies are shown in 294 Figure 7 B and C.

295	Although the derived coefficients determined by this modelling are not expected to be highly
296	accurate, they do provide semi-quantitative information concerning geological processes in the crust of
297	Central Java. The highest values for the P and S models are obtained for zone 3, representing the forearc.
298	Here, the seismic anomalies are mostly caused by alternation of sedimentary and igneous rocks, which give
299	much stronger differences in density than in seismic velocities. For the arc anomalies and for the MLA
300	(zones 4 and 5) the values of $d\rho/dv$ are quite low. Volcanic conduits in these zones contain magma and
301	other fluids, materials that can produce a strong decrease of seismic velocities. In addition, the integral effect
302	of a sharply heterogeneous crust in these zones also contributes to a general decrease of seismic velocity.
303	However, these structures are not always related to significant low densities. In zone 6 the correlation of
304	gravity and seismic anomalies is low which explains a low value of the $d\rho/dv$ coefficient.

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307 4. The Internal Structure of the Merapi edfice

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309 4.1. The MERAPI project

310 During the past several decades many research projects have yielded information about the eruption 311 history, volcanic processes, magmatic evolution, and internal structure of Merapi. These studies have had a 312 common goal of contributing to an improved hazard assessment. The MERAPI (Mechanism Evaluation, Risk 313 Assessment, and Prediction Improvement) project (1997-2002) was one of these activities, providing a better 314 understanding of the eruption history and the explosive behaviour, thereby contributing to an improved early 315 warning capability, and risk assessment (Zschau, et al., 1998, 2003; Gertisser and Keller, 2003). 316 Merapi is known as a frequently erupting volcano, with 105 to 108 eruption phases during the 317 Holocene (Siebert et al., 2010; c.f., Badan Geologi, 2011). Small eruptions have occurred every two to five 318 years, while larger eruptions occurred in 50 to 80 year intervals. Historically, 71 eruptions were documented 319 since the year 1548 with the most violent ones in 1786, 1822, 1872, 1930, and 2010. The volume of erupted 320 material compared to other volcanoes is low. The annual erupted volume is in a range of 1.2 million cubic 321 meters of potassium rich basaltic andesite with varying SiO_2 content (52 – 57 wt. %) (Siswowidjoyo et al.,

- 322 1995; Andreastuti et al., 2000; Gertisser and Keller, 2003).
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325 **4.2. Geophysical results for Merapi edifice**

For a better understanding of the volcanic activity, the geophysical structure of the volcanic edifice was intensively investigated (*Müller and Haak*, 2004; *Müller et al.*, 2002; *Wegler and Lühr*, 2001;

328 *Maercklin et al.*, 2000) with a particular focus on imaging the magmatic system. Seismological

329 investigations carried out by Ratdomopurbo and Poupinet (1995) revealed a zone with unexpectedly low

seismic activity at a depth of 1-2 km, just below the summit. Ratdomopurbo and Poupinet (1995) associated

this zone with a shallow magma reservoir (Figure 8), while a deeper chamber was assumed to lie at depths

extending to 10 km or more. Later, the hypothesis of a deeper chamber was supported by petrologic data

333 (*Purbawinata et al., 1997*), and several additional petrologic studies have shown that Merapi magmas are

334 staged at multiple levels, ranging from the shallow conduit down to ~30 km (*Chadwick et al., 2007, 2008*;

335 *Deegan et al., 2011; Costa et al., 2013*). On the basis of modelling GPS and tilt data, Beauducel and Cornet

336 (1999) concluded that the magma reservoir responsible for feeding the eruptions of the 1990's was located

between 6 km to 9 km below summit. In addition, high resolution gravity modelling (*Tiede et al., 2005*)

338 provided evidence for high-density bodies, which may be interpreted as solidified magma intrusions or

339 former reservoirs beneath the summits of Merapi, Merbabu, and Telemoyo volcanoes.

340 In contrast to the work by Ratdomopurbo and Poupinet (1995), geoelectric soundings and active 341 seismic investigations (Wegler et al., 1999; Wegler and Lühr, 2001) have found no evidence for a shallow 342 magma reservoir within the edifice. Instead a 4 km wide structure in the centre of the edifice with reduced 343 seismic velocity and high electrical conductivity (*Müller and Haak*, 2004) was interpreted as a narrow 344 alteration zone surrounding the conduit where conductive fluids circulate (Maercklin et al., 2000, Müller et 345 al., 2002). Precise investigations of soil temperature and CO_2 gas flux at the summit area carried out during 346 the inter-eruption period of 2002 to 2007 (Toutain et al., 2009) support this idea. This study found degassing 347 anomalies that appear to be controlled by structures identified as concentric historical caldera rims (1932, 348 1872, and 1768), which have undergone a hydrothermal self-sealing process that lowers permeability and 349 porosity. Variations of fluid mass contents in these regions are sufficient to explain observed gravity changes 350 (Westerhaus et al., 2007). Based on these findings and those by Ratdomopurbo and Poupinet (1995), it was 351 suggested that volcanic earthquakes could not be generated at depths much greater than 5 km, because the

aseismic main "magma chamber" is located below this depth.

353 Gossler (2000) analyzed teleseismic events recorded at the broadband stations of the MERAPI

354 monitoring network with the Receiver Function method. However, the results revealed little about the 355 shallow structure – a result that we now know is due to a highly complicated structure beneath Merapi. In 356 addition, limitations of the method itself precluded any detailed insights about the deeper structure. Besides, 357 the heterogeneous eruptive material of Merapi, like alternating deposits of pyroclastic flows, lahars, ash falls, 358 and lava flows, causes strong multi scattering effects on seismic waves (Wegler and Lühr, 2001; Wegler et 359 al., 2006). The seismograms from artificial aigun shots (Wegler, et al., 1999) recorded on the slopes of 360 Merapi volcano are characterized by spindle-like envelopes, small or missing P- wave onsets, missing S-361 wave onsets, and long codas, and demonstrate, that multiple scattering is an important effect, which cannot 362 be neglected in the modelling of seismic wave propagation at Merapi volcano. Assuming the dominance of 363 shear waves in the coda and a typical S-wave velocity of around 1.5 km/s for the shallow volcano this 364 corresponds to a transport mean free path length L of only 0.1 km, which is 3 orders of magnitude smaller 365 than for normal Earth crust, where L is typically of the order of 100 km. The corresponding length scales for 366 intrinsic attenuation derived for Merapi volcano depend on frequency and are at least one order of magnitude 367 larger than the transport mean free path (Wegler and Lühr, 2001). Magnetotelluric and geomagnetic profiles 368 were carried out crossing Central Java (*Hoffmann-Rothe et al., 2001*). Modelling of the data revealed zones 369 of high electrical conductivity in the upper crust, but the depth penetration faded away below a few 370 kilometres. Consequently, there was little geophysical information about the deeper parts beneath Merapi 371 and Central Java until the more recent, larger-scale seismic experiments described in Sections 2 and 3 above.

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- 374 **5. Discussion**
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Syracuse and Abers (2006) have analyzed the variations in vertical distance between arc volcanoes at the surface and the slap at depth for nearly all subduction zones. They found that the average slab depth is around 100 km below the volcanoes. Discrepancies were found only in a few cases, as in Central Java, where the vertical distance from the surface to the slab is around 150 km. They attempt to explain such deviations from the average depth by calling on special physical conditions. Another common feature of subduction zones is the observation that earthquake hypocentre distributions show two maxima in depth. A shallow clustering around 20 to 50 km is related to tectonic stress release. Another increase in the frequency of earthquakes occurs at 100 km depth on average (*ISC*, 2001). This peak in seismicity is observed in the same
depth range below Central Java.

In the Central Andes, seismicity clusters are found at 100-120 km beneath the main volcanic arc linked with low P- and S-velocity zones (*Dzierma et al., 2012; Koulakov et al., 2006; Schurr et al., 2003; Husen and Kissling, 2001*). It is presumed that these zones trace the upward migration of fluids released from the slab due to phase transitions. These ascending fluids cause decreasing viscosity, and possibly, partial melting. The partially molten materials penetrate into the crust and form magma reservoirs, and in some cases ascend to the surface and cause volcanic eruptions.

391 The most important feature of the MERAMEX tomographic models is an unusually strong low-392 velocity anomaly located in the backarc crust just north of the volcanoes Sumbing, Merapi, and Lawu 393 (Figures 5 and 6). The main part of this anomaly extends about 80 km from east to west and 30 km from 394 north to south, as well as to a depth of over 50 km, from where it further extends as an inclined tongue with 395 decreasing amplitude toward the slab at a depth of 100 km. The active volcanoes are located at the edge of 396 this anomaly between high and low velocity regions. The low velocity body has a volume of more than 397 50,000 km³ and is characterised by a reduction in velocity of up to 20% for the P-model, and up to 25% for 398 the S-model. Shear wave signals recorded above this zone are strongly attenuated compared to areas outside 399 the anomaly. Additionally, there is a good correlation between the distribution of velocity anomalies in the 400 crust and gravity anomalies (Untung and Sato, 1978; Smith and Sandwell, 1997), as shown in the previous 401 section. High-velocity seismic anomalies in the forearc correspond to gravity highs, and the low-velocity 402 MLA fits well with a distinct gravity low (Figure 7). The low gravity region corresponds to the Kendeng 403 Basin, which is located behind and aligned parallel to the volcanic front of Central and East Java. The 404 Kendeng Basin succession is not well exposed but contains much volcanic debris. The deposits have an 405 estimated thickness up to 10 km based on gravity modelling (*Waltham et al. 2008*). Consequently, we 406 interpret this behind-the-volcanic-front anomaly as the combined product of a thick package of low-velocity 407 sediments in the upper crust, as well as increased temperatures and magmatic fluids in the middle and lower 408 crust.

As mentioned above, there is an increase in seismicity at a depth of around 100 km along the top of the down-going slab. This increase in seismicity can be explained by mineralogical phase transitions resulting in dehydration. Mineralogical investigations of Mierdel et al. (2007) provide a better understanding of the role of water and the storage capacity of water in minerals within the Earth's shallow mantle. They 413 showed that the ratio of water saturation versus depth has a pronounced minimum between 100 and 200 km 414 (Bolfan-Casanova, 2007). Depending on the tectonic environment and temperature, the minimum in 415 solubility may be shallow, as in the case of oceanic mantle, but deeper in case of cold continental 416 lithosphere. We propose that for Central Java in a depth range near 100 km, where seismicity is increased, 417 fluids (mainly water) are released from the slab and begin to ascend, leading to a reduction in melting 418 temperature in the overlying mantle wedge (*Poli and Schmidt, 1995*). The ascent path is imaged 419 tomographically as a zone of low seismic velocity. In the case of Central Java, this path is not vertical but 420 has a 45 degree dip back toward the trench (Figure 9).

421 Synthetic tests (Koulakov et al., 2007) have proven that the MLA cannot be explained simply by a 422 10-km-thick low-velocity sedimentary basin (thickness inferred by gravity modelling; Untung, M., and Y. 423 Sato, 1978). Such a basin, even with seismic velocities lowered by fluid or gas reservoirs, would not be able 424 to generate the high amplitudes observed in our model down to depth of 20-25 km. Hence, the deeper parts 425 of the crust must also have low velocities, and active seismic studies confirm this (Wagner et al., 2007). 426 Unfortunately, aside from gravity modelling, which is non-unique with respect to source material, there is no 427 other quantitative information about the thickness of the sedimentary basin in the literature. As mentioned 428 above, the very low P- and S-velocities within the shallowest part of the MLA could in part result from a 429 high content of fluids (gas and liquids) in the sediment layers. Mud volcanoes in northern Central Java with 430 active release of methane favour this hypothesis.

431 The velocity perturbations, the attenuation of P- and S- waves, as well as the high Vp/Vs ratio of the 432 MLA indicate a high Poisson's ratio of 0.3, and correlation with a gravity low indicates an area of increased 433 temperature and reduced shear strength in the crust. Depending on the elastic modulus, a content of fluids 434 and partial melts of 13% to 25% is estimated for the MLA volume. Possibly, the regions of the MLA below 435 the sedimentary basin consist of a rigid matrix with pockets of magmatic mush or nearly molten material. 436 The sediments my act as a seal so fluids from the mantle wedge just beneath the MLA cannot pass vertically 437 to the surface. This hypothesis is indirectly supported by relatively strong, randomly looking travel time 438 residuals after inversion (Koulakov et al., 2007). An explanation for this noise could be the existence of 439 relatively small bodies of contrasting material causing scattering. They affect the travel times of seismic rays, 440 but cannot be resolved by the tomographic inversion. On the hand, taking into account realistic frequencies 441 of seismic signals from natural sources, a significant scattering induced effect on the travel time can be 442 expected only for anomalies of a minimum diameter of 1-2 km. On the other hand, anomalies of 15-20 km

would be resolved in the images and the signals would be more coherent. Therefore, we interpret the lower part of the MLA as a zone composed of a solid matrix, or "reservoir", that contains pockets of 2 - 15 km diameter that are filled with molten or partially molten material.

446 This model is supported by petrological investigations. Chadwick and Troll (*Chadwick et al., 2007*) 447 found a lot of minerals in Merapi lavas crystallized at pressures of between 400 and 800 MPa, corresponding 448 to a depth of 15–25 km, with a total range from 100 to 1,350 MPa, corresponding to a depth of 4-40 km. 449 Similar wide ranges in petrologic equilibration depths were estimated by Costa et al. (2013). Two types of 450 amphiboles are identified in the 2010 Merapi deposits, indicating depths ranges of crystallisation of 200 to 451 300 MPa (5 to 6 km depth) and 500 to 700 MPa (~10 to 20 km depth), respectively (Surono et al., 2012; 452 Costa, et al., 2013). Furthermore, the analysis of Chadwick et al. (2007, 2008) and Deegan et al. (2011) 453 indicate that Merapi eruptives are contaminated by crustal fragments in a depth range between 3 km and 11 454 km. The assimilated sediment fragments for instance contribute to CO₂ emissions at Merapi volcano. 455 Significant contribution to the volatile budget of magma via limestone assimilation could have profound 456 effect on our understanding of the driving mechanism of eruptions at Merapi and other volcanoes sited on 457 carbonate crust. For example, the highly explosive 2010 eruption was preceded by increased CO₂ emissions, 458 which were interpreted as the result of some combination of deep degassing of basaltic magma and limestone 459 reaction (Surono et al., 2012; Costa et al., 2013).

460 Our synthesis model images a velocity section crossing Merapi (Figure 9), and demonstrates the link 461 between volcanism in Central Java and the subduction process. Above 60 km depth, we observe an inclined 462 negative velocity anomaly, most probably caused by fluids and partial melts ascending to the surface. When 463 the fluids and melts reach the bottom of the rigid forearc, expressed by a positive velocity anomaly, they are 464 not able to pass through. Instead they follow its bottom contour. Fluids probably behave in the same manner 465 inside the MLA. As a result of such a migration from beneath the forearc and MLA, the highest 466 concentration of melts would occur along the boundary between them. This appears to be the most 467 favourable location for active volcanism where it is indeed observed today.

The velocity anomaly amplitudes found beneath Central Java are exceptionally high compared to anomalies found at other subduction zones. Toba volcano located in northern Sumatra is the source of the largest eruption on Earth within the last two million years. The resulting caldera is 30 x 100 km and has a total relief of 1,700 m. In one eruptive phase at around 75,000 BP, Toba produced the Young Toba Tuff, which has a volume of 2,800 km³. Tomographic investigations of the Toba area (*Koulakov et al., 2009a*) lead

- 473 to a model that images patches of negative anomalies beneath Toba with velocity reduction values not more
- 474 than 15% (*Koulakov et al., 2009a*). Such a velocity reduction appears to be typical for volcanic areas at
- 475 subduction zones, and for instance comparable to anomalies found in the Andes (Schurr et al., 2003;
- 476 *Koulakov et al.*, 2006) or Kamchatka (*Koulakov et al.*, 2011a).
- Therefore, if our model for magma and magmatic fluids being largely responsible for the MLA
 anomaly is correct, it raises an intriguing question: Can the fluids and melts within the MLA be mobilized in
 an eruption? We note that if only a few percent of fluids and melts in our model for the MLA were
 mobilized and erupted in a single event, it would be larger than the 100 km³ Tambora eruption of 1815.
 Solving this question needs further interdisciplinary and multi-parameter investigations with a focus on this
 low-velocity anomaly now identified beneath Central Java.
- 483
- 484

485 **6. Summary and Conclusions**

486

487 (1) Seismic experiments carried out over many years at Merapi have resolved the 3D velocity
488 structure of the volcano edifice, and provided a 3D image of the lithospheric structure and the subduction
489 zone of beneath Central Java.

490 (2) Local earthquakes trace the subducting slab beneath Central Java as a 30 km thick double layer in
491 a depth range of 80 km to 150 km. At a distance of up to 150 km from the trench, the slab is nearly
492 horizontal. Between 150 km and 250 km distance, slab dip increases to 45 degrees and beyond 250 km it
493 steepens to 70 degrees. The slap can be identified seismically to a depth of 600 km.

494 (3) A large low velocity body extends from the upper crust to the upper mantle beneath Central Java.
495 The active volcanoes Merapi, Sumbing, and Lawu are located at the edge of this anomaly. Shear wave
496 signals recorded above this anomaly are strongly attenuated compared to neighboring areas The anomalous
497 body has a volume of >50,000 km³ and a decrease in P and S velocities relative to adjacent regions of up to
498 30%. The resulting Vp/Vs ratio of up to 1.9 is unusually high for lower crust.

(4) A 45 degree-sloping anomaly extends downward from beneath the volcanic arc and meets the
slab at 100 km depth. We interpret this sloping anomaly as an ascent pathway for slab-derived fluids and
partial melts. Increased seismicity is observed at depths of ~100 km, possibly as a result of dehydration of

the subducting slab. Such dehydration releases fluids that ascend from the down-going slab, cause adecrease of melting temperature of overlying mantle material, and induce melting.

504 (5) The large velocity reduction combined with a high Vp/Vs ratio in the region below Central Java 505 is consistent with an increase in temperature, a reduction of shear strength, and the presence of fluids or 506 melts of 13 to 25 vol. %. The strong anomaly beneath Central Java is unique in size and amplitude compared 507 to findings at other subduction zones. This suggests that this segment of the arc has a high magma flux and is 508 thus capable in the future of developing even larger shallow crustal reservoirs and more voluminous 509 explosive eruptions.

(6) A main conclusion of our work on Merapi and its surrounding is that the plumbing system of
such highly active volcanoes cannot be seen solely from volcano-scale observation but must be understood
within the regional tectonic context.

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516

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712	
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715	Figures:
716	
717	Figure 1. Map showing the investigation area of Central Java with all volcanoes, geological structures, and
718	settlements mentioned in the text.
719	
720	Figure 2. Distribution of the slab-related seismicity at Java. Event data are from the ISC catalogue for the
721	period 1964-2002. Coloured zones highlight the occurrence of events in the four deepest depth intervals as
722	indicated in the scale. Yellow triangles mark volcanoes with Lawu (LW), Sumbing (SMB), Sundoro (SUN),
723	Dieng (DNG) volcanoes, and the red circle marks Merapi volcano (MRP).he black star marks the M=6.3
724	Bantul earthquake happened on 26 May 2006.
725	
726	Figure 3. The upper panels show results of the tomographic inversion showing P and S-seismic models
727	based on ISC catalogue data. The four lower panels show the results for P and S anomalies projected into the
728	two vertical sections, one aligned to the west (W) and the other to the east of Merapi (E). The red circle
729	marks Merapi volcano.
730	
731	Figure 4. Local earthquakes recorded during the MERAMEX Project during 150 days (May to October
732	2004). (a) Map view. Sources are colour coded according to their depth. Triangles show the position of the
733	MERAMEX seismic stations. The main volcanic complexes are outlined with black contours. (b) Horizontal
734	cross section. Size and darkness of dots represent distance to the profile. Inferred upper limit of the slab and
735	double seismic zone are highlighted with red lines.
736	
737	Figure 5. Results of anisotropic inversion after five iterations in two horizontal depth slices. Colours indicate
738	the isotropic velocity perturbations relative to AK 135 velocity model which are computed as average of four
739	anisotropic parameters in each point. Vectors show directions of fast horizontal P velocities. Length of

vectors reflects difference between fastest and slowest horizontal velocities. The reference vector (10% of
anisotropy) is shown below the left figure column. Volcanic complexes are marked for Lawu (LW),
Sumbing (SMB), Sundoro (SUN), Dieng (DNG). The yellow star indicates the epicentre of the M=6.3
Bantul earthquake 2006. Profiles of two cross sections presented in Figure 6 are shown in maps. Black lines
show the coast of Java and position of the main volcanic complexes, same as in Figure 2. Prominent is a
strong negative velocity anomaly called MLA (Merapi-Lawu Anomaly).

746

747 **Figure 6.** Cross sections of anisotropic P and isotropic S models based on five iterations of the model.

Positions of sections are shown in Figure 5. The anisotropy vectors for the P model are vertical if the vertical

velocity variations are larger than the average horizontal perturbations and horizontal in the opposite case.

750 The reference vector (6% of anisotropy) is shown in the left-bottom corner. Black dots show positions of the

relocated sources within 30 km of the profile. Stronger anomalies close to model boundaries are of lowsignificance.

753

Figure 7. Result of optimization for $d\rho/dV$ coefficients in the crust. Gravity map after Smith and Sandwell (1997) on the left and calculated gravity values derived from Vp and Vs velocities (on the right) showing a strong negative gravity anomaly in Central Java, extending to the east. The gravity anomaly fits well with the seismic velocity anomaly MLA (centered at approximately 7°S, 111°E).

758

Figure 8. Cross-section sketch of Merapi volcano according to Radomopurbo and Poupinet (1995) who
postulated a shallow magma reservoir inside the edifice of Merapi based on an observed aseismic zone.
Volcano tectonic events shown as circles are detected as a lower (VTA) and upper (VTB) cluster,
respectively. The main chamber was inferred to be located beneath the VTA seismicity. The dots are
intended to show the extent of magma.

764

765 Figure 9. Synoptic model showing the link between the subduction process and Merapi volcano. The

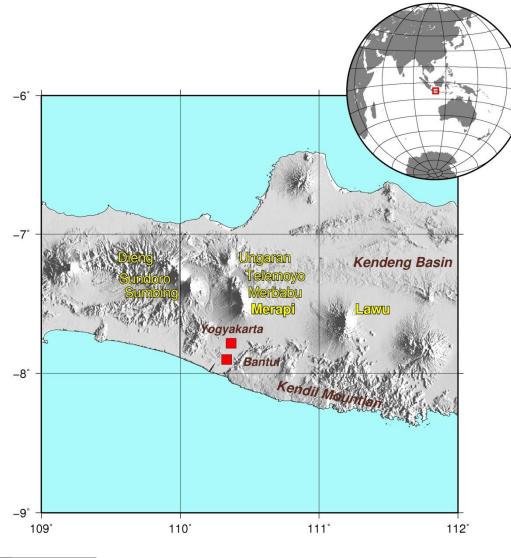
background shows P velocities (color code is the same as in Figure 6). Yellow circles show distribution of

767 local seismicity recorded within this study. Blue waved arrows indicate zone of fluid release from the slab.

768 Brown dotted arrows mark the ascent paths of fluid and melt migration. Black dashed lines indicate V-

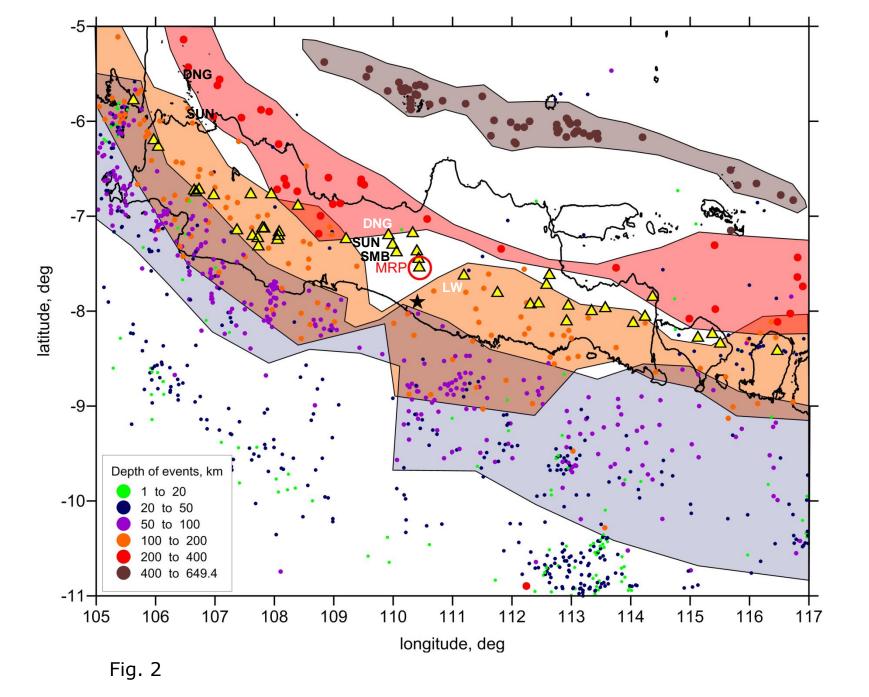
shaped weakened zone with lower seismic velocity and higher seismicity which could be related to thrusting

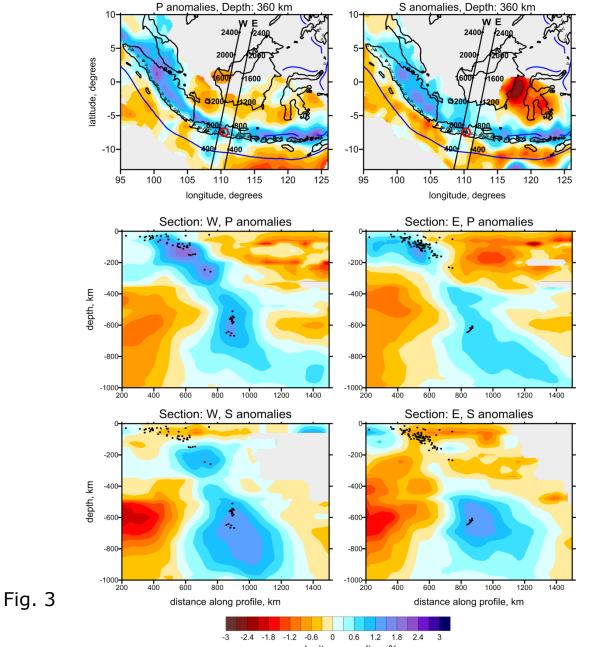
- caused by increasing friction on the upper surface of the slab. Shallow green area to the right of Merapi
- indicates the sediment cover above the MLA. We have little data to define the position of the Moho, thus it is
- shown with question marks.

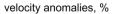


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Fig. 1







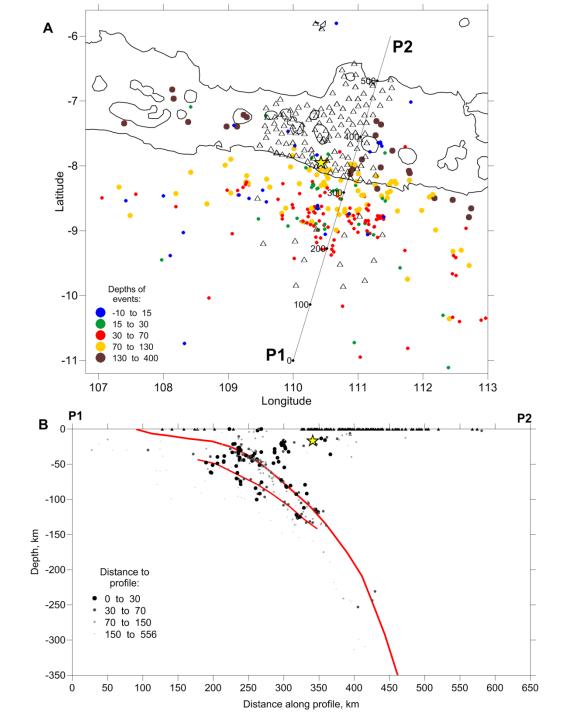


Fig. 4

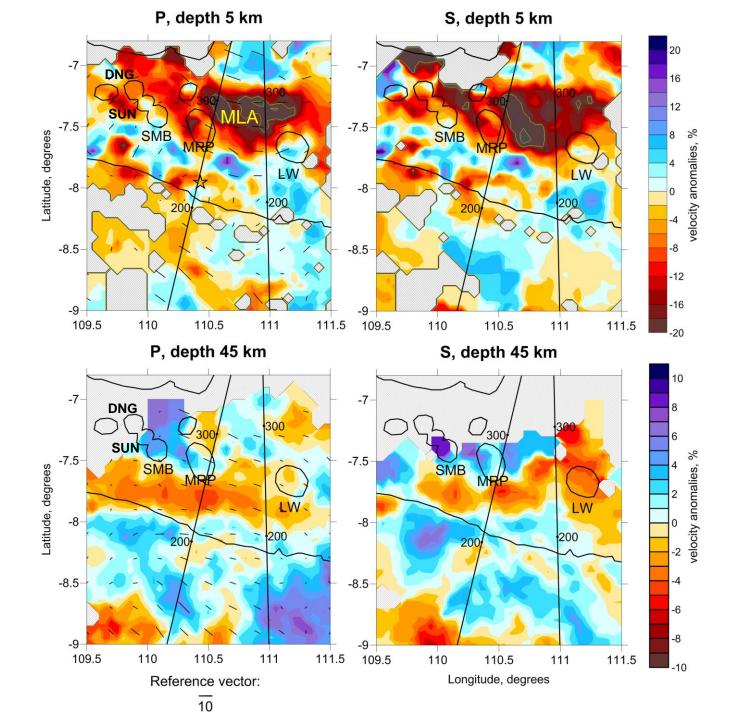
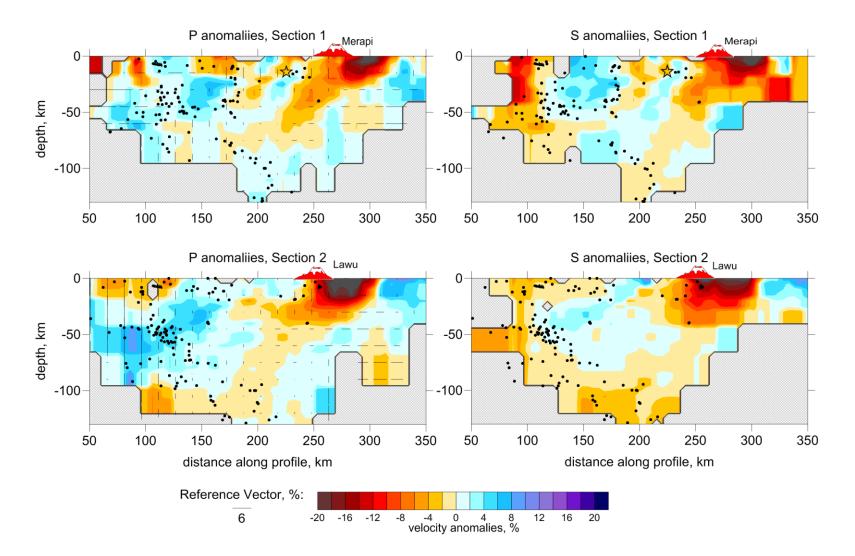


Fig. 5

Fig. 6



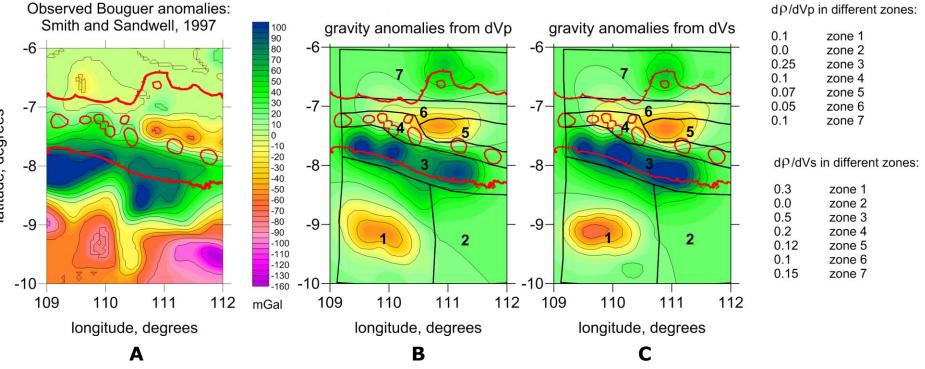


Fig. 7

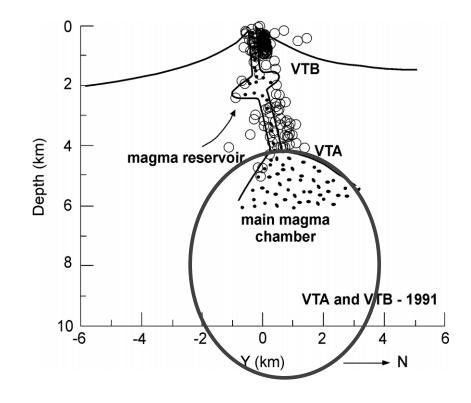


Fig. 8

