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Crustal Stress Pattern in China and Its Adjacent Areas

Xingping Hu^{a,b,*}, Arno Zang^c, Oliver Heidbach^c, Xiaofeng Cui^b, Furen Xie^b, Jiawei Chen^b
a School of Earth and Space Science, University of Science and Technology of China, 230026 Hefei, China

b Key Laboratory of Crustal Dynamics, Institute of Crustal Dynamics, China Earthquake Administration, 100085 Beijing, China

c GFZ German Research Centre for Geosciences, Telegrafenberg, 14473 Potsdam, Germany

* Corresponding author. Xingping Hu. School of Earth and Space Science, University of Science and Technology of China, 230026 Hefei, China

Telephone: +8601062846726, +8613811111002

E-mail address: huxp1987@163.com (Xingping Hu).

Abstract

During the update of the World Stress Map (WSM) database, we integrated the China stress database by strictly using the internationally developed quality ranking scheme for each individual stress data record. This effort resulted in a comprehensive and reliable dataset for the crustal stress of China and its adjacent areas **with almost double** the amount of data records from the **WSM database release 2008**, i.e., a total of 8,228 data records with reliable A-C qualities in the region of 45-155° East and 0-60° North. We use this dataset for an analysis of the stress pattern for the orientation of maximum compressive horizontal stress (S_{Hmax}). In contrast to earlier findings **that suggested that** the mean S_{Hmax} orientation **would** be aligned with the **direction** of plate motion, we clearly see **from our results that** the plate boundary forces, as well as topography and faulting, are important control factors for the overall stress pattern. Furthermore, the **smoothing** results indicate that the S_{Hmax} orientation in China rotates clockwise from **the west to the east**, which results in a fan-shaped crustal stress

28 pattern for the continental scale. The plate boundary forces around China, which are
29 the Indian-Eurasian plate collision in the west and the Pacific plate subduction and the
30 push from the Philippine plate in the east, can still be seen as the key driving
31 processes and the first-order controls for the crustal stress pattern. The South-North
32 seismic zone can be seen as the separation zone for the western and eastern plate
33 boundary forces. **Topographic** variation and faulting activity, however, provide
34 second-order changes, **and lead** to local variations and different inhomogeneity scales
35 for the stress pattern. Due to differences in these factors, Northeast China and the
36 central part of **the** Tibetan plateau have **notably** homogeneous stress patterns, while
37 the South-North seismic zone, the Hindu Kush-Pamir region, and the Taiwan region
38 have extremely inhomogeneous stress patterns. **Furthermore, the different behaviors**
39 **of stress** orientations around continental and oceanic plate boundaries could imply
40 that complicated mechanisms exist and **warrant** further and more specific **studies**.

41 **Keywords:** stress data integration; China and its adjacent areas; stress orientation;
42 inhomogeneity scale; geodynamic interpretation

43 **1. Introduction**

44 The crustal stress state is a key parameter for our understanding of geodynamic
45 processes, seismic hazard assessment and the stability of underground openings (Zang
46 and Stephansson, 2010; Zoback, 2007; Fuchs and Müller, 2001). There are different
47 stress indicators regarding the crustal stress state, such as earthquake focal mechanism
48 solutions, borehole breakouts, hydraulic fracturing tests, overcoring, and geological

49 data. However, obtaining complete information for the 3D stress field (i.e., the six
50 independent components of the stress tensor) remains a challenging task (Schmitt et
51 al., 2012; Stephansson and Zang, 2010). It is also complicated to display either a full
52 or partial representation of the stress tensor in an **easily interpretable** manner (Lund
53 and Townend, 2007). The orientation of the maximum horizontal stress S_{Hmax}
54 determines the orientation of the 3D stress tensor when assuming that the vertical
55 stress S_V , also called lithostatic overburden, is a principal stress. The pattern of the
56 S_{Hmax} orientation, which is able to reflect the dynamic background (Zoback, 1992;
57 Townend and Zoback, 2006, 2004), became a feasible representation for a stress field
58 and was widely used for research in the stress field at a global scale (Heidbach et al.,
59 2010, 2007; Sperner et al., 2003; Zoback, 1992; Zoback et al., 1989) as well as at
60 regional and local scales (Rajabi et al., 2016; Xie et al., 2015, 2007; Reiter et al., 2014;
61 Balfour et al., 2011; Reinecker et al., 2010; Rebaï et al., 2007; Brudy and Kjørholt,
62 2001; Hillis et al, 1998; Coblenz et al., 1998; Müller et al., 1997, 1992; Bird and Li,
63 1996; Sbar and Sykes, 1973).

64 The World Stress Map (WSM) project is a global compilation of information on
65 the crustal stress field **from** a wide range of stress indicators. This systematic work of
66 WSM began in 1986 as a Task Force of the International Lithosphere Program (ILP)
67 under the leadership of Mary Lou Zoback, and WSM was a research project at the
68 Heidelberg Academy of Sciences **then**. The WSM is a collaborative project that
69 includes the input and expertise of many scientists worldwide, **and it** provides free

70 information on its website located at www.world-stress-map.org. Since 2009, the
71 WSM project has been maintained by the Helmholtz Center Potsdam - German
72 Research Center for Geosciences GFZ. **The amount of data records has increased**
73 **from ~7700 in the first WSM database release 1992 to 21,750 in the WSM database**
74 **release 2008 (Heidbach et al., 2010, 2009).**

75 **Complementary** to the ongoing effort of stress data compilation within the WSM
76 project, the research of stress field and stress data compilation was established in
77 China (Cui et al., 2005; Xie et al., 2004; Xu et al., 2001, 1989; Wang et al., 1993,
78 1980; Yan et al., 1979; Deng and Zhang, 1979). Between 2000 and 2002, the Institute
79 of Crustal Dynamics collected a wide variety of stress data in China, conducted
80 comprehensive work on the crustal stress field of the Chinese continent, compiled the
81 China stress map, and built the Fundamental Database of Crustal Stress Environment
82 in Continental China (hereafter referred to as **the China Stress Database**). The China
83 Stress Database is the first fundamental database that incorporates various stress data
84 records (Xie et al., 2007). It has been updated continuously since 2002, and up to now,
85 the number of data records inputted has exceeded 7000 entries. **Based on these stress**
86 **data**, the latest version of the Recent Tectonic Stress Map of China and its Adjacent
87 Areas has been published, which mainly represents the various stress data **and also**
88 shows the achievements of stress division and stress trajectory for the stress field in
89 China (Xie et al., 2015).

90 Both the WSM project and the stress field research in China have accumulated

91 an abundance of stress data. However, the WSM database and the China Stress
92 Database were never well integrated into each other to allow for a higher resolution of
93 the stress pattern beyond the national borders. Therefore, during the course of the
94 current global effort to publish the WSM database release 2016 (Heidbach et al, 2016)
95 for the 30th anniversary of the WSM project, the collaboration between China and the
96 WSM intensified in order to integrate the two databases into each other and conduct
97 further investigations on the stress pattern in China and its adjacent areas.

98 This paper presents the integration of stress data from the WSM database and the
99 latest China Stress Database. This effort not only resulted in a significant increase in
100 the amount of data records but also led to a comprehensive dataset with a higher
101 reliability and better resolution for the first time in two decades. This paper also
102 presents an analysis of the stress pattern for the S_{Hmax} orientation by using two
103 different smoothing schemes, in order to reveal regional and local variability of the
104 stress pattern and its relationship with geodynamic processes and/or structural
105 sources.

106 **2. Brief tectonic stress background of China and its adjacent areas**

107 China is located in the southeastern portion of the Eurasian plate, and it is an
108 important part of the plate. There are four other plates around China: the Indian plate,
109 the Pacific plate, the Philippine plate, and the North American plate. The influence
110 from the North American plate is quite marginal for the tectonic deformation of China
111 (Zhang et al., 2002). Therefore, the main plate boundary forces are from the Indian

112 plate, the Pacific plate, and the Philippine plate (Fig. 1). The western force source is
113 primarily generated by the Indian plate's northwestward collision with the Eurasian
114 plate, while the eastern force source includes the combined effect of the Pacific plate
115 subduction and the push from the Philippine plate. Among all the boundary forces, the
116 influence of Indian-Eurasian collision was determined to be the largest according to
117 some geodynamic simulations (Zhu et al., 2006; Wang et al., 1996). There are four
118 key regions for the transmission of plate forces corresponding to the plate boundaries:
119 the **Himalayan west tectonic** knot, the **Himalayan east tectonic** knot, the deep seismic
120 area in Northeast China, and the Taiwan region. The former two regions are the
121 tectonic knots of the Indian-Eurasian collision, and they are located in the Hindu
122 Kush-Pamir region and the southeast margin of **the** Tibetan plateau, respectively. The
123 Northeast China and the Taiwan Region are the key regions for the transmission of
124 plate forces from the Pacific plate and from the Philippine plate. Due to the crucial
125 tectonic locations, these **four regions** have been **the** focus regions for research of
126 geodynamic processes as well as the tectonic stress field for several decades, and they
127 also have a great significance for this study.

128 **Under the control of the surrounding tectonism**, the stress field in China and its
129 adjacent areas **shows** obvious **divisional** features, which are manifested **as** different
130 stress orientations, stress regimes, and stress intensities in different regions (Xie et al.,
131 2015). By using different methods, such as focal mechanism analysis and numerical
132 simulation, some scholars have investigated the crustal stress field for all of China

133 and/or some regions in China. One significant feature that has been commonly found
134 is that the S_{Hmax} orientations in China rotate clockwise from the west to the east (Xie
135 et al., 2015, 2007, 2004; Fan et al., 2012; Cui et al., 2005; Xu et al., 2001, 1989; Wang
136 et al., 1996, 1993, 1980; Yan et al., 1979; Deng and Zhang, 1979), but more details
137 with a higher resolution will still contribute to an improved understanding of the
138 stress field in China.

139 More reliable and various stress data could help to reveal a more comprehensive
140 and refined stress pattern. Among the analysis methods for stress orientations, the
141 smoothing algorithm (Heidbach et al., 2010; Zang and Stephansson, 2010; Müller et
142 al. 2003; Hansen and Mount, 1990; Watson, 1985) provides a means of identifying
143 significant patterns in sets of oriented data by eliminating local perturbations in the
144 observations and by predicting patterns of oriented data in places which lack
145 observations. Consequently, the smoothing algorithm is an effective mathematical
146 way to analyze stress orientation characteristics. Therefore, a further study with more
147 reliable stress data and an appropriate smoothing method could better describe the
148 crustal stress pattern and its inhomogeneity. This would help to reveal more details of
149 the crustal stress field and improve its geodynamic interpretation in China and its
150 adjacent areas.

151 **3. Integration of stress data in China and its adjacent areas**

152 First, we integrated the latest China Stress Database (Xie et al., 2015) and the
153 WSM database for more reliable stress data. The China Stress Database provides 6089

154 chosen data records with 3983 data records from earthquake focal mechanism
155 solutions (FMSs), 454 data records from borehole breakouts and drilling induced
156 tensile fractures, 544 geological fault slip data records, 459 hydraulic fracture data
157 records and 649 overcoring data records. Some FMSs of small earthquakes and
158 aftershocks, as well as a few unpublished stress measurement data, were not
159 integrated. Due to differences in the quality assessment used for the China Stress
160 Database and the WSM, each data record was referenced again, and the latest WSM
161 quality ranking scheme from Heidbach et al. (2010) was applied. In these stress data,
162 most of the overcoring data were stored as single individual measurements, and thus
163 were not in agreement with the ranking requirements of the WSM. Therefore, we
164 calculated the mean value for those overcoring measurements with the same location
165 and from the same original reference, and received 203 overcoring data records at
166 different locations. In total, 5643 stress data records from the China Stress Database
167 were finally obtained and integrated into the WSM database release 2016 (Heidbach
168 et al., 2016).

169 After removing the duplicated stress data, we obtained a comprehensive and
170 reliable dataset for the crustal stress of China and its adjacent areas. In the region of
171 45-155° East and 0-60° North, there are 11,255 stress data records (Table 1) with A-E
172 qualities, of which 8,228 have A-C qualities. Among these A-C quality stress data
173 records, 1884 FMS data records with the criteria of a Possible Plate Boundary Event
174 (PBE) were considered as PBEs (Heidbach et al., 2010, 2009, 2008; Townend, 2006).

175 This means that these FMS data have a larger potential for a large offset between the
176 P-, B-, and T-axes and the principal stress orientations. Therefore, these 1884 FMS
177 data records were removed, and the remaining 6344 stress data records (Fig. 2) were
178 used to analyze the stress field in China and its adjacent areas for this study.

179 **Through** the data check and quality reassignment, the stress data qualities were
180 evaluated and **verified**, and the A-C quality stress data records could be considered
181 reliable for the analysis of the stress pattern and the interpretation of geodynamic
182 processes. **Compared** with the previous **WSM database release 2008** (Fig. 3a), **the**
183 **amount of the** stress data **has** increased significantly (Fig. 3b) all over the region,
184 which provides a good basis for further investigating the stress pattern in China and
185 its adjacent areas.

186 According to the distribution of stress data in China and its adjacent areas as
187 depicted in Fig. 2, it is evident that the stress data cover the majority of China, but
188 with an inhomogeneous data distribution. Quite **many** data points **assemble** in some
189 places, such as the east margin of **the** Tibetan plateau and the Hindu Kush-Pamir
190 region, while only **few** data points **distribute** in some other parts, such as the Ordos
191 basin, the Tarim basin, and the South China. The S_{Hmax} orientations have good
192 consistency in some places, such as in Northeast China and the central part of **the**
193 Tibetan Plateau, but the orientations scatter in some other places, such as in the east
194 margin of **the** Tibetan Plateau. Another phenomenon that **should be considered in the**
195 **stress field analysis, is that** some disperse orientation data points cluster in a very

196 small place, or even at almost the same place, such as **those** in North China,.

197 The 6344 stress data records presented by different methods cover a wide depth
198 in range. Geological and overcoring data provide the near surface information.
199 Borehole breakouts and hydraulic fracturing provide stress indicators down to a depth
200 of 6 km. Focal mechanisms provide stress information throughout the brittle crust
201 down to a depth of 40 km. The tectonic stress regime (Zoback, 1992) could be
202 assigned for 77% of the 6344 A-C quality data records (Table 2), and it is clear that
203 each stress regime constitutes a comparable proportion. This indicates that there is no
204 dominant tectonism of a certain stress regime that could control the stress regime for
205 the majority of China, and **thus** represents the diversity and complexity of the stress
206 field in China and its adjacent areas to some extent.

207 **4. Continental scale stress pattern**

208 The WSM has revealed that the continental scale stress pattern exists in many
209 plates, and it is controlled primarily by the plate boundary forces. Knowledge
210 regarding **the continental scale stress** pattern in China and its adjacent area is quite
211 helpful for the analysis of the geodynamic background and how it controls the stress
212 field. Additionally, it is also a prerequisite to identify and investigate the local
213 anomalies or perturbations of the stress field.

214 Since the FSR-smoothing-method described by Müller et al. (2003) is suitable
215 for identifying a major feature on a certain scale, we adopted this method with a
216 constant search radius of 500 km for the analysis of the continental scale stress pattern.

217 The smoothing parameter λ was set at 2, and different weight factors were given to
218 different data qualities, namely, 1 for A, 0.75 for B, and 0.5 for C quality. The distance
219 weight was calculated using a tri-cube weight function, and finally determined
220 through the normalization of the weight function by the number of neighbors, n_n ,
221 within the search radius (Müller et al., 2003; Wehrle, 1998).

222 By applying the smoothing method with the parameters mentioned above to the
223 6344 stress data points with the A-C qualities, we obtained the continental scale
224 variation of the S_{Hmax} orientation for China and its adjacent areas (Fig. 4). The
225 smoothing result clearly reveals that the stress field has a fan-shaped pattern. The
226 S_{Hmax} orientation in China has a clockwise rotation around the boundary of the
227 Indian-Eurasian plate collision, which varies from northwest in the Hindu Kush-Pamir
228 region, to north-south, northeast, east-west, and finally **southeast** in South China. This
229 feature of stress field rotation is especially obvious at the two tectonic knots of **the**
230 Indian-Eurasian collision, which are the **Himalayan** west **tectonic** knot and the
231 **Himalayan** east **tectonic** knot.

232 By combining Figures 2 and 4, we identified some interesting phenomena for the
233 S_{Hmax} orientations around **the** different plate boundaries. Around the continental plate
234 boundaries, including the Indian-Eurasian plate collision boundary and the North
235 American plate boundary near Northeast China, S_{Hmax} orientations are **often** almost
236 perpendicular to the **strikes** of boundaries, whether from the original stress data or
237 from the smoothing result. However, around the oceanic boundaries, including the

238 Pacific plate boundary and the Philippine plate boundary, S_{Hmax} orientations appear to
239 be more **complex**. Some stress data depict the S_{Hmax} orientations to be almost
240 perpendicular to the boundary **strikes**, but some other orientations are sub-parallel.
241 The smoothing result indicates that S_{Hmax} orientations seem to be sub-parallel to **the**
242 boundary **strikes** around the section of the Philippine plate boundary from Taiwan to
243 Japan, **and around** the majority of the Pacific plate boundary, while around the section
244 of the Philippine plate boundary from Taiwan to the Philippines, the S_{Hmax} orientations
245 are almost perpendicular to **the** boundary **strikes**.

246 In the intermediate regions, the **smoothed** S_{Hmax} orientations **on** the continental
247 scale **change** gradually **from those around different plate boundaries**. Therefore, it
248 could be deduced that the continental scale stress pattern in China and its adjacent
249 areas is mainly controlled by **the surrounding plate boundary forces, which are** the
250 Indian-Eurasian plate collision in the western part, and the Pacific plate subduction
251 and the push from the Philippine plate in the eastern part. Since the rotation feature
252 around the Indian-Eurasian plate collision boundary covers the majority of the
253 Chinese mainland, it is reasonable to determine that the boundary force of the
254 Indian-Eurasian plate collision has a larger influence on the continental scale feature
255 of the stress pattern.

256 This continental scale variation supports the viewpoint that the plate boundary
257 forces are the main factor that controls the first-order stress pattern (Heidbach et al.,
258 2010, 2007; Coblentz et al, 1998; Richardson, 1992; Zoback, 1992). The clockwise

259 rotation of the S_{Hmax} orientation in China from the west to the east coincide with the
260 previous findings (Xie et al., 2015, 2007, 2004; Cui et al., 2005; Xu et al., 2001, 1989;
261 Wang et al., 1993, 1980; Yan et al., 1979; Deng and Zhang, 1979), but this is more
262 distinct in our results. The vast extent of the rotation feature around the
263 Indian-Eurasian plate collision boundary also provides practical stress data evidence
264 for the inference from geodynamic simulations, which means that the Indian-Eurasian
265 collision has the most vital role for the stress pattern in China and its adjacent areas
266 (Zhu et al., 2006; Wang et al., 1996).

267 5. Local scale stress variability

268 In addition to the continental scale analysis, a further investigation of local scale
269 stress anomalies and perturbations was conducted. The research regarding local scale
270 variation has also been conducted since the initial study of the WSM (Zoback et al.,
271 1992). A smoothing method with a varying search radius could provide an insight to
272 the inhomogeneity scale of stress orientations (Heidbach et al. 2010) by judging the
273 orientation data spreading within the search radius. This smoothing method has been
274 adopted in the analysis work of the global stress field (Heidbach et al., 2010) and of
275 the stress field in Canada (Reiter et al., 2014). In this method, the mean S_{Hmax}
276 orientation is an important indicator that is used to represent the maximum
277 compressive direction, while the stress wavelength is also a valuable parameter which
278 could partly reflect the spatial inhomogeneity scale of the stress field in a region. This
279 makes the method effective for investigating local stress inhomogeneity. In this study,

280 we use this smoothing method with some adjustments to analyze the inhomogeneity
281 on the local scale of the S_{Hmax} orientation in China and its adjacent areas.

282 **5.1 Adjusted smoothing algorithm**

283 Similar to the method of Heibach et al. (2010), the distance weight was also
284 taken into account in this study. However, it is considered only in the mean S_{Hmax}
285 orientation, but not in the standard deviation (**SD**) for inhomogeneity scale judgement.
286 The inhomogeneity scale in this study has a specific meaning of describing the
287 **horizontal** scale of inhomogeneity for the regional stress pattern, and it is not exactly
288 the same as the stress wavelength described by Heibach et al (2010). In this
289 **horizontal** scale around one point, the S_{Hmax} orientations could be considered
290 homogeneous, or in other words, the mean S_{Hmax} orientation could represent the
291 regional S_{Hmax} orientations. Conversely, out of this scale, the mean S_{Hmax} orientation is
292 incapable of representing the regional S_{Hmax} orientations. This inhomogeneity scale is
293 determined by the **SD** of the stress orientations. In case the orientations far from one
294 point are quite different from the uniform orientations in the central region, the stress
295 pattern **should** not be considered homogeneous for this whole region. However, if the
296 distance weight is still used in the **SD** calculation, the contribution to **SD** from these
297 far and disparate orientations would be negligible, and the **SD** would still be quite
298 small. In this case, the stress pattern of the vast region probably would be indicated as
299 homogeneous by the small value of **SD**, and the inhomogeneity scale would be a
300 larger value than what it truly represents. Thus, we removed the distance weight factor

301 from the **SD** calculation when judging inhomogeneity scale.

302 In addition, data density weight was introduced for the practical stress data
303 distribution. There are a few places where a quantity of disperse orientation data
304 points cluster in a very small region or even at almost the same location (see Figure 2).
305 In this case, no matter how consistent other orientations are, the **SD** around the
306 disperse cluster would result in a larger scatter, owing to the excessive influence of
307 this cluster in the **SD** calculation. This **SD** result comprises a large portion from the
308 uncertainty of the cluster point, which could come from a variation with depth,
309 measurement outliers or other reasons. However, as for the **horizontal** inhomogeneity,
310 it is the difference among different locations, but not the uncertainty at one location,
311 should be emphasized. Therefore, this **SD** result cannot reflect the inhomogeneity of
312 the orientation data. To reduce **the influence of this** factor, we adjusted the weight of
313 the stress data in a cluster by dividing each data entry by the number of neighboring
314 data points within a small distance (assumed to be 1 km in this study). This distance
315 value is equal to 1/50 of the minimum search radius; hence the orientations within this
316 distance can be seen as attached to the same location.

317 The quality weights were set as 1 for A, 0.75 for B, and 0.5 for C quality, which
318 also **match** the continental smoothing above. Other settings are the same **as those** in
319 Heidbach et al.(2010). Distance weighting $w_D = 1/D$ ($D = 25$ km when $D < 25$ km) is
320 applied, where D is the distance from the measured location to the grid point. The
321 criterion **for consistency** is also the standard deviation of orientations $SD \leq 25^\circ$. The

322 lower limit of the data records is $n \geq 5$, where n is the number of reliable data records
323 within the search radius. Starting with a search radius of 50 km around each grid point,
324 the radius is increased stepwise by 50 km up to 200 km, then stepwise by 100 km up
325 to 1000 km. The inhomogeneity scale equals the largest radius (r_{\max}), which fulfils all
326 criteria ($SD \leq 25^\circ$, $n \geq 5$), and all the data points within r_{\max} around the grid point are
327 used to calculate the mean $S_{H\max}$ orientation (Reiter et al., 2014; Heidbach et al.,
328 2010). The mean $S_{H\max}$ orientations are plotted based on the 0.5° grid, and
329 inhomogeneity scales are color coded based on a $0.5 \times 0.5^\circ$ grid in order to display
330 the inhomogeneity of the $S_{H\max}$ orientation in China and its adjacent areas.

331 **5.2 Mean stress orientations and inhomogeneity scales**

332 By applying the adjusted smoothing method with a varying search radius to the
333 6344 stress data points with A-C qualities, we obtained the mean $S_{H\max}$ orientations
334 and inhomogeneity scales for China and its adjacent areas (Fig. 5). Even though there
335 are some places where the stress data are insufficient to obtain reliable results, as
336 shown in the gray areas in Fig. 5, it is still evident that the stress pattern in China and
337 its adjacent areas seems quite inhomogeneous. Compared to the continental scale
338 smoothing map in Fig. 4, the $S_{H\max}$ orientations in Fig. 5 show the same trend of
339 clockwise rotation from the west to the east, and they also have different behaviors
340 around continental and oceanic boundaries.

341 Other than the similar features, Fig. 5 exhibits more details and the
342 inhomogeneity scale distribution of $S_{H\max}$ orientations. In some regions, including

343 regions I, II and III, the S_{Hmax} orientations show more **complex** variations on local
344 scales than the continental scale orientations. The small inhomogeneity scales in
345 regions I, II and III indicate the strong inhomogeneity for the stress pattern, while
346 regions IV and V have quite large inhomogeneity scales, which indicate the relative
347 homogeneity of their stress patterns.

348 Regions I and II are located around the two tectonic knots of the Indian-Eurasian
349 collision, and region III can be identified as the tectonic knot of **the** Philippine plate
350 subduction. Due to the tectonic knot effect, these three regions have extremely
351 inhomogeneous stress fields. Furthermore, this strong inhomogeneity of the stress
352 field results in an intense **topographic** variation and a **complex** active **fault** system (Fig.
353 1), and makes them the three regions with strongest tectonic movement and seismicity
354 in China and its adjacent areas, namely, the Hindu Kush-Pamir region, South-North
355 seismic zone, and the Taiwan region. The intense **topographic** variation in concert
356 with the **complex** active **fault** system, in turn, enhances the inhomogeneity of the
357 stress pattern to some extent.

358 In contrast, region IV is located in Northeast China and is separated by part of
359 the North American plate. Region IV is considerably far from the force source of the
360 Pacific plate subduction. The tectonic effect from the North American plate is
361 marginal, and both the North American plate boundary and the Pacific plate boundary
362 near Northeast China are quite flat. These factors from the plate boundary forces
363 make region IV liable to have a more homogeneous stress pattern on the first order. In

364 addition, region IV has very gentle topography and weak faulting, and this could be
365 seen as the second-order mechanism for the homogeneous stress pattern in this region.

366 Region V is located in the central part of **the** Tibetan plateau. It is not very far
367 from the Indian-Eurasian collision boundary – although, since the boundary line
368 nearby is quite flat, the plate boundary forces **are still relatively simple** here. Similar
369 to Northeast China, the central part of **the** Tibetan plateau also has gentle topography
370 and relatively weak faulting, and these two factors, together with the simple plate
371 boundary forces, make region V another region with a **relatively** homogenous stress
372 pattern.

373 The plate boundary forces, as the first-order mechanism, control the macro
374 features of the stress pattern in China and its adjacent areas. Different intensities of
375 the **topographic** variation and faulting were considered to be the second **or** third-order
376 mechanisms (Heidbach et al., 2010, 2007; Coblenz et al, 1998; Richardson, 1992;
377 Zoback, 1992), **resulting** in local variations that cause different inhomogeneity scales
378 of the stress pattern in China and its adjacent areas.

379 **5.3 Further Comparison between regions with inhomogeneous stress patterns**

380 Regions I, II and III seem to have a similar geodynamic interpretation for the
381 strong inhomogeneity of stress pattern, including the effects of the tectonic knot,
382 intense **topographic** variation and strong faulting activity. When examining these three
383 regions more closely, **however**, we find some differences. Taiwan (region III) lies
384 right on the tectonic knot of **the** Philippine plate, the Hindu Kush-Pamir region

385 (region II) extends approximately 500 kilometers from the **Himalayan west tectonic**
386 knot, while the South-North seismic zone (region I) extends a quite long distance
387 (over 1500 km) from the **Himalayan east tectonic** knot. Due to the significant
388 increasing in the distance from tectonic knot, it is reasonable to suggest that the
389 influence of the tectonic knot effect on stress inhomogeneity decreases successively
390 from regions III to region II to region I. This vast inhomogeneity in region I could not
391 be mostly explained by the tectonic knot effect. As revealed above and in previous
392 findings, the stress pattern in and around China is mainly influenced by the
393 Indian-Eurasian collision in the west and by the Pacific plate subduction and the push
394 from the Philippine plate in the east. In this condition, this vast inhomogeneity
395 suggests that the South-North seismic zone (region I) is the separation zone for the
396 western and eastern parts. The effects **on** different directions from the western and
397 eastern plate boundary forces are comparable, which makes the stress orientation
398 labile here. This interaction between the western and eastern boundary forces is
399 possibly the correct geodynamic interpretation for the vast inhomogeneity of the
400 stress pattern from the point of plate boundary force in this region.

401 **6. Discussion**

402 Both the smoothing results on the continental and local scales have stress
403 orientations with **different behaviors** around various types of plate boundaries.
404 Generally, S_{Hmax} orientations are almost perpendicular to the **strikes** around the
405 continental plate boundaries, but they are more **complex** around the oceanic plate

406 boundaries. Stress data with perpendicular or sub-parallel orientations co-exist, and
407 the smoothing results can also vary around different oceanic plate boundaries. **This**
408 **complexity** of stress orientations around oceanic plate boundaries is possibly **due** to
409 the different tectonic **positions** in the complex subduction system, such as island arcs,
410 trenches and back-arc basins. More specific studies on the stress environment around
411 subduction zones could help reveal and interpret these complicating factors. One
412 possible and incomplete cause for the continental and oceanic difference is the
413 different mechanical strength of the structure (Tingay et al., 2006). Tingay et al. (2006)
414 suggested that the S_{Hmax} orientation would be generally deflected sub-parallel to
415 mechanically ‘weak’ structures, but then could be deflected perpendicular to
416 mechanically ‘stiff’ structures. Compared to a continental plate boundary, there could
417 be more liquid around an oceanic plate boundary to lubricate the boundary and to
418 make it mechanically ‘weak’. However, since the subduction surface is
419 three-dimensional, paralleling the **strike** is not the only choice for paralleling the
420 subduction structure. Therefore, this cause does not totally explain the difference.
421 More specific and further studies on this difference are worthwhile and they could be
422 significant for identifying and explaining the **different behaviors** and mechanisms for
423 the stress pattern between continental and oceanic plate boundaries.

424 The stress data integration provides a decent dataset, but in some regions in
425 China, there are still few focal mechanism data points and also few stress
426 measurement data points. Consequently, it is difficult to obtain more details about the

427 stress environment in the regions **lacking data**. Adopting seismic data of small
428 earthquakes as well as carrying out more stress measurements would be useful and
429 meaningful to contribute to stress orientation data.

430 **7. Conclusions**

431 In this study, we **attempted** to integrate stress data and analyze the crustal stress
432 patterns for China and its adjacent areas. For the stress data integration, a total of
433 6089 raw stress data records from the latest China Stress Database were ranked and
434 standardized according to the latest criteria of the World Stress Map. The resulting
435 5643 stress data records were integrated into the 2016 WSM release. The enriched
436 database provides a solid basis for the crustal stress analysis of China and its adjacent
437 areas.

438 As for the crustal stress pattern analysis, with the 6344 stress data records with
439 A-C qualities from the integrated dataset (2016 WSM release), the patterns of stress
440 orientation on the continental and local scales, were analyzed using different
441 smoothing algorithms. The S_{Hmax} orientation in China rotates clockwise from **the** west
442 to **the** east, resulting in a fan-shaped crustal stress pattern on the continental scale. The
443 inhomogeneity scale distribution indicates that Northeast China and the central part of
444 **the** Tibetan plateau have large scale stress patterns, while the South-North seismic
445 zone, the Hindu Kush-Pamir region, and the Taiwan region have small scale stress
446 patterns in China and its adjacent areas. The results are interpreted from different
447 orders. The plate boundary forces are the first-order factors, and control the macro

448 features of the crustal stress pattern. The second-order mechanism comes from
449 **topographic** variation and faulting activity, and these factors lead to local variations of
450 the stress pattern. The vast inhomogeneity of the stress pattern around the
451 South-North seismic zone supports a separation zone for the western and eastern
452 boundary forces around China.

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458 data records, and we thank R. Yao for the assistance in the stress data collection. The
459 maps were generated through CASMI (Heidbach et al., 2007) and GMT software
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628

629 Fig. 1. The tectonic background of China and its adjacent areas. The topography
630 (SRTM15 PLUS) is color coded. The gray lines are the active faults (Deng, 2007).
631 The blue fault lines indicate the main plate boundaries around China (Xie et al., 2015).
632 The red arrows indicate the plate motion direction relative to the Eurasian Plate (Xie
633 et al., 2015).

634 **Table 1.** *Type and quality of the S_{Hmax} orientation data in China and its adjacent areas*
635 *(latitude 0-60°N, longitude 45°-155°E).*

636 Fig. 2 Stress map in China and its adjacent areas of the 6,344 stress data records with
637 A–C qualities excluding Possible Plate Boundary Events (PBEs). The bars represent
638 the orientations of maximum horizontal compressional stress S_{Hmax} , and the bar length
639 is proportional to quality. The colors indicate the stress regimes with red representing
640 normal faulting (NF), green representing strike-slip faulting (SS), blue representing
641 thrust faulting (TF), and black representing an unknown regime (U).

642 Fig. 3 Comparison between the **WSM database release 2008 and 2016** in China and its
643 adjacent areas. Fig. 3a) Stress data with A-C qualities excluding PBEs in WSM
644 **database release 2008**; Fig. 3b) Only new stress data with A-C qualities excluding
645 PBEs in WSM **database release 2016**. The symbols are the same as in Fig. 2.

646 **Table 2.** *Statistics of stress regime for 6344 A-C quality stress data in China and its*
647 *adjacent areas*

648 Fig. 4 Smoothed S_{Hmax} orientations on the continental scale and indicators of stress
649 field rotation in China and its adjacent areas. The thin red bars indicate the smoothed
650 S_{Hmax} orientations on the continental scale using a quality- and distance-weighted
651 algorithm (Müller et al., 2003). The search radius is $r = 500$ km, a minimum number
652 of neighbors $n_{min} = 5$, a minimum value of the sum of quality weight factors of the
653 nearest neighbors $s_q = 5$, and a minimum for the sum of the weight terms of the
654 nearest neighbors $s_w = 1$, within the search radius is requested for calculating the
655 mean stress orientation at a grid point. The solid red dotted bars represent the macro
656 feature of the smoothing result of the S_{Hmax} orientation in China. The blue fault lines
657 indicate the main plate boundary around China (Xie et al., 2015).

658 Fig. 5 Mean S_{Hmax} orientations and inhomogeneity scales in China and its adjacent
659 areas. The mean S_{Hmax} orientations are displayed through the black bars, indicating the
660 S_{Hmax} orientations on a $0.5 \times 0.5^\circ$ grid. The inhomogeneity scales equal to the
661 largest radii r_{max} , which fulfils the confidence criterion ($SD \leq 25^\circ$) and the data
662 record criterion ($n \geq 5$) are color coded. The gray areas are the areas without
663 reliable results. The blue fault lines indicate the main plate boundary around China
664 (Xie et al., 2015). I~V and white rectangles indicate distinctive regions.

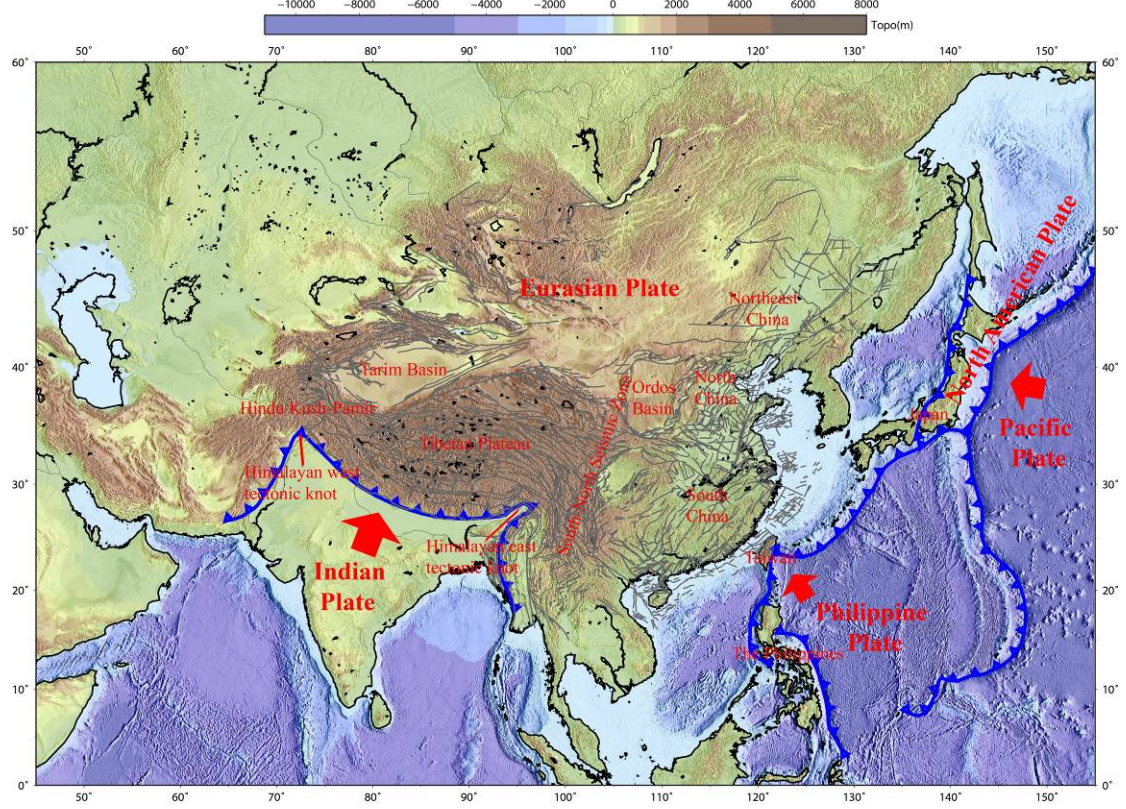


Fig. 1. The tectonic background of China and its adjacent areas.

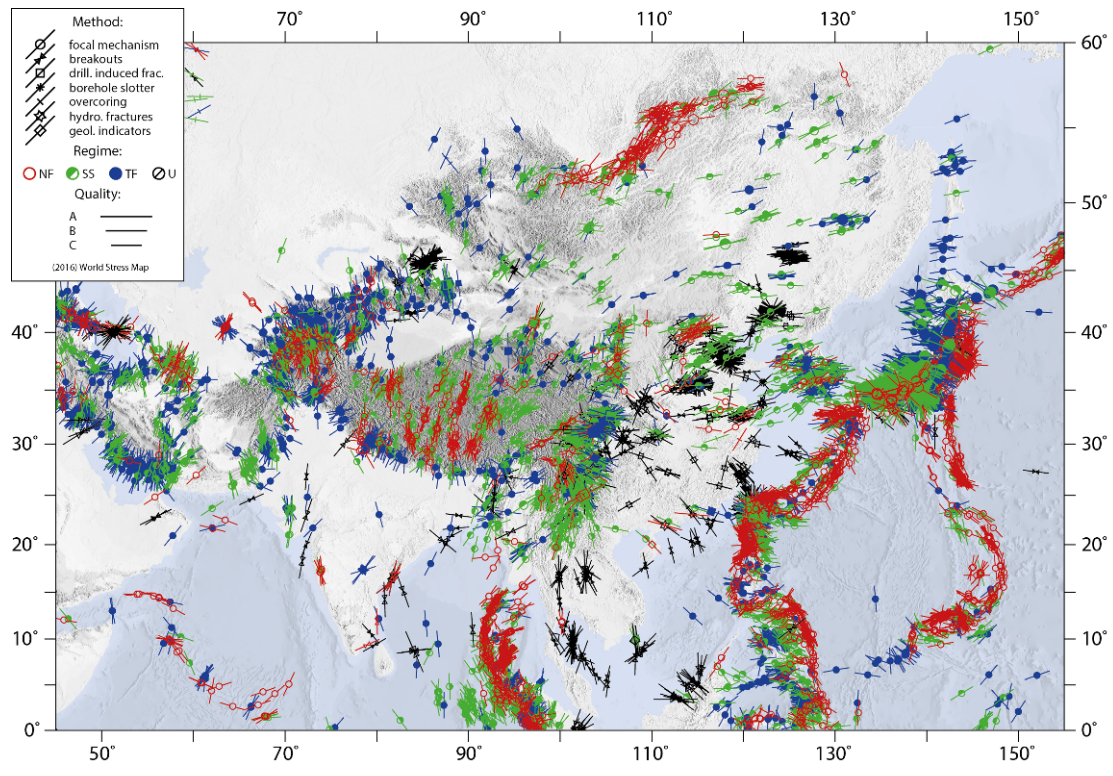


Fig. 2 Stress map in China and its adjacent areas based on the 2016 WSM release using the 6,344 stress data records with A–C qualities excluding Possible Plate Boundary Events (PBEs).

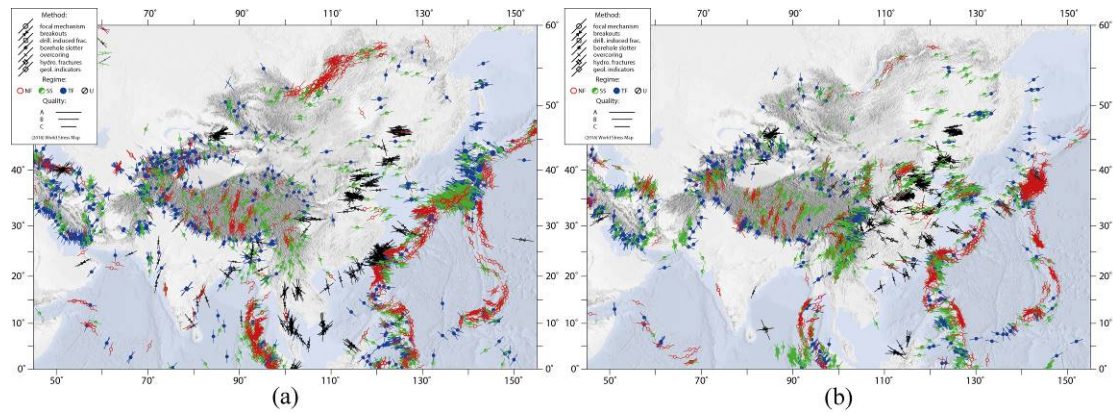


Fig. 3 Comparison between the 2008 WSM release and the 2016 WSM release in China and its adjacent areas.

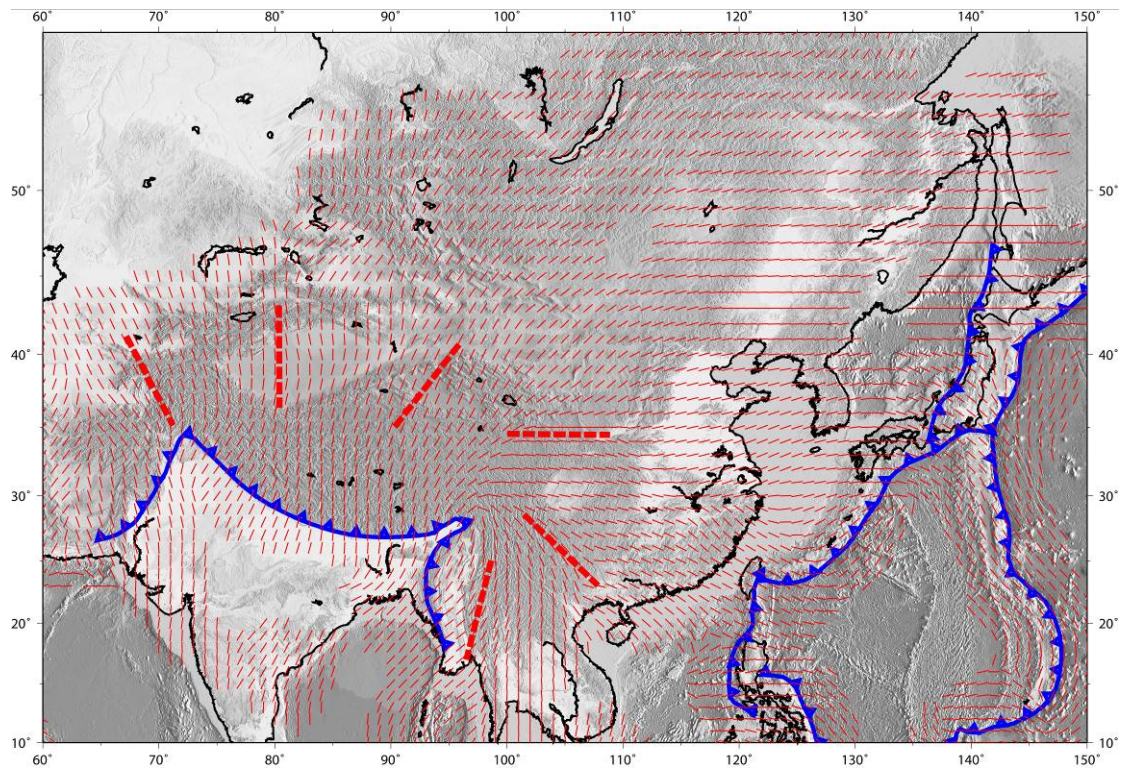


Fig. 4 Smoothed S_{Hmax} orientations on the continental scale and indicators of stress field rotation in China and its adjacent areas.

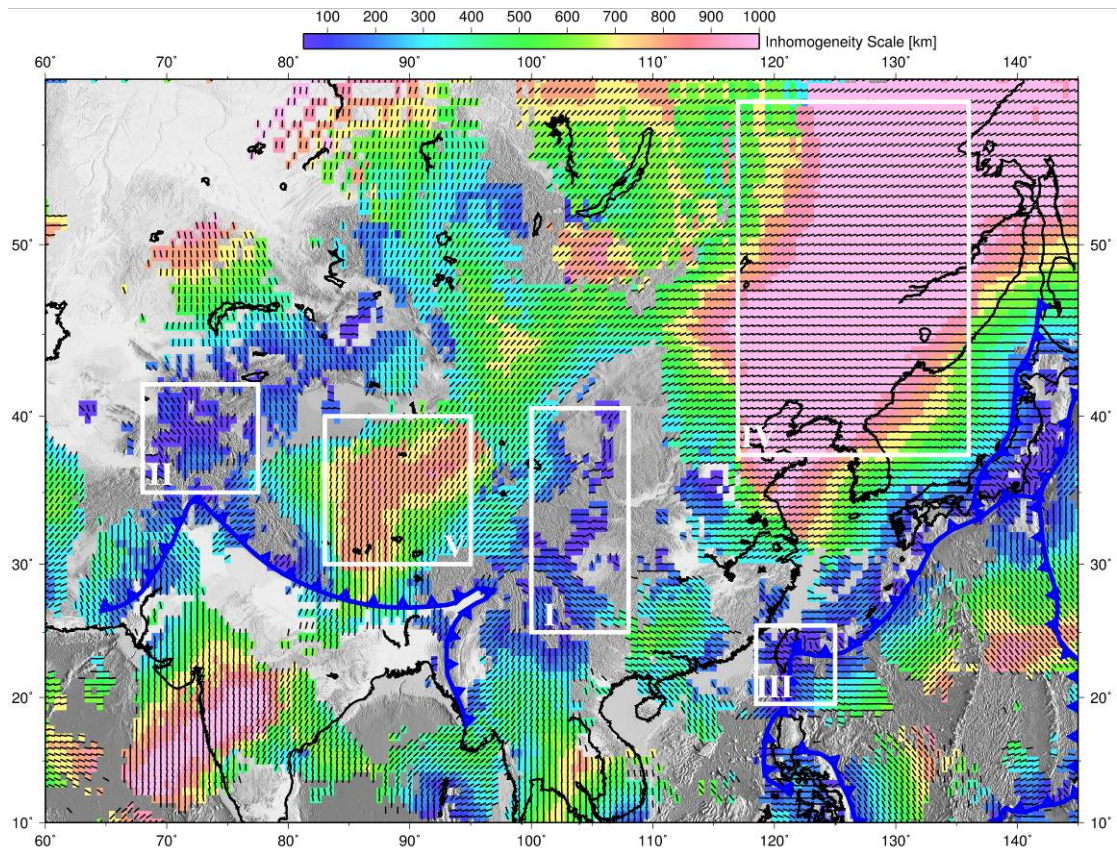


Fig. 5 Mean S_{Hmax} orientations and inhomogeneity scales in China and its adjacent areas.