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1 **RADIOCARBON DATING OF A SPELEOTHEM RECORD OF**
2 **PALAEOCLIMATE FOR ANGKOR, CAMBODIA**

3

4 Quan Hua¹, Duncan Cook², Jens Fohlmeister^{3,4}, Dan Penny⁵, Paul Bishop⁶, Solomon
5 Buckman⁷

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7 ¹Australian Nuclear Science and Technology Organisation, Locked Bag 2001, Kirrawee
8 DC, NSW 2232, Australia. Corresponding author, email: qhx@ansto.gov.au

9 ²National School of Arts, Australian Catholic University, Locked Bag 2002, Strathfield,
10 NSW 2135, Australia

11 ³Institute of Earth and Environmental Science, University of Potsdam, Karl-Liebknecht
12 Str. 24-25, 14476 Potsdam, Germany

13 ⁴GFZ German Research Centre for Geosciences, Section 5.2 Climate Dynamics and
14 Landscape Evolution, Telegrafenberg Building C, D-14473 Potsdam, Germany

15 ⁵School of Geosciences, Madsen F09, University of Sydney, NSW 2006, Australia

16 ⁶School of Geographical and Earth Sciences, East Quad, University of Glasgow,
17 Glasgow G12 8QQ, UK

18 ⁷School of Earth and Environmental Sciences, University of Wollongong, NSW 2522
19 Australia

20

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25

26 ABSTRACT

27 We report the chronological construction for the top portion of a speleothem, PC1, from
28 southern Cambodia with the aim of reconstructing a continuous high-resolution climate
29 record covering the fluorescence and decline of the medieval Khmer kingdom and its
30 capital at Angkor (~9th-15th centuries AD). Earlier attempts to date PC1 by the standard
31 U-Th method proved unsuccessful. We have therefore dated this speleothem using
32 radiocarbon. Fifty carbonate samples along the growth axis of PC1 were collected for
33 AMS analysis. Chronological reconstruction for PC1 was achieved using two different
34 approaches described by Hua et al. (2012a) and Lechleitner et al. (2016a). Excellent
35 concordance between the two age-depth models indicates that the top ~47 mm of PC1
36 grew during the last millennium with a growth hiatus during ~1250-1650 AD, resulting
37 from a large change in measured ¹⁴C values at 34.4-35.2 mm depth. The timing of the
38 growth hiatus covers the period of decades-long droughts during the 14th-16th centuries
39 AD indicated in regional climate records.

40

41 INTRODUCTION

42 Angkor was the capital district of the medieval Khmer kingdom in southeast (SE) Asia,
43 flourishing from approximately the 9th to the 15th centuries AD. The emergence,
44 expansion, and demise of Angkor have been studied intensively for many decades.
45 While the probable significance of climate variability in ancient Cambodian society is
46 understood, the lack of high-resolution palaeoclimate data from Cambodia has meant
47 that a rigorous analysis of what role climate played in the fluorescence and demise of
48 the Khmer kingdom has not been possible (Buckley et al. 2010). The Indochina
49 Peninsula, unlike the better-known South and East Asian regions (Penny 2002),
50 provides very few palaeoclimate data sets capable of testing hypothesised links between
51 historic societal change and climate variability. Archaeologists and historians (eg,
52 Lieberman 2009) have instead relied on palaeoclimate data from as far away as India
53 and China to understand late Holocene climate in mainland SE Asia, despite the well-
54 known complexity in the expression of the monsoon in these regions (e.g., Sinha et al.
55 2011). Recently, an annually resolved record of tree rings from a mountainous region of

southern Vietnam has provided detailed climatic information back to the mid-13th century AD, revealing periods of weaker summer monsoon rainfall coincident with the demise of the massive agrarian Khmer kingdom and its capital during the 15th century AD (Buckley et al. 2010). Gridded models based on these data and a network of other tree-ring records from the region (Cook et al. 2010) have been used to project the timing, extent and amplitude of drought over central Indochina. There remains, however, no comparable high-resolution data from the lowlands of Cambodia itself with which to validate these projections.

We have studied a speleothem, PC1, from southern Cambodia with the aim of reconstructing a continuous high-resolution climate record based on stable isotopic composition of stalagmite calcite, covering the entire Angkor period (circa 9th-15th centuries AD). The U-Th dating method is usually employed to build precise and reliable chronologies for speleothems (Hellstrom 2003; Cheng et al. 2008). However, a pilot study that attempted to date PC1 by the standard U-Th method was unsuccessful, resulting in age reversals due to suspected multiple sources of non-authigenic ²³⁰Th. This problem is commonly encountered when dating tropical speleothems (Beck et al. 2001; Partin et al. 2007; Hua et al. 2012a). Radiocarbon can be used as an alternative method for dating of speleothems. This dating method requires knowledge of the dead carbon fraction (DCF), a radiocarbon reservoir effect for speleothems resulting from the contribution of “dead” carbon free of ¹⁴C from bedrock and aged soil organic matter (SOM) above the cave system. Temporal variations in DCF have been reported by several researchers (e.g., Genty et al. 2001; Griffiths et al. 2012; Noronha et al. 2014), but if DCF variability in a speleothem is properly constrained, a reliable ¹⁴C-based chronology is achievable (Genty et al. 1999; Hua 2009; Hua et al. 2012a). In an alternative approach, age-depth modelling with ¹⁴C-dated stalagmites is possible without prior knowledge of DCF, but requires the assumption of a quasi-constant growth rate (Lechleitner et al. 2016a). In this paper, we use radiocarbon to generate a chronology for the top 51 mm of PC1.

MATERIAL AND METHODS

86 PC1 was collected in January 2007 in growth position ~280 m from the entrance of an
87 unnamed cave on the northwest slopes of Phnom Chngauk, a limestone hill that rises
88 130 m above the alluvial plains northeast of Kampot in southern Cambodia
89 ($10^{\circ}38'40''\text{N}$, $104^{\circ}16'20''\text{E}$; see Fig. 1). Thin, well-drained calcareous lithosols are
90 found on the slopes above the cave, while the steeper slopes and ridge crests of the hill
91 are largely exposed limestone characterised by a variety of subaerially formed rock-
92 solution sculpture (karren). The Kampot region is in the coastal hinterland landward of
93 the Gulf of Thailand and is characterised by a tropical monsoon climate with an average
94 annual rainfall of ~1998 mm. The wet season from mid-May to October is driven by the
95 southwest monsoon delivering moisture to the region from the Gulf of Thailand, and the
96 dry season is from November to April, with drier and cooler air flowing over the region
97 from the northeast monsoon. April is on average the warmest month with an average air
98 temperature of 33°C while the coolest temperatures are typically recorded in December
99 and January with an average temperature of 23°C . PC1 is a stalagmite (~490 mm in
100 length, 5.4 kg by weight) composed of porous, white/brown calcite (Fig. 2a). Although
101 cave drip precipitation onto the speleothem and adjacent cave floor appeared recent with
102 precipitates being shiny and translucent, the timing of the collection of PC1 in the
103 middle of the dry season (January) might mean that the hydrological network was
104 inactive and no active drips were observed within the cave.

105 In this paper we focus on the top 51 mm of PC1. In this part of the stalagmite, two small
106 sections at depths (distance from tip) 34.4–35.2 mm (section 1) and 47.2–49.4 mm
107 (section 2) are observably more powdery and less dense than the surrounding calcite
108 matrix. These sections, both with clear upper and lower boundaries, might represent
109 distinct periods of slow growth or growth hiatuses. To better understand the growth
110 patterns of the stalagmite through these two sections, a 40 μm -thick plate of the top ~65
111 mm of PC1 (Fig. 2b) was prepared for thin-section and scanning electron microscope
112 (SEM) examination. We used standard SEM imaging on a JEOL JSM-6490LV variable
113 pressure SEM with a 15 kV conventional tungsten filament thermionic source. This
114 analysis was completed through the AZtecSynergy and AZtecEnergy software suites at
115 the Electron Microscopy Centre (EMC) at the University of Wollongong. We used an
116 Oxford Instruments 80mm² X-Maxn SDD EDS to complete semi-quantitative

117 elemental analysis of spots and compositional mapping, enabling us to identify the
118 major element concentrations of growth bands through these two sections.

119 The uppermost layer of ~0.2 mm thick at the tip of PC1 was degraded and peeled off
120 from the stalagmite. A Micromill 2000 LE-ER system with a 0.5-mm tungsten carbide
121 milling bit was used to collect carbonate powders along the growth axis of PC1 for
122 accelerator mass spectrometry (AMS) ^{14}C analysis. PC1 was milled at 200- μm intervals
123 from 0.2 mm to 51.2 mm depth (see Fig. 2b). In the top 39.8 mm, 3-4 mg of carbonate
124 powder for each sample was collected. However, only ~0.5-2 mg of carbonate powder
125 per sample was obtained for the depths of 39.8-51.2 mm due to changes in the direction
126 of growth layers below the surface of the sampling track. A total of 50 carbonate
127 samples were reacted with 85% H_3PO_4 on a hot block at 90°C for 1hr and the evolved
128 CO_2 was then converted to graphite using the Fe/ H_2 method (Hua et al. 2001). AMS ^{14}C
129 measurement was carried out using the STAR Facility at ANSTO (Fink et al. 2004)
130 with a typical analytical precision of better than 0.4% (1σ). Radiocarbon content of the
131 stalagmite samples, after correction for machine background, procedural blank and
132 isotopic fractionation using measured $\delta^{13}\text{C}$, is reported as percent modern carbon (pMC;
133 Stuiver and Polach 1977).

134 The chronological reconstruction for PC1 was performed using two different
135 approaches. The first approach, described by Hua et al. (2012a), estimated a
136 “maximum” range of pre-bomb DCF. To cover possible changes in DCF over a short
137 period of time (e.g., the last ~1-2 ka), a constant DCF value with variation at double the
138 estimated pre-bomb DCF range was used to correct the speleothem radiocarbon
139 reservoir effect. A chronology of PC1 was built based on a series of DCF-corrected ages
140 using the Bayesian OxCal P_sequence deposition model (Bronk Ramsey 2008). The
141 second approach (Lechleitner et al. 2016a) reconstructed the chronology by calculating
142 the best-fit growth rate between a sequence of PC1 ^{14}C data and a terrestrial radiocarbon
143 calibration curve, and anchoring the estimated growth rate to a point of known age. This
144 method does not depend on any prior knowledge or estimate of the DCF. However, it
145 requires that speleothem DCF is stationary but not necessarily constant and stalagmite
146 growth can be well approximated with a constant rate.

147

148 RESULTS

149 The AMS ^{14}C results are reported in Table 1 and illustrated in Fig. 3. The ^{14}C content is
150 ~ 58 pMC at depth ~ 48 – 51 mm then abruptly increases to ~ 82 pMC at depth ~ 47 mm.

151 The value varies around 82 pMC between ~ 47 and ~ 37 mm in depth, then slightly
152 increases to 85 pMC at depth 35.3 mm and to ~ 90 pMC at depth 34.5 mm. The ^{14}C
153 content then fluctuates around 90–91 pMC between 34.5 and 9.7 mm in depth before
154 rising again and finally reaching a maximum value of ~ 103 pMC at 0.3 mm depth. In
155 general, the ^{14}C content increases upwards in the stalagmite as expected.

156 Large changes in the ^{14}C content over sections 1 and 2 are observed (Fig. 3 and Table
157 1). For section 1 (34.4–35.2 mm depth), the values of the two samples across the upper
158 boundary (OZT930 outside section 1 of 89.94 ± 0.28 pMC and OZU220 inside section
159 1 of 90.32 ± 0.29 pMC) agree well with each other within 1σ uncertainties. Similarly,
160 there is good agreement within 1σ uncertainties for the two samples across the lower
161 boundary (OZU221 inside section 1 of 85.33 ± 0.28 pMC and OZT931 outside section 1
162 of 85.07 ± 0.26 pMC). For section 2 (47.2–49.4 mm depth), good concordance within 1σ
163 uncertainties is observed for the two samples across the upper boundary (OZT937
164 outside section 2 of 82.30 ± 0.35 pMC and OZU222 inside section 2 of 82.12 ± 0.33
165 pMC). In addition, the ^{14}C content of sample OZU223, collected at depth 48.3 mm in
166 the middle of section 2, of 58.68 ± 0.29 pMC is slightly higher than that of sample
167 OZT943 at depth 49.5 mm just outside section 2 of 57.29 ± 0.29 pMC as the two values
168 do not overlap within 2σ uncertainties. These ^{14}C data might indicate that there are
169 continuous growths across the boundaries of the two sections.

170 However, thin-section and SEM examination (Fig. 4) reveals that these sections are not
171 periods of continuous growth and are actually growth hiatuses. Figs. 4a–b show a dark-
172 coloured band of section 1 (marked as h1 in the figures), composed of organic-rich,
173 lower density deposition that must have accumulated during years of little or no drip
174 water and calcite deposition. SEM examination of the upper boundary of section
175 1 confirms the dominance of dark-coloured carbon-rich material inside the section
176 (spectrum 4) close to the end of the growth hiatus (Figs. 4c–d). In contrast, denser, light-
177 coloured carbonate material deposited above h1 (spectrum 1) marks the cessation of the
178 growth hiatus and most likely records a return to more humid conditions and (more)

179 continuous drip water flow (Figs. 4c,e). Thin-section and SEM examination of section 2
180 showed similar findings (data are not shown). These results do not conflict the ^{14}C data,
181 which show good agreement across the boundaries of the two hiatus sections as
182 discussed above, because although less abundant carbonate still occurs within these
183 sections (see Figs. 4c-d). This implies that reliable information on speleothem growth
184 patterns and hiatuses can only be achieved by the combination of thin-section and SEM
185 examination and dating (e.g., ^{14}C data).

186 The observed pMC increases in the top 8.9 mm of PC1 (from 92 to ~103 pMC) might
187 indicate an invasion of bomb radiocarbon (Fig. 3), which is due to atmospheric nuclear
188 testing mostly in the late 1950s and early 1960s (Levin and Heshshaimer 2000; Hua and
189 Barbetti 2004). The shape of the bomb curve in PC1, with two small peaks at 5.9 and
190 3.3 mm depth superimposed on a long-term increase in the ^{14}C content, is not
191 commonly observed in previous work (Hodge et al. 2010; Hua et al. 2012a; Noronha et
192 al. 2015 and references therein). There are 3 depths, which are possible to represent the
193 onset of bomb ^{14}C in PC1: 1.3, 5.9 and 8.9 mm.

194 The first possibility means that the bomb radiocarbon is recorded only in top 1.3 mm of
195 PC1 because of a fast ^{14}C increase from 93 to ~103 pMC. If this option were the case,
196 the two pre-bomb short-term ^{14}C variations peaked at 5.9 and 3.3 mm depth (likely
197 spanning the period ~1940-1955) would be due to DCF changes related to changes in
198 hydrology (Fohlmeister et al. 2010; Griffiths et al. 2012; Noronha et al. 2014;
199 Lechleitner et al. 2016b). One would therefore expect large decreases in rainfall at the
200 study site during ~1940-1955 (relative to the previous time interval) in order to generate
201 DCF decreases and consequently speleothem ^{14}C increases from ~92 pMC at depth 6.5-
202 8.9 mm to ~93-94.5 pMC at depth 1.7-5.9 mm. However, rainfall data from Cambodia¹
203 indicate the period of ~1940-1955 is ~2-16% higher than the 1900-2012 annual average
204 value. This together with the likely short soil/karst residence time of cave drip water

205 -----

206 ¹Rainfall data were taken from the Climate Change Knowledge Portal
207 ([http://sdwebx.worldbank.org/climateportal/index.cfm?page=downscaled_data_download&men](http://sdwebx.worldbank.org/climateportal/index.cfm?page=downscaled_data_download&menu=historical)
208 [u=historical](http://sdwebx.worldbank.org/climateportal/index.cfm?page=downscaled_data_download&menu=historical)).

from the literature, which is less than 1 year (Johnson et al. 2006; Noronha et al. 2015) to no more than 3-4 years at maximum (e.g., Kluge et al. 2010), suggests that ^{14}C increases at 1.7-9.7 mm depth are not related to DCF changes. In addition, the onset of bomb ^{14}C at 1.3 mm depth requires a large reduction in the growth rate for the top 1.3 mm of PC1 (1.3mm/50 yr = 0.026 mm/yr) compared to that for the previous section of 1.7-34.4 mm depth of 0.049-0.068 mm/yr derived from the two dating approaches (Hua et al. 2012a; Lechleitner et al. 2016a) mentioned above. This substantial growth rate change is unlikely because there are no obvious changes in the texture of PC1 in the top 34.4 mm. Such evidence suggests that the placement of the onset of bomb radiocarbon at 1.3 mm depth is very unlikely.

The other 2 options with the onset of bomb radiocarbon at 5.9 and 8.9 mm depth indicate that the bomb curves in PC1 are very similar to the recently published bomb curve in an U-Th dated stalagmite (CRC-3) from southern California (McCabe-Glynn et al. 2013), which shows a small and gradual ^{14}C increase between 1955 and 1960 followed by a large and sharp rise in ^{14}C around 1990. McCabe-Glynn et al. (2013) mentioned that contribution from a large proportion of SOM with slow turnover time led to their speleothem ^{14}C record that was damped and lagged relative to the atmosphere. We ran a simple carbon cycle mixing model described in Fohlmeister et al. (2011) and Griffiths et al. (2012) to see whether the model can reproduce the PC1 bomb data. The modelled ^{14}C data fit our measured ^{14}C values for PC1 well (see Fig. A1), indicating that the onset of bomb ^{14}C at 5.9 and 8.9 mm depth are both possible. In both options, the contribution of slow SOM reservoirs to PC1 is dominant (94% of 130 year-old SOM reservoir for the 5.9 mm option and 86% of 80 year-old SOM reservoir for the 8.9 mm option). This result is similar to the finding of McCabe-Glynn et al. (2013) for stalagmite CRC-3 mentioned above.

For the 2nd option with bomb ^{14}C onset at 5.9 mm depth, the speleothem growth rate for the post-bomb is 0.118 mm/yr (5.9 mm/50 yr), which is similar to that for the previous section of PC1 (5.9-34.4 mm depth) of 0.087-0.102 mm/yr based on the two dating methods (Hua et al. 2012a; Lechleitner et al. 2016a; see discussion later). While the growth rate for the 3rd option with bomb ^{14}C onset at 8.9 mm depth is 0.178 mm/yr (8.9 mm/50 yr) for the post-bomb, which is much higher than that for the previous section of

PC1 (8.9-34.4 mm depth) of 0.092-0.127 mm/yr derived from the two dating methods (data are not shown). These estimated growth rates for the top 34.4 mm, which shows no obvious changes in the calcite texture of PC1, suggest that the onset of bomb ^{14}C at 5.9 mm depth is more likely. This, however, cannot completely rule out the 3rd option with the onset of bomb ^{14}C at 8.9 mm depth.

245

The radiocarbon results indicate the following patterns of growth in the top 51 mm of PC1 (Fig. 3):

- A period of growth hiatus within section 2 (47.2-49.4 mm depth),
- A period of almost constant growth rate between 47.2 and 35.2 mm depth,
- Another short period of growth hiatus within section 1 (34.4-35.2 mm depth), and
- A period of growth in the top 34.4 mm with an almost constant rate.

253

254 CHRONOLOGIES FOR PC1

Dated speleothems based on laminae counting (compared to U-Th dated speleothems) are more appropriate for the precise determination of the timing of the onset of bomb ^{14}C in speleothems due to small uncertainties associated with the counting. We revisited five relevant dated stalagmites from the Northern Hemisphere based on laminae counting that their bomb ^{14}C data were published, including two (Han-stm5b and Fau-stm14) from Genty et al. (1998) and Genty and Massault (1999), one (Gib04a) from Matthey et al. (2008), one (Obi84) from Smith et al. (2009), and one (HS4) from Noronha et al. (2015). The timing of the onset of bomb ^{14}C in these speleothems is in the range of 1955-1959 or 1957 ± 2 , indicating a negligible time lag between the onset of bomb ^{14}C in speleothems and that in the atmosphere, which occurs in 1955 for the Northern Hemisphere (Hua and Barbetti 2004; Hua et al. 2013). This is because there is a fast component (e.g., root respiration and fast SOM decomposition) in the soil-to-speleothem carbon transfer system (Genty and Massault 1999), which quickly transfers contemporary ^{14}C into speleothems.

269 The likely onset of bomb ^{14}C in PC1 is at 5.9 mm in depth (sample OZR170; see Fig. 3
270 and Table 1) and its timing is 1957 ± 2 AD. This timing is necessary for both
271 chronological modelling approaches because it indicates the depth of pre-bomb samples
272 for the estimation of pre-bomb DCF in the first approach and serves as an anchor point
273 in the second approach.

274 Atmospheric ^{14}C over tropical regions in SE Asia is a result of Northern and Southern
275 Hemisphere air-masses mixing via the monsoon systems (Hua et al. 2004a, 2004b,
276 2012b; Hua and Barbetti 2007). In particular, atmospheric ^{14}C for Thailand in the
277 Northern Hemisphere is strongly influenced by the entrainment of Southern Hemisphere
278 air parcels during the southwest Asian monsoon, when the Inter-Tropical Convergence
279 Zone moves northwards. Such atmospheric transport and mixing result in a regional ^{14}C
280 offset for Thailand (with Thailand being younger than the Southern Hemisphere of $21 \pm$
281 6 yr on average for the period AD 1620-1780; Hua et al. 2004b), which neighbours our
282 study site in southern Cambodia. We therefore used the SHCal13 calibration data (Hogg
283 et al. 2013) with this small offset of -21 ± 6 yr for the chronological development of
284 PC1, consistent with recent work on ^{14}C chronologies for Cambodia (Beavan et al.
285 2012; Hendrickson et al. 2013, 2017; Pryce et al. 2014; Leroy et al. 2015; Hall et al.
286 2016).

287

288 **First approach – Hua et al. (2012a)’s method**

289 Genty et al. (1999) reported paired U-Th and ^{14}C analyses for two Holocene stalagmites
290 from Europe and first discussed the potential use of radiocarbon with average DCF over
291 time, derived from the paired U-Th and ^{14}C samples, for dating speleothems. Hua et al.
292 (2012a) went further to develop a method of reliable dating of young speleothems of
293 ~ 1 -2 ka old using radiocarbon. This method employs a constant pre-bomb DCF similar
294 to that used by Genty et al. (1999). However, in Hua et al.’s method uncertainty
295 associated with constant DCF value is enlarged and the relative variation in the DCF is
296 considered large enough (see discussion later in this section) to cover possible temporal
297 variability in DCF for a short period of time. In addition, Hua et al. (2012a) uses a dense
298 sequence of ^{14}C samples and Bayesian statistical models (e.g., OxCal and/or Bacon;

299 Bronk Ramsey 2008; Blaauw and Christen 2011) to build reliable ^{14}C chronologies for
 300 young speleothems.

301 DCF of a speleothem sample was calculated using the following equations

$$302 \quad \text{DCF} = \left(1 - \frac{A_{\text{spel. initial}}}{A_{\text{atm. initial}}}\right) * 100\% \quad (1)$$

303 where respective initial radiocarbon contents of the speleothem and the atmosphere are
 304 given by:

$$305 \quad A_{\text{spel. initial}} = A_{\text{spel}} / e^{-\lambda t} \quad (2)$$

$$306 \quad A_{\text{atm. initial}} = A_{\text{atm}} / e^{-\lambda t} \quad (3)$$

307 where $\lambda = 1/8267 \text{ yr}^{-1}$ is the decay constant of radiocarbon

308 From (1), (2) and (3)

$$309 \quad \text{DCF} = \left(1 - \frac{A_{\text{spel}}}{A_{\text{atm}}}\right) * 100\% \quad (4)$$

310 where A_{spel} is measured pMC value of a speleothem sample at a given time t and A_{atm} is
 311 its associated atmospheric pMC value.

312 The average pre-bomb DCF value for the top portion of PC1 was determined using
 313 equation (4) with A_{spel} being the weighted mean value of the ^{14}C content of thirteen
 314 samples from 6.5 to 14.7 mm depth (see Table 1) and A_{atm} representing the weighted
 315 mean value of contemporaneous atmospheric ^{14}C . The top 5.9 mm of PC1, where the
 316 bomb radiocarbon signal is observed, covers a period of 50 yr from 1957 to 2007. If
 317 there are similar growth rates for the top 14.7 mm of PC1, the timing of sample
 318 OZT195 at 14.7 mm is ~ 1880 AD. We, however, calculated A_{atm} for a much longer
 319 time period spanning between 1800 and 1950AD (instead of 1880-1950 AD based on
 320 similar growth rates) in order to obtain a larger spread for A_{atm} and consequently a
 321 “maximum” range of estimated pre-bomb DCF for PC1. The pre-bomb DCF value was
 322 in the range of 6.6-8.0% (1σ) or $7.3 \pm 0.7\%$ (1σ) calculated from A_{spel} of 91.28 ± 0.65
 323 pMC and A_{atm} of 98.46 ± 0.20 pMC. This estimated DCF range was very similar if
 324 different A_{atm} values spanning between 20 years (1930-1950 AD) and 200 years (1750-

1950 AD) before 1950 AD were used, confirming the robustness in our approach in estimating the “maximum” pre-bomb DCF range for PC1.

A constant pre-bomb DCF for PC1 $7.3 \pm 1.4\%$ (1σ), with double the variation (i.e., twice the calculated uncertainty of 0.7%), was used for speleothem ^{14}C age correction. This approach aims to cover possible temporal DCF variability for PC1 for a short period of time (e.g., ~1000 yr in this study) in order to obtain an age-depth model for PC1 agreeing with its true ages within modelled age uncertainties. In addition, the pre-bomb DCF value for PC1 of $7.3 \pm 1.4\%$, indicating a relative variation in this DCF of $(1.4/7.3) \times 100\% = 19.2\%$. In most cases (15 out of 17), this relative DCF variation is higher than those of previously published speleothem DCF over different (and mostly longer) time periods (see Table 2). This comparison indicates that variability of the pre-bomb DCF value for PC1 is large enough to cover possible temporal changes in DCF for short period of time of ~1000 years, implying reliable radiocarbon dating of PC1 based on this method.

Chronological reconstruction for PC1 was achieved using the estimated timing of the onset of bomb ^{14}C in PC1 at 5.9 mm depth of 1957 ± 2 and DCF-corrected ^{14}C ages, and the OxCal P_sequence with variable accumulation rate k (Bronk Ramsey and Lee 2013) taking into account growth stop for sections 1 and 2. Age-depth modelling results are shown in Figs. 5a-b with a model agreement index (A_{model}) of 154%, much higher than the accepted level of 60% (Bronk Ramsey 2008).

Second approach - Lechleitner et al. (2016a)’s method

This approach establishes a relationship between radiocarbon decay (regular decreases in the ^{14}C content with depth) and the long-term stationary speleothem growth rate, which can be expressed by the following equations:

$$\ln(A_{\text{spel}}/A_{\text{spel. initial}}) = -\lambda t \quad (5)$$

or

$$\ln(A_{\text{spel}}/A_{\text{spel. initial}}) = -\lambda * d/R \quad (6)$$

353 where d is depth and R is the long-term growth rate.

354 The radiocarbon chronology of a speleothem is built based on an anchor point of known
355 age and an R estimated using an iterative, MATLAB-based process taking into account
356 variations in atmospheric ^{14}C (Lechleitner et al. 2016a). Thus, this method is not
357 dependent on any *a priori* knowledge or estimate of the DCF. In addition, variations in
358 the DCF, which could also be large, are allowed, providing that stationarity of DCF is
359 assumed. The DCF values will be calculated after the age-depth model is established.

360 We ran the MATLAB program described in Lechleitner et al. (2016a) with an anchor
361 point (OZR170 at 5.9 mm depth) of 1957 AD and taking into account a growth hiatus
362 for section 1. An age-depth model for the top 47.2 mm of PC1, based on this approach,
363 is also shown in Fig. 5b.

364

365 DISCUSSION

366 The chronology based on the first approach indicates that the top 51 mm of PC1 grew in
367 the past ~4300 yr with a period of growth hiatus during ~2000 BC-1050 AD at ~47-49
368 mm depth, and a much shorter hiatus of ~1250-1670 AD at 34.4-35.2 mm depth (Figs.
369 5a-b). We are particularly interested in the top ~47 mm of PC1 because it incorporates
370 the Angkor Period (~9th-15th centuries AD) and the subsequent “Middle Period” (15th-
371 19th centuries AD). In addition, our model assumption of a constant DCF can be met for
372 a short time span (the last ~1000 yr) and consequently a reliable chronology for this
373 speleothem portion can be achieved.

374 Excellent agreement between the two age-depth models within 1σ uncertainties is
375 observed for the top ~47 mm of PC1 (Fig. 5b). For the younger portion (5.9-34.4 mm
376 depth), uncertainties associated with the chronology based on the second approach are
377 much smaller than those derived from the first approach, but the reverse is observed for
378 older portion (35.2-47.2 mm). The reason for more precise chronology produced by the
379 second approach for the younger portion of PC1 is the well-defined decay fit of the data.
380 This is only possible, if DCF variations are small compared to the amount of
381 radiocarbon lost due to decay. In contrast, the larger age uncertainty of the second

method (compared to that of the first approach) can be explained by the calibration step of the DCF-corrected radiocarbon values necessary for the older portion, in which a well-defined anchor point is missing. The bunch of resulted calibrated ages gives a large range of possibilities for the line of constant growth derived from the decay fit. Thus, the need to replace the missing anchor point this way produces larger uncertainties.

The constant DCF value used in the first approach of $7.3 \pm 1.4\%$ (1σ) is not different from the average DCF values by the second approach, which were calculated after the establishment of the age-depth relationship, of $7.3 \pm 0.7\%$ (1σ) and $7.3 \pm 1.1\%$ (1σ) for the younger and older portions, respectively. Average growth rates estimated from the two approaches are also similar. For the older portion (35.2-47.2 mm depth), average growth rates are 0.060 ± 0.022 and 0.058 ± 0.024 mm/yr derived from the first and second methods, respectively. For the younger portion (5.9-34.4 mm depth), these values are 0.102 ± 0.026 and 0.087 ± 0.010 mm/yr, respectively, which are similar to the average growth rate for the post-bomb portion (the top 5.9 mm) of 0.118 mm/yr (5.9 mm/50 yr). These results demonstrate that if speleothem growth rate and DCF do not largely change with time, good concordance between the two dating approaches can be achieved.

The age-depth models shown in Fig. 5b and the growth rates discussed above indicate that the PC1 portion of 35.2-47.2 mm depth grew during ~1050-1250 AD and the top 34.4 mm portion grew faster between ~1650 (1670 and 1630 based on the first and second approaches, respectively) and 2007 AD. These two periods of growth were interrupted by a growth hiatus within section 1 (34.4-35.2 mm depth) during ~1250-1650 AD with 1σ uncertainties of ~100 years. The duration of this growth hiatus covers the period of the decades-long droughts in the region around 1360, 1410 and 1480 AD (Fig. 6; Sinha et al. 2011), which is based on speleothem $\delta^{18}\text{O}$ records from Dandak Cave in central-eastern India (Sinha et al. 2007; Berkelhammer et al. 2010) and Wanxiang Cave in central China (Zhang et al. 2008), and the Palmer Drought Severity Index (PDSI) reconstruction from Bidoup Nui-Ba National Park (BDNP) tree rings from southern Vietnam (Buckley et al. 2010). The 14th and early 15th century droughts, punctuated by a brief “pluvial” episode at the end of the 14th/start of the 15th century, has been implicated in the demise of Angkor during the 15th century AD (Buckley et al.

2010). Although these decades-long droughts do not appear in all of the three regional climate records (e.g., the drought period centred on ~1480 AD is only observed in the Wanxiang speleothem and BDNP tree-ring records), the clear phase of growth hiatus recorded in section 1 of PC1 is best explained as reflecting reduced precipitation in southern Cambodia at this time, supporting the occurrence of multi-decadal drought across the region during the 14th-16th centuries AD. Furthermore, the PC1 stalagmite record provides the strongest evidence so far that this well-documented period of historical drought may have impacted the lowland regions of Cambodia, providing important new insights into the history of climate during the fluorescence and demise of the ancient Khmer at Angkor.

The above discussions are for the most likely option of the first appearance of bomb ¹⁴C in PC1 at 5.9 mm depth (the 2nd option). The 3rd option with the onset of bomb ¹⁴C at 8.9 mm depth is considered as less likely due to large difference in PC1 growth rate between the top 8.9 mm and 8.9-34.4 mm depth as mentioned previously. However, if the 3rd option is correct, the chronology for the top ~47 mm of PC1 shown in Fig. 5b is shifted by ~40 years on average to the younger timescale (45 and 38 years for the first and second methods, respectively). This age shift is less than 1σ uncertainties associated with the modelled ages (in most cases) and results in the timing of the growth stop within section 1 of ~1290-1690 AD with 1σ uncertainties of ~100 years. This timing still covers the regional decades-long droughts during the 14th-16th centuries AD and consequently does not change the above discussion on regional climate.

434

435 CONCLUSION

The top portion of a tropical stalagmite, PC1, from southern Cambodia has been dated by radiocarbon using the two methods reported by Hua et al. (2012a) and Lechleitner et al. (2016a). The results show excellent agreement between the two age-depth models, indicating that the top ~47 mm of PC1 grew during the last Millennium. Our radiocarbon-based chronology for the difficult-to-date speleothem, PC1, shows a clear period of growth hiatus, that is best explained by prolonged drought conditions over the

Indochina Peninsula during the 14th -16th centuries AD, confirming previous findings of drought identified in regional proxy records.

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632 **Figure Captions**

633 **Figure 1** – Map of Cambodia in SE Asia showing the locations of our study site in
634 Phnom Chngauk and Angkor.

635 **Figure 2** – Photos of PC1 stalagmite **(a)**, and its top portion **(b)** showing micromilled
636 trench for radiocarbon samples and thin section used for SEM examination. h1 and h2
637 represent section 1 and 2 discussed in the text, respectively.

638 **Figure 3** - pMC values of samples taken from PC1. The inset diagram depicts ^{14}C
639 content for the top ~34 mm of PC1 showing the invasion of bomb radiocarbon in the top
640 10.3 mm of the stalagmite. The grey shadings represent the speleothem sections of slow
641 growth: 34.4-35.2 mm (section 1) and 47.2-49.4 mm (section 2).

642 **Figure 4** – Thin-section and SEM examination of the top portion of PC1. **(a)** Thin
643 section of the top ~65 mm of PC1 with h1 and h2 representing sections 1 and 2,
644 respectively. Three bright, white regions, located below the h2 hiatus surface, are holes
645 in the specimen from previous sample drilling, which are seen black in Fig. 2b. **(b)** A
646 close-up image of section 1. **(c)** SEM image of the upper boundary of section 1. **(d)** and
647 **(e)** Results of SEM semi-quantitative elemental analysis of spots inside (spectrum 4)
648 and outside (spectrum 1) section 1, respectively.

649 **Figure 5** – Age-depth models for **(a)** the top 51 mm of PC1 based on Hua et al.
650 (2012a)’s approach and **(b)** the top ~47 mm of PC1 derived from Hua et al. (2012a)’s
651 approach (black lines) and Lechleitner et al. (2016a)’s approach (grey lines). For both
652 age-depth models, 1σ age ranges are shown.

653 **Figure 6** – Regional palaeoclimate records of medieval drought during 1250-1650 AD.
654 Top panel - Dandak Cave $\delta^{18}\text{O}$ record (solid line) from central-eastern India (Sinha et
655 al. 2007; Berkelhammer et al. 2010) and speleothem $\delta^{18}\text{O}$ record from Wanxiang Cave
656 (dashed line) in central China (Zhang et al. 2008). Bottom panel - PDSI reconstruction
657 based on BDNP tree rings from southern Vietnam (Buckley et al. 2010). Thin and thick
658 lines depict raw and 15 yr moving average values. Grey shadings depict regional
659 decades-long droughts defined by Sinha et al. (2011).

660

661 **Table 1** – Radiocarbon results

662 **Table 2** - Previously published speleothem DCF values and their temporal variations

663

664 **APPENDIX**

665 **Modelling the carbon transfer dynamics**

666 One option to check the reliability for the choice of the onset of bomb radiocarbon in
667 the stalagmite is to check if a certain age spectrum of SOM is able to explain the
668 observed shape of the stalagmite data. For this purpose, the model of Fohlmeister et al.
669 (2011) was used. This box-model assumes that SOM consists of three pools of different
670 age accounting for six unknown parameters. Each pool contributes a certain portion to
671 the total respired soil gas CO₂, which is dissolved by infiltrating water. In addition, a
672 seventh parameter is included, representing the carbonate contribution of the host rock,
673 which is responsible for a dilution in radiocarbon. By a random variation of these
674 parameters it is tried to fit the measured stalagmite radiocarbon data best.

675 For the age-depth relationship of PC1, we assumed that the tip of the stalagmite refers
676 to the time of removal of the speleothem (2007 AD) and that the first radiocarbon
677 sample with bomb imprint (either 5.9 mm (2nd option) or 8.9 mm (3rd option) depth)
678 refers to 1957 AD. The calendar ages for the samples in between the two tie points and
679 for a short period prior to the onset of bomb ¹⁴C were derived by linear interpolation.
680 The best parameter set for both options of a possible first appearance of bomb ¹⁴C, out
681 of 100,000 simulations each, is presented together with the fit (Fig. A1).

682 **Figure A1** – Results of the simple carbon cycle mixing model described in Fohlmeister
683 et al. (2011) for **(a)** the 2nd option for the onset of bomb ¹⁴C in PC1 at 5.9 mm depth and
684 **(b)** the 3rd option for the onset of bomb ¹⁴C in PC1 at 8.9 mm depth. Black dots are
685 measured ¹⁴C data for PC1. Solid and dashed lines are atmospheric ¹⁴C and modelled
686 speleothem ¹⁴C data, respectively.

687

688

689 **Table 1** – Radiocarbon results

Lab ID	Sample ID	Depth (mm)	A _{spel.} ± 1σ (pMC)
OZS157	PC1Ac-1	0.3	102.67 ± 0.62
OZS158	PC1Ac-2	0.5	97.74 ± 0.37
OZT193	PC1Ac-4	0.9	95.29 ± 0.27
OZT194	PC1Ac-6	1.3	94.90 ± 0.26
OZR164	PC1Ac-8	1.7	93.00 ± 0.41
OZR165	PC1Ac-13	2.7	93.64 ± 0.41
OZS161	PC1Ac-16	3.3	94.54 ± 0.34
OZR166	PC1Ac-18	3.7	93.72 ± 0.40
OZR167	PC1Ac-23	4.7	92.55 ± 0.38
OZR168	PC1Ac-25	5.1	93.49 ± 0.32
OZR169	PC1Ac-27	5.5	93.76 ± 0.41
OZR170	PC1Ac-29	5.9	94.22 ± 0.42
OZS163	PC1Ac-32	6.5	91.83 ± 0.35
OZR171	PC1Ac-34	6.9	92.26 ± 0.45
OZS165	PC1Ac-38	7.7	92.21 ± 0.33
OZS166	PC1Ac-41	8.3	92.23 ± 0.31
OZR172	PC1Ac-44	8.9	92.00 ± 0.43
OZS167	PC1Ac-48	9.7	91.27 ± 0.31
OZS169	PC1Ac-51	10.3	91.10 ± 0.28
OZR173	PC1Ac-54	10.9	91.10 ± 0.39
OZS170	PC1Ac-57	11.5	91.06 ± 0.31
OZS171	PC1Ac-60	12.1	90.64 ± 0.30
OZS159	PC1Ac-2b2	12.7	90.53 ± 0.32
OZS160	PC1Ac-5b2	13.3	91.10 ± 0.34
OZT195	PC1Ac-12b2	14.7	90.50 ± 0.29
OZS162	PC1Ac-20b2	16.3	90.84 ± 0.33
OZT196	PC1Ac-27b2	17.7	90.33 ± 0.30
OZS164	PC1Ac-35b2	19.3	90.07 ± 0.32
OZT197	PC1Ac-42b2	20.7	89.91 ± 0.32
OZS168	PC1Ac-50b2	22.3	90.28 ± 0.31
OZS172	PC1Ac-65b2	25.3	90.75 ± 0.29
OZT935	PC1Ac-76b2	27.5	89.87 ± 0.31
OZT927	PC1Ac-2b3	29.5	90.94 ± 0.26
OZT928	PC1Ac-13b3	31.7	89.50 ± 0.29
OZT929	PC1Ac-25b3	34.1	90.36 ± 0.30
OZT930	PC1Ac-26b3	34.3	89.94 ± 0.28
OZU220*	PC1Ac-27b3	34.5	90.32 ± 0.29
OZU221*	PC1Ac-30b3	35.1	85.33 ± 0.28
OZT931	PC1Ac-31b3	35.3	85.07 ± 0.26
OZT932	PC1Ac-41b3	37.3	82.69 ± 0.24
OZT933	PC1Ac-50b3	39.1	81.70 ± 0.25
OZT934	PC1Ac-60b3	41.1	81.13 ± 0.35
OZT936	PC1Ac-70b3	43.1	81.63 ± 0.31
OZT938	PC1Ac-80b3	45.1	82.52 ± 0.30
OZT939	PC1Ac-89b3	46.9	82.62 ± 0.35
OZT937	PC1Ac-90b3	47.1	82.30 ± 0.35
OZU222*	PC1Ac-91b3	47.3	82.12 ± 0.33

OZU223*	PC1Ac-96b3	48.3	58.68 ± 0.29
OZT940	PC1Ac-102b3	49.5	57.29 ± 0.29
OZT941	PC1Ac-110b3	51.1	57.77 ± 0.27

690 **Note:** *- Samples were taken inside the two speleothem sections of growth hiatus.

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711 **Table 2** – Previously published speleothem DCF values and their temporal variations

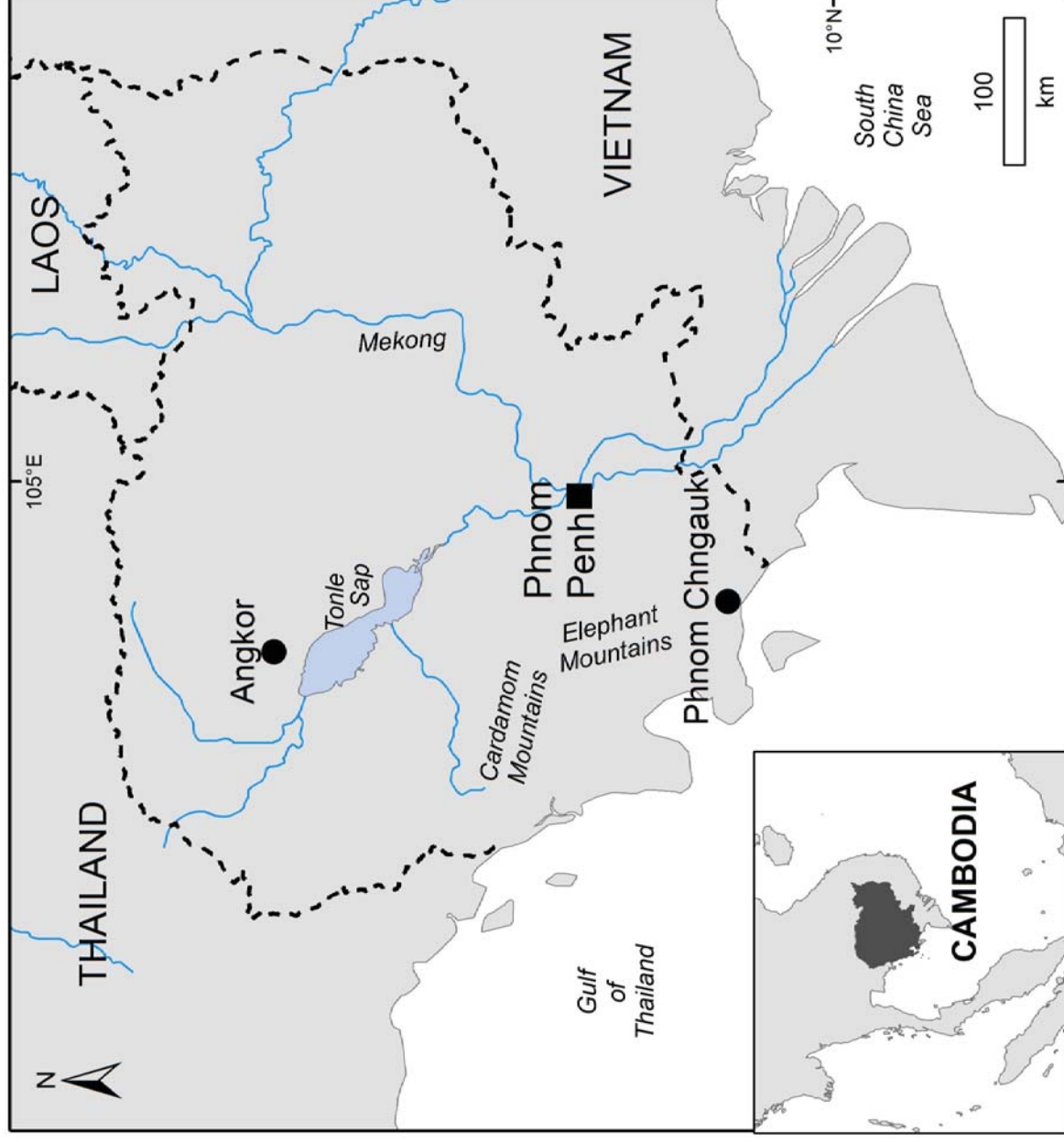
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Sample	Location	DCF $\pm 1\sigma$ (%)	Relative variation in DCF (%)	Speleothem age	References
Fau-stm14	La Faurie (Dordogne, SW-France)	7.0 \pm 0.9 (n = 11)	12.9	Pre-bomb period	Genty and Massault (1999)
Vil-stm1	Villars (SW- France)	9.4 \pm 1.5 (n = 6)	16.0	3.07 – 0 ka	Genty et al. (1999, 2001)
Han-stm1	Han-sur-Lesse (Belgium)	17.5 \pm 1.5 (n = 10)	8.6	11 – 4.8 ka	Genty et al. (1999, 2001)
SU-96-7	UHT, Sutherland (Scotland)	36.7 \pm 1.0 (n = 10)	2.7	0.95 – 0 ka	Genty et al. (2001)
SU2	UHT, Sutherland (Scotland)	33.6 \pm 4.2 (n = 4)	12.5	2.6 – 1.0 ka	Genty et al. (2001)
SU-96-1	UHT, Sutherland (Scotland)	24.5 \pm 2.9 (n = 7)	11.8	3.8 – 2.0 ka	Genty et al. (2001)
Sal-stm1	Aven de la Salamandre (Gard, France)	5.3 \pm 3.0 (n = 5)	56.6	6.6 – 0.1 ka	Genty et al. (2001)
GB89-24- 1	Sagittarius Blue Hole (The Bahamas)	16.5 \pm 2.35 (n = 68)	14.2	16 – 11 ka	Beck et al. (2001)
GB89-25- 3	Sagittarius Blue Hole (The Bahamas)	22.7 \pm 3.0 (n = 142)	13.2	15 – 11 ka	Hoffmann et al. (2010)
MC3	Moaning, N. California (USA)	11.0 \pm 2.6 (n = 10)	23.6	10.6 – 8.8 ka	Oster et al. (2010)
GAR-01	La Garma (N. Spain)	6.5 \pm 0.9 (n = 6)	13.8	11.3 – 10.2 ka	Rudzka et al. (2011)
So-1	Sofular (Turkey)	10.1 \pm 1.0 (n = 4)	9.9	11.5 – 10.2 ka	Rudzka et al. (2011)
LR06B1	Flores (Indonesia)	17.2 \pm 0.9 (n = 15)	5.2	2.8 – 2.4 ka	Griffiths et al. (2012)
H82	Tang Shan (China)	5.6 \pm 0.7 (n = 66)	12.5	14 – 10.7 ka	Southon et al. (2012)
HS4	Hubei (China)	10.3 \pm 1.5 (n = 84)	14.6	9.6 – 0.5 ka	Noronha et al. (2014)
YOK-I	Toledo (Belize)	12.8 \pm 1.6 (n = 268)	12.5	AD 555 – 1952	Lechleitner et al. (2016b)
CC26	Corchia Cave (Italy)	60.1 \pm 5.5 (n = 53)	9.2	12.4 – 0 ka	Bajo et al. (2017)

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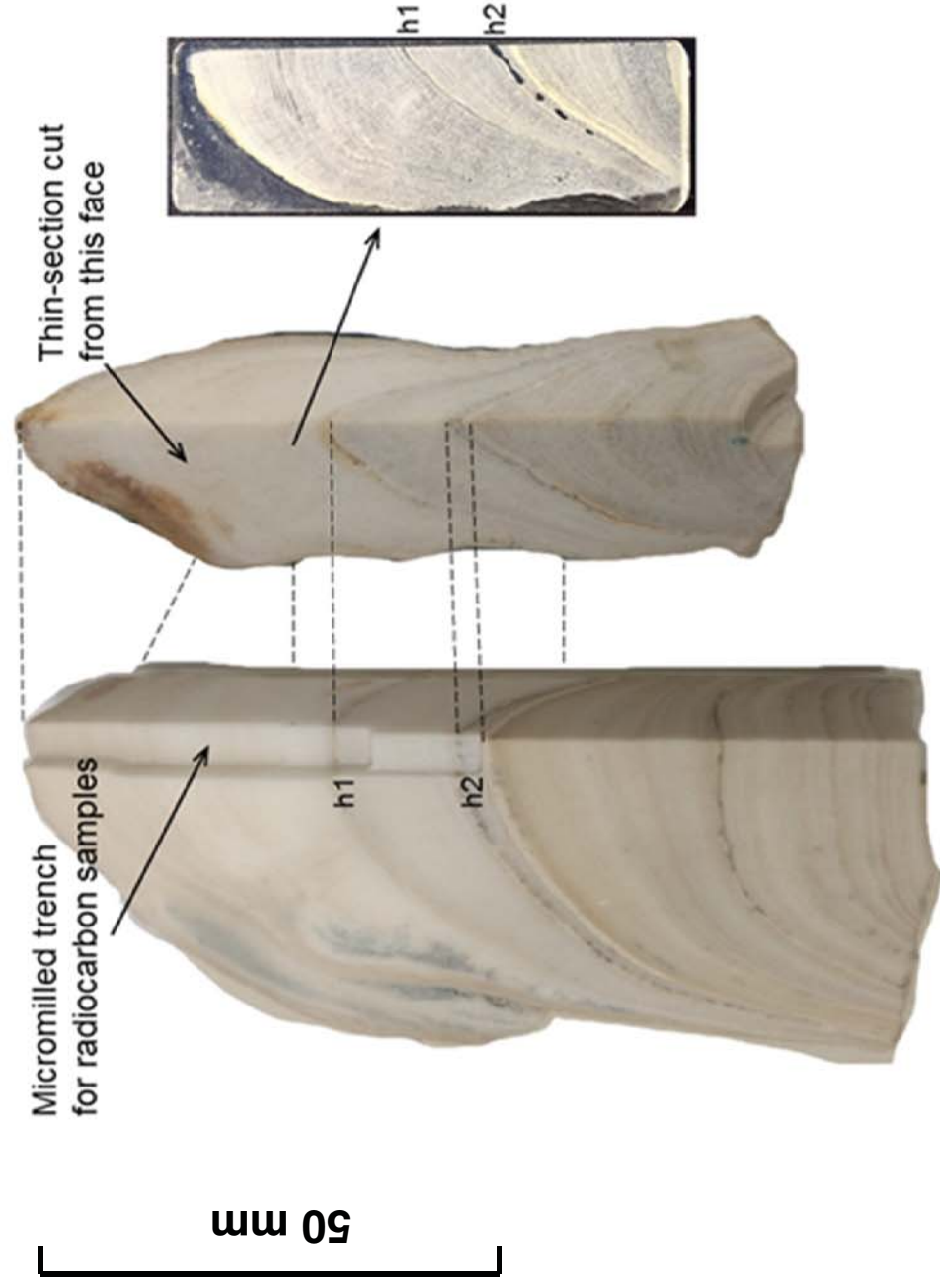
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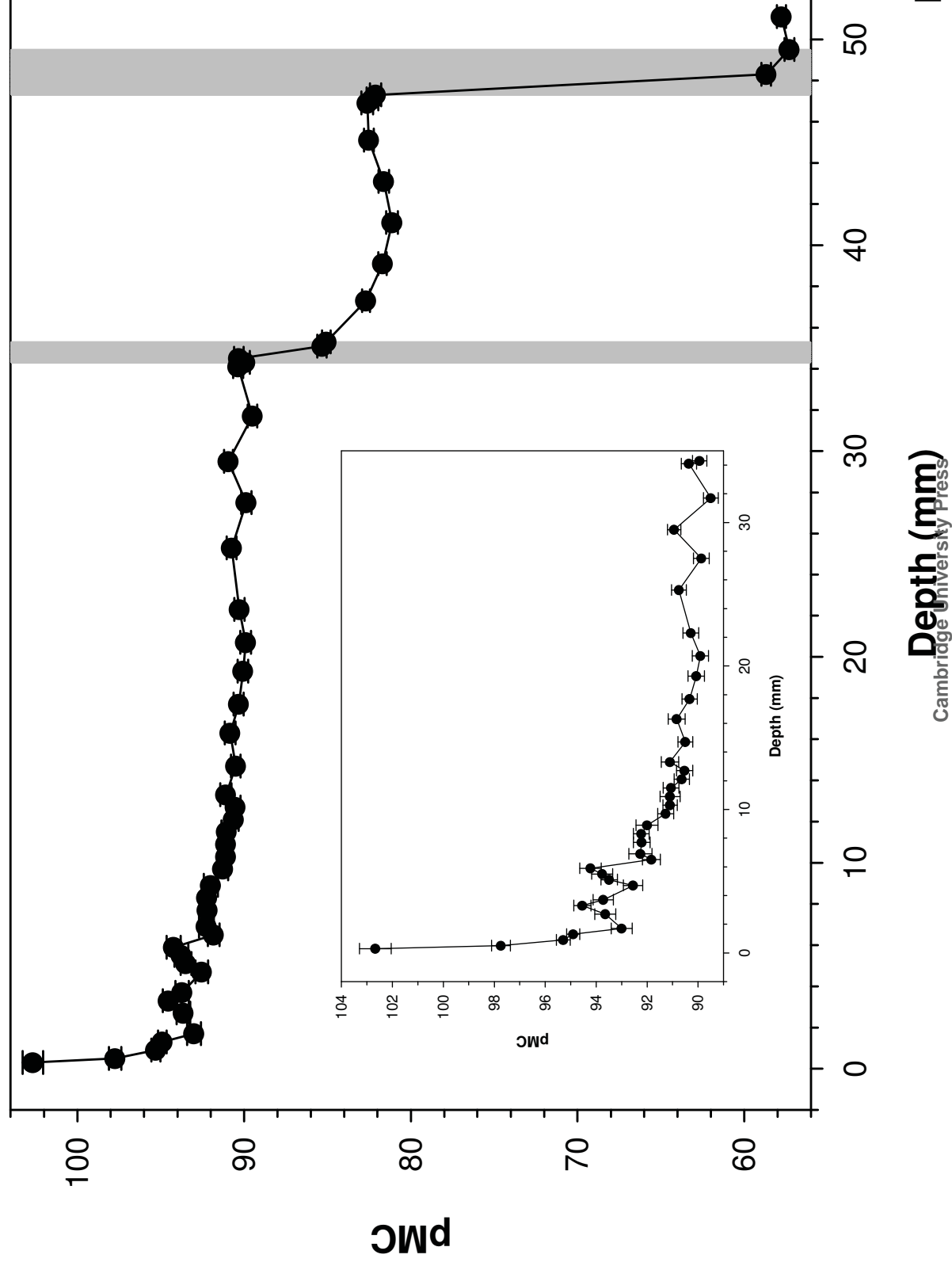
Fig. 1



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Fig. 2a





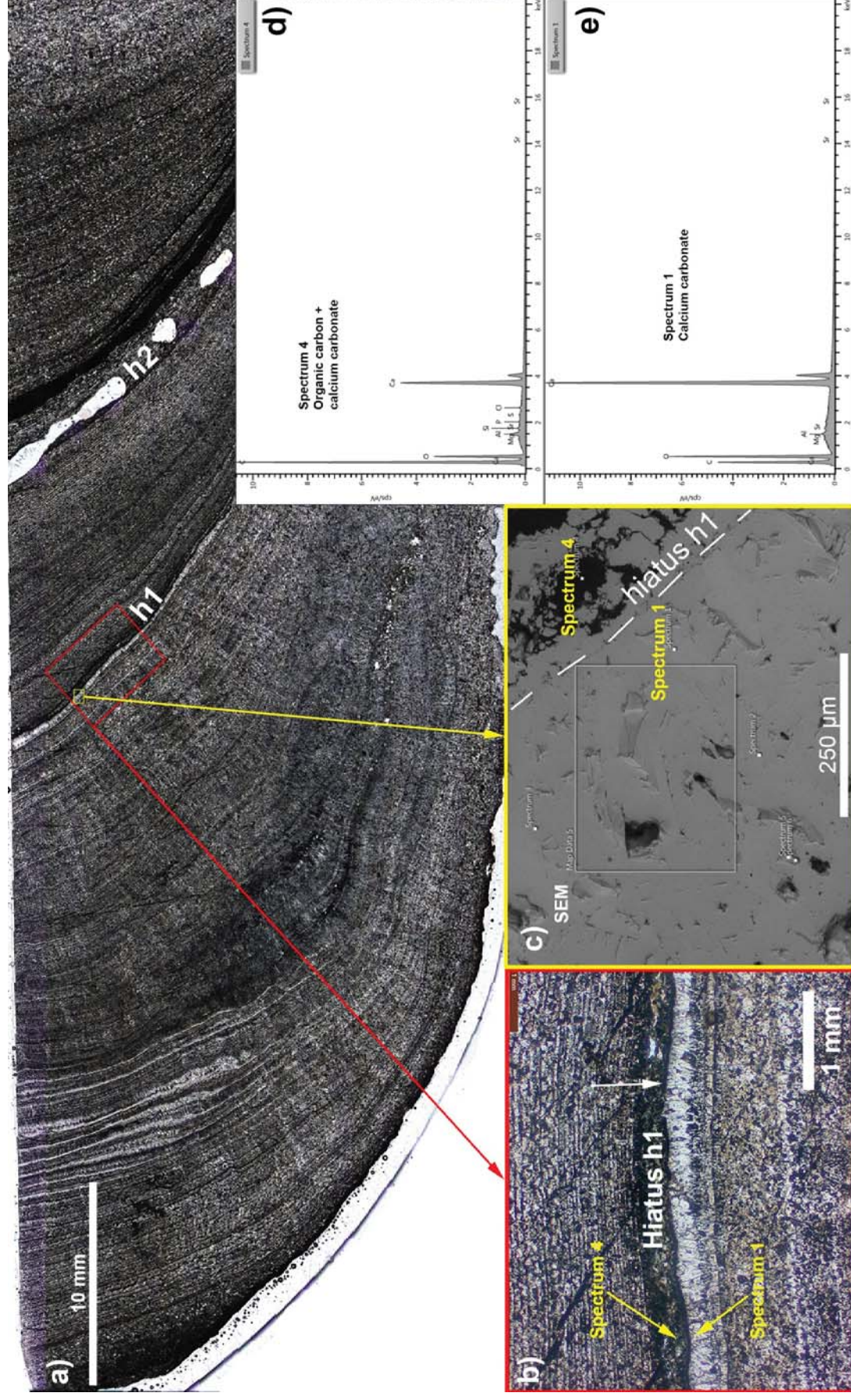
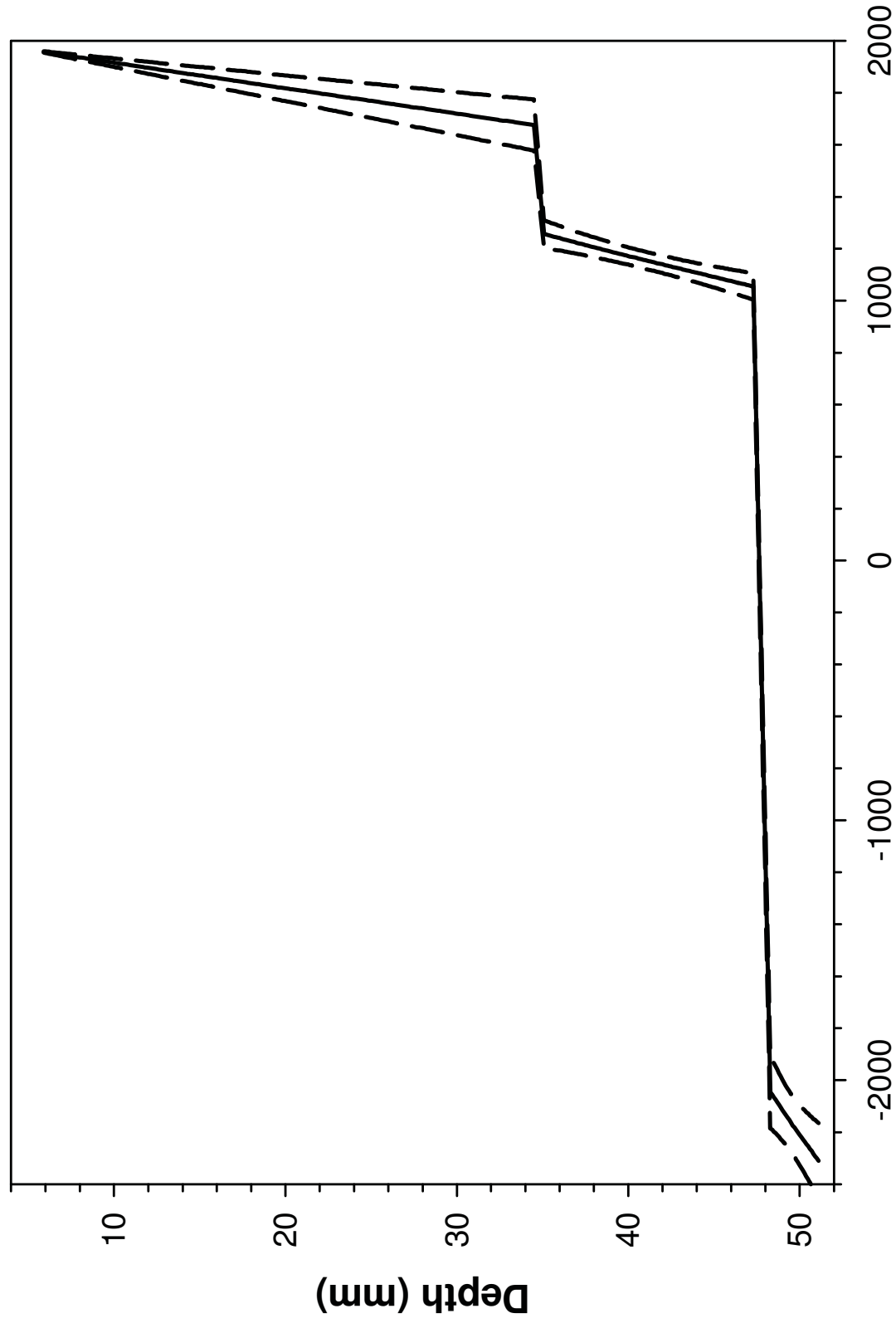
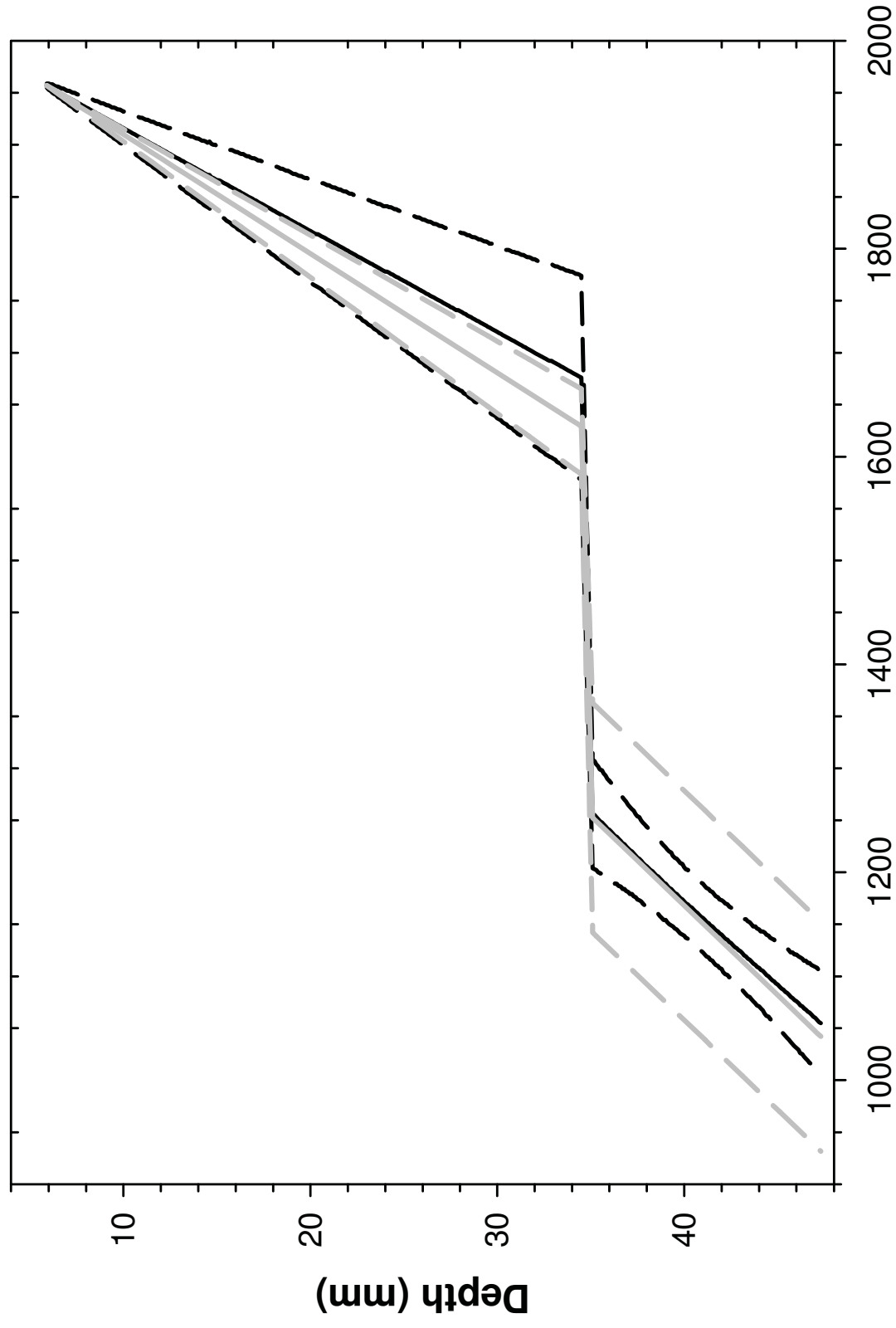


Fig. 4



Year (BC/AD)
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Fig. 5a



Year (AD)
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Fig. 5b

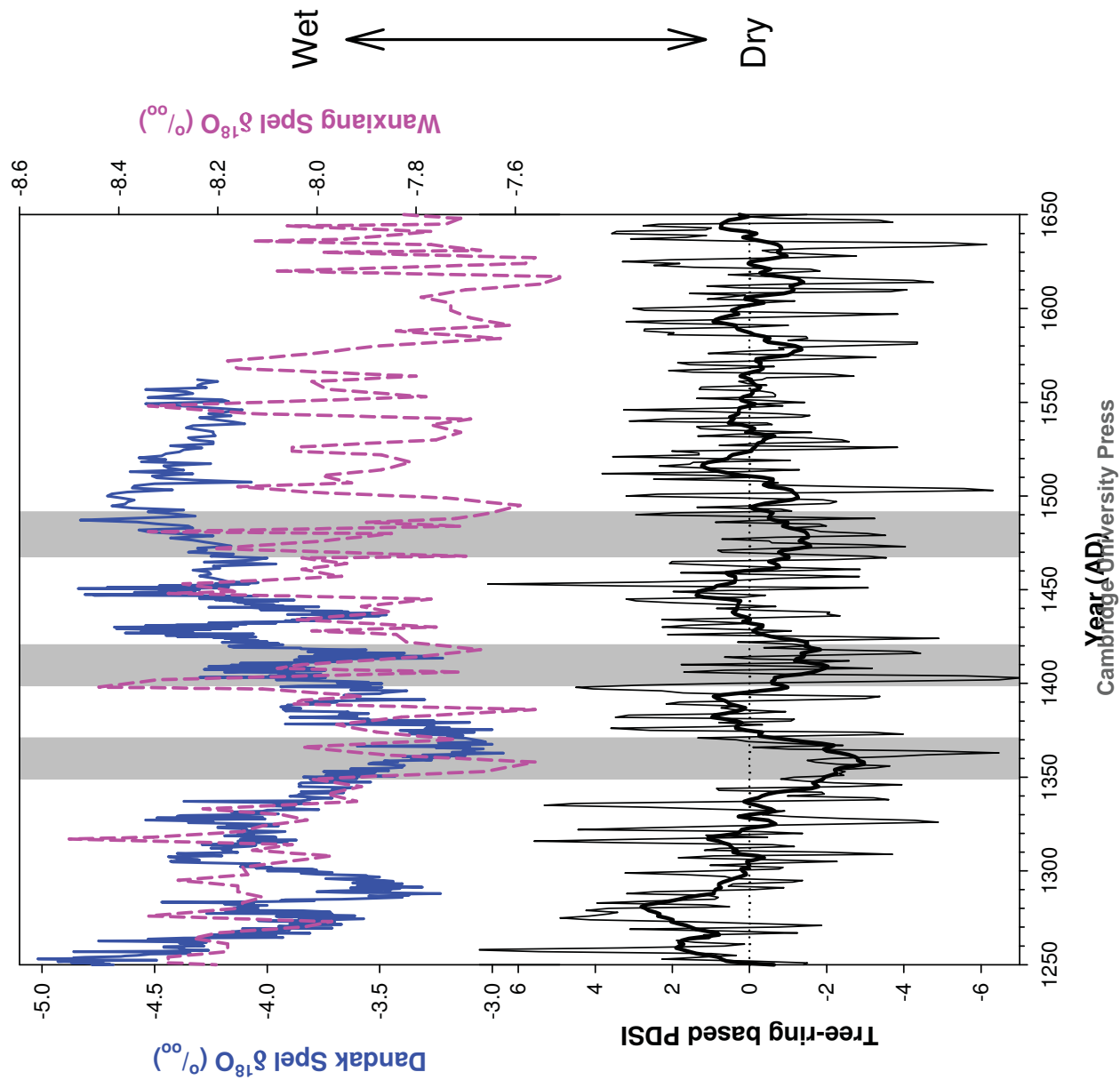


Fig. 6

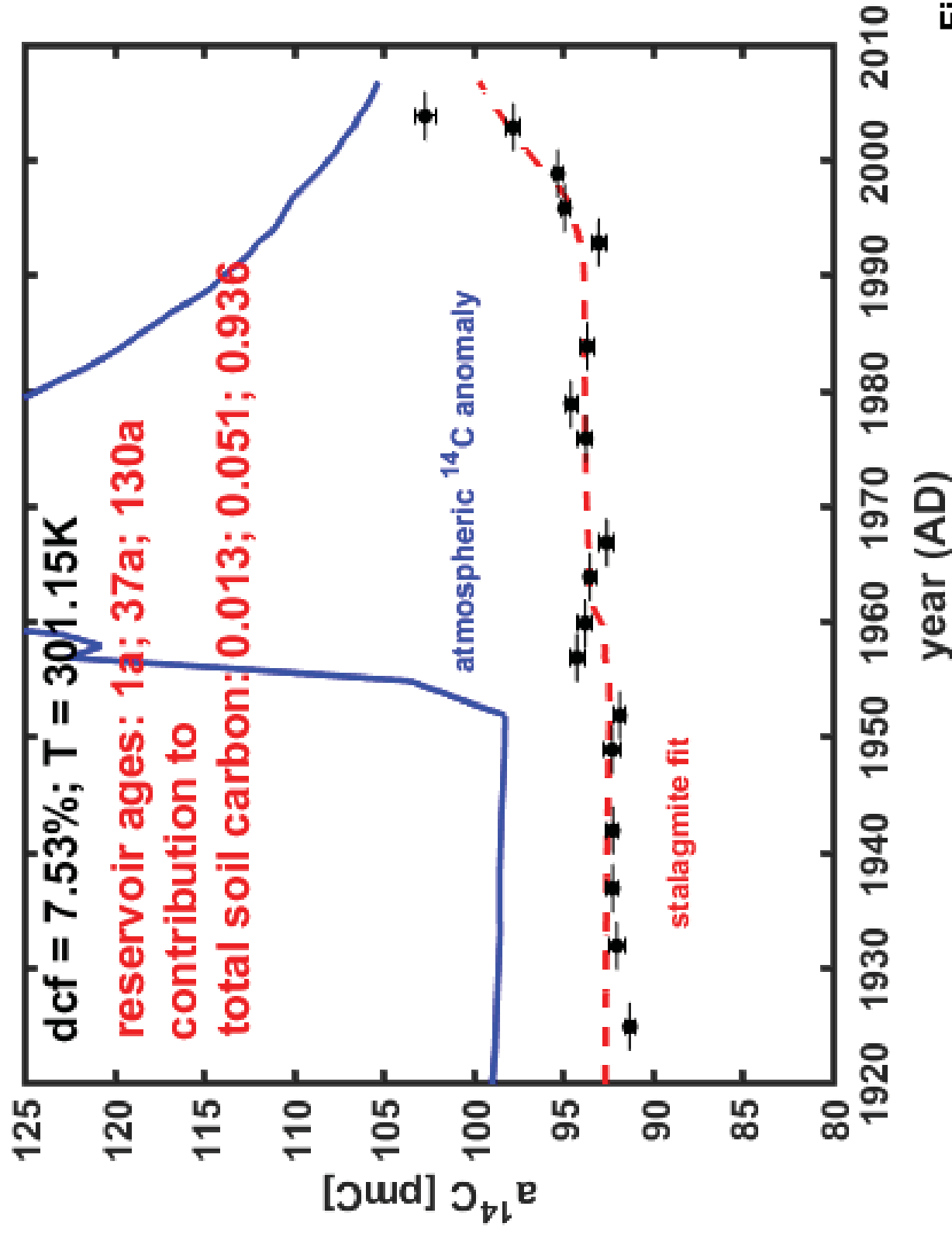


Fig. A1a

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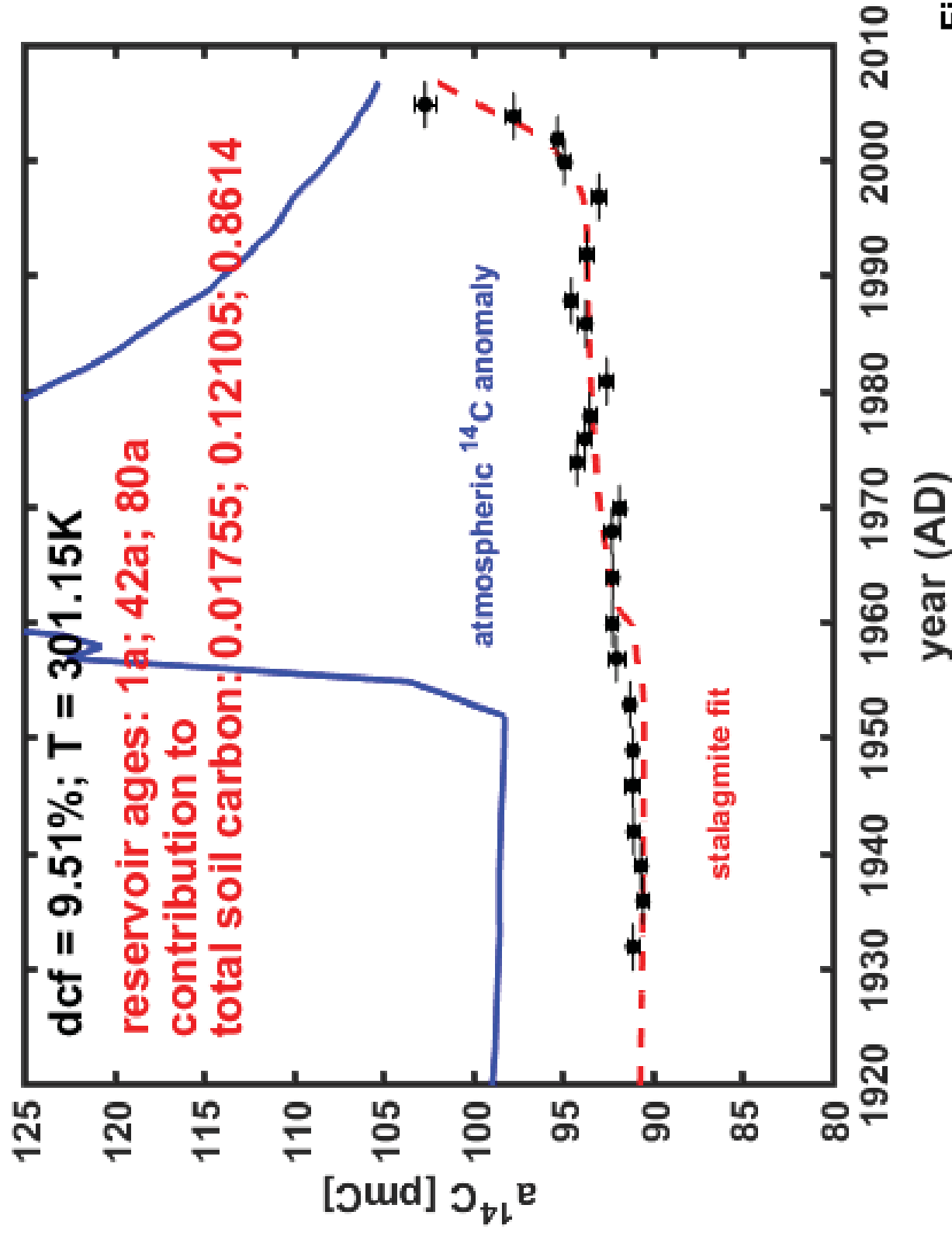


Fig. A1b