

Originally published as:

Yang, S., Schulz, H.-M., Horsfield, B., Schovsbo, N. H., Noah, M., Panova, E., Rothe, H., Hahne, K. (2018): On the changing petroleum generation properties of Alum Shale over geological time caused by uranium irradiation. - *Geochimica et Cosmochimica Acta*, 229, pp. 20—35.

DOI: <http://doi.org/10.1016/j.gca.2018.02.049>

On the changing petroleum generation properties of Alum Shale over geological time caused by uranium irradiation

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Abstract

An interdisciplinary study was carried out to unravel organic-inorganic interactions caused by the radiogenic decay of uranium in the immature organic-rich Alum Shale (Middle Cambrian-Lower Ordovician).

Based on pyrolysis experiments, uranium content is positively correlated with the gas-oil ratios and the aromaticities of both the free hydrocarbons residing in the rock and the pyrolysis products from its kerogen, indicating that irradiation has had a strong influence on organic matter composition overall and hence on petroleum potential. The Fourier Transform Ion Cyclotron Resonance mass spectrometry data reveal that macro-molecules in the uranium-rich Alum Shale samples are less alkylated than less irradiated counterparts, providing further evidence for structural alteration by α -particle bombardment. In addition, oxygen containing-compounds are enriched in the uranium-rich samples but are not easily degradable into low-molecular-weight products due to irradiation-induced crosslinking.

Irradiation has induced changes in organic matter composition throughout the shale's entire ca. 500 Ma history, irrespective of thermal history. This factor has to be taken into account when reconstructing petroleum generation history. The Alum Shale's kerogen underwent catagenesis in the main petroleum kitchen area 420 to 340 Ma bp. Our calculations suggest the kerogen was much more aliphatic and oil-prone after deposition than that after extensive exposure to radiation. In addition, the gas sorption capacity of the organic matter in the Alum Shale can be assumed to have been less developed during Palaeozoic times, in contrast to results gained by sorption experiments performed at the present day, for the same reason. The kerogen reconstruction method developed here precludes overestimations of gas generation and gas retention in the Alum Shale by taking irradiation exposure into account and can thus significantly mitigate charge risk when applied in the explorations for both conventional and unconventional hydrocarbons.

Keywords: radiolysis; Alum Shale; Uranium; predicting petroleum composition; Organic-inorganic interaction; Pyrolysis; FT-ICR MS

1. Introduction

The potential role played by uranium in petroleum generation, mainly through α -particle bombardment of organic matter, was recognised nearly a century ago (Lind and Bardwell, 1926). Experimental data showed that fatty acids can be decarboxylated by α particle radiation at 130°C to form hydrocarbons (Sheppard and Burton, 1946). A correlation between uranium concentration and oil yield in the Chattanooga Shale was presented by Swanson (1960). Later, it was found that the total organic carbon (TOC) content is generally positively correlated to uranium content in petroleum source rocks (Leventhal, 1981; Swanson, 1961), and this finding is routinely applied in using spectral gamma-ray logging to delineate the occurrence of organic-rich shales (Schmoker, 1981; Serra, 1983). Uranium irradiation can also influence the characteristics of sedimentary organic matter. The reflectance of vitrinite in humic coal can be enhanced by the radiolytic effects from uranium decay (Breger, 1974). The atomic H/C and O/C ratios, which are used to define the kerogen type and thermal maturity (Durand and Espitalié, 1973), were also suggested to be affected by uranium-related irradiation (Pierce et al., 1958). Leventhal and Threlkeld (1978) showed that $^{13}\text{C}/^{12}\text{C}$ ratios are correlated to the log of the uranium concentrations, and this finding was confirmed by further investigations on shale (Dahl et al., 1988a) and bitumen samples (Court et al., 2006). Marine shale samples with high uranium contents were reported to produce pyrolysates with significantly enhanced percentages of gas and aromatic compounds compared with typical marine shales (Horsfield et al., 1992; Leventhal, 1981). Other cited effects include the decrease in aliphatic biomarker concentrations (Hoering and Navale, 1987) and modified aromatic biomarker distributions (Dahl et al., 1988a; Dahl et al., 1988b; Lewan and Burchardt, 1989) with increasing uranium contents in the shale.

While these investigations provided a fundamental understanding of the influences of uranium irradiation on petroleum generation, several key issues are still under debate.

(1) The actual processes changing Hydrogen Index (HI), Oxygen Index (OI) and T_{max} in uranium-rich samples are not clear. For example, Forbes et al. (1988) and Landais (1996) reported that OI and T_{max} values of vitrinite in the Akouta uranium deposit (Niger) tend to be increased by increasing uranium contents. In contrast, very low OI values have been reported for the uranium-rich Alum samples (Schulz et al., 2015), and Dahl et al. (1988a) suggested that T_{max} is inversely proportional to uranium content.

(2) Possibly due to uranium depletion in response to surface weathering, no clear correlation could be found between uranium contents and the atypical pyrolysate characteristics of the Alum Shale in a

study by Horsfield et al. (1992). This led to the hypothesis that a unique algal biomolecule might be the precursor for the gas- and aromatic-rich properties (Bharati et al., 1995). Importantly, the mechanism of uranium irradiation in changing the petroleum generation potential is still a matter of debate, requiring the analysis of fresh core samples.

(3) Up until now most studies have relied heavily on artificial pyrolysis experiments to simulate the hydrocarbon generation from Alum Shale (Court et al., 2006; Dahl et al., 1988a; Horsfield et al., 1992; Leventhal, 1981), no work has been employed on the thermovaporisation which reflects the naturally generated products. It is not known whether the atypically generated hydrocarbons represent structural moieties caused by uranium irradiation or are attributable to secondary effects related to the high-temperatures and fast heating rates during pyrolysis experiments.

(4) Pyrolysis experiments based on black shale samples in their current state could provide misleading information about the petroleum potential because the kerogen structure hundreds of million years ago at the very start of the irradiation history could have been essentially different to what it is today.

The Alum Shale (Middle Cambrian – Lower Ordovician) holds the largest low-grade uranium resource in Europe. Here, we present new geochemical data on that shale which casts new light on the influence of uranium on petroleum potential. Fresh immature samples have been systematically investigated using inductively coupled plasma-mass spectrometry (ICP-MS), pyrolysis gas chromatography (Py-GC), thermovaporisation-gas chromatography (Tvap-GC), Fourier Transform Ion Cyclotron Resonance mass spectrometry (FT-ICR MS). A new method is proposed for back-calculating the aromaticity and chain length distributions of the original kerogen structure.

2. Study Area and Samples

The Baltic Basin covers parts of the southern Baltic Sea, the Kaliningrad Oblast, Northern Poland and the western parts of the Baltic States (Fig. 1), and contains sediments ranging in age from the Early Cambrian to the present day. The basin fill is thin in the north-eastern part and thickens toward the Teisseyre-Tornquist Zone (over 4,000 m thickness in northern Poland) (Ulmishek, 1990). Accordingly, the source rock maturity increases toward the southwest (Fig. 1).

The Alum Shale is considered to be one of the most important source rocks for oil and gas in the Baltic Basin because of its wide occurrence, considerable thicknesses and high TOC contents (Buchardt, 1999; Kotarba et al., 2014b). Named after the hydrated potassium and aluminium-bearing sulphate

[KAl(SO₄)₂·12H₂O], the Alum Shale Formation is an informal name for Middle Cambrian, Upper Cambrian (Furongian) and Lower Ordovician (Tremadocian) shale in and around the Baltic Basin (Andersson, 1985; Thickpenny, 1984). This shale can be as thick as 180 metres in offshore Denmark (Nielsen and Schovsbo, 2006) and 90 metres in southern Sweden (Pool et al., 2012). The TOC content of the Alum Shales is typically higher than 2 wt.% (Schovsbo, 2003) and up to 22 wt.% in Middle Sweden (Kosakowski et al., 2016).

Organic-lean grey shales are estimated to have an average uranium content of four ppm (Alloway, 2013; Swanson, 1960). In organic-rich marine black shales the uranium contents are higher, averaging 20 ppm (Swanson, 1961). However, the uranium content in the Alum Shale can be as high as 400 ppm (Schovsbo, 2002). The uranium was enriched by the interaction of submarine hydrothermal activity with highly anoxic waters during deposition (Berry et al., 1986; Leventhal, 1991), and this uranium enrichment process was significantly enhanced by an intensified bottom water circulation at the sediment/water interface (Schovsbo, 2002).

Thirty-four Alum Shale samples from Sweden, Estonia and Russia, covering different ages, were analysed (Fig. 1). Most of the samples are from boreholes, and five samples were selected from outcrops (Table 1). These samples are of low maturity according to previous maturity assessments based on the reflectance of vitrinite-like macerals (Buchardt et al., 1997; Petersen et al., 2013), and are thus suitable for studies of the petroleum generation potential.

3. Experimental Methods

3.1. Determination of uranium content

Uranium contents were measured by ICP-MS as described by Romer and Hahne (2010). About 250 mg of rock powder, which had been dried at 105°C, was weighed into 15 mL teflon vials (Savillex®) and decomposed using HF, Aqua Regia (3:1 mixture of 37% HCl and 63% HNO₃), and perchloric acid (HClO₄). In a first step, 4 mL HF and 4 mL Aqua Regia were added to the samples. The tightly closed vials were placed into a heating block (160°C) for 14 hours. After cooling, 1 mL HClO₄ (70%) was added to destroy the organic material and fluorides. This solution was evaporated at 180°C to incipient dryness. The samples were re-dissolved in 1 mL 7N HNO₃ and dried. Then, the HClO₄ step was repeated twice. The samples were re-dissolved in 7N HNO₃ and kept at 100°C for 14 hours. This solution was brought to a volume of 50 mL for analysis. Data were acquired in peak jumping mode using a Galileo 4870 in pulse counting mode.

3.2. Thermal analysis

Rock-Eval pyrolysis and TOC measurements were performed using Rock-Eval 6 and Leco SC-632 analysers, respectively, following established procedures. Open system pyrolysis and thermovaporisation were performed using the Quantum MSSV-2 Thermal Analysis System interfaced with an Agilent GC-6890A gas chromatograph (Horsfield et al., 2014). (1) For Py-GC, about 10 mg of coarsely crushed shale was filled into a small open glass tube and heated at 300 °C for three minutes to vent the free hydrocarbons. Hydrocarbons generated between 300 to 600 °C, with the heating rate of 50 °C/min, were collected and measured. Quantification of individual compounds was conducted by external standardisation with *n*-butane. (2) For Tvp-GC, around 10 mg of sample was weighed into MSSV glass capillary tubes, which were then sealed using a hydrogen flame. After introduction into the Quantum MSSV-2 Thermal Analysis System, the external surfaces of the tube were purged for five minutes at 300°C, during which time volatiles were mobilised within the tube and outer surfaces were cleaned; thereafter the tube was cracked open using a piston device to transfer the volatiles into a liquid nitrogen-cooled trap. The composition of these volatiles was quantified as described under Py-GC.

3.3. FT-ICR MS

Based on screening data, four representative Alum Shale samples were Soxhlet-extracted using a mixture of dichloromethane and methanol (v/v = 99:1). Mass analysis of the resulting bitumen samples was performed in negative ion Electrospray Ionisation (ESI) mode with a 12 T FT-ICR mass spectrometer equipped with an Apollo II ESI source, both from Bruker Daltonik GmbH. Nitrogen was used as drying gas at a flow rate of 4.0 L/min and at a temperature of 220 °C, and also acted as nebulizing gas with 1.4 bars. The sample solutions were infused at a flow rate of 150 µL/h. The capillary voltage was set to 3000 V and an additional collision-induced dissociation voltage of 70 V in the source was applied to avoid cluster and adduct formation. Ions were accumulated in the collision cell for 0.05 s and transferred to the ICR cell within 1 ms. Spectra were recorded in broadband mode using 4 megaword data sets. For each mass spectrum, 200 scans were accumulated in a mass range from *m/z* 147 to 1000.

An external calibration was done using an in-house calibration mixture for ESI negative mode containing fatty acids and modified polyethylene glycols. Subsequently, each mass spectrum was internally recalibrated using known homologous series. A quadratic calibration mode was chosen for all samples. The RMS errors of the calibrations were between 0.001 and 0.031 ppm. Elemental formulae were assigned to the recalibrated *m/z* values with a maximal error of 0.5 ppm.

4. Results

4.1 Screening data

The uranium contents in the Alum Shale are highly variable, ranging from 11 ppm to 413 ppm (Table 1). The Middle Cambrian samples are generally lower in uranium compared with Upper Cambrian and Lower Ordovician Alum Shale samples (Table 1).

With total organic carbon (TOC) contents greater than 4.0 wt.%, except for sample UCm-9 which contains 3.0 wt.% (Table 1), most of the analysed Alum Shale samples can thus be viewed as “excellent” source rocks from the perspective of organic richness (Hunt, 1961; Peters and Cassa, 1994). The correlation between uranium and TOC contents is poor (Table 1), as also found in a more comprehensive study with more than 300 Alum Shale samples (Schovsbo, 2002).

The T_{\max} value of sample LO-9 from Ottenby, Southern Sweden (Fig. 1) is 441 °C which suggests that the organic matter is early mature with respect to oil generation, whereas all other samples are immature with T_{\max} values lower than 430 °C (Table 1). The maturity trend based on T_{\max} data is generally in accordance with the regional maturity map based on the reflectance of vitrinite-like macerals (Fig. 1). This furthermore implies that the investigated samples are not influenced by volcanic intrusions which locally occurred in Carboniferous-Permian times (Motuza et al., 1994; Obst, 2000).

In the pseudo-van Krevelen diagram, seemingly kerogen Types I, II, III, and IV occur in the Alum Shale (Fig. 2a). These kerogen classifications are, however, in conflict with the marine depositional environment of the Alum Shale (Thickpenny, 1984). Samples with low HI but high OI are mostly from a shallow well close to St. Petersburg (Russia) while the Swedish and Estonian samples are characterized by kerogen Types I and II. In general samples from the Hällekis-1 and the Saint Petersburg boreholes demonstrate inverse relationships between T_{\max} values and uranium contents, respectively, especially for those with uranium contents higher than 100 ppm (Fig. 2b).

4.2 Open pyrolysis-GC and thermovaporisation-GC

Py-GC provides an insight into the composition of kerogen in terms of moieties (Horsfield, 1989; Van de Meent et al., 1980), while the T_{vap}-GC documents the composition of hydrocarbons that have been generated and retained in the source rock over its geological history, minus volatile losses that have occurred during sample acquisition and storage.

Sixteen representative samples were pyrolyzed and gave significantly different pyrolysates (Table 2). The uranium-poor sample (MCm-1, U=14 ppm), which can be viewed as “typical” marine shale from the perspective of uranium concentration, generates short (C_1 - C_5), intermediate- (C_6 - C_{14}) and long-chained (C_{15+}) hydrocarbons dominated by doublets of *n*-alk-1-enes and *n*-alkanes (Fig. 3a). With increasing uranium contents, the pyrolysates contain fewer aliphatic components and are characterized by increasing contents of aromatic compounds. This can be seen for sample LO-3 (U=244 ppm) where the oil-range pyrolysates almost exclusively consist of aromatic compounds (Fig. 3). For all samples, the gas percentages and aromaticity of the pyrolysates appear to be exponentially controlled by the uranium content (Fig. 4a and b).

At least two groups can be identified when plotting the pyrolysate data in classical ternary diagrams (Fig. 5). The organic matter in all Middle Cambrian samples and in one Lower Ordovician sample (LO-9), both lean in uranium and characterised as kerogen Type II based on Rock-Eval data, have the potential to generate Paraffinic-Naphthenic-Aromatic oil with low wax contents (Fig. 5a). On the other hand, the pyrolysates generated from uranium-rich Alum Shale samples are dominated by gaseous and aromatic compounds and fall in the Type III field (Fig. 5). The presence of Type III kerogen is here ostensibly associated with either redox conditions during deposition or organic-inorganic interactions, but not to the presence of terrigenous organic matter. Because no terrestrial plant was developed as early as Lower Ordovician time and, unsurprisingly, phenol and cresol which are dominant compounds in Type III lignocellulosic terrigenous organic matter pyrolysates (Larter, 1984; Van de Meent et al., 1980) are essentially absent from the pyrolysates (Fig. 3a).

The gas to oil ratio (GOR) and the *o*-xylene/*n*-nonane ratio resulting from T_{vap} analyses are also proportional to uranium content (Fig. 3b and Fig. 4c and d). The high sensitivity of the T_{vap} derived GOR to weathering and storage conditions might partly explain some inconsistencies in the correlations. Furthermore, a GOR threshold between 5.5 and 6.9 is seemingly reached in the T_{vap} experiments (Fig. 4c). This value might define the maximum gas storage capacity of finely powdered samples.

4.3 FT-ICR MS

4.3.1 General elemental composition

The FT-ICR MS technique offers the ultra-high resolution detection of petroleum constituents (Hughey et al., 2001; Marshall et al., 1998) and is fundamental to the concept of “Petroleomics” (Marshall and Rodgers, 2004). FT-ICR MS run in ESI negative mode has enabled the identification of up to 30,000 NSO-containing compounds in crude oil (Bae et al., 2010), and has been widely applied in petroleum

science, e.g., assessments of biodegradation (Kim et al., 2005), maturity (Oldenburg et al., 2014; Poetz et al., 2014), thermochemical sulphate reduction (Walters et al., 2015), migration fractionation (Liu et al., 2015; Mahlstedt et al., 2016), and mineral-organic interactions (Yang and Horsfield, 2016).

In this study, total extracts from four representative Alum Shale samples were analysed. The relative total monoisotopic ion abundance (TMIA) of each NSO class was calculated by normalizing the peak area to the total area. Oxygen-containing compounds, which are mainly compounds with a hydroxyl group in O₁ class (Walters et al., 2015) and carboxylic acids in O₂ class (Shi et al., 2010), are enriched in the uranium-rich samples (Fig. 6) despite low OI (Table 1). Significant differences can also be found in the nitrogen class distributions (Fig. 6), i.e., the nitrogen-containing group exclusively consists of the N₁ class in the uranium-rich samples (LO-6 and UCm-1), while the N₂ classes only occur in the uranium-poor samples (LO-9 and MCm-2).

4.3.2 Detailed N₁ class characterisations

Organic compounds containing one nitrogen atom measurable in ESI (-) FT-ICR MS are most commonly the pyrrolic and indolic groups of carbazoles (Hughey et al., 2002; Pakarinen et al., 2007). The double bond equivalent (DBE) is a parameter that reflects the degree of unsaturation of the compounds (Stenson et al., 2003), i.e., double bonds together with aromatic and ring structures all contribute to the DBE values. In the N₁ class, compounds with 9 DBE are carbazoles, while benzocarbazoles and dibenzocarbazoles are characterized by 12 DBE and 15 DBE, respectively (Hughey et al., 2002).

The DBE distribution of sample LO-9 extends to values that are higher than seen in the other three samples, especially compounds with DBE < 9 were not detected in this sample (Fig. 7). Much more pronounced differences are reflected by the carbon number distribution (Fig. 7) which denotes the degree of alkylation of the core structure. The carbon numbers range to higher values in the uranium-poor samples (Fig. 7a and b) compared with samples with higher uranium contents (Fig. 7c and d). In the case of DBE 9, sample UCm-1 (U=155 ppm) contains up to 27 carbon numbers (Fig. 7d), which means that a maximum of 15 saturated carbon atoms are attached to the core structure of carbazole (C₁₂H₉N). In contrast, the alkylation degree for the carbazoles in sample LO-9 (U=33 ppm) is much more pronounced, with carbon numbers as high as 36 (Fig. 7a).

5. Discussion

5.1 Hydrocarbon precursors and products

5.1.1 Kerogen structure

High uranium contents in shale have been reported as correlating with decreased atomic H/C and increased O/C ratios (Pierce et al., 1958). In the current study, the uranium content is probably not the exclusive controlling factor of the HI and OI values in the investigated samples (Fig. 2a) as OI is not necessarily high when the sample is rich in uranium (Table 1). It could be possible that the hydrocarbon potential was reduced due to the liberation of hydrogen by uranium ionising radiation (Colombo et al., 1964; Dole, 1958). However, since the original HI values of the samples are variable, a relationship between uranium contents and the current HI values could not be established.

Middle Cambrian samples and sample LO-9 with relatively low uranium contents are predicted to produce Paraffinic-Naphthenic-Aromatic Low Wax petroleum upon natural maturation, in accordance with most classical Type II marine source rocks (Horsfield, 1989). In stark contrast, the pyrolysates of the uranium-rich samples are extremely rich in gas and aromatic compounds (Fig. 5). The abundance of short-chained aliphatic compounds and alkylbenzenes can be indicative of terrigenous organic matter (Eglinton et al., 1990; Horsfield, 1989), but this does not apply here due to the Cambrian-Ordovician age of the samples. Another possible explanation could be the mineral matrix catalytic effect during pyrolysis (Espitalie et al., 1980; Horsfield and Douglas, 1980). For example, Yang et al. (2016) showed that pyrolysis on a whole rock sample of the argillaceous marine Bowland Shale (Mississippian, UK) generates much gassier and more aromatic pyrolysates than its kerogen concentrate. However, the pyrolysates of a uranium-rich Alum Shale sample before and after demineralization are identical (supplement figure), thereby ruling out this explanation.

Using the analogue that Ordovician seas were globally and uniquely populated by the alga *Gloeocapsamorpha prisca* (Fowler and Douglas, 1984), Horsfield et al. (1992) suggested that the Cambrian might also have had its own unique biota whose diagenetic residues yielded unusual pyrolysates. Bharati et al. (1995) and Sanei et al. (2014) expanded this precursor hypothesis, as algae, e.g., *Chlorella marina*, can yield aromatic-rich hydrocarbons (Derenne et al., 1996). However, the investigated Lower Ordovician samples have been documented as having similar palaeo-biota yet yield very different pyrolysates depending on the uranium contents (Fig. 4a and b). The robust correlations between uranium concentrations and key pyrolysate parameters substantiate the hypothesis that

irradiation is the major control of the Alum Shale products. Furthermore, pyrolysates of samples with decreasing uranium contents are similar (Fig. 4a and b), leading to the conclusion that the original kerogen structure of all Alum Shale samples is essentially uniform.

The vitrinite reflectance of humic coal increases due to the initiation and propagation of crosslinking reactions by uranium irradiation, irrespective of the geological heating (Breger, 1974). Similarly, Forbes et al. (1988) and Landais (1996) suggested that the T_{\max} of vitrinite in the Akouta uranium deposit (Niger) increases when the uranium content is high. However, the inverse correlation between T_{\max} and uranium contents observed here in the Alum Shale is in accordance with previous findings by Dahl et al. (1988a). Based on molecular modelling, Claxton et al. (1993) found that the presence of aromatic rings in kerogen results in a weakening of the bond energies of carbon chains, especially at the β position. This β -cleavage of aromatic structures offers an explanation why uranium-rich samples have relatively low T_{\max} values.

5.1.2 Free hydrocarbons

The free hydrocarbons stored in the immature (low T_{\max}) Alum Shale samples and detected by T_{vap} may have been formed by incipient thermal cleavage reactions or by irradiation-induced maturation. Jaraula et al. (2015) reported that the odd/even carbon preference of *n*-alkanes in an immature deposit ($R_o=0.26\%$) decreases with increasing uranium contents, and thus proposed an alternative radiolytic cracking pathway of petroleum generation in addition to thermal and microbial mechanisms. It is important to note that the free hydrocarbons in the Alum Shale are quantitatively limited, especially from the uranium-rich samples, as revealed by the low S₁ values (Table 1). Nevertheless, the fit between pyrolysis results and T_{vap} products (Fig. 5) suggests that the uranium irradiation effect on compositional variation is not limited to laboratory experiments but is also effective in nature.

5.2 Heterocompounds

5.2.1 Oxygen-containing moieties

The oxygen compounds in petroleum or source rock extracts can be influenced by a variety of controls such as depositional environment, biodegradation, and maturity. Hughey et al. (2002) found that crude oils generated from lacustrine source rocks are richer in acids (O_2 compounds) than crude oils generated from marine source rocks. Biodegradation of oils normally raises the concentrations of oxygen compounds, especially the O_2 class (Kim et al., 2005). Furthermore, extracts from the

Posidonia Shale (Lower Jurassic) are depleted in oxygen compounds during thermal maturation (Poetz et al., 2014).

The aforementioned factors play only a very minor role, if any, in determining the composition of oxygen-containing compounds in the Alum Shale for the following reasons: 1) The Alum Shale was deposited in a fully marine environment that predates the evolution of terrestrial plants. This implies that source type variation played a very minor role in the oxygen compound variations. 2) Samples LO-6 and UCm-1, which are borehole samples (Table 1) and therefore less prone to being biodegraded, have a high oxygen content, whereas the outcrop samples (LO-9 and MCm-2), being most susceptible to weathering effects, have low oxygen contents (Fig. 6). These together argue against surficial biodegradation. 3) Samples LO-9 and MCm-2 with similar uranium contents but very different maturities contain similar oxygen compound concentrations. This implies that the relatively small range of maturity change is not the controlling factor of elemental variabilities.

Purportedly the radiolytic cleavage of water yields highly reactive OH^\cdot radicals which quickly react with the *in-situ* organic matter during diagenesis (Court et al., 2006; Jaraula et al., 2015), leading to enhanced oxygen contents in the kerogen structure. Alternatively, peroxides can also be formed in response of irradiation (Gebicki and Gebicki, 1993) which can further oxidize the kerogen quickly (McDonald et al., 1998). However, these oxygen-containing moieties do not degrade into low molecular weight volatiles upon pyrolysis easily, as shown by the low OI of many uranium-rich samples (Table 1 and Fig. 2a). In addition, data gained by nuclear magnetic resonance spectroscopical analyses of the Alum Shale revealed that the oxygen-bearing functional groups are still intact within the kerogen macromolecule throughout catagenesis (Bharati et al., 1995), i.e., some highly mature Alum Shale kerogens are still rich in oxygen.

5.2.1 Pyrrolic nitrogen-containing moieties

It is known that the average DBE in the N_1 class shifts toward higher values with increasing maturity for shale extracts (Poetz et al., 2014) and crude oil (Oldenburg et al., 2014) due to annulation and aromatisation. The DBE distribution in sample LO-9, which has the highest T_{max} value of the four samples, could be enhanced by thermal maturation. In contrast, the other three samples have comparable maturities (T_{max} values between 417 °C to 419 °C) and the influence of maturity can be excluded. Although the uranium-rich samples tend to generate more aromatic products during pyrolysis, the aromaticity of the bitumen is not correlated with uranium content (Fig. 7c and d).

The atomic pile (an early type of nuclear reactor) radiation of petroleum revealed that paraffins are converted into an insoluble gel after a certain dose of radiation has been reached (Charlesby, 1954). In

the Alum Shale, the bitumen extractability and aliphatic biomarker concentrations are inversely correlated with the uranium content (Dahl et al., 1988a; Dahl et al., 1988b; Lewan and Buchardt, 1989). This was attributed to cross-linking and aromatisation by uranium irradiation (Hoering and Navale, 1987). Similar aromatisation mechanisms of the kerogen structure in response to irradiation were also proposed by Forbes et al. (1988) and Kribek et al. (1999). However, the pyrrolic nitrogen compounds in the Alum Shale extracts are not obviously aromatised in the uranium-rich samples (Fig. 7c and d). This could imply that cross-linking, rather than aromatisation, is the primary reaction path responsible for the low bitumen extractability in uranium-rich Alum Shale samples.

Mahlstedt et al. (2016) reported that the degree of alkylation of the N_1 class decreases in solvent extracts of the Lower Jurassic Posidonia Shale during thermal maturation. This maturity control does not serve as an explanation for the strong alkylation reduction of the two uranium-rich Alum Shale samples (Fig. 7c and d) since both are immature. We deduce that it is irradiation that causes side-chain cracking of alkyl chains attached to the aromatic core structures, leaving lower levels of alkylation behind as a result. Similar damaging effects have been observed in the biomarker distributions of the Alum Shale (Dahl et al., 1988b; Lewan and Buchardt, 1989), i.e., high molecular-weight triaromatic steroids (C_{26} - C_{28}) are always absent in uranium-rich samples, this being atypical for extracts of immature marine shales in general (McKenzie et al., 1983). The low alkylation degree of the uranium-rich samples not only depicts the kerogen structure but may also explains why these samples tend to generate gaseous products instead of long-chained compounds (Fig. 5a).

5.3 Kerogen structure reconstruction

5.3.1 Geological evidences for structural alteration

Based on its widespread occurrence, regional great thickness, and high TOC contents, the Alum Shale may hold a huge unconventional gas potential (EIA, 2015). Furthermore, the Alum Shale has been suggested to have a high storage capacity, based on methane adsorption measurements in the laboratory of as much as $3.5 \text{ m}^3/\text{t}$ (Gasparik et al., 2014). However, shale gas exploration activities in southern Sweden and northern Denmark were disappointing, ostensibly due to very low gas saturation (Pool et al., 2012) and possible gas leakage over geological time since tectonic movements (Schovsbo et al., 2014).

As far as the liquid hydrocarbon potential is concerned, a very limited amount of oil with a very high API gravity is anticipated to be generated from the Alum Shale based on pyrolysis experiments

(Kotarba et al., 2014a; Sanei et al., 2014). However, petrological (Schleicher et al., 1998), stable carbon isotope (Więclaw et al., 2010) and biomarker (Yang et al., 2017) investigations indicate that the crude oil in Middle Cambrian sandstones and Upper Ordovician reef reservoirs in the Baltic Basin was sourced by the Alum Shale. Importantly, the produced oil was typically classified according to engineering nomenclature as “Black Oil” based on physical properties (Sivhed et al., 2004; Zdanaviciute et al., 2012). These inconsistencies imply that the composition of organic matter in the uranium-rich Alum Shale has to be evaluated carefully, with due consideration of the source rock in its present state versus that of the same shale prior to extensive radiation damage. Specifically, the kerogen in the investigated Alum Shale samples has undergone irradiation for 478-500 Myr, and must have been compositionally and structurally different from that which underwent the phase of major petroleum generation in the basin centre beginning in the Early Devonian.

5.3.2 Radiation dosage

The most common isotopes of natural uranium are ^{238}U (99.27%) and ^{235}U (0.72%) (Osmond and Cowart, 1976). Most of the radiation resulting from ^{238}U decay in natural systems is emitted in the form of α -radiation followed by less intensive γ -radiation (Jaraula et al., 2015). With a half-life of 4.5 billion years, ^{238}U decays exponentially (Fig. 8) and is independent of either temperature or pressure (Goodwin et al., 2009). However, the time span since the Cambrian is very short compared with the half-life, making the curvature of the exponential curve extremely small (Fig. 8). Therefore, the decay can be roughly viewed as linearly correlated with time, i.e., the rate of decay in the Alum Shale is considered constant.

Whyte (1973) proposed that the radiation dosage (D) from a point source to a detector over time (t) can be calculated by:

$$D = E \times C \times (S/4\pi r^2) \times \mu \times t$$

where E denotes the energy per decay (MeV) which is constant for ^{238}U ; C describes the activity (Bq) of decay and can also be viewed as a fixed rate as described above; S represents the area of the detector and $4\pi r^2$ is the area of a sphere with radius r, and thus the $S/4\pi r^2$ shows the probability that the radiation will reach the detector; μ is the mass energy-absorption coefficient.

Although E, C, and μ in the formula can be constant values, it is impossible to accurately measure the distance between a uranium atom and organic matter, especially the great heterogeneities of organic and inorganic matter in the shale. Nevertheless, since the uranium in Alum Shale is primarily accumulated in organic matter and phosphate nodules (Lecomte et al., 2017) and the spatial relationship of uranium and organic matter is fixed through geological time unless a metamorphism

stage is reached (Cumberland et al., 2016), it can be concluded that the radiation dosage from uranium in the sample is proportional to time. Furthermore, the cumulative effect of uranium irradiation on kerogen structure within a shale sample is linearly correlated with both uranium content and the time since shale deposition.

5.3.3 Kerogen reconstruction

The exponential relationships between uranium and pyrolysate parameters (Fig. 4a and b) imply that the response of kerogen structure to irradiation is not linear. A labile kerogen structure can easily be changed during the early stages; thereafter the altered structures would become less sensitive to radiation and will reach equilibrium in the end. The response curves in Fig. 4a and b describe a scenario that samples with different uranium contents have experienced a similar exposure time of irradiation. Because the uranium content and radiation time compensate with each other, these pathways should also exist when the uranium content is fixed and only radiation time varies. The irradiation dosage and the loss of ^{238}U is negligible since only ca. 1% of uranium would be decayed every 100 Myr.

In the case of sample UCm-2 (U=413 ppm), the pyrolysate is characterised by a gas content of 98% and an *o*-xylene content of 86% over the sum of 2,3-dimethylthiophene, *n*-nonene, and *o*-xylene (Table 2). With decreasing radiation dosage, i.e., less radiation time, the products from this shale should be less rich in gaseous and aromatic compounds. One-dimensional burial reconstructions presented by Kosakowski et al. (2010) indicate that the Alum Shale in the Baltic Basin centre started to generate and expel petroleum from the Early Devonian, e.g., the Alum Shale in well A23-1/88 (Fig. 1) was in the oil window from 420-340 Myr ago (Fig. 9). Accordingly, the compositional information on hydrocarbons generated during that time can be back-calculated, assuming that the kerogen structure in sample MCm-1 (U=14 ppm) represents the original structure of sample UCm-2 prior to irradiation. The gas and *o*-xylene contents are calculated as 88-91% and 66-73 %, respectively (Fig. 9), which are still high for typical marine shales (Fig. 5). When petroleum generation started in Devonian times, the Alum Shale had experienced about 1/5 of the total exposure to radiation compared with samples today, but the extent of kerogen alteration was more advanced, due to the exponential response of the kerogen structure to irradiation dosage. This method can also be applied to samples with lower uranium contents, and the products inferred to have formed back in Palaeozoic times must have been more oil-rich and aliphatic than those from sample UCm-2.

5.3.4 Further implications

Ziegs et al. (2017) reported that the ability of shale to retain light hydrocarbons is proportional to the aromaticity of its labile kerogen, and therefore, the gas adsorption capacity of the Alum Shale over geological time could have also been overestimated. The expected highest risks of gas leaks from the Alum Shale are assumed to have occurred during late Caledonian orogeny, the Carboniferous uplift, and the Neogene uplift (Pool et al., 2012; Schovsbo et al., 2014). When those tectonic movements happened, the gas retention capacity of the Alum Shale kerogen would have been much smaller than the values suggested by adsorption experiments and much more gas could have been lost as a result of the tectonic uplifts than previously thought. Therefore, the experimental data based on the Alum Shale samples today should only be used as the upper limit of gas adsorption capacity in resource estimates.

The uranium irradiation effects causing changes in the distribution of triaromatic steroids biomarkers (Dahl et al., 1988b; Lewan and Buchardt, 1989) can be applied in oil-source rock correlation. Yang et al. (2017) proposed that oil with low C_{26} – C_{28} triaromatic steroids was sourced from uranium-rich Alum Shale (UCm-4 and MCm-1 are shown as Kak/a and Kak/b, respectively, in their Fig. 5). As stated above, the kerogen structure of UCm-4 (U=231 ppm) was partly altered by uranium irradiation. However, the C_{26} – C_{28} triaromatic steroids were already completely removed (Yang et al., 2017). Similarly, an Alum Shale sample with 163 ppm uranium (sample B26, Fig. 9 in Dahl et al. (1988b)) was totally depleted in high-molecular triaromatic steroids. These overall findings imply that the soluble aromatic biomarkers are much more sensitive to uranium irradiation than the solid kerogen structure.

In addition, the changes in organic matter composition in response to irradiation as outlined here can also be instructive for extra-terrestrial research in which strong radiation is ubiquitous (Allen et al., 1998), and also for the evaluation of the long-term impact of uranium waste disposal in shales (Gautschi, 2001).

6. Conclusions

1. Neither the HI nor the OI of immature Alum Shale is correlated with uranium contents. The HI could be decreased by uranium irradiation, but the diverse original HI values prevent any correlation. Oxygen compounds are enriched by the existence of uranium but are mainly intact in kerogen macromolecules.

2. Most of the Alum Shale samples generate gas-rich and aromatic products through pyrolysis experiments which are atypical for marine shales. Gas and *o*-xylene percentages in pyrolysates and natural products are both proportional to uranium contents, suggesting that irradiation strongly alters kerogen structure.
3. The irradiation does not significantly increase the aromatisation of macromolecules. Instead, the average chain lengths of alkyl substituents bound to aromatic structures was shortened by the irradiation bombardment and is responsible for the high potential for gas generation.
4. Both uranium contents and exposure time are linearly correlated with uranium radiation dosage. However, the response of kerogen structure to radiation is exponential, because the labial structures are altered in the early stages of irradiation.
5. About half of the irradiation-induced kerogen changes occurred when the Alum Shale was at an oil-window maturity. The back-calculation of the kerogen structure can avoid over-estimations of gas generation and retention of the Alum Shale.

Acknowledgment

We thank Gripen Oil & Gas AB and the Geological Survey of Sweden for providing the samples. Nicolaj Mahlstedt is acknowledged for fruitful discussions. Kind thanks are extended to Ferdinand Perssen and Cornelia Karger for their technical support. This study is financially supported by the Chinese Scholarship Council for S. Yang.

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753 Table 1. Background information, uranium contents, and Rock-Eval and TOC data of the Alum Shale
754 samples.

Age	Name	Type	Well/Place	Uranium Content (ppm)	TOC (%)	Rock-Eval				
						S1 (mg/g)	S2 (mg/g)	T _{max} (°C)	HI (mg HC/g TOC)	OI (mg CO ₂ /g TOC)
Lower Ordovician	LO-1	borehole	Saint Petersburg	74	11.1	0.3	23.7	409	214	33
	LO-2	borehole	Saint Petersburg	190	9.0	0.3	17.6	412	195	31
	LO-3	borehole	Saint Petersburg	244	13.6	0.4	21.9	411	161	30
	LO-4	borehole	Saint Petersburg	274	6.2	0.2	5.9	414	95	47
	LO-5	borehole	Saint Petersburg	110	9.4	0.1	8.4	419	59	37
	LO-6	borehole	NA-3	136	14.1	0.8	53.6	419	380	2
	LO-7	borehole	F-342	107	8.1	0.3	33.8	406	416	2
	LO-8	borehole	P-1949	119	12.4	2.5	47.6	405	385	3
	LO-9	outcrop	Ottenby	33	8.1	0.6	30.1	441	374	0
Upper Cambrian	UCm-1	borehole	OA-1	155	16.7	1.0	59.6	417	387	1
	UCm-2	borehole	GH-2B	413	21.7	1.3	73.4	426	338	2
	UCm-3	borehole	KN-1A	135	13.1	1.9	50.5	425	385	1
	UCm-4	outcrop	Kakeled	186	21.7	2.2	83.4	416	384	2
	UCm-5	outcrop	Kakeled	194	11.1	0.6	42.2	418	381	1
	UCm-6	borehole	Hällekis-1	201	11.6	1.4	57.4	413	497	10
	UCm-7	borehole	Hällekis-1	177	14.6	1.0	53.1	417	365	8
	UCm-8	borehole	Hällekis-1	50	4.1	0.2	11.6	420	284	20
	UCm-9	borehole	Hällekis-1	142	3.0	0.5	8.8	419	298	30
	UCm-10	borehole	Hällekis-1	130	14.0	0.9	60.9	420	435	11
	UCm-11	borehole	Hällekis-1	109	12.6	0.9	59.0	420	467	12
	UCm-12	borehole	Hällekis-1	97	13.4	0.9	64.1	421	479	10
	UCm-13	borehole	Hällekis-1	84	22.1	1.9	138.1	426	624	5
	UCm-14	borehole	Hällekis-1	87	10.7	1.3	52.2	424	490	10
Middle Cambrian	MCm-1	outcrop	N. Djupvik	14	9.9	1.8	33.4	421	338	4
	MCm-2	outcrop	Kakeled	35	13.5	2.0	47.7	418	353	2
	MCm-3	borehole	Hällekis-1	21	11.1	2.5	59.8	423	537	10
	MCm-4	borehole	Hällekis-1	35	11.1	3.9	56.6	420	511	4
	MCm-5	borehole	Hällekis-1	35	10.8	2.1	21.1	423	195	9
	MCm-6	borehole	Hällekis-1	43	11.3	3.2	77.3	426	686	7
	MCm-7	borehole	Hällekis-1	47	22.0	2.7	128.4	423	583	6
	MCm-8	borehole	Hällekis-1	44	10.4	2.9	52.8	416	508	7
	MCm-9	borehole	Hällekis-1	34	12.2	4.3	55.0	418	451	6
	MCm-10	borehole	Hällekis-1	11	3.3	1.4	16.3	426	498	14
	MCm-11	borehole	Hällekis-1	22	5.9	1.8	31.3	422	529	14



Fig. 1. Geographical overview of the Alum Shale sample distribution. The grey dashed line depicts the boundary of the Baltic Basin, and the red dashed lines represent the isolines of vitrinite-like maceral reflectance of the Alum Shale, modified after Buchardt et al. (1997). Ages of the samples are given in coloured circles. The red star denotes the well for 1-D basin modeling.

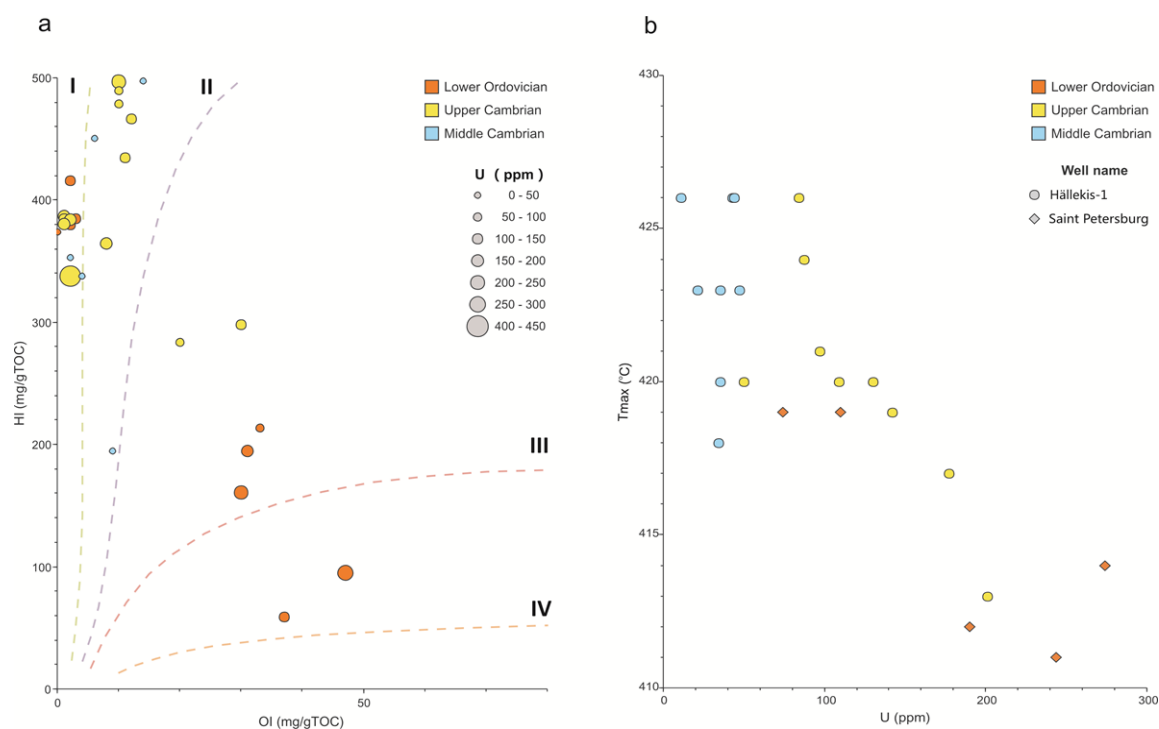


Fig. 2. Correlations between uranium contents and selected Rock-Eval parameters. (a) Different kerogen types can be identified based on the pseudo-van Krevelen diagram (Espitalie et al., 1977). HI and OI are poorly correlated with uranium contents. (b) T_{max} values of samples from two boreholes are generally inversely proportional to their uranium contents.

766 Table 2. Pyrolysis-GC and thermovaporisation-GC data of 16 Alum Shale samples. The ternary end
767 members are normalized as described by Horsfield (1989) and Eglinton et al. (1990). Gas-oil ratios
768 (GOR) gained by T_{vap} experiment was calculated from gas over resolved oil fractions.

		PyGC							Tvap	
Name	Uranium content (ppm)	Horsfield, 1989			Eglinton et al., 1990			GOR	<i>o</i> -Xyl/C ₉	
		C ₁₋₅ Bulk (%)	<i>n</i> C ₆₋₁₄ Res.(%)	<i>n</i> C ₁₅₊ Res. (%)	2,3- dmThiophene (%)	<i>n</i> -nonene; 9:1 (%)	<i>o</i> -xylene (%)			
Lower Ordovician	LO-1	74	87.5	12.5	0.0	14.2	18.8	67.0	3.2	2.6
	LO-2	190	94.6	5.4	0.0	11.6	10.1	78.3	6.1	5.2
	LO-3	244	96.5	3.5	0.0	12.9	6.9	80.2	5.4	9.1
	LO-4	274	97.4	2.6	0.0	10.5	8.1	81.4	6.9	12.7
	LO-5	110	94.0	6.0	0.0	9.0	17.2	73.8	6.3	6.1
	LO-6	136	91.3	8.5	0.2	8.0	18.6	73.4	5.8	3.5
	LO-7	107	93.3	6.7	0.0	9.0	17.6	73.4	5.9	3.7
	LO-8	119	93.5	6.5	0.0	9.5	14.4	76.2	5.6	5.1
	LO-9	33	78.1	20.4	1.8	6.2	54.3	39.4	0.4	0.5
Upper Cambrian	UCm-1	155	92.5	7.3	0.2	8.5	13.4	78.1	6.8	3.2
	UCm-2	413	98.0	2.0	0.0	9.1	5.1	85.8	6.4	12.5
	UCm-3	135	92.0	7.8	0.2	9.3	14.9	75.8	6.6	4.4
	UCm-4	186	92.4	7.4	0.2	7.3	13.2	79.5	5.5	7.5
	UCm-5	194	94.2	5.8	0.0	10.0	10.5	79.5	4.1	8.9
Middle Cambrian	MCm-1	14	77.0	21.0	2.0	9.5	45.3	45.2	0.8	1.8
	MCm-2	35	79.2	18.8	2.0	13.4	39.2	47.4	0.6	1.1

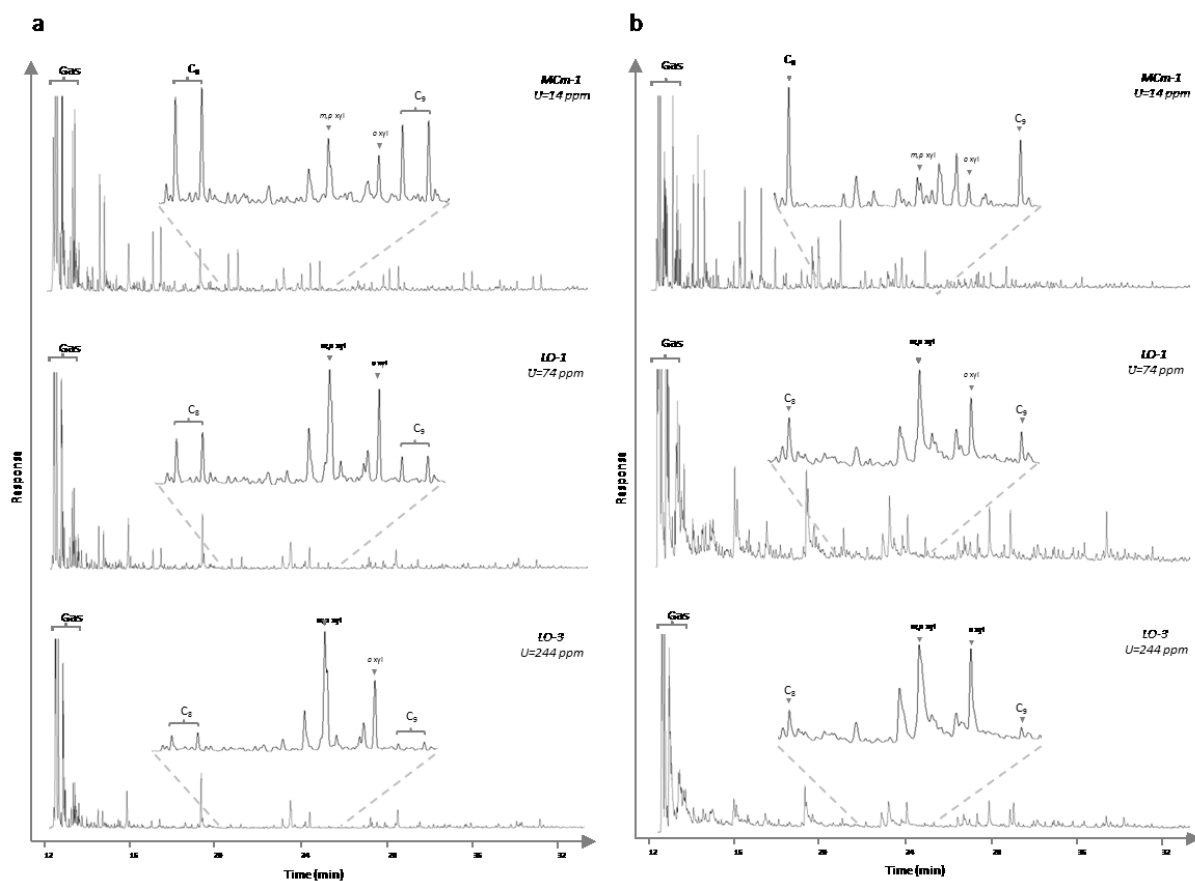


Fig. 3. The pyrolysis- and thermovaporisation-GC traces of three Alum Shale samples with different uranium contents. *n*-alkenes and *n*-alkanes are named by carbon numbers, and major aromatic compounds are illustrated. (a) The pyrolysates show increasing gas/oil ratios and aromaticities in the products with increasing uranium contents from the top to bottom. (b) The Tvap products are featured by the absence of *n*-alkenes compared with pyrolysates of Py-GC, but still show the same trend of compositional changes in response to uranium contents as revealed by pyrolysates.

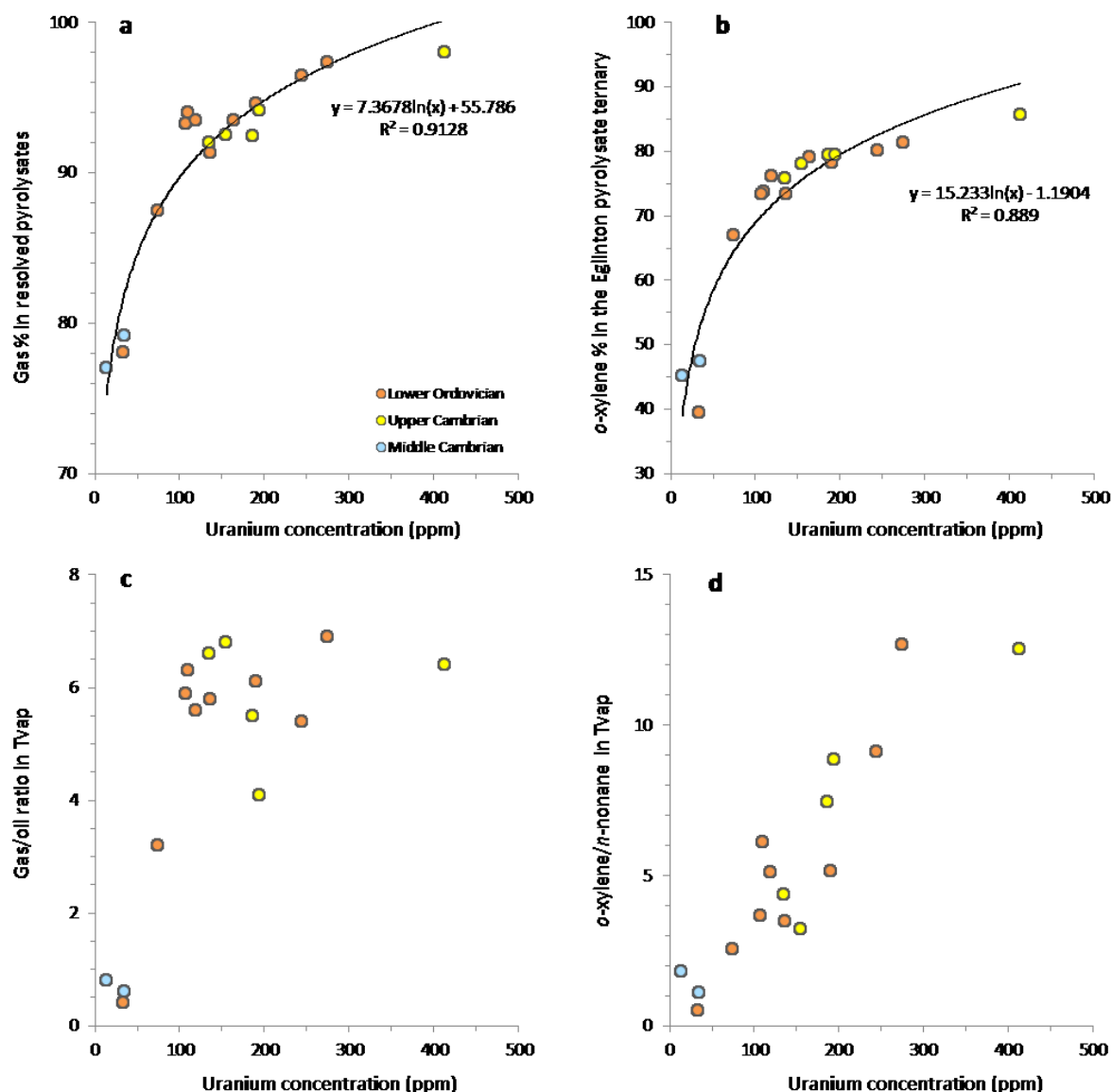


Fig. 4. Correlations between uranium contents with compositional information derived from pyrolysis-GC (a and b) and Tvap-GC (c and d). The gas percentage in (a) and *o*-xylene percentage in (b) are two end members of two classical ternary diagrams as shown in Fig. 5 which are instructive to organic facies. Gas/oil ratio in (c) is calculated from gas/resolved oil in Tvap experiment which reflects the gas richness as in (a). Since the 2,3-dimethylthiophene concentration in Tvap is too low to be accurately interpreted, only *o*-xylene and *n*-nonane were used in (d). Nevertheless, both (b) and (d) allow interpretations about the aromaticity of the products.

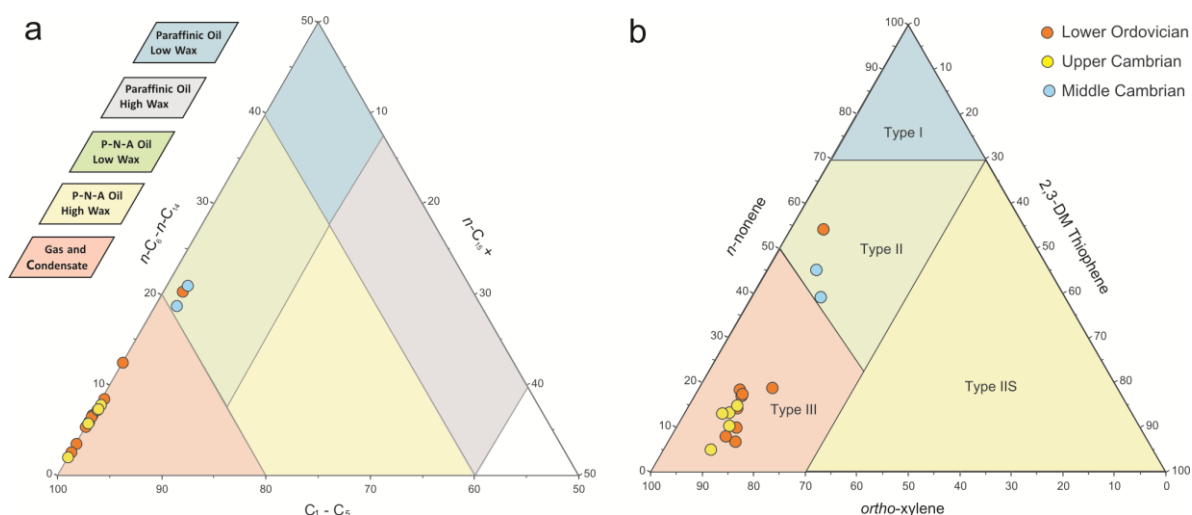


Fig. 5. Ternary diagrams of the pyrolysates for interpretations of organic facies and kerogen structures of the Alum Shale samples (Eglinton et al., 1990; Horsfield, 1989).

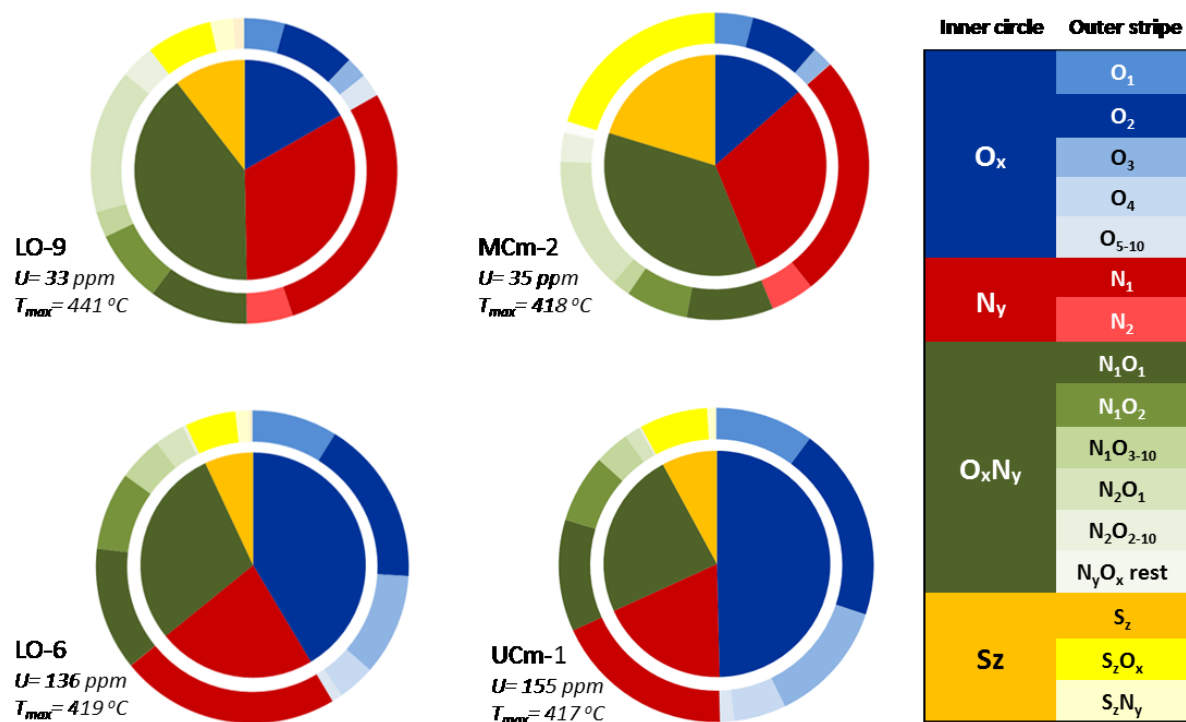


Fig. 6. Elemental class (inner circle) and compound class (outer circle) distribution pie charts of four representative Alum Shale samples derived from ESI (-) FT-ICR MS analyses. Uranium-poor samples (LO-9 and MCm-2) have lower oxygen contents and uranium-rich samples (LO-6 and Ucm-1) are characterised by the absence of N₂ compounds.

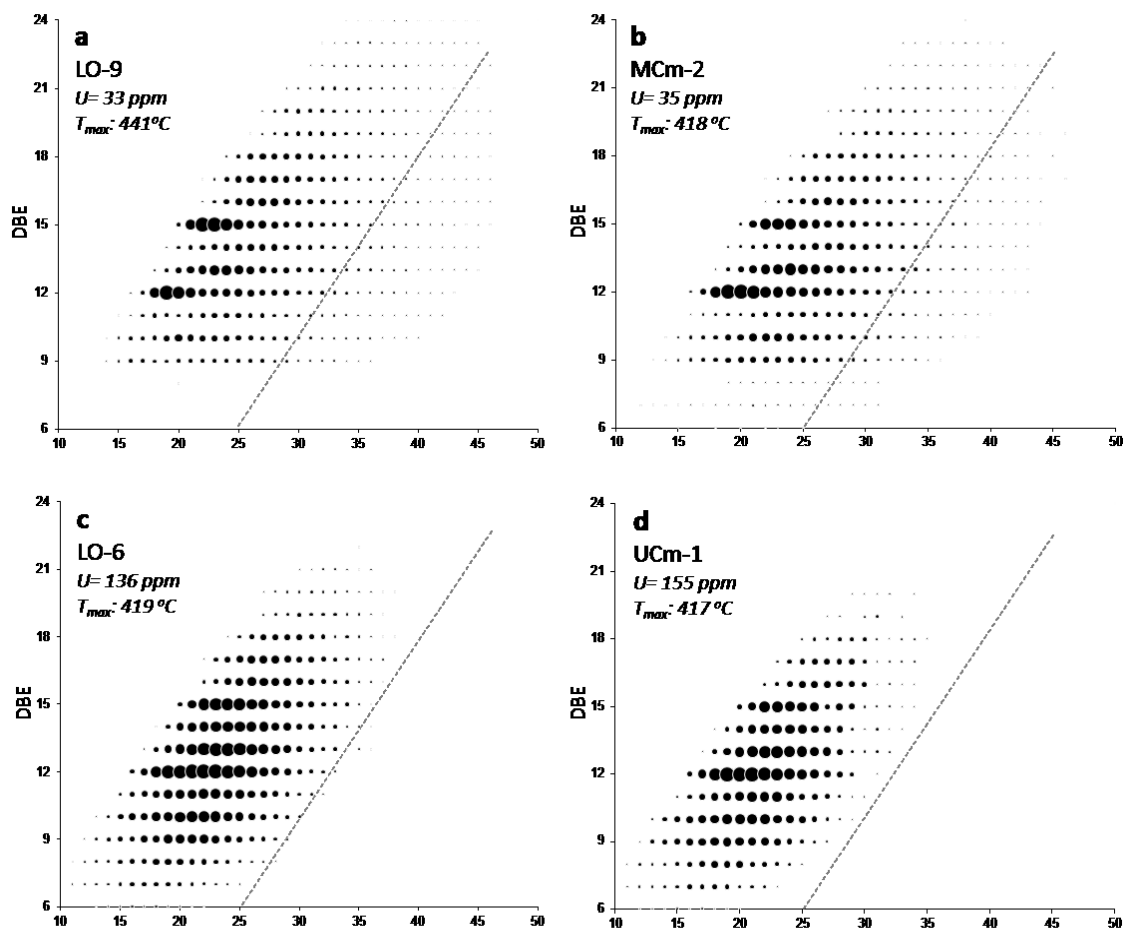


Fig. 7. Double bond equivalent (DBE) against carbon number diagrams on the N₁ class of two uranium-poor (a and b) and two uranium-rich samples (c and d). The size of the circles denotes the relative abundance of each compound and the dashed lines on the right hand of each diagram enable comparison of the alkylation.

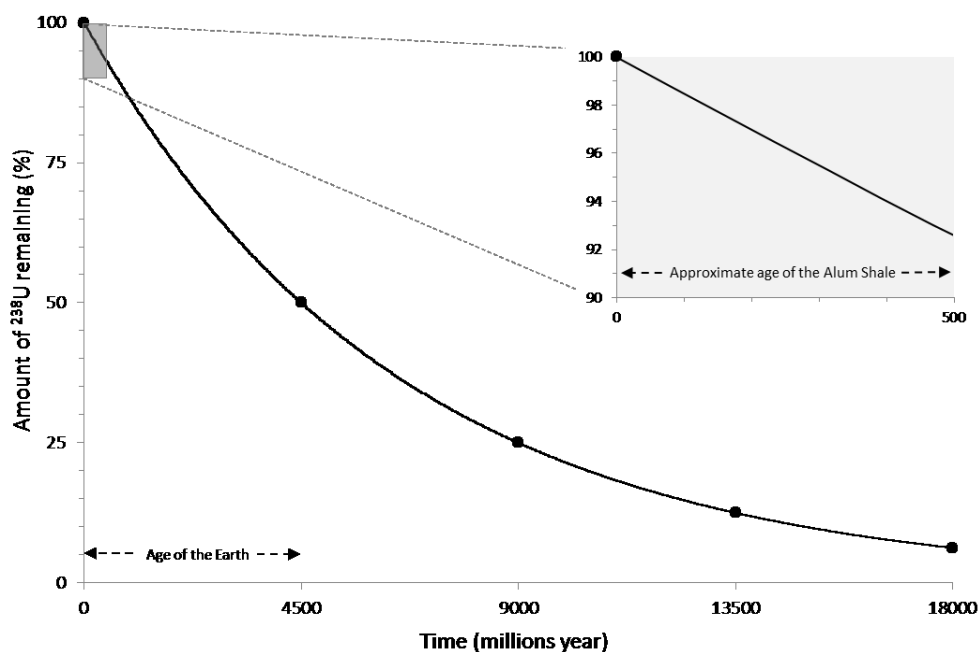


Fig. 8. The exponential decay curve of ²³⁸U. A zoom-in on the geological time scale shows that the decay can be roughly viewed as linearly correlated with time for the Alum Shale.

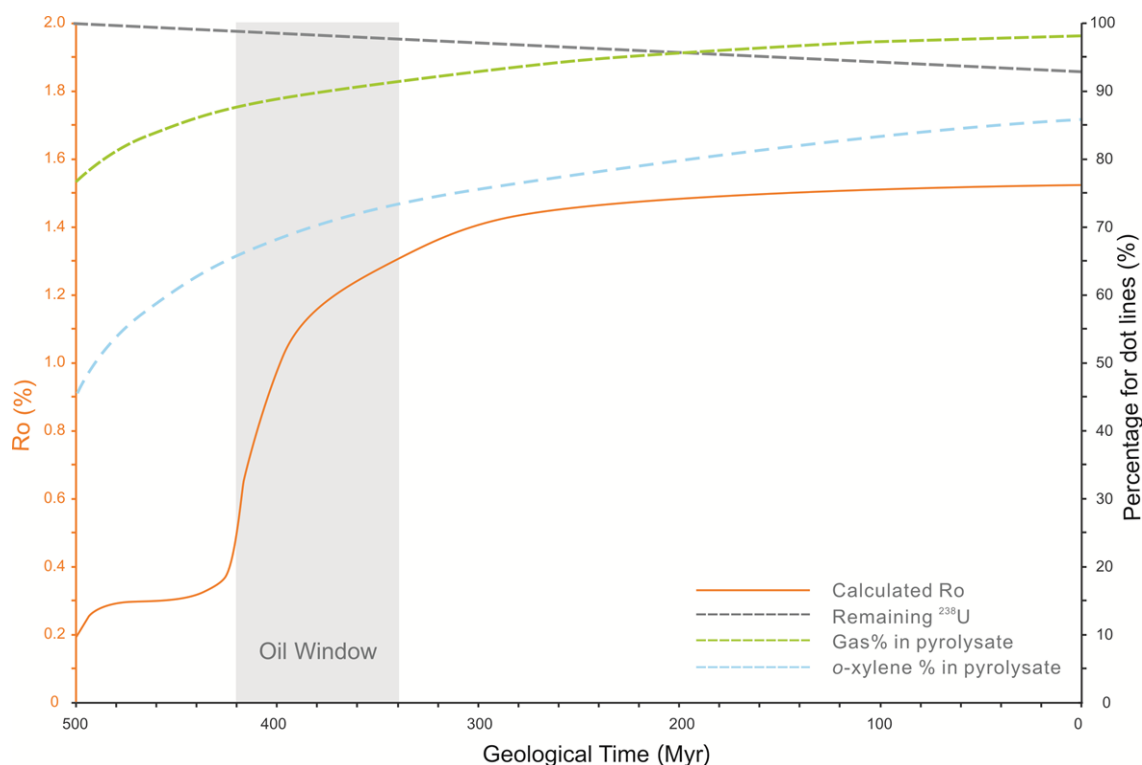
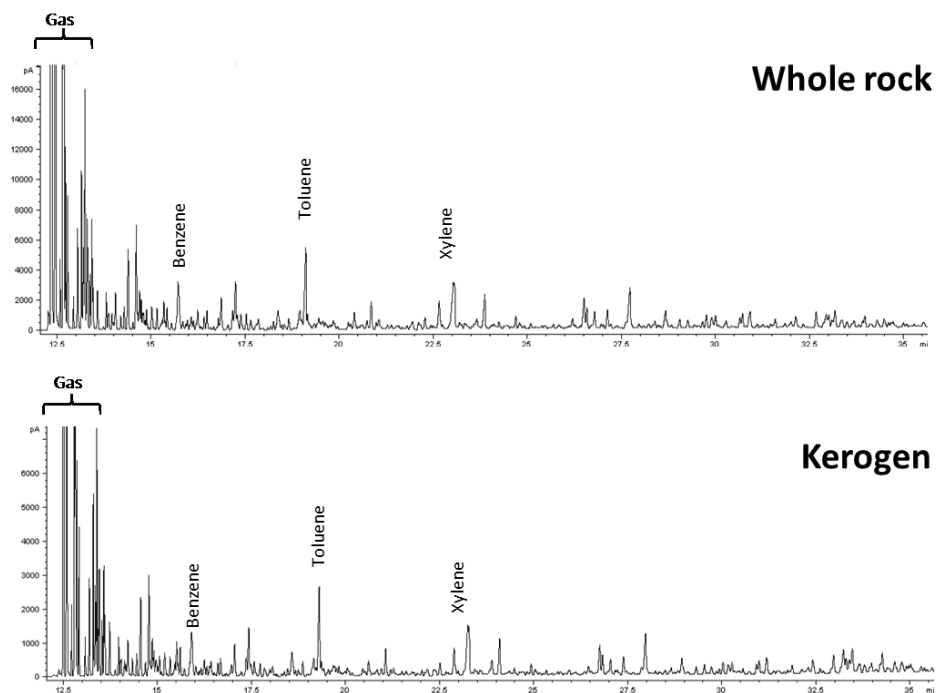


Fig. 9. The back-calculation of products that could be generated from sample UCm-2. Calculated vitrinite reflectance curves are based on basin modelling of well A23-1/88 (location in Fig. 1) from Kosakowski et al. (2010). The oil window was estimated with Ro values between 0.5-1.3 %. The gas and o-xylene percentage curves are based on the correlation curves in Fig. 4a and b, respectively. Pyrolysates from sample MCm-1, which has the lowest uranium content and a similar maturity as sample UCm-2, were set as the left end members of these two curves.



Supplement Figure. Py-GC traces of sample UCm-1 (U=155 ppm) before and after demineralisation. The pyrolysates resemble and imply that the high-gas and high-aromatic production from the Alum Shale were not caused by a mineral catalytic effect.