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1 **Observations of whistler waves correlated with electron-scale coherent structures in the**
2 **magnetosheath turbulent plasma**

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20

21 **Abstract**

22 A new type of electron-scale coherent structure, referred to as electron vortex magnetic holes,
23 was identified recently in the Earth's magnetosheath turbulent plasma. These electron-scale
24 magnetic holes are characterized by magnetic field strength depression, electron density
25 enhancement, temperature and temperature anisotropy increase (significant increase in
26 perpendicular temperature and decrease in parallel temperature), and an electron vortex formed
27 by the trapped electrons. The strong increase of electron temperature indicates that these
28 magnetic holes have strong connection with the energization of electrons. Here, using high time
29 resolution *in-situ* measurements from the MMS mission, it is further shown that electron-scale
30 whistler waves coexist with electron-scale magnetic holes. These whistler waves were found

31 not propagating from remote regions, but generated locally due to electron temperature
32 anisotropy ($T_{e\perp}/T_{e\parallel}$) inside the magnetic holes. This study provides new insights into the
33 electron-scale plasma dynamics in turbulent plasmas.

34

35

36 **1. Introduction**

37 Magnetic holes, characterized by magnetic field strength depressions lasting from several
38 seconds to several minutes (corresponding to a spatial scale larger than ion gyro-radius), have
39 been detected in space plasmas such as the magnetosphere (e.g. Shi et al. 2009; Sundberg et al.
40 2015), magnetosheath (e.g. Tsurutani et al. 2011) or the solar wind (e.g. Tsurutani et al. 2002;
41 Zhang et al. 2008). These large-scale magnetic holes are thought to be generated by mirror
42 instability (Horbury et al. 2004; Sahraoui et al., 2004, 2006) or related to slow solitons
43 (Stasiewicz, 2004). Recently, thanks to the unprecedented high time resolution data of MMS
44 mission, a new type of kinetic-size magnetic holes (less than proton gyro-radius, or tens of
45 electron gyro-radius) was evidenced in the turbulent magnetosheath plasma (Huang et al. 2017a,
46 2017b; Yao et al. 2017). A statistical study showed that an increase in the electron density and
47 total temperature, significantly increase (resp. slightly decrease) in the electron perpendicular
48 (resp. parallel) temperature (Huang et al. 2017b). Furthermore, an electron vortex is formed by
49 the trapped electrons with enhanced fluxes at $\sim 90^\circ$ pitch angles inside the magnetic holes
50 (Huang et al. 2017b). The increase of electron temperature inside the magnetic holes suggests
51 the possible role the latter could play in energy dissipation in turbulent plasmas leading to
52 localized electron heating (Breuillard et al. 2016a; Huang et al. 2012a, 2014, 2017d; Fu et al.
53 2017). These magnetic holes can be best interpreted as electron vortex magnetic holes
54 according to Particle-In-Cell (PIC) simulations of Haynes et al. (2015).

55

56 Whistler waves are frequently reported in space and laboratory plasmas (Stenzel, 1999), such
57 as the inner magnetosphere (e.g. Li et al. 2011; Fu et al. 2012, 2014), the magnetotail (e.g.
58 Huang et al. 2010, 2012b, 2016a, 2017c; Zhima et al. 2015; Breuillard et al. 2016b), the
59 magnetosheath (e.g. Moullard et al. 2002; Breuillard et al. 2017, Huang et al. 2016b; Cao et al.

60 2017), and the solar wind (e.g. Lacombe et al. 2014). They can be generated by various
61 mechanisms that include electron temperature anisotropies (e.g. Gary and Madland, 1985) and
62 current or beam-driven instabilities (e.g., Zhang et al. 1999; Fujimoto et al. 2014). Whistler
63 waves propagating in the magnetosphere (Santolik et al. 2009; Breuillard et al. 2012, 2014) can
64 be guided by density enhancements or depletions (e.g., Smith et al. 1961; Moullard et al. 2002;
65 Streltsov et al. 2006; Li et al. 2011) and modulated by ULF waves (e.g. Xia et al. 2016). The
66 occurrence of whistler waves is generally well correlated with the existence of magnetic
67 structures with magnetic field strength minimum (Smith and Tsurutani, 1976; Dubinin et al.
68 2007) or dipolarization fronts (Le Contel et al. 2009; Deng et al. 2010; Huang et al. 2012b; Li
69 et al. 2015). Recently, Tenerani et al. (2012, 2013) have reported the connection between
70 whistler waves and ion-scale magnetic structures using Cluster measurements in the
71 magnetotail.

72

73 However, whether whistler waves can correlate with electron-scale coherent magnetic
74 structures are still unsettled. In this Letter, we report observations of intense whistler waves
75 correlated with electron-scale magnetic holes (i.e., electron vortex magnetic holes) in the
76 turbulent magnetosheath plasma from the MMS spacecraft. The magnetic field data come from
77 the Fluxgate Magnetometer (FGM) (Russell et al. 2016) and the Search-Coil Magnetometer
78 (SCM) (Le Contel et al. 2016), the electric field data from the Electric Double Probe (EDP)
79 (Ergun et al. 2016; Lindqvist et al. 2016), and the 3D particle velocity distribution functions
80 and the plasma moments from the Fast Plasma Investigation (FPI) (Pollock et al. 2016).

81

82 **2. MMS Observations**

83 Figure 1 presents one example of an electron vortex magnetic hole in the magnetosheath. The
84 local boundary normal coordinates (LMN) determined by minimum variance analysis (MVA)
85 of the magnetic fields (Sonnerup and Scheible, 1998) were used. In this coordinate system, **L**
86 is the maximum variation direction that can be considered as the axis of the magnetic hole, **M**
87 is the intermediate variation direction, and **N** is the minimum variation direction. Magnetic field
88 strength depression from ~ 19 nT to ~ 12 nT (Figure 1a) with small field shear angle ($<15^\circ$)
89 implies that a magnetic hole is detected by MMS. The electron density (Figure 1e) and electron

90 temperature (Figure 1d) increase while the electron parallel temperature decreases (Figure 1d)
91 and the electron perpendicular temperature strongly increases inside the magnetic hole (Figure
92 1h). Here, parallel and perpendicular directions are with respect to the ambient magnetic field.
93 There is a clear bipolar signature (from positive to negative) in V_{eN} component (Figure 1c) and
94 a strong enhancement in electron perpendicular temperature (Figure 1d) inside the magnetic
95 hole, which are typical features of an electron vortex (Haynes et al. 2015; Huang et al. 2017a,
96 2017b). The estimated width of magnetic hole (based on the frozen-in assumption) is about 27
97 km ($0.15 \rho_i$ or $\sim 19 \rho_e$, where $\rho_i \sim 175$ km and $\rho_e \sim 1.4$ km are the proton and electron
98 gyroradius with $|B| \sim 24$ nT, $n \sim 9 \text{ cm}^{-3}$, $T_i \sim 850$ eV and $T_e \sim 100$ eV). Thus, MMS detected
99 clearly an electron-scale electron vortex magnetic hole in the magnetosheath.

100

101 Figure 2 illustrates the statistical results of electron temperature for all electron vortex magnetic
102 holes (66 in total) in the turbulent magnetosheath from September 2015 to January 2016 (Huang
103 et al. 2017b). It shows that the electron perpendicular temperature significantly increases inside
104 the magnetic holes ($T_{e\perp(\text{inside})}/T_{e\perp(\text{outside})} > 1$) for all events (Figure 2a), while the electron parallel
105 temperature decreases ($T_{e\parallel(\text{inside})}/T_{e\parallel(\text{outside})} < 1$) for most of the events (Figure 2b), with a net
106 increase in electron total temperature inside the magnetic holes for most of the events ($\sim 93\%$,
107 Figure 2c). The increase of electron temperature indicates that these magnetic holes have strong
108 correlation with the heating of electrons. The statistics of the ratio of $T_{e\perp}/T_{e\parallel}$ inside the magnetic
109 holes (Figure 2d) show strong electron temperature anisotropies (up to 1.7) in the electron
110 vortex magnetic holes for $\sim 90\%$ events. Such electron temperature anisotropy is favorable to
111 the excitation of high frequency waves inside the magnetic holes.

112

113 After surveying the wave observations for all magnetic holes, we successfully identified the
114 whistler waves inside 11 (17%) electron vortex magnetic holes. Figure 3 shows an example of
115 such identification. Magnetic field strength, the ratio of $T_{e\perp}/T_{e\parallel}$, and high-pass filtered magnetic
116 field fluctuations with cut-off frequency of 60 Hz are presented in Figure 3a-3c respectively.

117 The occurrence of strong electron temperature anisotropy ($T_{e\perp}/T_{e\parallel}$) inside the magnetic hole is

118 accompanied by large-amplitude magnetic fluctuations (up to 0.1 nT). Figures 3d-3h show the
119 power spectral densities and the properties of wave emissions derived from singular value
120 decomposition (SVD) method (Santolik et al. 2003) during this period. Intense electromagnetic
121 waves (red or yellow in Figure 3d-3e) are observed inside the magnetic hole with their
122 frequencies between $0.1f_{ce}$ and $0.5f_{ce}$, which corresponds to the frequency band where large-
123 amplitude magnetic fluctuations are observed (Figure 3c). These waves have large polarization
124 degree (>0.9 in Figure 3h) and positive ellipticity (close 1 as shown in Figure 3f), and relatively
125 small propagation angles ($<30^\circ$ in Figure 3g). All these wave properties indicate that the
126 observed fluctuations are carried out by right-hand polarized and quasi-parallel propagating
127 whistler mode. This scenario is confirmed using the theoretical results of the linear solver of
128 the Maxwell-Vlasov equations (WHAMP, Ronmark, 1982) shown in Figure 4. The linear
129 dispersion relations were obtained using the local plasma parameters ($|B| \sim 12$ nT, $n \sim 10$ cm $^{-3}$,
130 $T_i \sim 800$ eV and $T_e \sim 100$ eV, $T_{e\perp}/T_{e\parallel} = 1.4$). One can see a positive growth rate corresponding
131 to $\omega/\omega_{ce} = 0.07\sim 0.34$ due to the electron temperature anisotropy, with maximum growth rate
132 $\gamma/\omega_{ce} = 0.04$ occurring at $\omega/\omega_{ce} = 0.23$, which is very close to ~ 0.29 ω/ω_{ce} of the peak frequency
133 of largest power spectral densities from our observations (see in Figure 3d-3e). These
134 theoretical results imply that the whistler waves inside the electron-scale magnetic holes can be
135 locally generated by electron temperature anisotropy.

136

137 **3. Discussions and Conclusions**

138 The simultaneous occurrence of high frequency whistler waves and ion-scale magnetic
139 structures (characterized by a magnetic field strength depression) was reported in the plasma
140 sheet (Tenerani et al. 2013), but the generation mechanism of the whistler waves was not
141 addressed in that work. Intense lion roars were often observed in mirror structures with scale-
142 size (much/several times) larger than the ion larmor scale in the magnetosheath (Smith and
143 Tsurutani, 1976; Thore and Tsurutani, 1981; Breuillard et al. 2017). Masood et al. (2006) have
144 shown that some instances of lion roars could be locally generated, while the source of others
145 must be in remote regions of the magnetosheath. Recently, a new type of electron-scale coherent
146 structures, referred to as electron vortex magnetic hole, was identified in the turbulent

147 magnetosheath plasma (Huang et al. 2017a, 2017b; Yao et al. 2017). The present statistical
148 study showed an increase in electron temperature inside those magnetic holes (Figure 2),
149 indicating that the latter may be able to heat electrons. While the mechanism of such heating
150 remains to be elucidated, the present observations provide nevertheless a new possible way of
151 (coherent) heating of electrons in turbulent plasmas. This mechanism can be complementary to
152 those already proposed to explain energy dissipation at electron scales in solar wind turbulence
153 (e.g., Sahraoui et al. 2009). Our results show furthermore that the subsequent electron
154 temperature anisotropy instability can give rise to intense high frequency fluctuations identified
155 as quasi-parallel propagating whistler waves, which, in turn, may accelerate electrons. Indeed,
156 whistler waves were proposed to generate energetic electrons in the direction parallel to the
157 ambient magnetic field through pitch-angle scattering (e.g., Kennel and Petschek, 1966). They
158 were also shown to lead to the formation of both halo and strahl electrons in the solar wind,
159 which could explain the generation of gamma ray bursts in interplanetary space (Vocks et al.
160 2005). The first evidence of simultaneous occurrence of electron-scale whistler waves and
161 electron-scale coherent structures (i.e. electron vortex magnetic holes) reported here would help
162 to better understand electron-scale dynamics in the magnetosheath and in other distant turbulent
163 plasmas.

164

165

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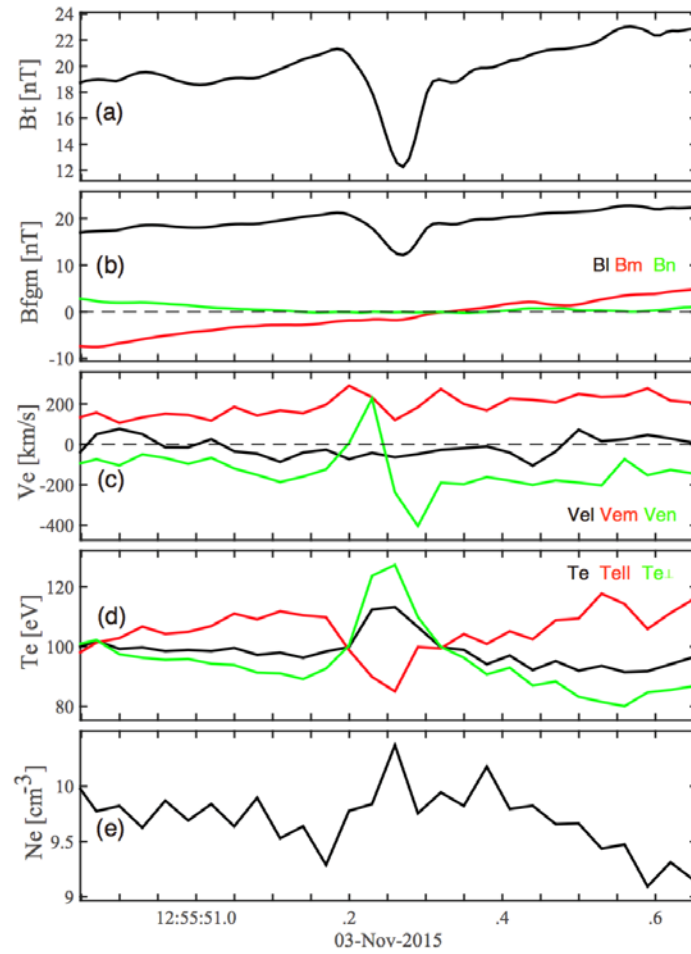
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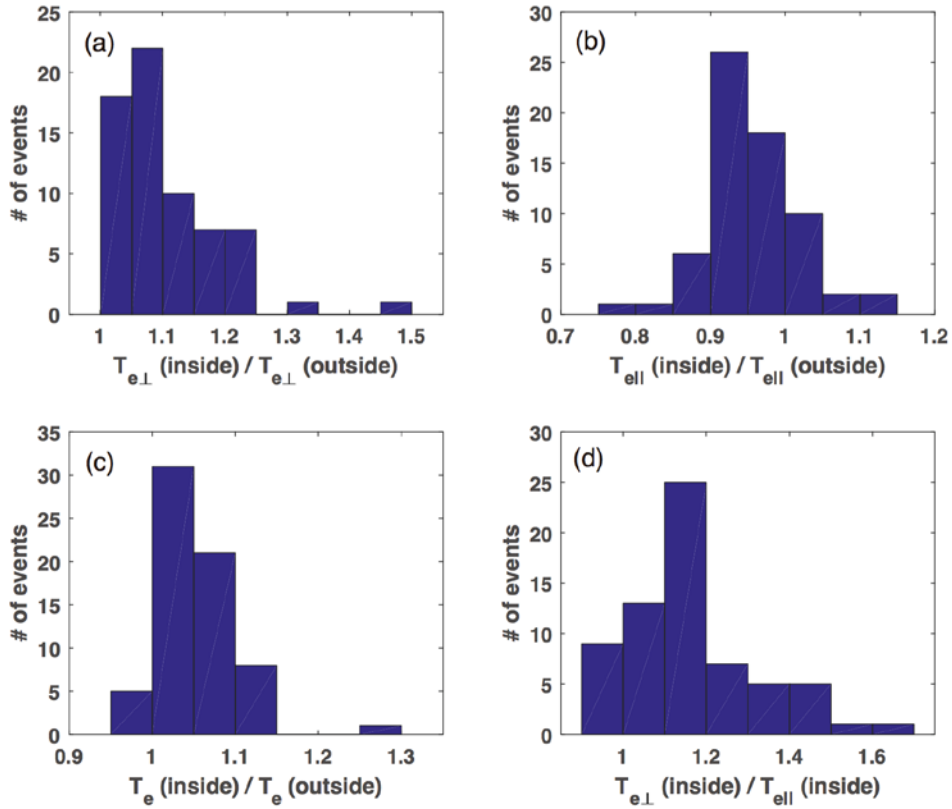
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259 **Figure Captions**



260

261 Figure 1. Example of electron-scale electron vortex magnetic hole observed on Nov 03 2015 in
 262 the magnetosheath. (a) Magnetic field strength; (b) Three components of magnetic field in
 263 LMN coordinates; (c) Three components of electron velocity in the LMN coordinates; (d)
 264 Electron temperature (black), parallel temperature (red) and perpendicular temperature (green);
 265 and (e) Electron density.



266

267 Figure 2. Electron temperatures inside the electron vortex magnetic holes and outside these
 268 magnetic holes observed by MMS from September 2015 to January 2016. The ratios of (a)
 269 perpendicular temperatures, (b) parallel temperatures and (c) total temperatures inside the holes
 270 to these outside the holes; (d) the ratios of perpendicular temperatures to parallel temperature
 271 inside the holes.

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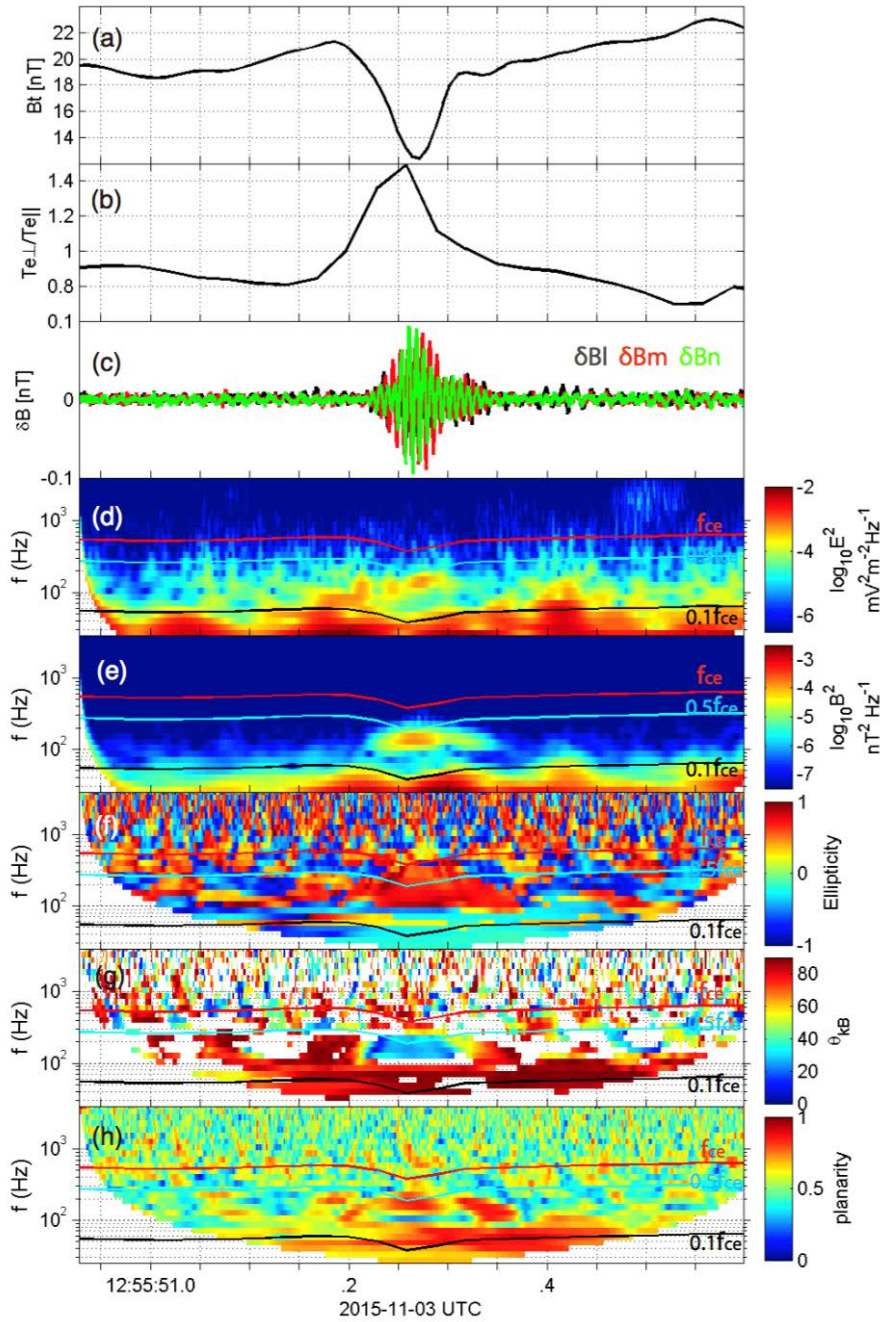
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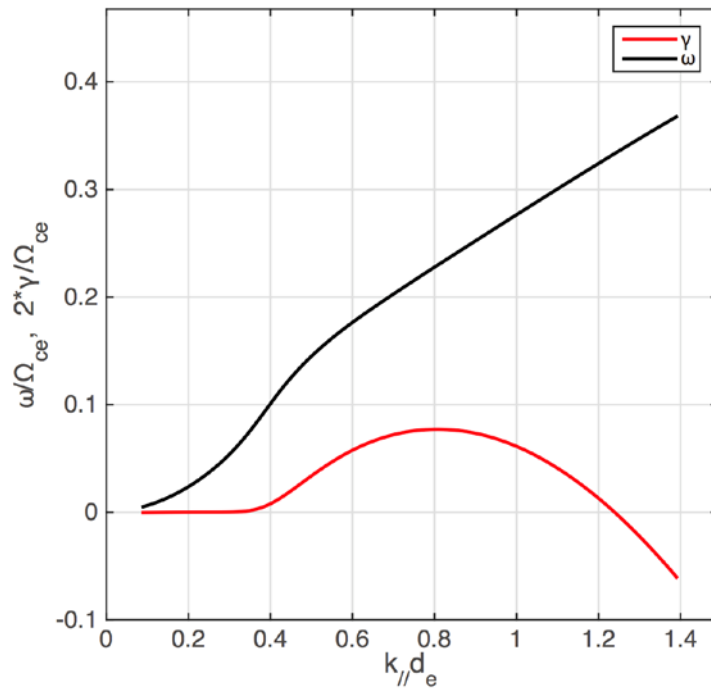
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280 Figure 3. Example of the observations of whistler waves inside electron vortex magnetic holes
 281 on 03 November 2015. (a) Magnetic field strength; (b) The ratios between electron
 282 perpendicular temperature and parallel temperature; (c) Filtered magnetic field fluctuations
 283 from SCM instruments; (d)-(e) Power spectra densities of the electric and magnetic components
 284 of the waves; (f) Ellipticity (positive or red: right hand polarization; negative or blue: left hand
 285 polarization); (g) Propagation angle; (h) Polarization degree. The black, cyan, red curves in (d-
 286 h) indicate the frequencies $0.1 f_{ce}$, $0.5 f_{ce}$, and $1.0 f_{ce}$, respectively.



287

288

289 Figure 4. The dispersion relations (black) and growth rates (red) of the theoretical whistlers
 290 based on the plasma parameters from local observations inside the electron vortex magnetic
 291 hole. The local plasma parameters used to calculate the growth rate of whistler waves: $|B| \sim 12$
 292 nT, $n \sim 10 \text{ cm}^{-3}$, $T_i \sim 800 \text{ eV}$ and $T_e \sim 100 \text{ eV}$, $T_{e\perp}/T_{e\parallel} = 1.4$.

293