

1 Initial insights into releasing bound biomarkers from kerogen matrices using  
2 microscale sealed vessel catalytic hydrogenation (MSSV-HY)

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13 **ABSTRACT**

14 Microscale Sealed Vessel pyrolysis (MSSV) is a microanalytical technique originally  
15 developed for artificially maturing sedimentary organic matter and examining the  
16 bulk compositional relationships between kerogen and petroleum. Here, we explore  
17 the possibility of modifying the standard MSSV pyrolysis approach to increase  
18 biomarker release from macromolecular matrices. This is termed microscale sealed  
19 vessel catalytic hydrogenation, or MSSV-HY. Tetralin is employed as hydrogen donor  
20 and dispersed sulfide molybdenum as catalyst. Using two kerogen concentrates, one  
21 of low maturity (vitrinite reflectance: 0.6 %Ro) and the other over-mature (1.8 %Ro),  
22 from the Dalong Mudstone (Permian, Sichuan Basin), the effects of tetralin and  
23 catalyst alone and as mixtures, and the tetralin/kerogen ratio on biomarker release  
24 have been investigated, and optimum conditions identified. A comparison of results  
25 with those of HyPy enabled the utility of the method to be assessed. Biomarkers were  
26 generated from the over-mature sample and preserved using MSSV-HY, whereas they  
27 were absent in MSSV products. Biomarkers released from the low maturity sample  
28 using MSSV were devoid of extended hopanes, and dominated by C<sub>27</sub> steranes,  
29 whereas the MSSV-HY products were rich in T<sub>m</sub>, the extended hopanes, and with  
30 C<sub>27</sub>–C<sub>29</sub> regular steranes. MSSV-HY products showed some similarities to HyPy  
31 products. The steranes from MSSV-HY were very similar to those from HyPy;  
32 although some differences in hopane distributions were discernable (e.g., the  
33 abundances of T<sub>s</sub> and C<sub>30</sub> hopane) due to varied contribution of occluded OM in the  
34 HyPy and MSSV-HY analyses. This proof of concept study has shown that off-line

35 MSSV-HY shows great promise as a means for releasing bound biomarkers and  
36 reducing secondary cracking because of catalyst associated pressure increase in the  
37 MSSV tubes. Its currently planned area of operation is in petroleum systems.

38

39 *Keywords:* bound biomarkers, microscale sealed vessel pyrolysis, catalytic  
40 hydrogenation, MSSV, MSSV-HY, Sichuan Basin, Dalong Formation

41

## 42 **1. Introduction**

43       Microscale Sealed Vessel pyrolysis is a microanalytical technique originally  
44 developed for artificially maturing sedimentary organic matter and then quantifying  
45 GC-amenable products (C<sub>1+</sub>) in a single analytical step (Horsfield et al., 1989; 2015).  
46 The main operational advantage of MSSV pyrolysis is its high precision and  
47 reproducibility, milligram sample loading capacity, and batch processing capability. It  
48 is well suited to examining the bulk compositional relationships between kerogens,  
49 asphaltenes and petroleum. Thus, bulk petroleum characteristics (gas-oil ratio, PVT  
50 behaviour), as well as fluid stabilities (oil-to-gas cracking) and generation parameters  
51 (primary cracking kinetics), have been predicted for lacustrine and marine systems  
52 using MSSV pyrolysis and, crucially, the results verified by comparison with natural  
53 petroleum systems (e.g., Horsfield et al., 1992; Schenk et al., 1997; Dieckmann et al.,  
54 1998; di Primio and Horsfield, 2006; Keym et al., 2006; Lehne et al., 2009; Yang and  
55 Horsfield, 2016). All of these protocols were based on pyrolysis products which occur  
56 in high yield such as *n*-alkanes and aromatic hydrocarbons.

57 Biomarkers are incorporated into kerogen, asphaltenes and humic substances  
58 by covalent bonding (Mycke et al., 1987; de Leeuw et al., 1989; Hoffman et al., 1992;  
59 Richnow et al., 1992; Adam et al., 1993) as well as by adsorption and absorption  
60 (Snowdon et al., 2016; Cheng et al., 2016). A variety of pyrolysis configurations,  
61 incorporating open and closed systems, variable pressure constraints and under  
62 broadly hydrous or anhydrous conditions, have been used to release and analyse  
63 bound biomarkers for tracking the evolution of life, assessing paleoenvironments,  
64 conducting pollution studies, and performing oil-oil and oil-source correlations (e.g.,  
65 Gallegos, 1975; Seifert, 1978; Seifert and Moldowan 1980; Eglinton and Douglas  
66 1988; Comet et al., 1986; Love et al., 1995; Lewan, 1997; Koopmans et al., 1998;  
67 Greenwood et al., 2006; Berwick et al., 2007, 2011). In most of these pyrolysis  
68 systems the original stereochemistry of bound biomarkers is not well preserved. The  
69 exception to this is catalytic hydrolysis (HyPy), which utilises a dispersed  
70 sulphided molybdenum catalyst and high hydrogen pressures (>10 MPa) to ensure  
71 minimal structural rearrangement of the released biomarkers (Love et al., 1995, 1996,  
72 1997; Murray et al., 1998, Meredith et al., 2008). Due to the protection afforded by  
73 their macromolecular hosts, the thermal maturity of bound biomarkers is lower than  
74 that of their freely occurring counterparts (Rubinstein et al., 1979; Behar et al., 1984;  
75 Russell et al., 2004; Lockhart et al., 2008; Wu et al., 2013). Of direct relevance to the  
76 present study, Berwick et al. (2010) made a detailed comparison of MSSV pyrolysis  
77 (Quantum MSSV-1 Thermal Analysis System®) with HyPy, and documented a great  
78 many product similarities, as well as allocating important advantages to each

79 technique. As far as the retention of biomarker stereochemistry was concerned, it was  
80 concluded that HyPy displays less secondary alteration than does MSSV, reflecting  
81 more selective bond cleavage.

82 Here, we explore the possibility of modifying the standard MSSV pyrolysis  
83 approach in order that the stereochemistry of biomarkers released from  
84 macromolecular matrices is preserved better. From our perspective, such a  
85 development would allow both paleoenvironment to be assessed and compositional  
86 kinetic models to be built using the same pyrolysis system. Termed MSSV catalytic  
87 hydrogenation (MSSV-HY), the new method uses tetralin as hydrogen donor and  
88 employs a dispersed sulphidic molybdenum catalyst. Tetralin was chosen as hydrogen  
89 donor because it has been widely used as such in coal liquefaction (Vlieger, 1988 and  
90 References therein). The capacity of the hybrid MSSV-HY approach to improve  
91 structural preservation of biomarkers released from kerogen during MSSV  
92 experiments was here assessed by comparison to the biomarker products released by  
93 traditional HyPy analysis of the same sample suite.

94

## 95 **2. Samples and methods**

96 Wu et al. (2013) used HyPy with ammonium dioxodithiomolybdate  
97  $[(\text{NH}_4)_2\text{MoO}_2\text{S}_2]$  to release and analyse bound biomarkers from mudstones of the  
98 Dalong Formation (Permian), Sichuan Basin, China. Two samples from that series  
99 were used in the tests described here, namely one of low maturity (GY-8: vitrinite  
100 reflectance: 0.6 %Ro) and the other of high maturity (WC-4A: 1.8 %Ro). The same

101 catalyst used by Wu et al. (2013) was also used. Test experiments were carried out and  
102 the pyrolysis products qualitatively and quantitatively analyzed by  
103 thermovaporization-gas chromatography (Tvap-GC) and off-line GC-MS in order to  
104 optimize the conditions required for releasing biomarkers using MSSV-HY.

105

### 106 *2.1. Sample preparation*

107 The Dalong Formation mudstone sample of GY-8 (collected at latitude  
108 32°19'11"N, longitude 105°27'18"E) has a total organic carbon content (TOC) of  
109 8.76%, a Hydrogen Index (HI) of 343 mgHC/gTOC, an atomic H/C of 0.87 and is of  
110 low maturity (0.6 %Ro;  $T_{\max} = 438$  °C). The kerogen concentrate prepared from this  
111 sample has a weight percent carbon of 67.6 and is classed as Type II (-III). The  
112 Dalong Formation mudstone sample WC-4A (collected at latitude 32°18'45"N,  
113 longitude 106°16'26"E) has a TOC of 2.81% and a HI of 5 mgHC/gTOC. It is an  
114 over-mature Type II (-III) kerogen (1.8 %Ro;  $T_{\max} = 603$  °C) (Wu et al., 2012, 2013).  
115 The WC-4A kerogen concentrate prepared from this sample has carbon content of  
116 79.7 wt%.

117 Each source rock sample was crushed to < 80 mesh. Then, sample powders  
118 were Soxhlet extracted using a mixture of DCM and MeOH (93:7, v/v) to supply the  
119 free bitumen from which biomarkers were analysed and compared with those from  
120 pyrolysis. Kerogen isolation from minerals was performed using acid treatment.  
121 Carbonates were removed using 1 N HCl (80 °C for 4 h) followed by washing of the  
122 residue using distilled water and employing centrifugation. A mixture of HCl and HF

123 was then used to remove silicates (80 °C for 4 h), and the solid residue recovered by  
124 water washing and centrifugation. Both steps were repeated. The isolated kerogen  
125 concentrate was then extracted with an ternary solvent azeotrope  
126 (benzene/acetone/methanol: 5:5:2, v/v/v) using Accelerated Solvent Extraction (ASE)  
127 to remove bitumen-2, which is intimately associated with the kerogen (Wilhelms et al.,  
128 1991).

129

## 130 2.2. *MSSV and MSSV-HY pyrolysis experiments*

131 MSSV pyrolysis was conducted on the two kerogen concentrates. About 5  
132 mg of each kerogen concentrate was weighed into a glass capillary that had been  
133 sealed at one end, then the internal volume of the tube was reduced from ca. 40 µl to  
134 ca. 15 µl using pre-cleaned quartz sand. The tube was then sealed shut using a H<sub>2</sub>  
135 flame.

136 For catalyst-assisted MSSV-HY experiments the solvent-extracted kerogen  
137 was impregnated with an aqueous solution of ammonium dioxodithiomolybdate  
138 [(NH<sub>4</sub>)<sub>2</sub>MoO<sub>2</sub>S<sub>2</sub>] to give a nominal loading of 5 wt% molybdenum. Then, aliquots of  
139 about 3 mg of the pretreated kerogen powder, together with tetralin were sealed in  
140 glass capillaries for MSSV pyrolysis. Different ratios of tetralin/ kerogen (T/K ratio  
141 shown in Table 1) were used to investigate the influence of tetralin on the released  
142 biomarkers in MSSV-HY. Additionally, MSSV pyrolysis of: (i) kerogen with tetralin;  
143 and (ii) kerogen with catalyst were conducted to investigate the respective role of  
144 tetralin and catalyst on kerogen pyrolysis. It should be noted that (NH<sub>4</sub>)<sub>2</sub>MoO<sub>2</sub>S<sub>2</sub> is

145 not the catalyst but it decomposes to form the active catalyst molybdenum disulfide  
146 ( $\text{MoS}_2$ ) within the MSSV tube at pyrolysis temperatures above 250 °C in the presence  
147 of  $\text{H}_2$  (Zelenski and Dorhout, 1998; Boone and Ekerdt, 2000).  $\text{MoS}_2$  is used as a  
148 catalyst in many processes, including hydrodesulphurization and CO methanation  
149 reactions (Bevanente et al., 2002; Farag et al., 2009; Shi et al., 2009).

150 Pyrolysis was performed using an external high performance oven consisting  
151 of a cartridge-heated massive cylindrical metal block acting as a circular sample  
152 holder which provided a very homogeneous temperature field throughout the core.  
153 Previous studies have concluded that C–C bond scission begins to occur to a  
154 significant extent when the temperature is higher than 400 °C (e.g., Brown et al., 1994;  
155 Love et al., 1995). After several test experiments, 400 °C and 120 min were chosen  
156 for both MSSV and MSSV-HY pyrolysis experiments in this study. Under these  
157 conditions the yields of *n*-alkanes and hopanes reach their respective maximum value,  
158 reflecting a balance between the release and subsequent cracking of bound biomarkers  
159 (Fig. 1).

160 Two aliquots sealed in glass capillaries were pyrolysed for each set of  
161 experimental conditions, one for on-line thermovaporisation (T<sub>vap</sub>)-gas  
162 chromatography analysis using the Quantum MSSV-2 Thermal Analysis System ®,  
163 and the other for off-line analysis using GC–MS. Prior to T<sub>vap</sub> analysis, the outer  
164 surface of each primed capillary was purged at 300 °C for 5 min to remove  
165 contaminants. The capillary was then crushed by a piston device, thus releasing  
166 volatilisable pyrolysis products to a liquid nitrogen cooled trap (–178 °C). After 10



167 min, products were liberated (300 °C) and directly transferred into an Agilent GC  
168 6890A gas chromatograph, the details of which are described by Keym et al. (2006).  
169 An HP-Ultra 1 (50 m × 32 mm i.d.) dimethylpolysiloxane-coated column and flame  
170 ionization detector (FID) was used. *n*-Butane was used as an external standard to  
171 quantify the individual compounds.

172         The second capillary was cracked open off-line, and the non-gaseous  
173 pyrolysate extracted using dichloromethane and methanol (90:10, v:v). Heating in a  
174 sand bath at 50 °C for 60 min removed excess solvent, unspent tetralin and aromatic  
175 reaction products (mainly naphthalene) in the C<sub>6</sub>–C<sub>12</sub> range. Asphaltenes were  
176 precipitated from the above products by adding 50:1 (v/v) cold *n*-hexane, followed by  
177 centrifugation. The maltene fractions were then separated by medium pressure liquid  
178 chromatography (MPLC) into saturated, aromatic and polar fractions, using the  
179 procedure reported by Radke et al. (1980). The MPLC was equipped with a thermally  
180 deactivated silica 100 pre-column and a LiChroPrep Si60 main column and run with  
181 *n*-hexane as mobile phase. The saturated biomarkers were analyzed using a Thermo  
182 Scientific Trace GC Ultra gas chromatograph coupled to a DSQ mass spectrometer. A  
183 fused silica capillary column (SGE BPX5, 50 m length, 0.22 mm i.d. × 0.25 μm film  
184 thickness) was used. The GC oven was held isothermally at 50 °C for 1 min,  
185 programmed to 310 °C at 3 °C/min rate, with a final hold time of 30 min. Helium was  
186 used as carrier gas with a constant flow rate of 1.5 mL/min. The injector temperature  
187 was programmed from 50 °C to 300 °C at a rate of 10 °C/s and held there for 10 min.  
188 The source temperature was 260 °C. The ion source was operated in the electron

189 impact (EI) mode with electron energy of 70 eV. 5 $\alpha$ -Androstane was used as an  
190 internal standard for the quantification of the saturated hydrocarbon fraction. The  
191 same fractionation and analytical approach was employed to characterize free  
192 biomarkers whose compositions were then compared with pyrolysates in the course of  
193 the study.

194

### 195 **3. Results and discussion**

#### 196 *3.1. MSSV-HY optimization experiments*

##### 197 *3.1.1. Liquid hydrocarbon yield*

198 MSSV-HY optimization experiments were performed on the low maturity  
199 kerogen concentrate GY-8. The gas chromatographic fingerprints of the on-line  
200 thermovaporised C<sub>1+</sub> pyrolysis products from kerogen alone and mixed with tetralin  
201 are given in Fig. 2. *n*-Alkane homologues are readily recognizable in the gas  
202 chromatogram, ranging up to C<sub>33</sub>. Naphthalene is the dominant compound, and  
203 unspent tetralin is present. Binaphthalenes are also generated in the pyrolysis products  
204 of kerogen and tetralin together, from the dimerization reaction which is catalyzed by  
205 both clay minerals and pyrite (Sundaram et al., 1983). While this makes the aromatic  
206 fraction unusable for further analysis, biomarkers in the saturated fraction released by  
207 MSSV-HY remain unaffected.

208 The yields of total solvent extractables, saturate and aromatic fractions, as  
209 well as total *n*-alkanes and total hopanes in the products of MSSV and MSSV-HY  
210 experiments are shown in Table 1. The total conversion of kerogen to liquid products

211 (C<sub>15+</sub>) for the MSSV pyrolysis of kerogen alone is 38%, and 39% when catalyst is  
212 present. This climbs to 41% when tetralin is used. Importantly, the catalytic effect is  
213 more pronounced when both catalyst and tetralin are used, with the apparent total  
214 conversion of kerogen to liquid products (C<sub>15+</sub>) over 100% being caused by  
215 contributions from tetralin pyrolysis products (such as binaphthalenes for some  
216 experiments).

217

### 218 *3.1.2. The role of catalyst and tetralin*

219 Fig. 3 shows the distribution of steranes and hopanes resulting from the  
220 MSSV experiments on kerogen alone (MSSV-1), kerogen with catalyst (MSSV-2),  
221 kerogen with tetralin (MSSV-3), and kerogen with catalyst and tetralin together  
222 (MSSV-HY). C<sub>29</sub> 17 $\alpha$ (H),21 $\beta$ (H)-hopane (H29) is the biggest  $m/z$  191 peak in all  
223 MSSV experiments, while C<sub>30</sub> 17 $\alpha$ (H),21 $\beta$ (H)-hopane (H30) is the biggest  $m/z$  191  
224 peak in MSSV-HY products. Extended hopanes up to C<sub>33</sub> are detected in MSSV-1 and  
225 MSSV-2 products, whereas the range extends up to C<sub>35</sub> for the MSSV-3 (tetralin) and  
226 MSSV-HY (tetralin + catalyst) products. The distributions of C<sub>27</sub>–C<sub>29</sub>  $\alpha\alpha\alpha$ R steranes  
227 in the MSSV-HY products exhibit a C<sub>27</sub>  $\approx$  C<sub>29</sub> > C<sub>28</sub> fingerprint, while all MSSV  
228 products exhibit a C<sub>27</sub> predominance (C<sub>27</sub> > C<sub>29</sub> > C<sub>28</sub>) (Fig. 3). These results  
229 demonstrate that both the catalyst and tetralin (H-donor) are required to enhance the  
230 release of bound biomarkers.

231 As alluded to earlier, (NH<sub>4</sub>)<sub>2</sub>MoO<sub>2</sub>S<sub>2</sub> decomposes during pyrolysis to active  
232 MoS<sub>2</sub> (Zelenski and Dorhout, 1998; Boone and Ekerdt, 2000). The active MoS<sub>2</sub> can

233 be further reduced to Mo and H<sub>2</sub>S by H<sub>2</sub> at high temperature (He et al., 2011).  
234 Meanwhile, increasing H<sub>2</sub>S/H<sub>2</sub> will promote the catalytic activity of MoS<sub>2</sub> (Farag et  
235 al., 2009). Farag et al. (2009) suggested that the catalytic mechanism of MoS<sub>2</sub> was:  
236 first, free radical reactions initiated by H<sub>2</sub>S derived from decomposition of catalyst  
237 precursor and reduction of active MoS<sub>2</sub>; and then the dissociation of H radicals at the  
238 Lewis acid site of Mo. Thus, initiation of the full catalytic effect of MoS<sub>2</sub> requires a  
239 sufficient supply of H<sub>2</sub>.

240 Tetralin plays two roles in MSSV-HY. Firstly, it acts as a hydrogen donor (the  
241 same role as hydrogen gas in HyPy) to quench radical pyrolysates, and secondly,  
242 tetralin also suppresses the cross-linking reactions of kerogen fragments due to the  
243 penetration of tetralin into the micropores (Lewan, 1997). Gates (1979) suggested that  
244 tetralin plays the role of hydrogen carrier in the coal liquefaction process, with the  
245 catalyst promoting hydrogen addition reactions. That is, tetralin gives up hydrogen to  
246 the pyrolysis fragments, and then returns to the catalyst surface, where it reacts with  
247 adsorbed hydrogen and is converted into tetralin again (Gates, 1979). Pyrolysis  
248 reaction pathways can be simply described by a free-radical mechanism where  
249 competing thermal cracking and cross-linking reactions occur (Lewan, 1997). The  
250 generated free radicals need to be stabilized by a hydrogen donor to form stable  
251 components, or else they will undergo further radical propagation reactions.

252

### 253 *3.1.3. Effect of the tetralin/kerogen ratio*

254 Fig. 4 shows the patterns of hopanes and steranes released by MSSV-HY at

255 various tetralin/kerogen (T/K) ratios. With increasing T/K ratio, the patterns of  
256 hopanes released by MSSV-HY remain quite stable, whereas there are some  
257 differences among the distributions of released steranes. Hopanes are thermally more  
258 stable than steranes (e.g, Wu et al., 2016). The work by Michels et al. (1994) also  
259 reported that pressure has no significant influence on hopane profiles, while sterane  
260 profiles seems to be changed when a different pressure was used.

261 Fig. 5 shows the evolution trend of  $(S_{21}+S_{22})/(C_{27}+C_{28}+C_{29})$  regular  
262 steranes ratio with increasing T/K ratio. As the tetralin/kerogen ratio increases from 0  
263 to 5, the ratio of  $(S_{21}+S_{22})/(C_{27}+C_{28}+C_{29})$  regular steranes is reduced from 0.72 to  
264 0.23 (Table 2, Fig. 4), the latter value approaching that of 0.13 measured using HyPy  
265 experiments (Table 3). In this regard, it is noteworthy that diginane (S21:  
266  $5\alpha,14\beta,17\beta(H)$ -pregnane) and 20-methyldiginane (S22:  $5\alpha,14\beta,17\beta(H)$ -homopregnane)  
267 are the more thermodynamically stable forms of pregnane and 20-methylpregnane  
268 (Wingert and Pomerantz, 1986). Previous researchers have pointed out that pregnane  
269 and homopregnane may originate from the thermal cracking of  $C_{27}$ – $C_{29}$  regular  
270 steroids (Huang et al., 1994; Abbott et al., 1995). The recent work by Wang et al.  
271 (2015) further suggested that  $5\alpha,14\beta,17\beta(H)$ -pregnane,  $5\alpha,14\beta,17\beta(H)$ -homopregnane  
272 and higher  $C_{23}$ – $C_{26}$  20-*n*-alkylpregnanes are products from the cracking of steroids  
273 bound to the kerogen. This means that the ratio of  $(S_{21}+S_{22})/(C_{27}+C_{28}+C_{29})$  regular  
274 steranes could be reflecting the degree of cracking within the pyrolysis system: the  
275 more tetralin present the less severe is the cracking of covalently bound steranes.

276 The distributions of  $C_{27}$ – $C_{29}$  regular steranes in products of MSSV-HY also

277 changes with an increase in the T/K ratio, with the relative abundance of C<sub>29</sub> regular  
278 steranes gradually increasing (Fig. 6). When the T/K ratio is < 2:1 the distribution of  
279 C<sub>27</sub>–C<sub>29</sub> regular steranes released by MSSV-HY exhibits a C<sub>27</sub> predominance (C<sub>27</sub> >  
280 C<sub>29</sub> > C<sub>28</sub>). However, when the T/K ratio is raised to 5 the MSSV-HY products exhibit  
281 a similar C<sub>27</sub> ≈ C<sub>29</sub> > C<sub>28</sub> distribution, corresponding to a decreased influence of  
282 secondary cracking.

283           Since the amount of kerogen used in each MSSV-HY experiment is  
284 essentially constant, the presence of higher T/K ratios corresponds to higher partial  
285 pressures and higher relative abundances of H-donors in the reaction system. With  
286 regard to pressure, Hamann et al. (1963) pointed out that free radical and molecular  
287 dissociation is retarded by a high partial pressure of reactants. Pyrolysis experiments  
288 on the light aromatic fraction of a crude oil at 375 °C under various pressures showed  
289 that secondary cracking of the C<sub>15</sub> C<sub>20</sub> and C<sub>20+</sub> compounds were reduced when the  
290 pressure was increased from 100 to 400 bar (Al Darouich et al., 2006). The HyPy  
291 experiments of Love et al. (1997) also show that the relative abundance of the C<sub>29</sub>  
292 regular sterane was higher at a pressure of 150MPa than at the much lower 50MPa.  
293 Concomitantly, however, reduced cracking must also be linked to a higher abundance  
294 of H-donors and thence enhanced quenching of radicals. In short, a high T/K ratio  
295 serves to reduce the influence of secondary cracking on the biomarkers released by  
296 MSSV-HY.

297

298 *3.2. Biomarkers in Dalong mudstone at low and high maturity*

299           Having optimized MSSV-HY experimental conditions, the biomarker  
300 compositions released from the kerogen samples (GY-8 and WC-4A) by MSSV-HY  
301 were separately compared with those from traditional MSSV, traditional HyPy and the  
302 free biomarkers in the bitumen fraction of these samples.

303

### 304 *3.2.1. Major organic components of free and released extractable organic matter*

305           The total ion traces of free and released saturated hydrocarbons in all samples  
306 are dominated by *n*-alkanes (Fig. 7), with biomarkers also readily visible in the case  
307 of the GY-8 solvent extract. For the experimental series on the GY-8 low maturity  
308 kerogen the *n*-alkane distributions are similar, ranging from C<sub>13</sub> to C<sub>35</sub>, with neither  
309 odd nor even carbon preference. There are some minor differences: MSSV and HyPy  
310 both generated *n*-alkanes extending to C<sub>35</sub>, whereas the range of MSSV-HY is to just  
311 C<sub>33</sub>.

312           For the experimental series on the over-mature WC-4A kerogen more  
313 differences in composition are apparent. The *n*-alkanes detected in the solvent extract  
314 ranged from C<sub>14</sub> to C<sub>32</sub>, maximising at C<sub>22</sub>, and with a pronounced even carbon  
315 predominance between C<sub>16</sub> and C<sub>20</sub>. Pristane and phytane are present. Isoprenoid  
316 alkanes are absent from the MSSV trace, but the homologous series of *n*-alkanes from  
317 C<sub>12</sub> to C<sub>26</sub> with an even carbon preference is readily seen. In the HyPy trace, an  
318 *n*-alkane even carbon predominance is also present, with homologues extending to  
319 C<sub>34</sub>. Thus, there is a resemblance between MSSV and HyPy in the carbon number  
320 range of these major pyrolysis products. It is therefore surprising that the MSSV-HY

321 yielded products extending to C<sub>34</sub> but without the characteristic carbon preference.  
322 We assume that the carbon number preferences and chain lengths of first-formed  
323 *n*-alkyl radicals reflect the structure of the parent kerogen (e.g., Tegelaar et al., 1989),  
324 and that these are to varying degrees modified by radical propagation reactions (Kiran  
325 and Gillham, 1976). The data from traditional MSSV are consistent with a kerogen  
326 structure which generates *n*-alkanes with an even predominance on pyrolysis, and this  
327 predominance is also seen in the genetically associated bitumen. HyPy captures the  
328 same information. That MSSV-HY does not do so infers that non-selective cracking of  
329 *n*-alkyl radicals and olefin intermediates (Kiran and Gillham, 1976) might have been  
330 enhanced in the experiment, despite the presence of radical capping tetralin and the  
331 catalyst. The following discussions reveal that biomarker structures are released and  
332 preserved, and do not suffer from the enhanced secondary cracking reactions  
333 described here for the *n*-alkanes.

334

### 335 3.2.2. *The distribution of hopanes*

336 Fig. 8 shows the *m/z* 191 mass chromatograms for liquid hydrocarbons  
337 obtained from the samples by the stated methods. Beginning with the low maturity  
338 GY-8 sample, its free bitumen displays a full suite of C<sub>20</sub>–C<sub>26</sub> tricyclic terpanes and  
339 C<sub>29</sub>–C<sub>35</sub> 17 $\alpha$ (H)21 $\beta$ (H) extended hopanes. For the MSSV products, norhopane (H<sub>29</sub>)  
340 is the biggest peak; hopanes > C<sub>32</sub> are essentially absent. The ratio of Tm (C<sub>27</sub>  
341 17 $\alpha$ -trisorhopane) to Ts (C<sub>27</sub> 18 $\alpha$ -trisorneohopane), is commonly used as a maturity  
342 indicator (Moldowan et al., 1986). Ts was detected in the bitumen fraction of GY-8



343 (Ts/(Ts+Tm) = 0.17), but was absent in the products released by MSSV.

344 The C<sub>29</sub> norhopane to C<sub>30</sub> hopane ratio (H29/H30) is commonly used as  
345 source-related parameters (Peters et al., 2005). In the MSSV trace, the ratio of  
346 H29/H30 for the liquid products (1.26) is higher than that in the bitumen fraction  
347 (0.86). The distributions of hopanes in MSSV-HY and HyPy products of GY-8 are  
348 very similar but differ from the MSSV products. Ts was absent in both cases, Tm is  
349 the highest peak, closely followed by the C<sub>30</sub> hopane and norhopane, and the extended  
350 hopanes up to C<sub>35</sub> were detected.

351 The free bitumen in the over-mature sample WC-4A displays a predominance  
352 of C<sub>20</sub>–C<sub>26</sub> tricyclic terpanes and C<sub>29</sub>–C<sub>31</sub> 17 $\alpha$ (H)21 $\beta$ (H) extended hopanes are also  
353 present. No hopanes were found in the MSSV products of this sample. In contrast,  
354 hopanes were detected in the MSSV-HY products. The ratio of Ts/(Ts+Tm) obtained  
355 by MSSV-HY (0.08) is much lower than that in Soxhlet extract (0.51). Previous  
356 studies have suggested that Tm was inhibited from transforming into Ts within the  
357 kerogen because the bound biomarker was protected by the macromolecular networks  
358 at relatively low maturity (Tissot and Welte, 1978; Abbott et al., 2001; Bowden et al.,  
359 2006; Meredith et al., 2008; Lockhart et al., 2008; Muhammad and Abbott, 2013). The  
360 presence of tetralin used in MSSV-HY certainly restricts the conversion of Tm to Ts, a  
361 feature also noted for the HyPy configuration (Love et al., 1995; Liao et al., 2012; Wu  
362 et al., 2013).

363 The relative abundance of tricyclic terpanes is very low in the liquid products  
364 released by MSSV-HY, and the same is true for HyPy. Also, C<sub>31</sub>–C<sub>33</sub> extended

365 hopanes were present in each case. Curiously, the ratio of H29/H30 obtained by  
366 MSSV-HY (2.61) is much higher than the corresponding HyPy product (0.68). This  
367 was not observed in the case of GY-8 presented above, where the ratio of H29/H30 in  
368 the MSSV-HY product (0.77) was similar to that in HyPy (0.73). Norhopane (H29) is  
369 known to be more stable than hopane (H30) at high levels of thermal maturity (Peters  
370 et al., 2005), and the high H29/H30 noted as being released by MSSV-HY is  
371 consistent with the overmaturity of the analysed sample. But it is also consistent with  
372 secondary cracking having taken place to a greater degree in MSSV-HY than in HyPy,  
373 as noted in the discussion above on *n*-alkanes. It has also to be taken into account that  
374 the decomposition level of kerogen (i.e., product yields; Table 1) is slightly higher in  
375 MSSV-HY than the closest equivalent HyPy (Table 1).

376 Biomarkers can be incorporated, adsorbed or absorbed into the  
377 macromolecular structure of kerogen (Snowdon et al., 2016; Cheng et al., 2016).  
378 Additionally, it is very difficult to differentiate the bound moieties released by  
379 analytical pyrolysis methods from occluded species (Snowdon et al., 2016). We  
380 conjecture that occluded biomarkers are likely to become more significant with  
381 increasing thermal maturity, because most of the covalently bound biomarkers have  
382 been depleted. In that regard, the influence of occluded biomarkers will be more  
383 severe for over-mature kerogen than mature kerogen. To what degree occlusion has  
384 occurred is not known, but the abundance of C<sub>30</sub> hopane in both the free bitumen and  
385 HyPy products is noted.

386

387 3.2.3 *The distribution of steranes*

388 Fig. 9 shows the  $m/z$  217 mass chromatograms for liquid hydrocarbons  
389 obtained from two kerogens by the different analytical methods used here. Beginning  
390 with the low mature sample GY-8, only regular steranes are present; diasteranes were  
391 not detected in any analysis of GY-8. The relative abundances of  $C_{27}$ – $C_{29}$   $\alpha\alpha\alpha$ R  
392 steranes in the Soxhlet extract are  $C_{27} \approx C_{29} > C_{28}$ , whereas a strong  $C_{27}$   
393 predominance ( $C_{27} > C_{29} > C_{28}$ ) is noted for the MSSV products. The ratios of  
394  $C_{29}$ - $\beta\beta/(\beta\beta+\alpha\alpha)$  and  $C_{29}$ -20S/(20S+20R) are slightly lower for MSSV products than  
395 they are in the corresponding source rock extract (Table 3). The relative abundance of  
396 diginane and 20-methyldiginane, given as the ratio S21/S22, is much higher for the  
397 MSSV product (3.02) than for the solvent extract (1.2). As far as MSSV-HY is  
398 concerned, the relative abundances of  $C_{27}$ – $C_{29}$   $\alpha\alpha\alpha$ R steranes are  $C_{27} \approx C_{29} > C_{28}$ ,  
399 this being similar to those noted for the solvent extract but strongly dissimilar to those  
400 of MSSV. A very similar distribution was seen for reference HyPy products. The  
401 ratios of  $C_{29}$ - $\beta\beta/(\beta\beta+\alpha\alpha)$  and  $C_{29}$ -20S/(20S+20R) in products released by both  
402 MSSV-HY and HyPy are also slightly lower than those in their corresponding extract  
403 of source rock (Table 3), and the ratio of S21/S22 for the liquid products of  
404 MSSV-HY (1.62) is similar to that in bitumen fraction (1.2).

405 For the over-mature sample WC-4A, the distributions of  $C_{27}$ – $C_{29}$  regular  
406 steranes in the Soxhlet extract (free phase) exhibit a strong  $C_{27}$  predominance ( $C_{27} >$   
407  $C_{29} \approx C_{28}$ ) due to the influence of high thermal maturity. In that regard, significant  
408 contributions of diasteranes were also detected in the Soxhlet extract. While steranes

409 were completely absent in the MSSV products of this over-mature sample, they were  
410 detected in MSSV-HY, exhibiting  $C_{29} > C_{27} > C_{28}$  abundances. Similar abundances  
411 were noted for HyPy products. Trace amounts of diasteranes are found in the liquid  
412 products obtained by HyPy, but are absent in the MSSV-HY product. The more  
413 significant contribution of occluded biomarkers in HyPy product cannot be ruled out,  
414 as discussed for the case of hopanes. The absence or trace abundance of diasteranes  
415 may be due to the lower thermal maturity of the MSSV-HY and HYPY released  
416 kerogen fractions (cf. free hydrocarbons of bitumen fraction). Thus, for both the  
417 mature and over-mature kerogens under study, the steranes released by MSSV-HY  
418 bear a general resemblance to those obtained by HyPy.

419

#### 420 **4. Conclusions**

421 In contrast to MSSV alone, whose pyrolysate biomarker components are  
422 strongly affected by secondary cracking reactions, the presence of tetralin and catalyst  
423 in the reactor (MSSV-HY) reduces the influence of secondary cracking considerably.  
424 The best conditions for releasing and preserving biomarkers from kerogen using  
425 MSSV-HY is a combination of temperature (400 °C), heating time (120 min), high  
426 tetralin: kerogen ratio (5:1), and the use of a dispersed sulfide molybdenum catalyst.

427 A comparison of biomarker distributions released from the two Dalong  
428 Formation kerogen (low maturity and over-mature) samples using MSSV and  
429 MSSV-HY has confirmed the effectiveness of the new method. Compositions from  
430 MSSV-HY are similar to, though not identical with, those released by the established

431 HyPy technique.

432           This proof of concept study has shown that off-line microscale sealed vessel  
433 catalytic hydrogenation (MSSV-HY) shows great promise for applications in  
434 petroleum geochemistry. In particular, MSSV-HY, which represents a combination of  
435 MSSV and HyPy concepts could allow paleoenvironment to be assessed and  
436 compositional kinetic models to be built using the same pyrolysis system. The utility  
437 of MSSV-HY for studies of young sediments has yet to be investigated.

438

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455

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654

655 **Figure Captions:**

656

657 **Fig. 1.** The total *n*-alkanes and total hopanes yields of MSSV pyrolysis products at  
658 various conditions.

659 **Fig. 2.** Total ion chromatogram for the liquid products of pyrolysis for kerogen and  
660 tetralin, neat kerogen, and neat tetralin at 400 °C for 120 mins.

661 **Fig. 3.** The *m/z* 191 and *m/z* 217 chromatograms of products obtained from the MSSV  
662 experiment of the GY-8 kerogen alone, kerogen with catalyst, kerogen with tetralin,  
663 and MSSV-HY experiment of kerogen. S21= diginane (5 $\alpha$ ,14 $\beta$ ,17 $\beta$ (H)-pregnane); S22  
664 = 20-methyldiginane (5 $\alpha$ ,14 $\beta$ ,17 $\beta$ (H)-homopregnane); C27 = C<sub>27</sub>  $\alpha\alpha\alpha$ 20R cholestane;  
665 C28 = C<sub>28</sub>  $\alpha\alpha\alpha$ 20R ergostane; C29 = C<sub>29</sub>  $\alpha\alpha\alpha$ 20R stigmastane; TT20–TT26 = C<sub>20</sub>–C<sub>26</sub>  
666 tricyclic terpanes; tT24 = C<sub>24</sub> tetracyclic terpanes; Ts = C<sub>27</sub> 18 $\alpha$ -trisnorneohopane;  
667 Tm = C<sub>27</sub> 17 $\alpha$ -trisnorhopane; H29–H33 = C<sub>29</sub>–C<sub>33</sub> 17 $\alpha$ (H)21 $\beta$ (H)-hopanes; Gam =  
668 gammacerane.

669 **Fig. 4.** The *m/z* 191 and *m/z* 217 chromatograms of products released by MSSV-HY  
670 from the low maturity GY-8 kerogen at various tetralin:kerogen ratios (T/K).

671 **Fig. 5.** The evolution trend of (S21+S22)/(C<sub>27</sub> +C<sub>28</sub>+C<sub>29</sub>) regular steranes ratio with  
672 T/K ratio from the MSSV-HY experiments of the low maturity GY-8 kerogen.

673 **Fig. 6.** The distribution of regular C<sub>27</sub>–C<sub>29</sub> steranes for liquid products released from  
674 the low maturity GY-8 kerogen by MSSV-HY with different T/K ratios, and HyPy.

675 **Fig. 7.** The distribution of total ion traces of free and released organic components  
676 from the low maturity GY-8 kerogen and the high maturity WC-4A kerogen.



677 **Fig. 8.** The  $m/z$  191 chromatograms of free and released organic components from the  
678 low maturity GY-8 kerogen and the high maturity WC-4A kerogen.

679 **Fig. 9.** The  $m/z$  217 chromatograms of free and released organic components from the  
680 low maturity GY-8 kerogen and the high maturity WC-4A kerogen.

Table 1. Total extract, saturates, aromatic fraction yields and *n*-alkanes and total hopanes yields from MSSV, MSSV-HY and HyPy experiments of GY-8 kerogen.

Test No.	Conditions		Yield, mg of extract/g TOC of kerogen			Yield, $\mu\text{g/g}$ TOC of kerogen	
	Additive	T/K ratio	saturates	aromatics	$\Sigma$ DCM solubles	Total <i>n</i> -alkanes	Total hopanes
MSSV-1	-	-	39	56	385	1066	10.9
MSSV-2	Catalyst	-	37	62	392	1089	12.8
MSSV-3	Tetralin	-	45	57	416	1157	12.8
MSSV-HY-1	Catalyst+Tetralin	1:2	55	59	754	2081	65.9
MSSV-HY-2	Catalyst+Tetralin	1:1	70	73	1085	1892	87.0
MSSV-HY-3	Catalyst+Tetralin	2:1	48	68	1169	1832	123.1
MSSV-HY-4	Catalyst+Tetralin	5:1	66	71	1037	2137	137.8
HyPy-1	Catalyst	-	110	166	498	-	-

Note: “-” mean undetectable,  $\Sigma$  DCM solubles for MSSV is over 1000 for some experiments because some products from tetralin (such as binaphthalenes) existed in MSSV-HY product, total extract, saturates, aromatic fractions were weighted using a high performance balance with a precision of 0.01mg.

Table 2. The biomarker parameters in products released from GY-8 kerogen by MSSV and MSSV-HY.

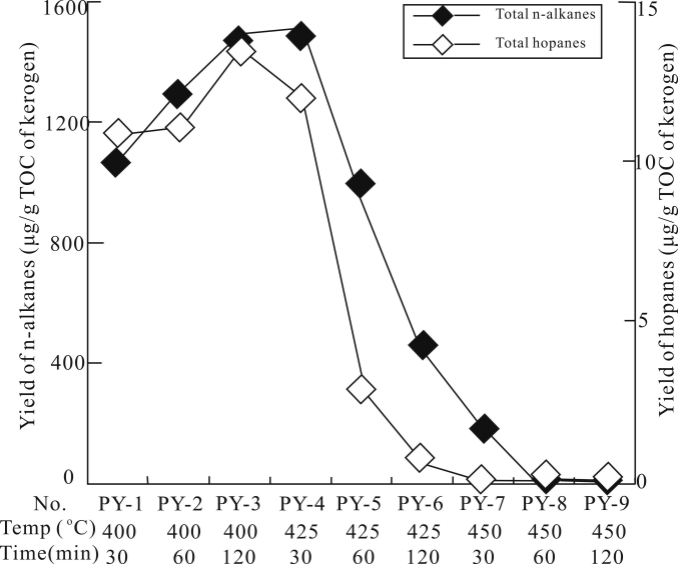
Biomarker parameters	MSSV			MSSV-HY			
	MSSV-1	MSSV-2	MSSV-3	MSSV-HY-1	MSSV-HY-2	MSSV-HY-3	MSSV-HY-4
C27/(C27+ C28+ C29)	58	48	36	55	52	50	38
C28/(C27+ C28+ C29)	22	31	36	24	24	22	22
C29/(C27+ C28+ C29)	20	21	27	21	24	28	40
S21/S22	3.02	3.23	3.15	1.84	1.86	1.82	1.62
C27 $\beta\alpha$ R/C27 $\alpha\alpha$ R	-	-	-	-	-	-	-
C29- $\beta\beta$ /( $\beta\beta$ + $\alpha\alpha$ )	0.49	0.53	0.32	0.6	0.59	0.58	0.45
C29-20S/(20S+20R)	0.4	0.41	0.53	0.4	0.41	0.43	0.42
Ts/(Ts+Tm)	-	-	-	-	-	-	-
TT23/(TT23+tT24)	0.49	0.39	0.71	0.61	0.6	0.58	0.60
TT23/H30	0.31	0.25	0.79	0.17	0.15	0.17	0.15
H29/H30	1.26	1.28	1.74	0.77	0.75	0.76	0.77
H31-22S/(22S+22R)	0.56	0.53	0.56	0.54	0.51	0.57	0.55
Gam/H30	-	-	-	0.13	0.11	0.12	0.11
(S21+S22)/(C <sub>27</sub> +C <sub>28</sub> +C <sub>29</sub> ) regular steranes	0.72	0.69	0.82	0.68	0.48	0.36	0.23

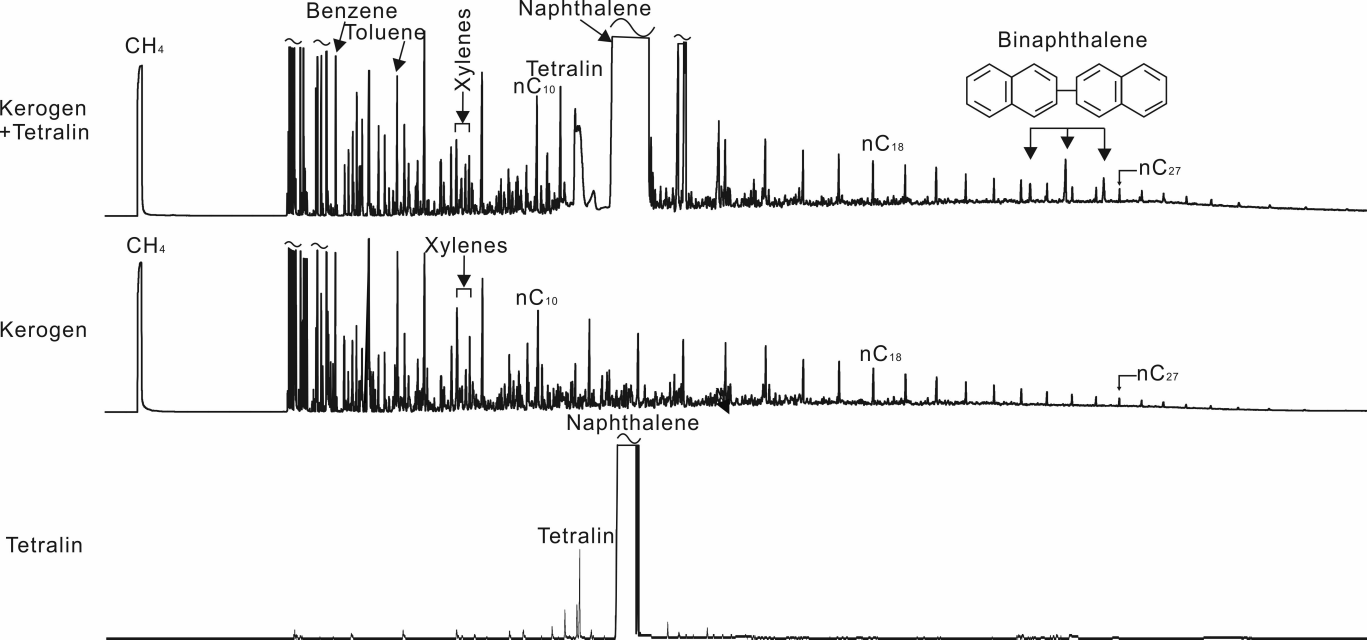
Note: “-” means undetectable; (S21+S22)/(C<sub>27</sub>+C<sub>28</sub>+C<sub>29</sub>) regular steranes: (diginane +20-methyldiginane)/ C<sub>27</sub>–C<sub>29</sub> regular steranes (12 peaks).

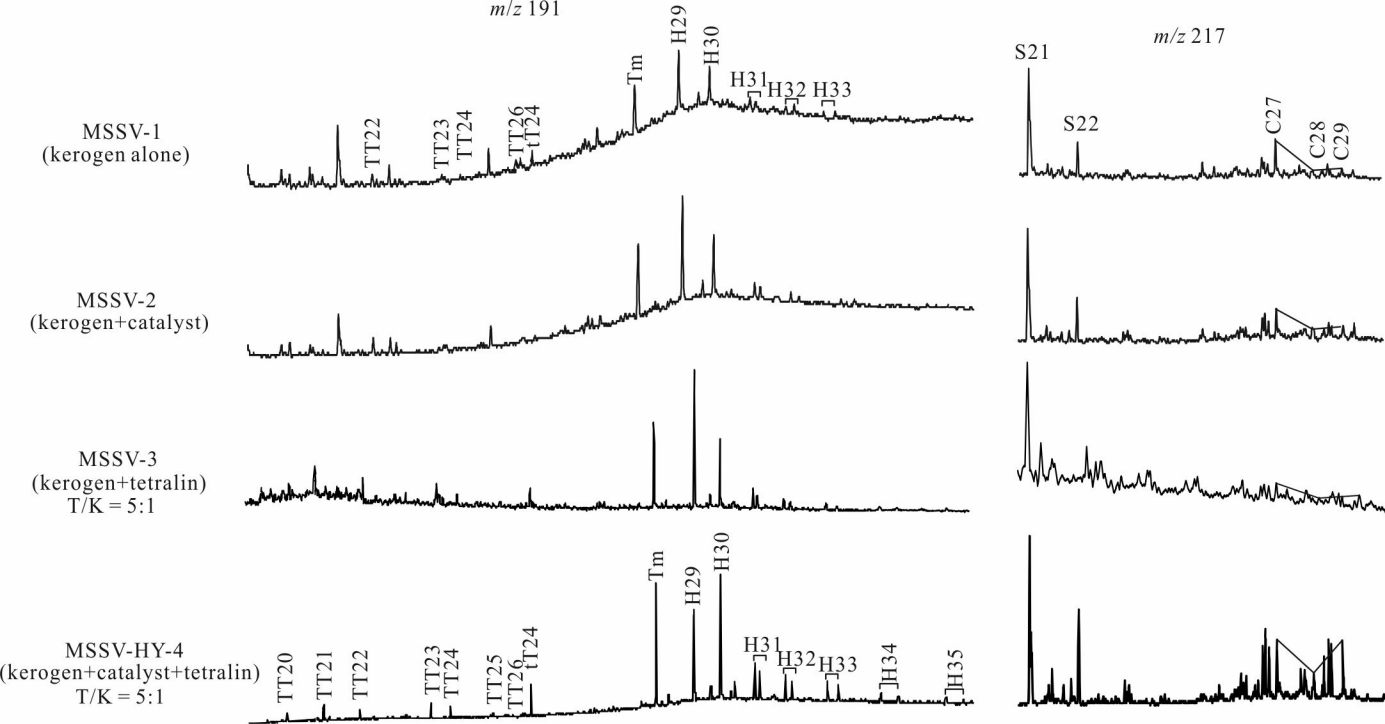
Table 3. The biomarker parameters in extract from original source rocks and products released by MSSV, MSSV-HY and HyPy technique.

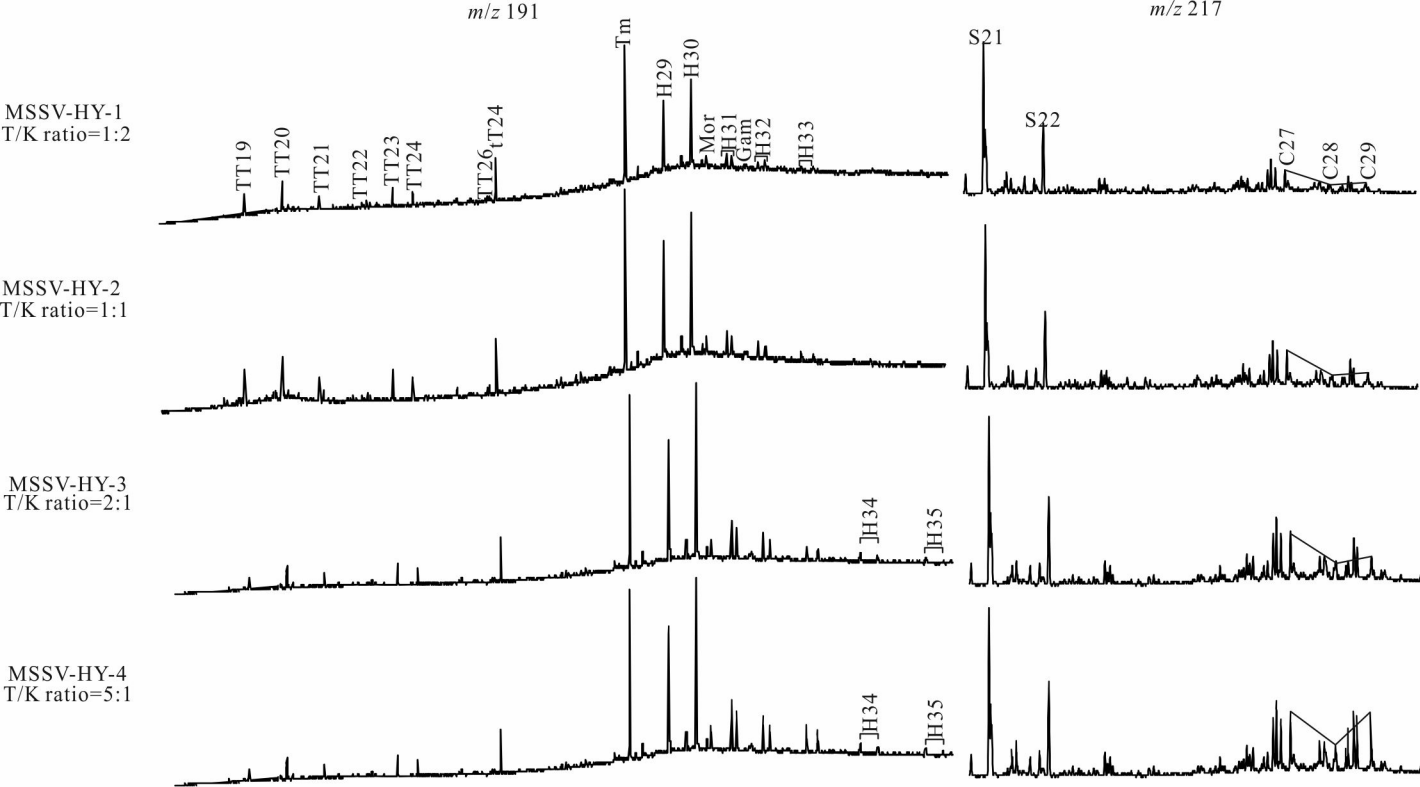
Biomarker parameters	GY-8 (Ro: 0.6%)				WC-4A (Ro: 1.8%)			
	Soxh	MSSV	MSSV-HY	HyPy	Soxh	MSSV	MSSV-HY	HyPy
C27/(C27+ C28+ C29)	43	58	38	34	46	-	42	33
C28/(C27+ C28+ C29)	20	22	22	21	30	-	10	12
C29/(C27+ C28+ C29)	38	20	40	45	24	-	48	55
S21/S22	1.2	3.02	1.62	1.42	1.85	-	1.27	1.69
C27 $\beta\alpha$ R/C27 $\alpha\alpha$ R	0.08	-	-	-	0.44	-	-	0.19
C29S- $\beta\beta$ /( $\beta\beta$ + $\alpha\alpha$ )	0.57	0.49	0.45	0.42	0.40	-	0.34	0.40
C29-20S/(20S+20R)	0.45	0.4	0.42	0.44	0.57	-	0.43	0.14
Ts/(Ts+Tm)	0.17	-	-	-	0.51	-	0.08	0.27
TT23/(TT23+TT24)	0.59	0.49	0.60	0.64	0.64	-	0.67	0.69
TT23/H30	0.11	0.31	0.15	0.12	1.19	-	0.52	0.52
H29/H30	0.86	1.26	0.77	0.73	0.66	-	2.61	0.68
H31-22S/(22S+22R)	0.6	0.56	0.55	0.6	0.60	-	0.62	0.60
Gam/H30	0.09	-	0.11	0.09	-	-	-	-
(S21+S22)/(C <sub>27</sub> +C <sub>28</sub> +C <sub>29</sub> ) regular steranes	0.21	0.72	0.23	0.13	0.29	-	0.21	0.09

Note: “-” means undetectable.

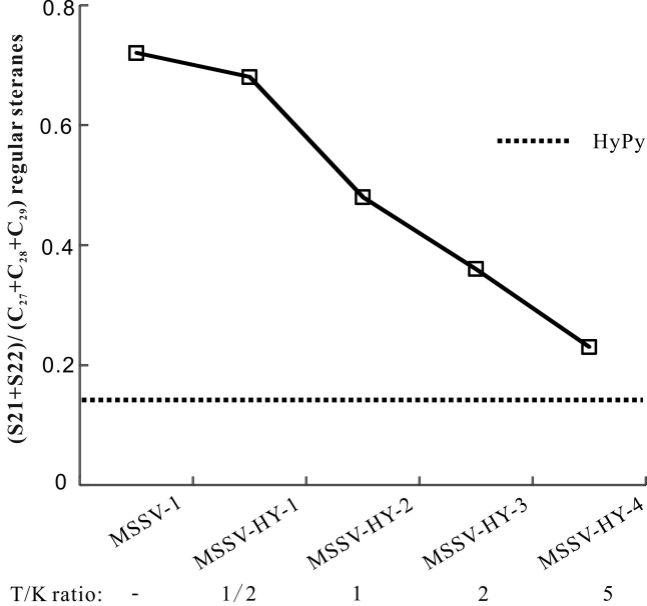


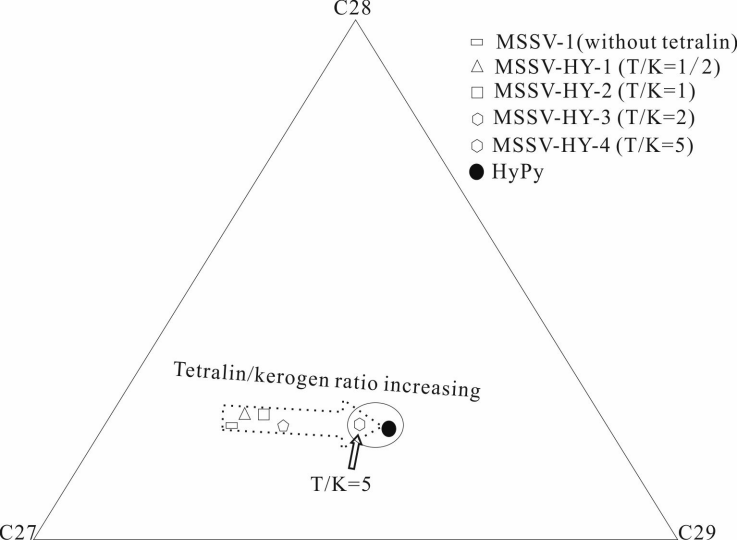


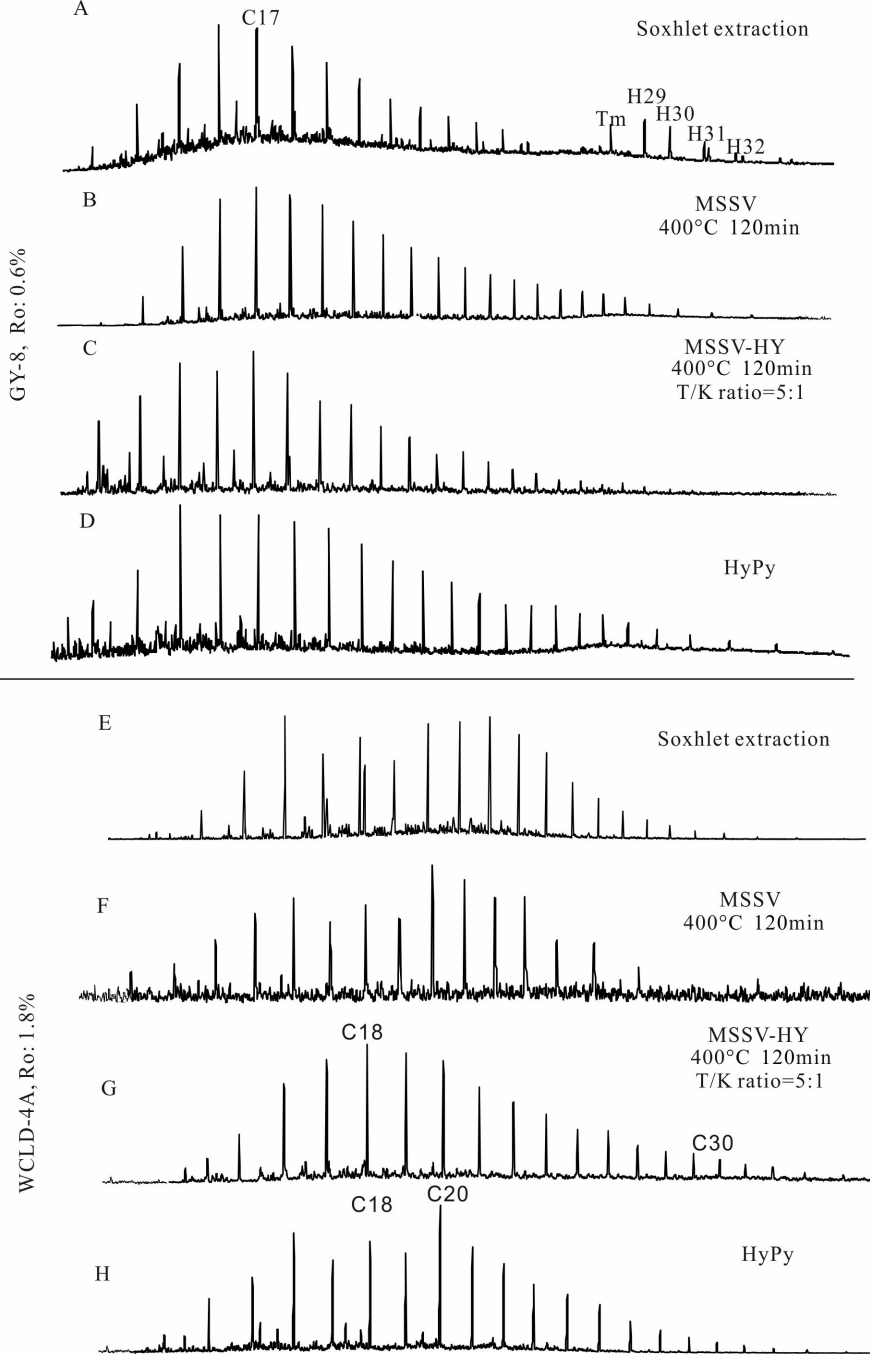


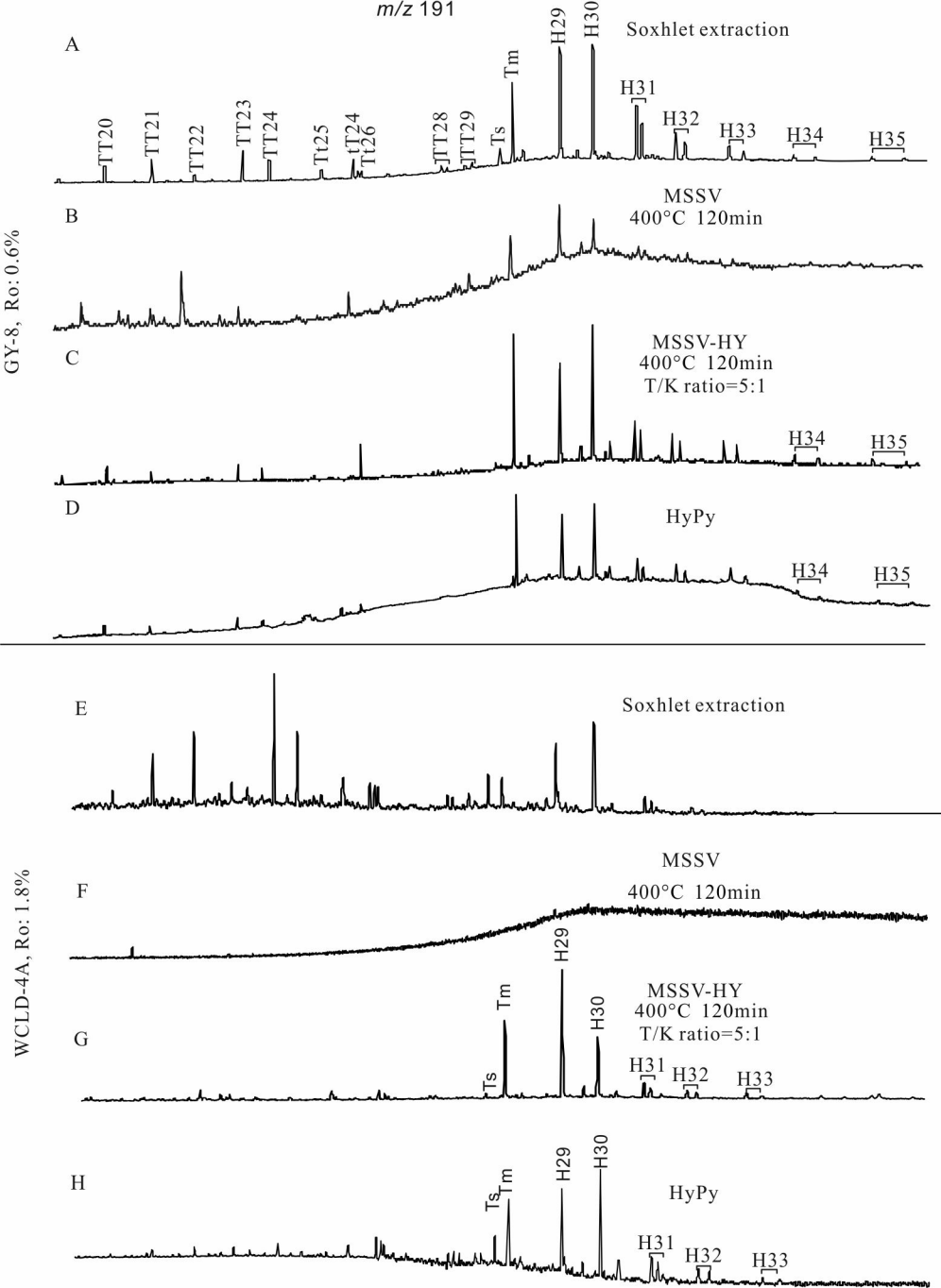












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