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1 **Imaging the Mantle Lithosphere below the China cratons using**
2 **S-to-p Converted Waves**

3
4 Xuzhang Shen^a, Rainer Kind^{b,c,*}, Zhouchuan Huang^d, Xiaohui Yuan^b, Mian
5 Liu^e

6
7 ^a Guangdong Provincial Key Laboratory of Geodynamics and Geohaz-
8 ards, School of Earth Sciences and Engineering, Sun-Yat Sen University,
9 Guanzhou, 510275, China

10 ^b GFZ German Research Center for Geoscience
11 Telegrafenberg, 14473 Potsdam, Germany (email: kind@gfz-potsdam.de)

12 ^c Freie Universität Berlin, FR Geophysik, Malteserstr. 74-100,
13 12249 Berlin, Germany

14 ^d School of Earth Sciences and Engineering, Nanjing University,
15 Nanjing 210023, China

16 ^e Dept. of Geological Sciences, University of Missouri, Columbia, MO
17 65211, USA

18 * corresponding author

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22 waves.

23

24 **Abstract**

25 We used S-to-p converted waves from over a thousand seismic stations
26 of the permanent Chinese National Seismic Network to study the large
27 scale structure of the mantle lithosphere beneath the cratons in China.
28 To avoid possible sidelobes of the Moho caused by the deconvolution in
29 the S-receiver function method, we skipped the deconvolution and used
30 the SV onset time as reference time instead of the time of the
31 deconvolution spike. With this new method the lithosphere- astheno-
32 sphere boundary (LAB) is observed near 80 km depth below both the
33 North and the South China cratons. However, at the north-eastern
34 margin of the Tibetan Plateau the LAB is observed at about 160 km
35 depth, smoothly shallowing towards the west and abruptly ending at the
36 western end of the North China Craton. This structure is not visible in
37 traditional S-receiver function data because it is overwhelmed by Moho
38 sidelobes. There is no indication of a deeper (near 200 km) cratonic litho-
39 sphere-asthenosphere boundary in the other parts of the China cratons
40 as it is observed in other cratons. We hypothesise that at the north-
41 eastern margin of the Tibetan Plateau the original thickening of the
42 lithosphere towards the craton is preserved whereas in most parts of the
43 North and South China Cratons the lower part of the lithosphere is
44 removed by some mechanism. The Moho is well observed. It deepens
45 from 30-40 km at the sea shores in the east to 60-70 km below eastern
46 Tibetan Plateau.

47 **1. Introduction**

48 The lithosphere in China has experienced a complex history of amalga-
49 mation of Precambrian cratons (the North China Craton, the South China
50 Block and the Tarim Block), the formation of Phanerozoic orogens be-
51 tween them, and the Indo-Asian collision creating the Tibetan Plateau
52 (see e.g. Dong et al., 2012 or Zheng et al., 2013 and references therein
53 and see Fig.1). This history is well studied using geological records of
54 crustal deformation, but what happened in the mantle lithosphere is less
55 clear. An and Shi (2006), based on temperature estimates from the S-ve-
56 locity model of Huang et al. (2003), determined a westward increase of
57 lithospheric thickness from ~80 km near the coast and below the North
58 China Craton to ~140 km below the Tarim Basin, to ~160 km below the
59 Yangtze Craton and to ~200 km below the Tibetan Plateau. The cratons
60 in eastern China are thinner than most other cratons (e.g. Li et al.,
61 2013a,b; Pandey et al., 2014) and may have been reshaped in the
62 Phanerozoic (Menzies, 2007). The S-receiver function technique is fre-
63 quently used for detecting the lithosphere-asthenosphere boundary
64 (LAB) and the mid-lithospheric discontinuity (MLD) (see e.g. Kind et al.,
65 2012). It has been applied to data collections along numerous temporary
66 profiles in China. A large number of LAB images using S-receiver func-
67 tions are produced for the Tibetan Plateau (Kumar et al., 2006; Kind and
68 Yuan, 2010; Zhang et al., 2010; Zhao et al., 2010; Kumar et al., 2013; Xu
69 et al., 2013; Shen et al., 2014, 2015; Ye et al., 2015; Shi et al., 2016).
70 These results show deepening of the Indian LAB to about 200 km under-
71 neath the central part of the Tibetan Plateau. There are also several,

72 some less clear, images indicating a southward deepening of the
73 Eurasian LAB below northern Tibet. Feng et al. (2014) observed a south
74 dipping LAB below the north-eastern part of Tibet. Under the North China
75 Craton, Chen et al. (2008, 2014) and Chen (2009) observed the LAB at
76 60-70 km depth. The shallow LAB in China is in contrast to the deeper
77 (~200 km) LAB found in other cratons (e.g. Fischer et al., 2010; Eaton et
78 al. 2009; Miller and Eaton, 2010; Kind et al. 2013, 2015, 2017, 2019;
79 Sodoudi et al., 2013; Foster et al., 2014; Hansen et al., 2015), also using
80 S-receiver functions.

81 The S-receiver function method, however, has been questioned by a re-
82 cent study of Kind et al. (2019). In this study it is shown that the decon-
83 volution technique in the conventional S-receiver function method may
84 cause artificial signals which could be misinterpreted as mid-lithospheric
85 discontinuity (MLD). Kind et al. (2019) used summation of the unfiltered
86 broadband data lined up along the onset times of the S signal on the SV
87 component (named onset method). With this technology the frequently
88 observed MLD in S-receiver functions in the cratonic United States was
89 not confirmed using USArray data, whereas the shallow LAB in the west-
90 ern US was confirmed. This indicates that these apparent MLD observa-
91 tions may have been artifacts due to sidelobes of the Moho signal caused
92 by deconvolution in generating the S-receiver functions. Avoiding these
93 sidelobes also helped to reveal fossil subduction zones below the cratons
94 in the United States. Here we use this new onset method to analyze S-to-
95 p converted signals from a large-scale seismic network of broadband sta-
96 tions in China.

98 **2. Data and Data Processing**

99 The data used in this study have been obtained from the Data Manage-
100 ment Center of China National Seismic Network at the Institute of Geo-
101 physics, China Earthquake Administration. The China National Seismic
102 Network (CNSN) consists of more than a thousand broadband stations
103 (Zheng et al., 2010). Fig.1 shows the station locations, the Tibetan
104 Plateau and the main cratons in China. All stations belong to 32 sub-net-
105 works managed by seismological bureaus of different provinces. The net-
106 work uses broadband sensors with flat responses to 360, 120 or 60 s.
107 Most stations have sampling rates of 100 Hz. The data are transmitted in
108 real time to the data center in Beijing.

109 Because the lithosphere of the North China Craton is very thin (near 80
110 km), the relatively long-period S-receiver function signals from the LAB
111 could interfere with sidelobes from the Moho signal caused by deconvolu-
112 tion. This might not be a large problem in regions where the LAB signal is
113 clearly separated in time from the Moho signal (e.g., Geissler et al.,
114 2010). Several papers have discussed the usage of un-deconvolved
115 traces and of sidelobes due to deconvolution (Kumar et al., 2010; Bodin
116 et al., 2014; Sippl et al., 2017; Li et al., 2007; Kumar et al., 2012; Hansen
117 et al., 2015; Liu and Gao, 2018). Lekic and Fischer (2017) compared dif-
118 ferent deconvolution methods and illustrated the main limitations of this
119 processing tool. Kind et al. (2019) obtained surprising results with two
120 basic modifications of the S-receiver function method. The first modifica-
121 tion is the usage of un-deconvolved and unfiltered broadband traces. The

122 second and decisive modification is the usage of the onset time of the S
123 signal as reference time. The deconvolution method uses the maximum
124 of the deconvolved S signal as reference time and not the onset time.
125 The first step in the data processing is to rotate the original Z, N, E com-
126 ponents into the P, SV, SH (or L, Q, T) system using backazimuth and in-
127 cidence angles from the US Geological Survey seismological bulletin.
128 Only data from the epicentral distance range of 60 to 85 degrees were
129 used, regardless of the locations of the stations or sources. We automati-
130 cally picked the first arrival of the SV signal using the algorithm of Baer
131 and Kradolfer (1987) and selected data with a signal-to-noise ratio
132 greater than 4. The sign of the onset was equalised. We also normalised
133 the traces with the absolute maximum within the window of 10 s after
134 the S onset on the SV component. To ensure high-quality data we chose
135 in addition only events for which the amplitudes on the P component are
136 less than 20% of the input SV signal on the SV component within the
137 time window of -50 to -20 s before the S onset. The reason for applying
138 this criterion is that large signals on the P component in that time win-
139 dow can only be noise, perhaps multiple mantle P waves (e.g. Wilson et
140 al. 2006) or S-to-P scattering waves within the crust and lithosphere be-
141 tween the source and the receiver (e.g. Vinnik & Romanowicz 1991). The
142 expected S-to-p converted signal is only a few percent of the incident sig-
143 nal. After applying these data quality criteria we obtained about 65,800
144 three-component records. To reduce the amount of data we resampled
145 all traces to 10 samples per second. We used the Seismic Handler soft-
146 ware package (Stammler 1993; www.seismic-handler.org) to process the

147 data. All the following figures show depth migrated traces. The migration
148 technique has been, for example, described by Kind et al. (2012). Seis-
149 mic records are back projected along the ray path using the IASP91
150 global reference model (Kennett and Engdahl, 1991) and summed with
151 some vertical and lateral smoothing. Figs.2,3 show profiles across China
152 computed with the new onset method (A) and for comparison also with
153 the conventional S-receiver function method (B). In Figs.4,5 only the on-
154 set method is used. In Fig.2 we marked the seismic signals of the Moho,
155 the lithosphere-asthenosphere boundary (LAB) and the discontinuity at
156 about 410 km depth (410). It should be noted that the depth of all
157 phases should be read at the lower bound of the colored region in the on-
158 set method figures and at the central part of the colored region in the de-
159 convolution method figures (marked by black lines in Fig.2A,B). This is
160 due to the different definitions of the reference times.

161

162 **3. Results along several profiles**

163 In this section we discuss results along several profiles of migrated S-to-p
164 converted waves. Fig. 2 shows two east-west profiles through the North
165 China Craton and the north-eastern edge of Tibetan Plateau. The profiles
166 have different widths marked by the two red lines. The Moho is clearly
167 smoothly deepening from about 40 km depth near the coast to about 60
168 km depth below the eastern Tibetan Plateau in both methods (Figs.2A,B).
169 The LAB is also clearly visible at about 80 km depth in the east and cen-
170 tral North China Craton. At the western end of the North China Craton,
171 the LAB abruptly jumps to about 160 km depth (Figs.2A). Further towards

172 the west the LAB shallows to 110-120 km depth at northeastern Tibet to-
173 wards the Tarim Basin. This dipping LAB phase is not observed in the re-
174 sult of the deconvolution method (Figs.2B) because it is overwhelmed by
175 sidelobes of the Moho signal. Comparable inclined structures in the upper
176 mantle have also been observed in the United States by the onset
177 method but not by the deconvolution method. Kind et al. (2019) inter-
178 preted these features under the US as remains of fossil subductions.
179 Fig.3 shows two east-west profiles through the South China Craton. The
180 LAB is observed at the same depth like in the North China Craton in
181 Fig.2. However there is no clear signal in this profile like the inclined LAB
182 in the west of the North China Craton. There are some less clear negative
183 signals below eastern Tibet in this profile (question mark in the left panel
184 of Fig.3A) which might indicate a continuation of the inclined LAB
185 observed further north into the South China Craton. Fig.3A (right panel)
186 shows an east-west profile covering the entire South China Craton. It also
187 shows a clear LAB at a depth of ~80 km at its eastern margin reaching to
188 about 115 degrees east. To the west of that longitude it is still visible but
189 much weaker. In Fig.3 are also marked signals from the 410 km
190 discontinuity and a from a velocity decrease just above the 410 km
191 discontinuity marked LVL. In Fig.4 two north-south profiles across the
192 eastern parts of the China cratons are shown, a narrower one parallel to
193 the coast (Fig.4A) and a wider one covering the entire South China Cra-
194 ton (Fig.4B). Both profiles show clearly the LAB near about 80 km depth
195 over the entire profiles. In Fig.4A a north inclined structure marked Y
196 could be the subducting Philippine Sea plate north of Taiwan. We should

197 remember that the inclined incoming rays are also sampling some struc-
198 ture offshore. In the profile through the north-eastern margin of Tibetan
199 Plateau and the western part of the South China Craton (Fig.5A), we do
200 not observe a continuous LAB. In its northern part is a structure marked
201 LAB, which seems to be southward inclined, similar to the structure ob-
202 served by Feng et al. (2014). It seems to be identical with the eastward
203 inclined LAB structure in Figs.2A. We show in Fig.5B a NW-SE profile, in
204 which the same LAB structure is clearly dipping south-eastward. Besides
205 the marked phases, there are no other significant signals visible. In par-
206 ticular, no deep LAB signals (around 200 km) are observed in almost the
207 entire cratonic China in contrast to some other cratons (e.g. Fischer et
208 al., 2010; Eaton et al. 2009; Miller and Eaton, 2010; Kind et al. 2013,
209 2015, 2017, 2019; Sodoudi et al., 2013; Foster et al., 2014; Hansen et al.,
210 2015). Under the eastern part of China (Fig.4A), the Moho is at ~30 km
211 depth and the LAB at ~80 km depth. In western part of the China cratons
212 (Fig.5A) the Moho is at a greater depth, near 50 km. These Moho depths
213 are in agreement with the results obtained by He et al. (2014) and Yang
214 et al. (2017) from P-receiver functions. A local exception in the south-
215 western part of the the South China Craton is the shallowing of the Moho
216 to about 30 km depth (Fig.3A, right panel marked Z). This local Moho
217 shallowing is also observed by He et al. (2014) and Yang et al. (2017).
218 There is no large-scale difference in the lithospheric structure between
219 the eastern parts of the North and South China Cratons. Our results con-
220 firm earlier results of a thin lithosphere in the North China Craton derived
221 from other techniques (e.g. Chen et al., 2008). The amplitudes of the ob-

222 served LAB signals vary between 2% and 3% of the incident SV signal.
223 We should point out here again that the traces we are using are
224 amplitude normalised. In the deconvolution technique this is always done
225 by the deconvolution spike. However, in the onset method it is done by
226 the absolute maximum in the window of 10 s after the SV onset which
227 may vary in time from trace to trace. This could make the amplitude ob-
228 servations of the P component less stable. Amplitude information of any
229 S-to-p converted waves should be used carefully since lateral hetero-
230 geneities could influence the amplitudes greatly.

231

232 **4. Discussion and Conclusions**

233 An important result of this research is that there is almost no indication
234 in our data for a negative discontinuity near 200 km depth below the
235 China cratons, which is a feature observed in parts of other cratons and
236 has been explained as cratonic LAB. This result confirms earlier indica-
237 tions of a thin lithosphere below eastern China. For example Feng et al.
238 (2010) observed with surface wave tomography and derived tempera-
239 tures LAB depths decreasing from west to east beneath the North and
240 South China Cratons. We observed a negative discontinuity at less than
241 80 km depth under the North China and the South China Cratons. This
242 discontinuity could be considered as the shallow LAB as it is also ob-
243 served under tectonically active regions, for example in the western
244 United States (e.g. Kind et al., 2019). A similar shallow negative disconti-
245 nuity, termed MLD, has previously also been observed below the cratonic
246 US using largely the S-receiver function method. However, with our modi-

247 fied method this discontinuity was not confirmed. It may have been
248 caused by sidelobes of the deconvolution. Below the China cratons, how-
249 ever, our new method confirmed and extended earlier observations of
250 the shallow LAB.

251 Another major structure is a negative discontinuity beneath the north-
252 eastern margin of the Tibetan Plateau dipping from 110-120 km depth to
253 about 160 km depth in south-east direction at the western boundary of
254 the North China Craton. This thick lithospheric region at the edge of the
255 North China Craton could be interpreted as remains of the originally
256 thicker lithosphere of the entire China cratons which lost their lower part.

257 A more technical conclusion is that the new onset method for treating S-
258 to-p converted waves in the upper mantle leads to new and interesting
259 results. The sidelobes have disappeared in the new method and new
260 structures in the mantle lithosphere become visible which have been
261 overwhelmed by Moho sidelobes contained in the traditional S-receiver
262 function method.

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491

492 **Figure Captions**

493

494 **Fig.1:** Simplified tectonic map of China showing the major cratonic
495 blocks (Zheng et al., 2013): NCC = North China Craton and SCB = South
496 China Block. Inverted triangles mark location of permanent seismic
497 broadband stations. Epicenters of the seismic events used are marked in
498 the inset.

499

500 **Fig.2:** Comparison of data processing results obtained with the new on-
501 set method (A) and the traditional S-receiver function method (B) along
502 two east-west profile through the North China Craton. The width of the
503 profiles is marked by the two red lines. Blue signals result from a discon-
504 tinuity with velocity increase downward and red signal from a velocity
505 decrease. Moho and lithosphere-asthenosphere boundary (LAB) are
506 marked by dashed and solid lines, respectively in A and B. Note that the
507 positions where depth data should be taken from profiles in A and B are
508 different, since the definition of reference times is different in both meth-
509 ods. In the onset method measurements should be taken at the deepest
510 (earliest in the time domain) part of the signal and in the S-receiver
511 function method at the center of the signal. The LAB in B (S-receiver

512 function method) is continuous over the entire profiles whereas the LAB
513 in A (new onset method) is disrupted and sharply deepening at the
514 western edge of the North China Craton. This signal is probably
515 overwhelmed by sidelobes in the deconvolution method.

516

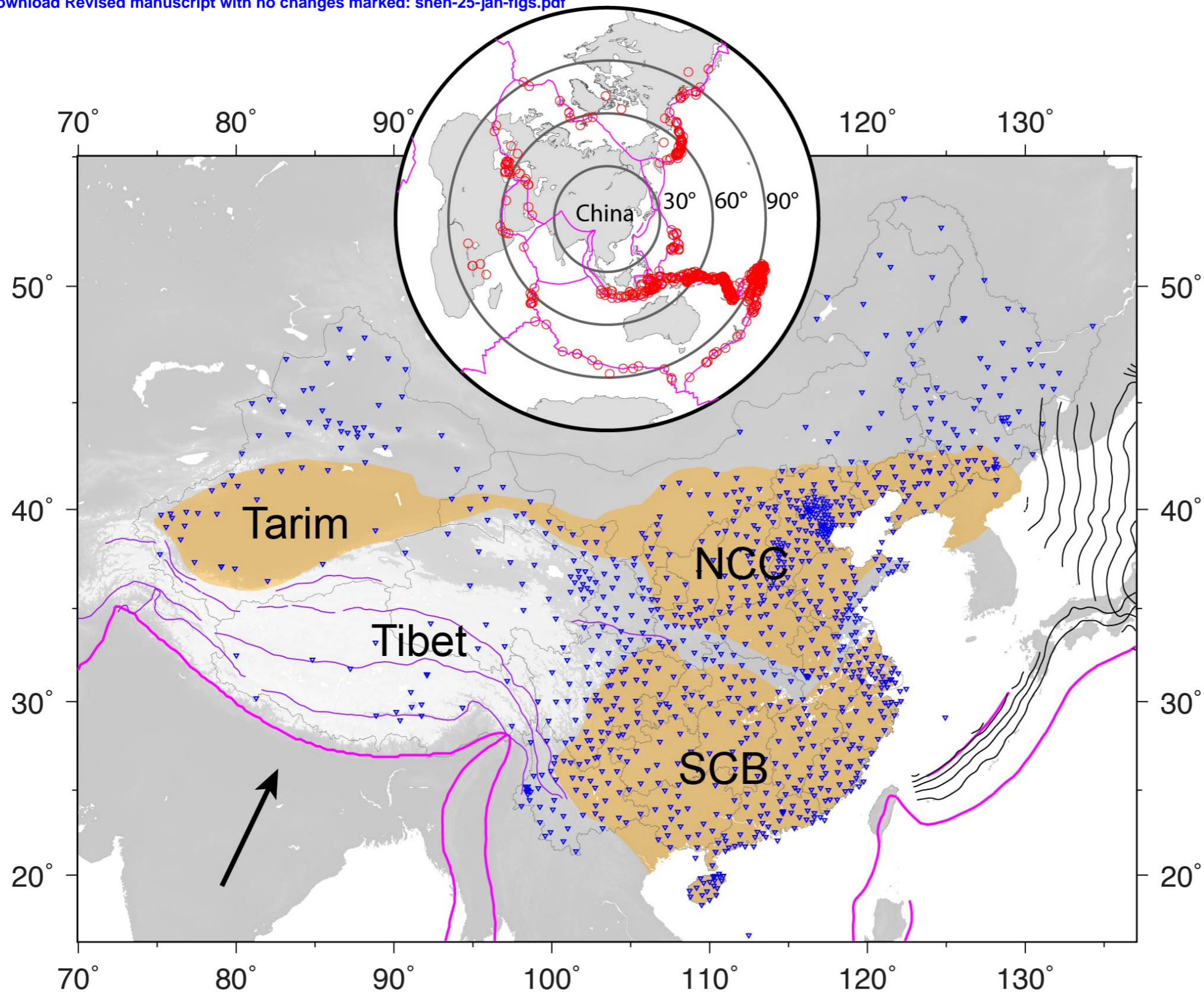
517 **Fig.3:** Same as in Fig.2 for profiles located in the South China Craton.
518 The dipping LAB from Fig.2A is barely visible (see question mark). Shal-
519 lowing of the Moho at the eastern edge of the South China Craton is
520 observed in both methods marked by a Z in A (right panel). Conversions
521 from the 410 km discontinuity and a negative discontinuity above the
522 410 km discontinuity are marked by 410 and LVL, respectively.

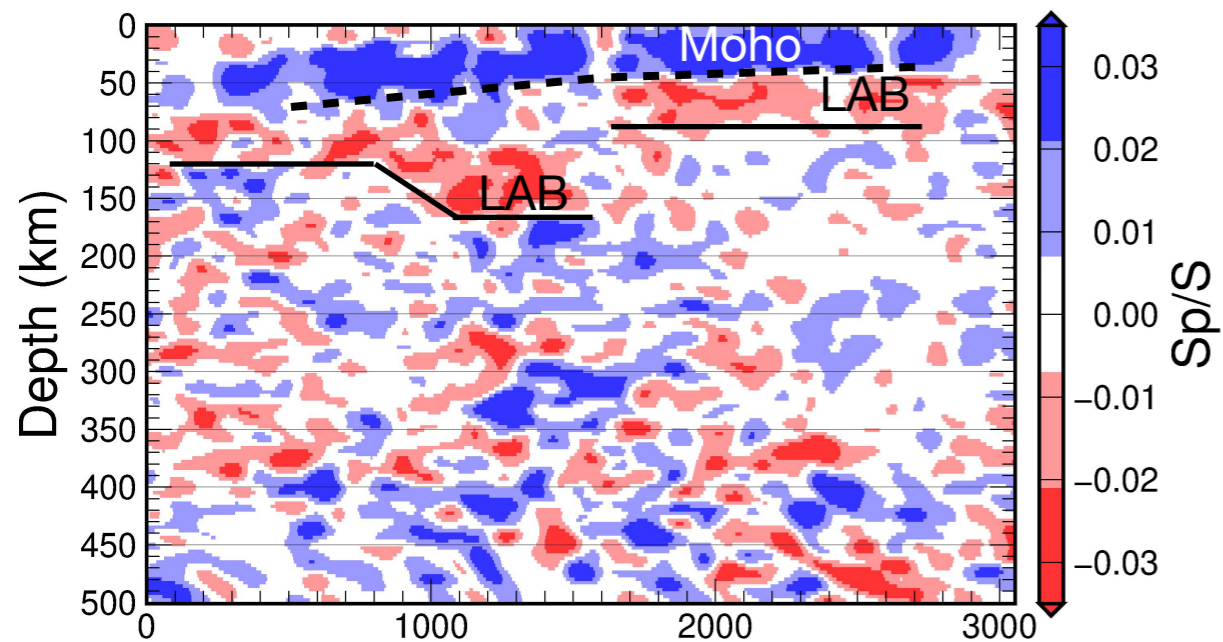
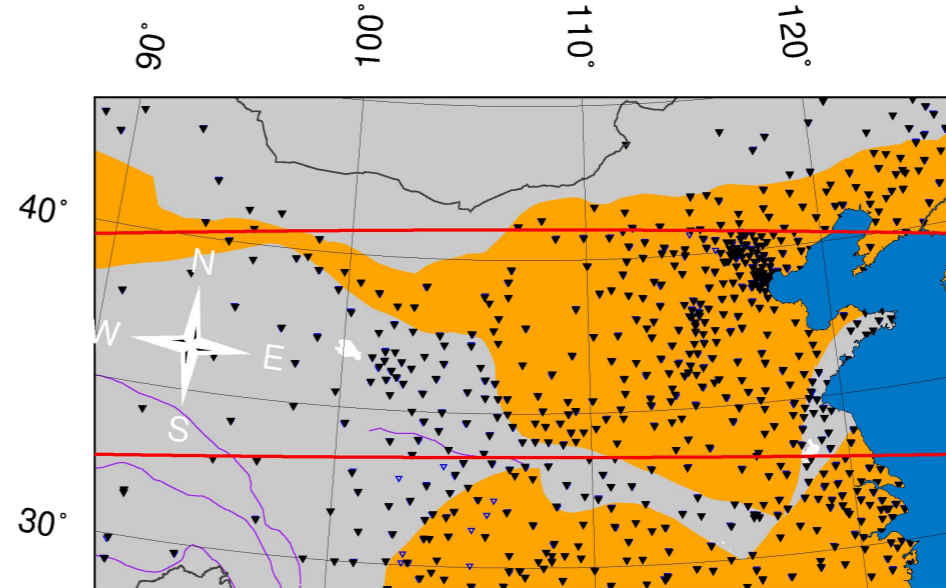
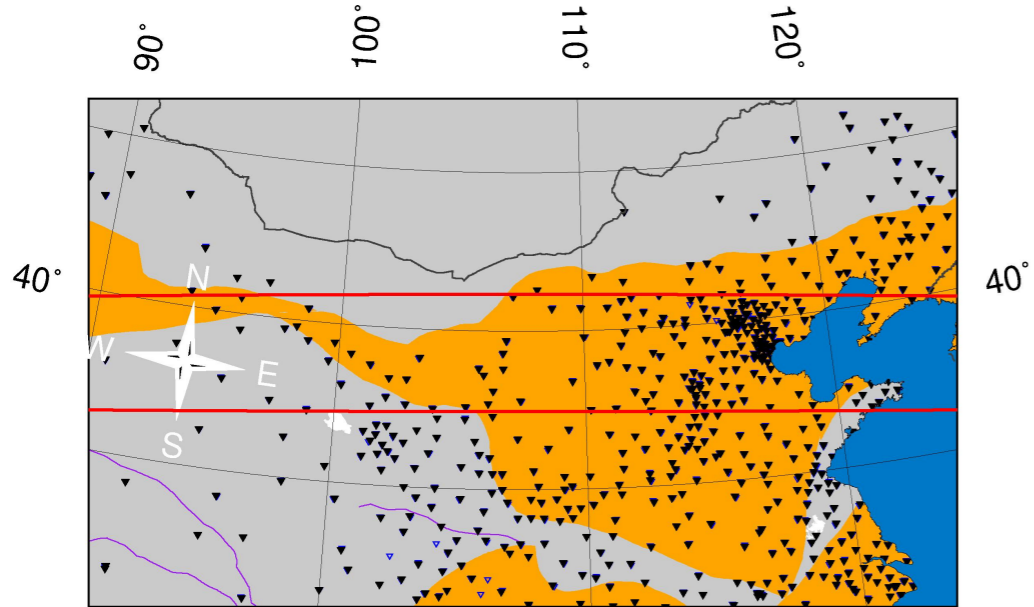
523

524 **Fig.4:** Two north-south profiles through the eastern parts of the North
525 and South China Cratons. The LAB is clearly observed in both cratons
526 near 80 km depth. In the profile along the coast (A) is in addition marked
527 a structure Y which could be the Philippine plate subduction north of Tai-
528 wan.

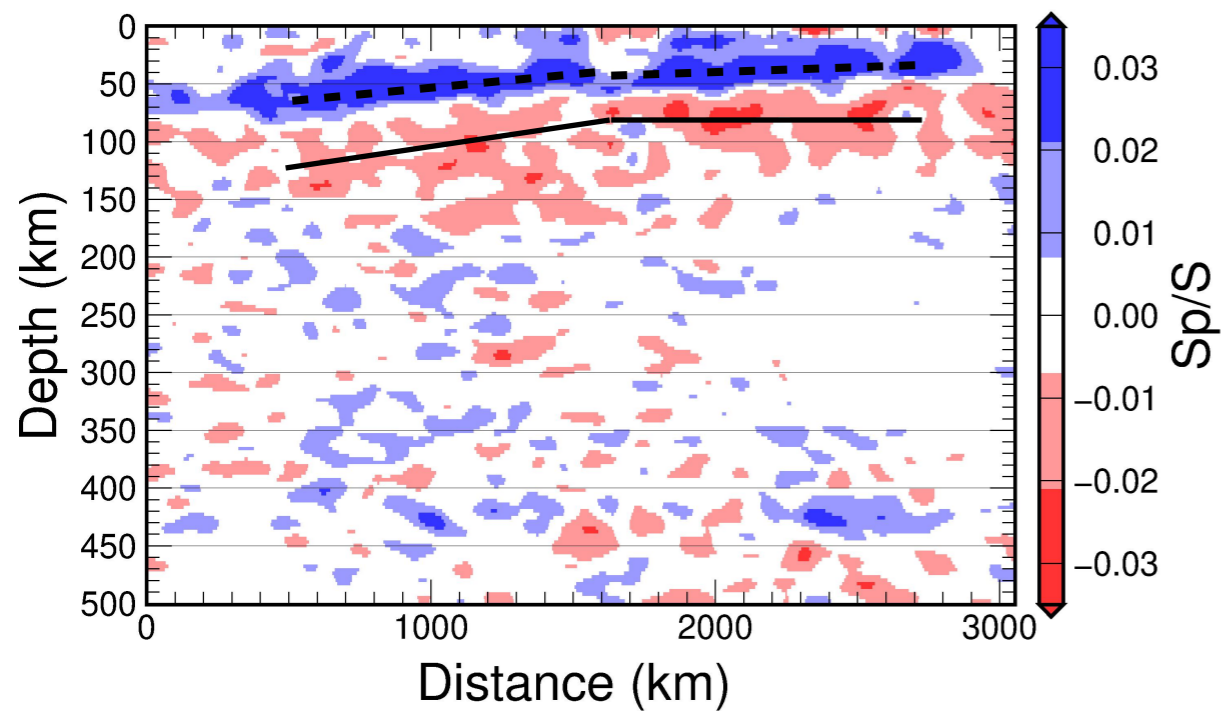
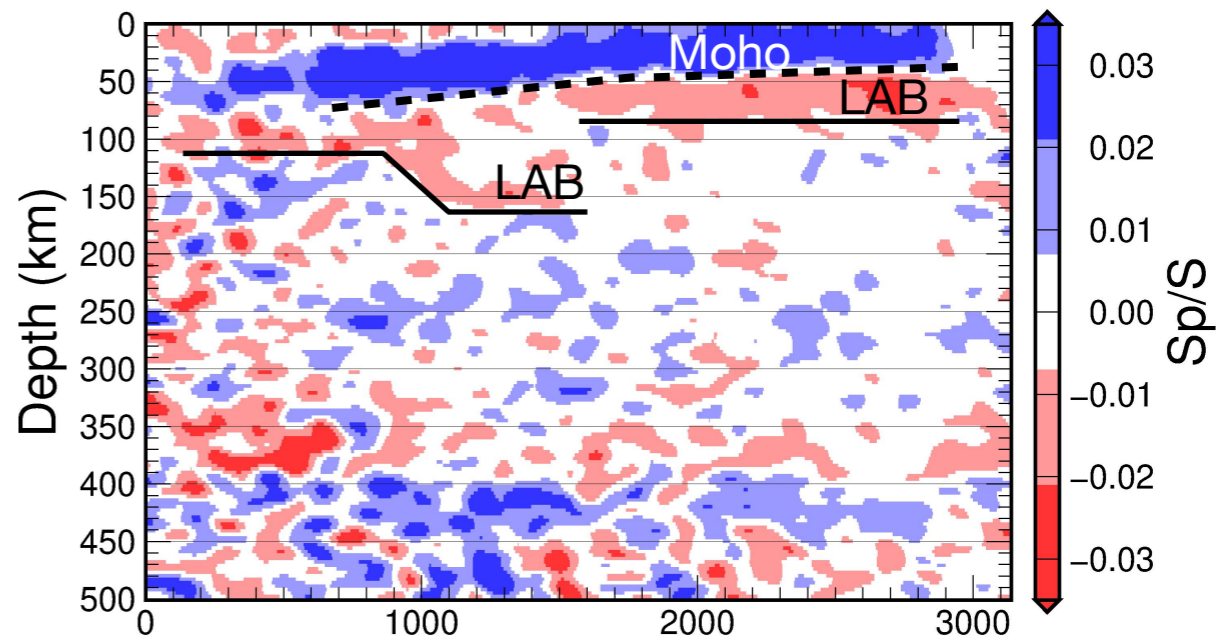
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530 **Fig.5:** A north-south profile through the eastern parts of the South and
531 North China Cratons is shown in A. The dipping LAB structure is visible
532 like in Figs.2A-3A. A north-west to south-east running profile is shown in
533 B which shows the dipping LAB more clearly

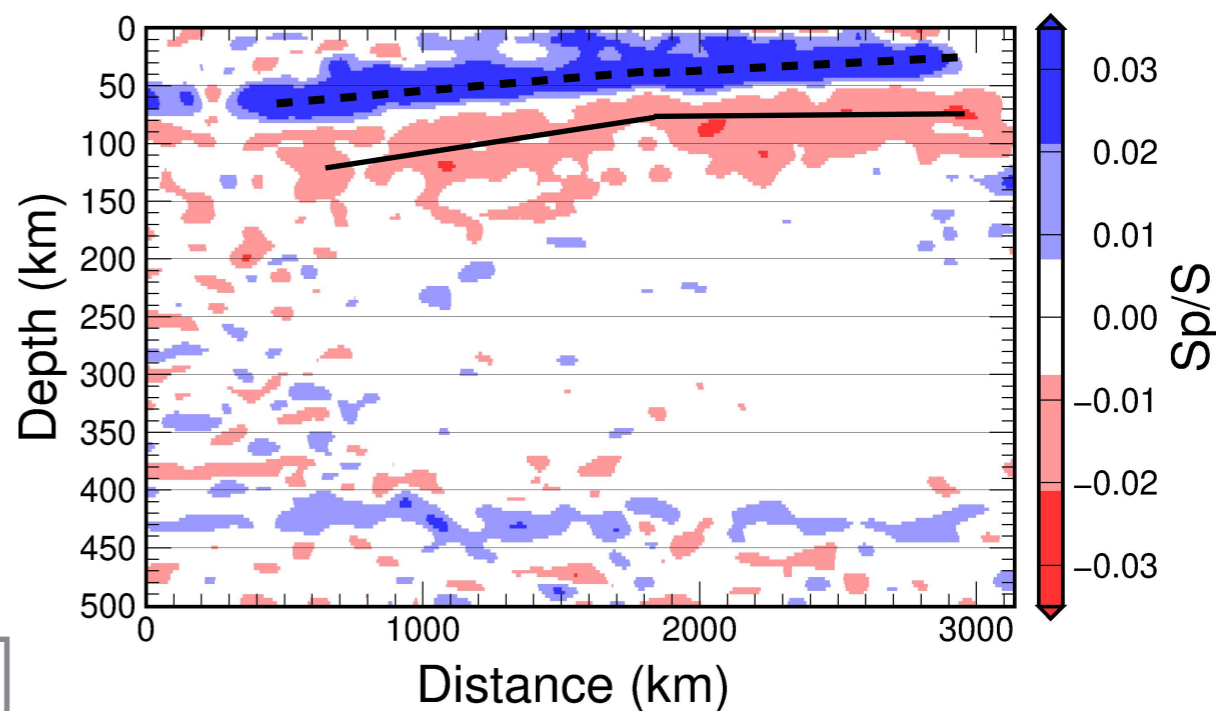


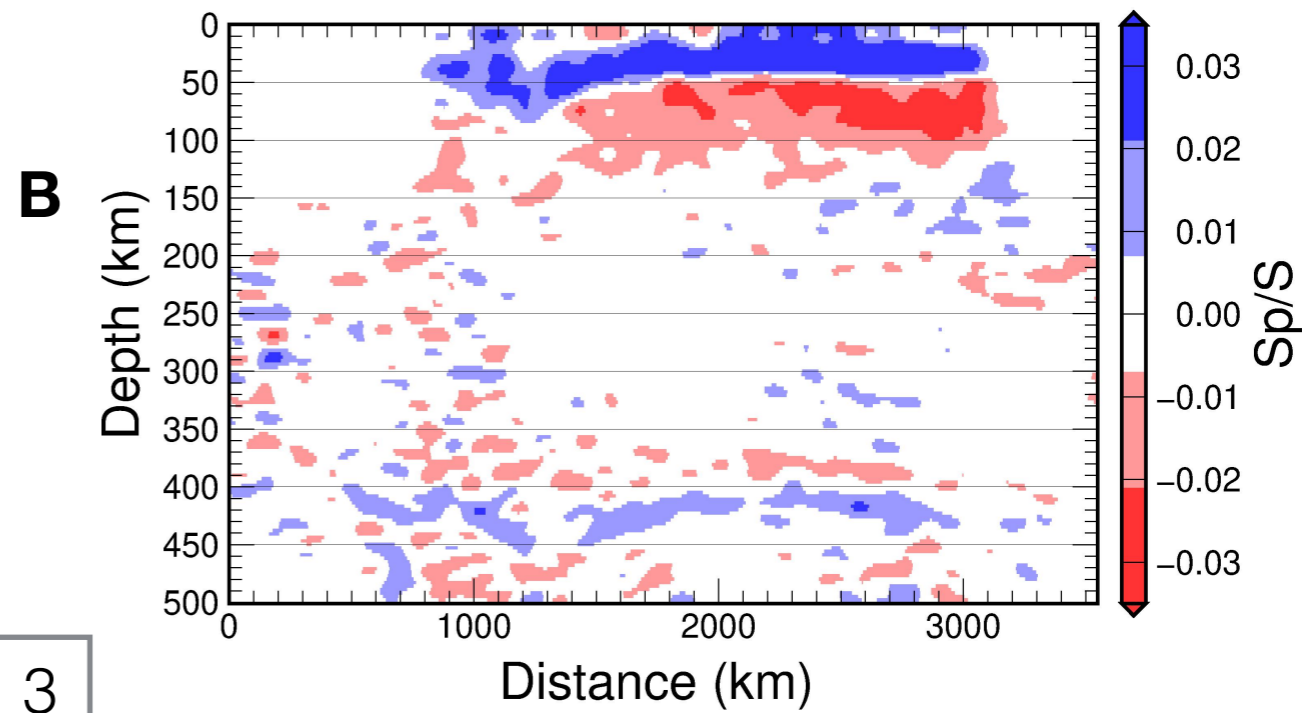
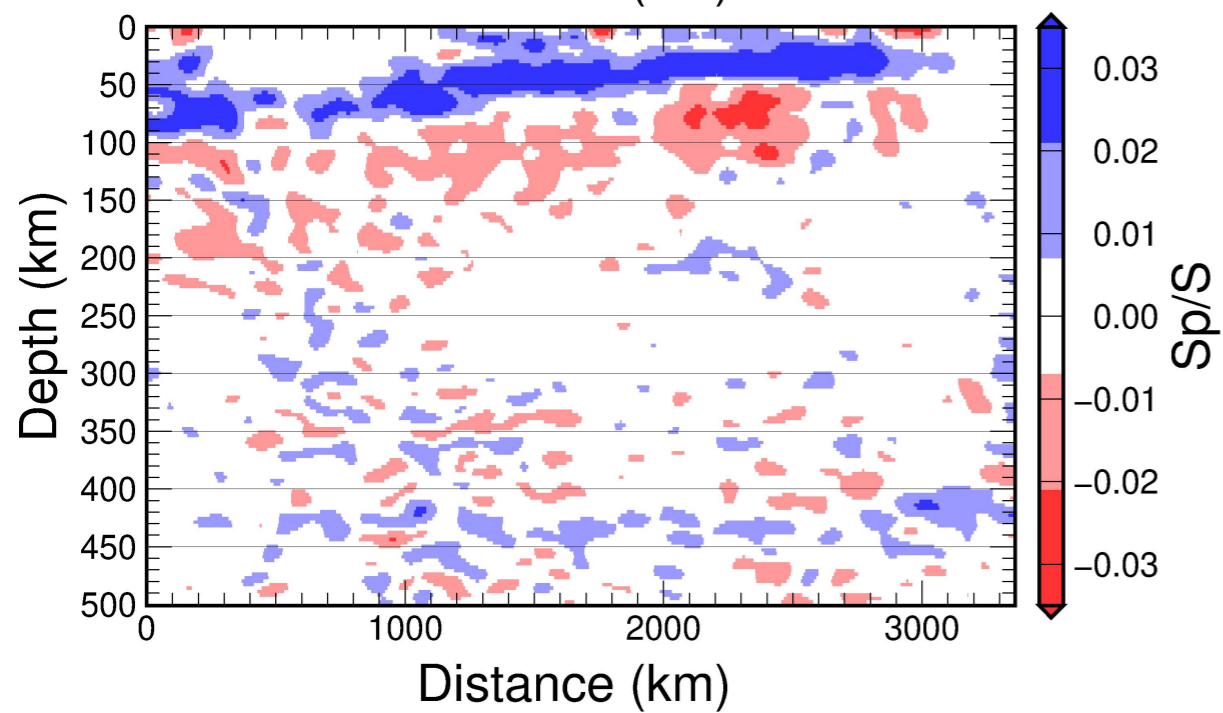
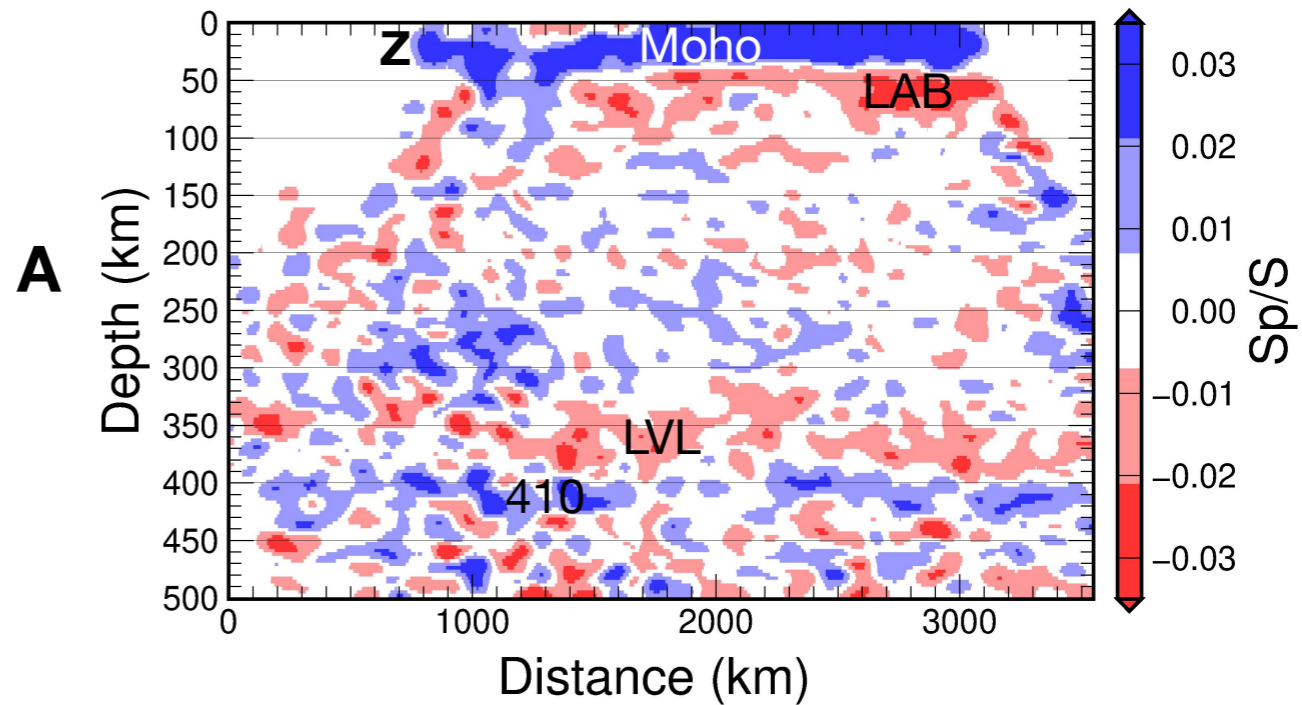
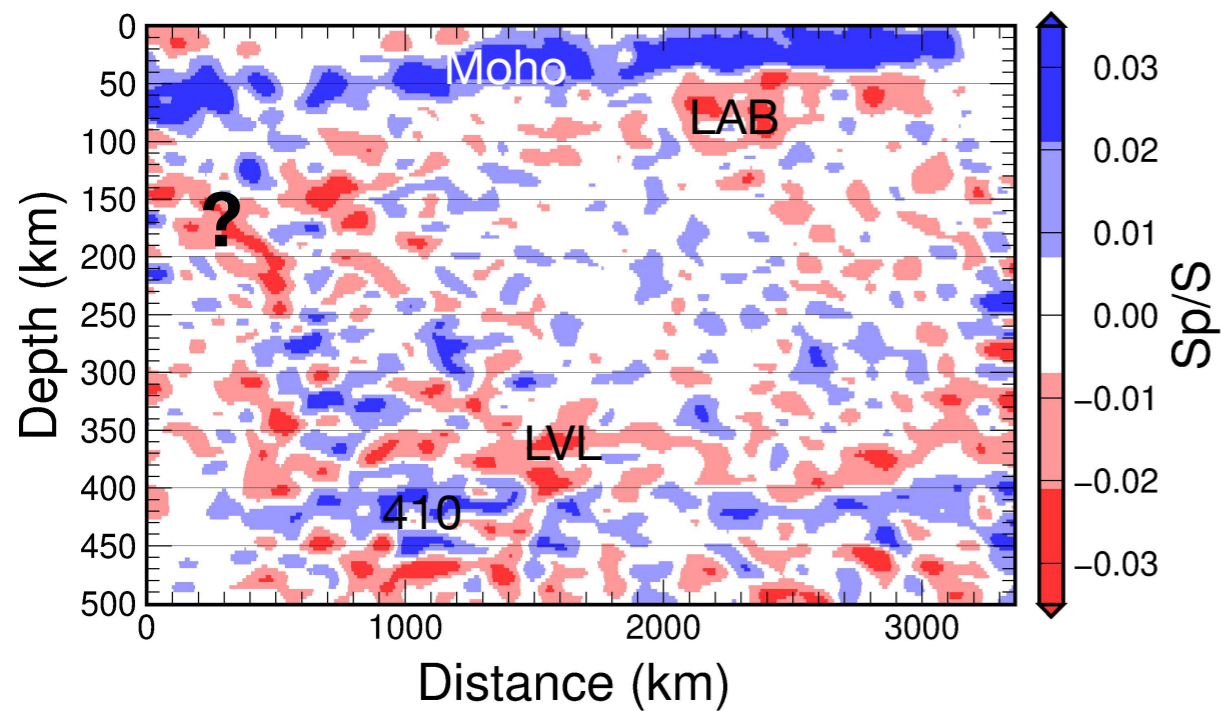
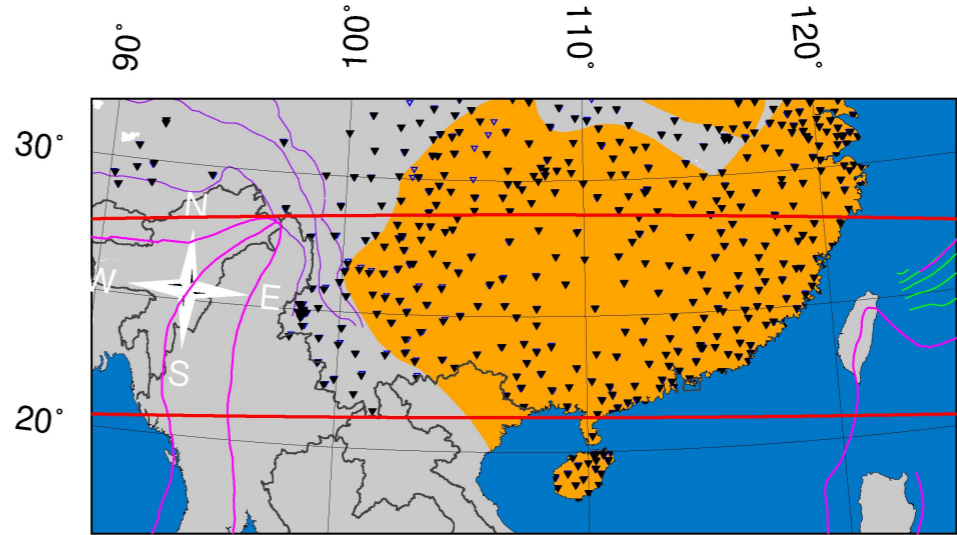
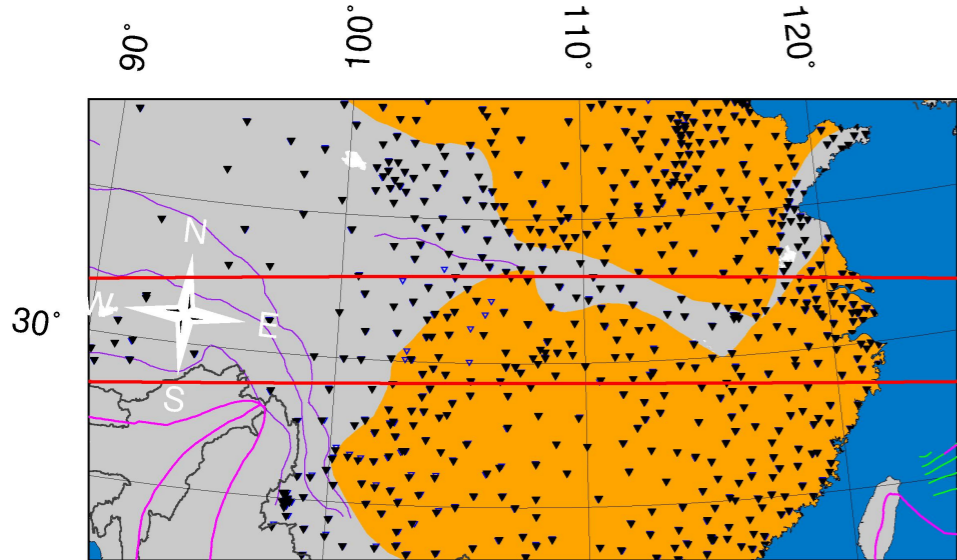


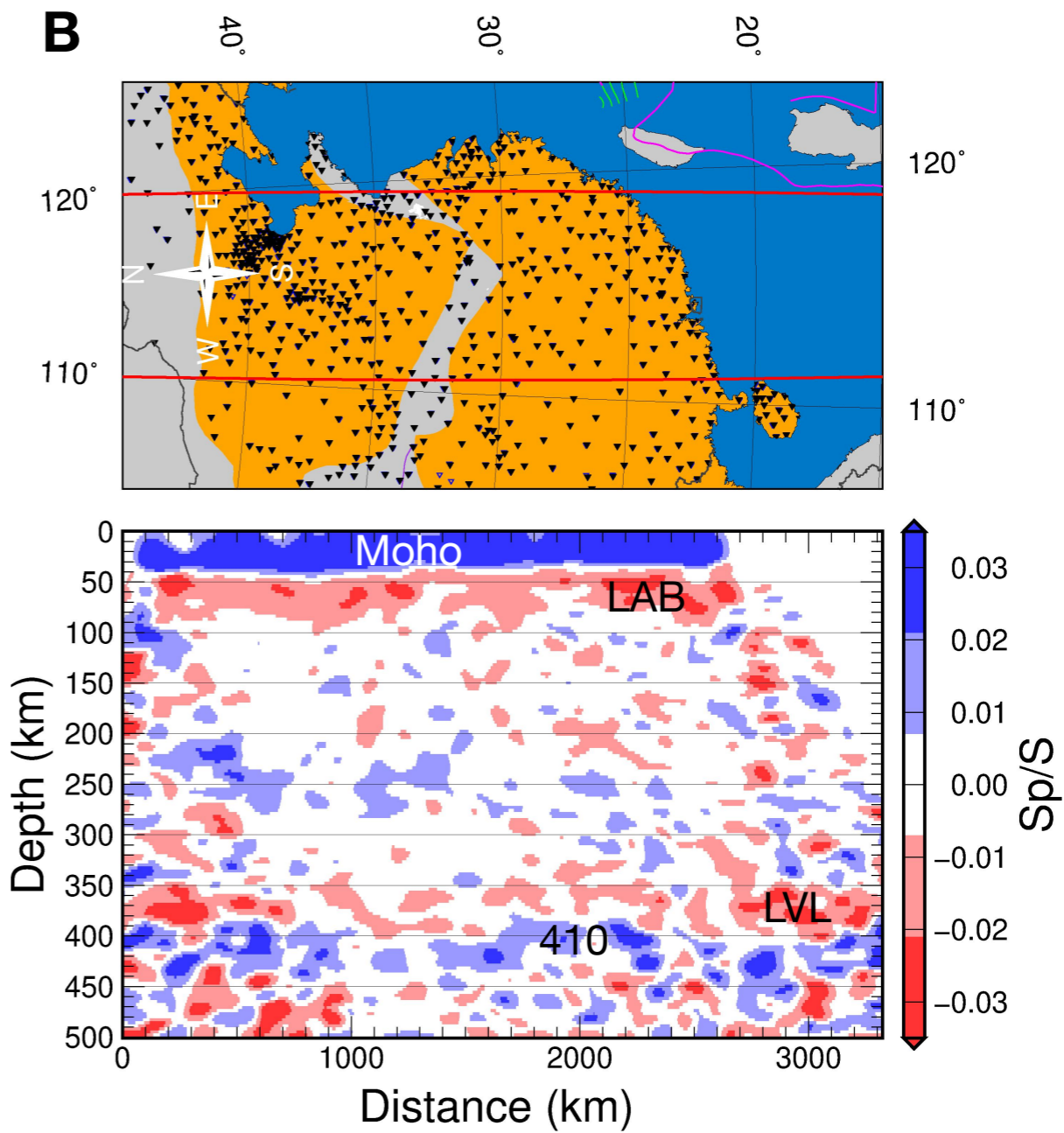
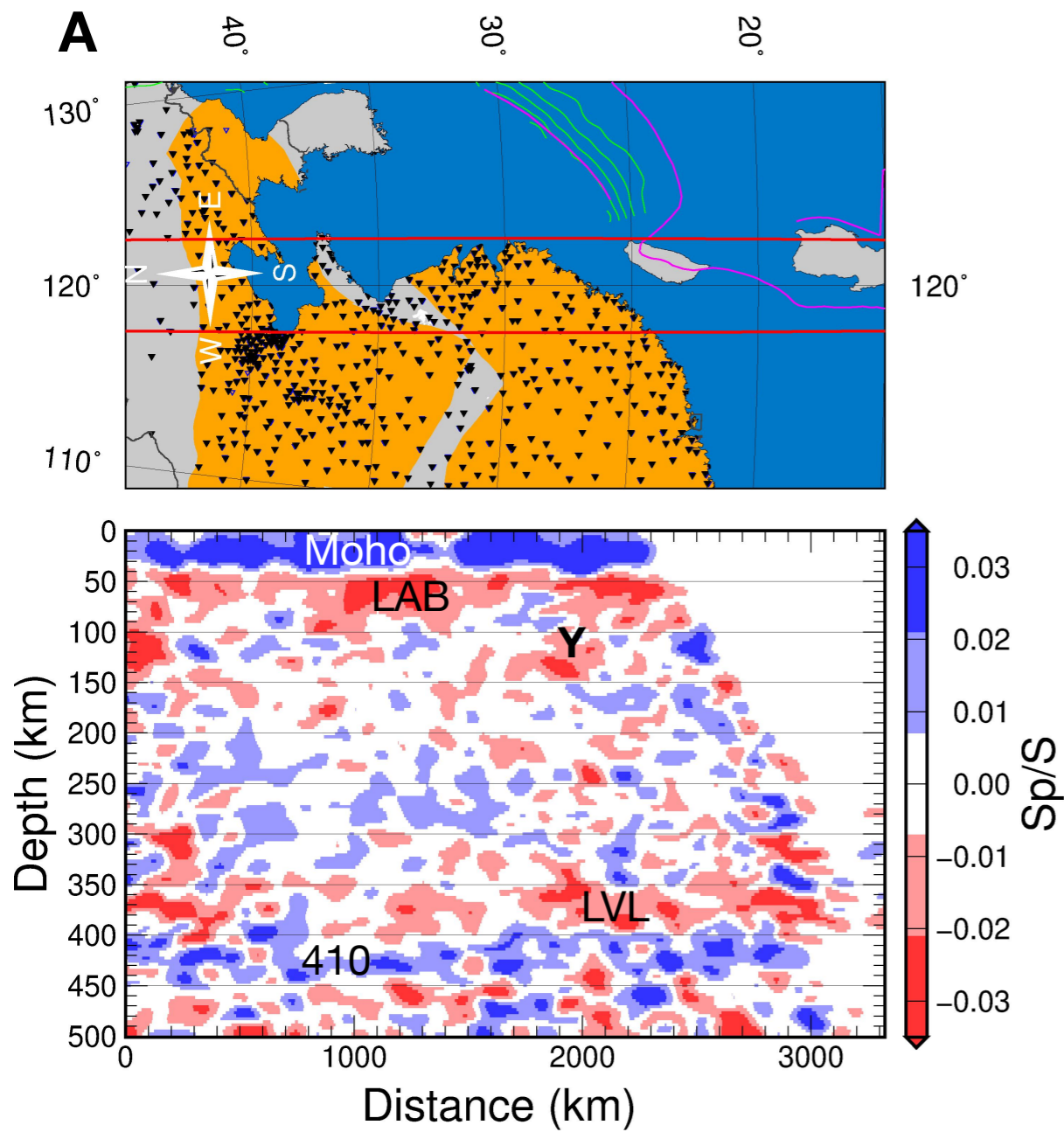
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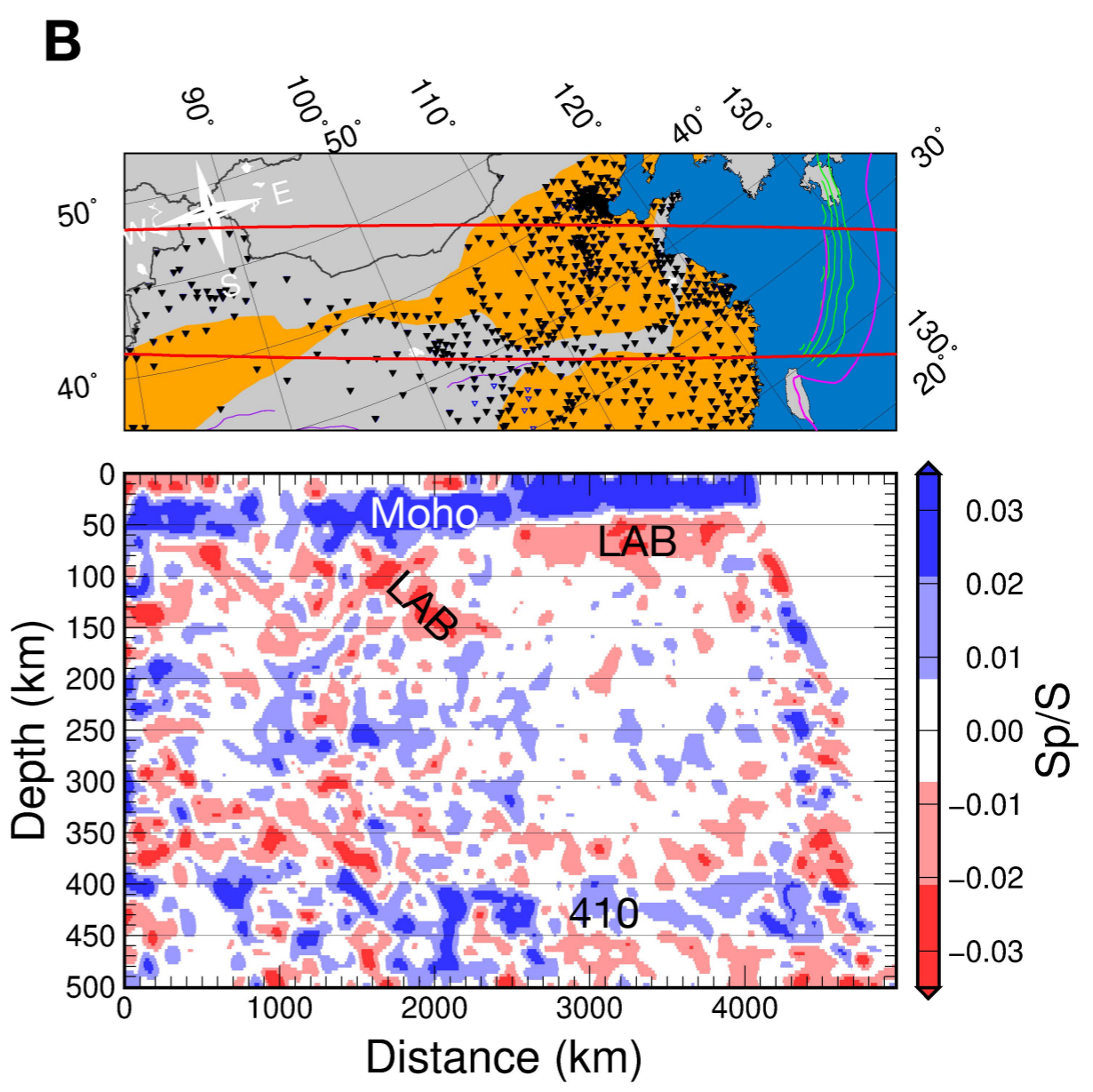
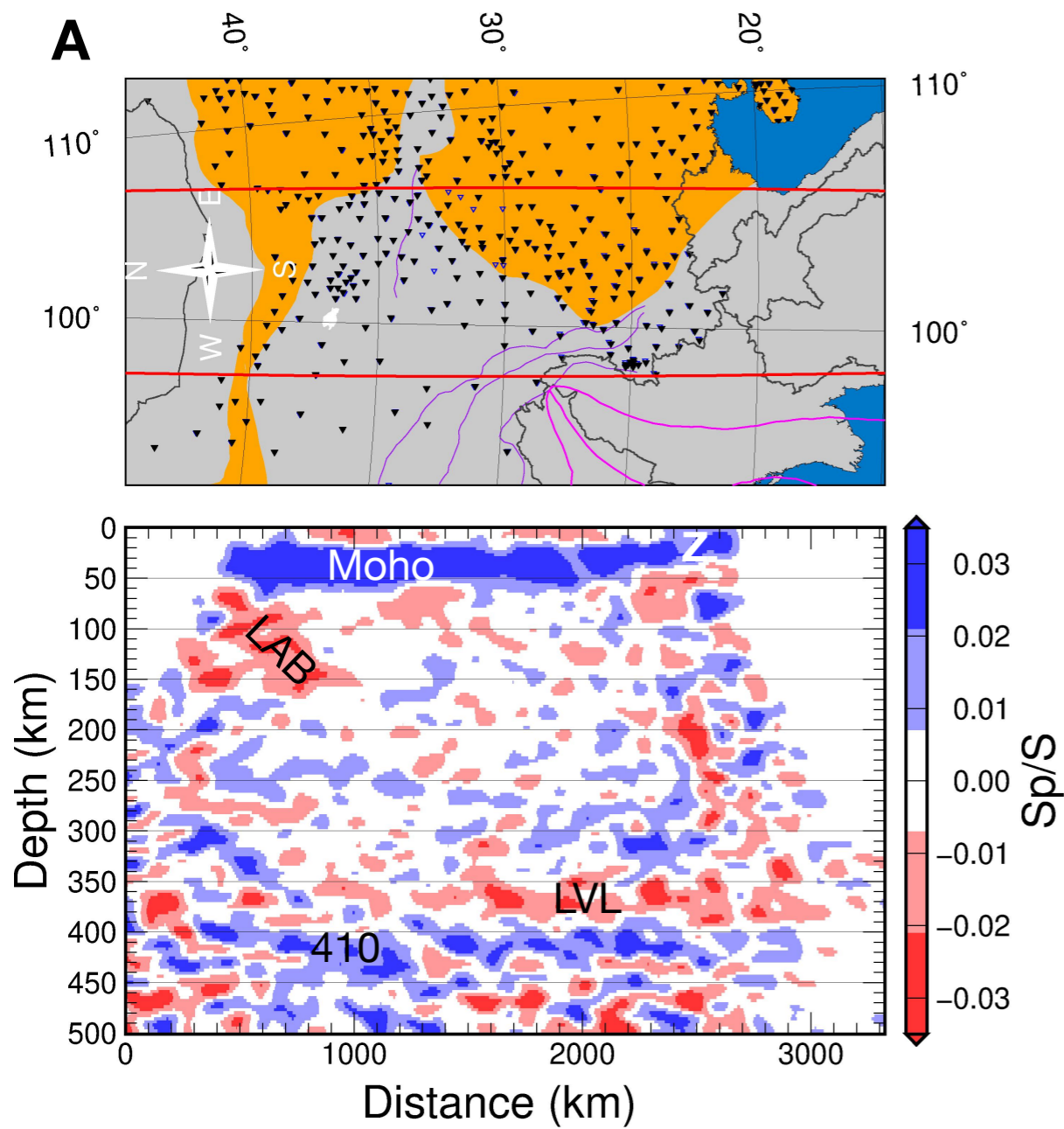


B









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