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**Near-Earth Vortices' Driving of Field-Aligned Currents:
Magnetospheric Multiscale and Swarm Observations***

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1 **Abstract**A long-standing mystery in the study of Field-Aligned Currents (FACs) has
2 been that: how the currents are generated and why they appear to be much stronger
3 at high altitudes than in the ionosphere. Here we present two events of magnetotail
4 FACs observed by the Magnetospheric Multiscale Spacecraft (MMS) on 1st July and
5 14th July 2016, to show how the Substorm Current Wedges (SCW) were formed.
6 The results show that particles were transferred heading towards the Earth during the
7 expansion phase of substorms. The azimuthal flow formed clockwise
8 (counter-clockwise) vortex-like motion, and then generated downward (upward)
9 FACs on the tailward/poleward side of the distorted field with opposite vorticity on
10 their earthward/equatorward side. We also analyzed the Region 1 FACs observed by
11 the Earth Explorer Swarm spacecraft on 1st July 2016 and found that they were
12 associated with FACs observed by MMS, although differing by a factor of 10. This
13 difference suggests that either there was the closure of the currents at altitudes above
14 500km or the currents were not strictly parallel to B and closed at longitudes away
15 from where they were generated.

16

17 **Keywords:** Substorm current wedge, Magnetosphere, Field-aligned currents, Flow
18 vorticity, Multiple spacecraft measurements

19

0 Introduction

Field-Aligned Currents (FACs), first suggested by *Birkeland*^[1], are believed to be the keyways by which momentum and energy can be transferred between the magnetosphere and the ionosphere^[2-4]. FACs between the magnetosphere and the ionosphere exist continuously and are strictly conserved, which provides a unique opportunity to gain insight into the underlying physical processes in the magnetosphere^[5]. These currents play an important role: In the region near noon, they link to the polar ionosphere directly along open field lines, while they link to the plasma sheet at most other longitudes^[6].

Chun and *Russell*^[7] have studied the occurrence rate related to geomagnetic activity in the inner magnetosphere, finding that, when auroral activity is strong ($|AL| > 135\text{nT}$), magnetospheric internal stresses become much greater, and leading to the widespread occurrence of FACs in the Earth's inner magnetosphere. FACs in the magnetosphere show as much range as currents in the auroral ionosphere and vary in concert with these currents too. However, the origin of FACs is still an open issue.

In the magnetotail, FACs is the vertical part of the SCW, and its association with FAC is an essential feature of substorm expansion. From the momentum equation for isotropic pressure, two source terms for the SCW generation have been suggested; they are the inertial currents and the pressure gradient currents,

$$\nabla \cdot \mathbf{j}_{\parallel} = -\nabla \cdot \mathbf{j}_{\perp} = -\nabla \cdot \left(\frac{\mathbf{B}}{B^2} \times \rho \frac{d\mathbf{u}}{dt} + \frac{\mathbf{B}}{B^2} \times \nabla P \right). \quad (1)$$

The first term on the right-hand side in (1) represents the inertial currents and the

42 second is the diamagnetic currents. It is generally believed that the inertial currents
 43 are more relevant to the generation of FACs in the substorms initial dynamic phase
 44 [8,9]. Alternatively, resulting from the change of vorticity (Ω) and the magnetic field,
 45 a direct expression for the FACs can be derived [10] as

$$46 \quad j_{\parallel} = B_i \int_{eq}^{ion} \frac{\rho}{B} \frac{d}{dt} \left(\frac{\Omega}{B} \right) dl_{\parallel}, \quad (2)$$

47 Where ρ is the mass density and $\Omega = \mathbf{B} \cdot \nabla \times \mathbf{V}/B$, which refers to the
 48 field-aligned component of vorticity. *Hasegawa* and *Sato* [10] and *Vasyliunas* [11] have
 49 suggested that the time-increasing vorticity can produce FACs during
 50 magnetospheric substorms. *Haerendel* [8] has proposed that a dawnward inertia
 51 current will be caused at the stopping point when the flows are slow down. *Keiling et*
 52 *al.* [12] have showed that a clockwise (counterclockwise) magnetospheric vortex-like
 53 motion corresponds to downward (upward) FACs and the related part in Eq.(3)
 54 contributes a significant part or all of the FACs of the SCW at onset of the substorm
 55 expansion phase, although would be later replaced by some other generating
 56 mechanisms such as the pressure gradient [13-17]. *Shi et al.* [18] have reported in situ
 57 and ground observations of a solar wind dynamic pressure enhancement induced
 58 vortex in the nightside plasma sheet and carried out magnetohydrodynamics
 59 simulations. Based on both observation and simulation technique, *Tian et al.* [19] have
 60 shown that the main impulse related FACs are correlated with the large-scale flow
 61 vortex in the dayside magnetosphere, *Zhao et al.* [20] have reported a vortex's
 62 formation and propagation down the magnetotail after solar wind dynamic pressure
 63 decrease. Besides, most of the substorm models take the formation of flow vorticity

64 and associated FAC generation into consideration, and numerical simulations also
65 allow for the vortex mechanism operating in the magnetotail.

66 Since the first identification of FACs, a vast number of studies have been
67 reported, among them, most are based on single-spacecraft or surface
68 observations^[21,22] However, FACs have seldom been directly spatially measured,
69 although their physical characteristics have been extensively studied^[23,24], especially
70 during substorms, FACs would be more complicated near the night side. One way to
71 solve these problems at higher magnetospheric distances is using multi-spacecraft
72 analysis^[25,26].

73 In this paper, we report FACs observations using the MMS spacecraft^[27] and
74 the Swarm^[28] satellite. We calculate the magnetotail current density and vorticity at
75 the MMS center using Magnetic Rotation Analysis (MRA)^[29,30]. By analyzing and
76 contrasting the characteristics of R1 type FACs, magnetotail FACs, and vortex
77 motions, we investigate the origin and evolution of FACs. Geocentric Solar
78 Magnetic (GSM) coordinates system is used throughout this study expect special
79 remarks.

80 **1 Case Studies**

81 In this section, two moderate substorm events will be investigated using
82 measurements from four MMS satellites that were near the equatorial plane in a
83 tetrahedral configuration. Figure 1(a) and 1(b) show their projected locations on the
84 X-Y plane. During both substorm events, the four MMS satellites were located near
85 the midnight region, at $\sim 11 R_E$ (where R_E is the Earth radius, 6371km) tailward from

86 Earth. Figure 1(c) shows variations of AE and AL indexes from 07:00 to 10:00 UT
87 on 01 July 2016, and the Magnetic Local Time (MLT) interval is from 23.27 to 23.83.
88 Both AE and AL indexes varied dramatically at 08:24 UT, which indicates the
89 beginning of the expansion phase. The AE index reached a maximum value of 681nT
90 while the AL index reached a minimum value of -479 nT at 08:56 UT, then these
91 two indices declined. Figure 1(d) shows the evolution of the second substorm event
92 from 05:00 to 08:00 UT on 14 July 2016, and the MLT interval is 22.40 to 23.00.
93 The maximum value of the AE index is 1003nT and the minimum value of the AL
94 index is -709 nT.

95 Figure 2(a) shows the magnetic field disturbance observed by the MMS1
96 spacecraft during 08:30 to 09:00 UT on 01 July 2016, the data are from the fluxgate
97 magnetometer^[31], and Figure 2(b) shows the magnetic field disturbance during the
98 other event. Owing to the small distance separating the four MMS satellites, the
99 magnetic field of each component and the total value are almost identical in size and
100 trends in four satellites.

101 The four MMS spacecraft were launched on 12 March 2015 to explore the
102 microphysics of magnetic reconnection. They travel in two highly elliptical Earth
103 orbits. Each orbit is designed to pass through two separate areas of magnetic
104 reconnection in near-Earth space. During mission operations, the MMS spacecraft
105 form adjustable tetrahedral configurations, and their instruments can yield scientific
106 data of unprecedented high precision and resolution.

107 The three Swarm spacecraft were launched on 22 November 2013 and placed

108 into circular, low-Earth polar orbits since the start of science operations on 17 April
109 2014. The Swarm B flies at a relatively drifting orbit, at a mean high-latitude altitude
110 of ~531km, with an orbital period of ~95 minutes. The Swarm mission provides the
111 first global dataset representation of geomagnetic field variations on time scales from
112 an hour to several years.

113 **2.1. Case 1**

114 In Figures 3(a), we depict the magnetotail current density in the local natural
115 coordinates at the center of the MMS tetrahedron from 08:43 to 08:49 UT obtained
116 via the MRA techniques, the red line represents the field-aligned component. The
117 maximum value of current density is about 40nA/m^2 in the yellow shaded portion
118 (from 08:45:50 to 08:46:50 UT), the currents flow down toward the Earth, the
119 shaded region represents the time corresponding to Earthward plasma flow. In Figure
120 3b, we estimated the current density in unit magnetic flux, using the formula $J =$
121 j/B , in units of $\text{A} \cdot \text{W}^{-1}$, the value increased up to $\sim 1\text{A} \cdot \text{W}^{-1}$ at 08:46:23 UT.

122 This observational event occurred in the first stage of MMS operations, during
123 which some instruments were not working during magnetotail crossing, thus particle
124 data were not available. On this account, we infer the flow from electric drift
125 measurements, using the slow-flow approximation plasma drift results from electric
126 drift: $\mathbf{V}_E = \mathbf{E} \times \mathbf{B}/B^2$ ^[32-33]. The DC-coupled electric field data are from the Electric
127 Double Probes (EDP)^[34-36]. Figure 3(c)~(e) show the electric drift velocity calculated
128 by using the magnetic and electric fields. The speed shear was existed in the Y
129 direction throughout the observation, however did not in in the X and Z directions,

130 *Kepko et al.*^[37] suggested that the speed shear on the both dusk and morning sides is
 131 the primary source of the currents driving the substorm current wedge. It is now well
 132 established that the onset of the substorms expansion phase is associated with plasma
 133 sheet flow velocity^[38-40]. In this event, all three velocity components increased
 134 rapidly by 08:46:00 UT, the V_x rose to 200km/s, the value of V_y increased up to
 135 600km/s, and V_z increased to 300km/s, which indicated energetic particles flow
 136 toward the Earth, and then the components decreased to near zero at 08:46:15 UT,
 137 and then appeared vortex motion.

138 Base on *Keiling et al.*,^[12] and *Lui et al.*,^[41],

$$139 \quad j_{\parallel} \approx 2 \cdot \left(\frac{nm_H}{B_{eq.avg}} \right) \left(\frac{v_{final}}{\tau} \right) \left(\frac{L}{r} \right), \quad (3)$$

140 Where $B_{eq.avg}$ is the value of the equatorial magnetic field averaged over the four
 141 satellites, v_{final} is the rotational vortex speed averaged over the four spacecraft, τ
 142 is the time scale of the vorticity change, L is the plasma sheet thickness and r is the
 143 scale of the vortex. Using $n \approx 1 \cdot \text{cm}^{-3}$, $B_{eq.avg} \approx 40\text{nT}$, $v_{final} \approx 700\text{km} \cdot \text{s}^{-1}$, $\tau =$
 144 40s , $L = 1R_E$ and $r = 1R_E$, we obtained a downward current density in the
 145 equatorial region of approximately $1.47\text{nA} \cdot \text{m}^{-2}$, which is much smaller than the
 146 measured data. The above calculation used the assumption of a solid-body rotation
 147 for the vortex, however, we found pulsed vorticity existed in the event. Figure 3(f)
 148 shows the value of vorticity calculated via the MRA in the local natural coordinates,
 149 several transient vortices formed during the period. The tendency of vorticity along
 150 field-aligned direction (Ω_B) had a correspondence to the FACs and in opposite
 151 direction. At 08:46:15 UT, the value of Ω_B increased to 27s^{-1} when particle speed

152 descended. We assumed that the individual vortex each generate a small current
153 wedge, and collectively cause a downward/upward FACs, which should be a much
154 more complicated system.

155 **2.2. Case 2**

156 Figures 4 is an overview of current density, electric drift velocity and vorticity
157 in the same format as in Figure 3, the yellow shaded portion period is from 06:06:30
158 to 06:11:30 UT. During the period, the maximum value of current density is about
159 $30\text{nA} \cdot \text{m}^{-2}$, and the maximum magnitude of current density in unit magnetic flux is
160 $\sim 1\text{A} \cdot \text{W}^{-1}$. Again, we used electric drift velocity, what is different from the former
161 is all three components existed speed shear. During the yellow shaded period, we
162 distinguished 5 main Earthward flows, and the maximal downward current density
163 we obtained was approximately $4.24\text{nA} \cdot \text{m}^{-2}$ using the assumption of a solid-body
164 rotation, we did notice that the boosting of the FACs along with the pulsed vorticities
165 emerging, and the maximum value of Ω_B is about 25 s^{-1} .

166

167 **2.3. Swarm observation**

168 Figure 5(c) is the current density of R1 type FACs variation during the
169 substorm interval on 01 July 2016 observed by Swarm B, and the maximum value is
170 about $4.3\mu\text{A} \cdot \text{m}^{-2}$ at 02.75 MLT, 14 seconds after the peak value of magnetotail
171 FACs in Figure 5(a). Figure 5(d) shows the R1 type current density in unit flux, and
172 the number increased to $0.1\text{A} \cdot \text{W}_b^{-1}$ at 08:46:37 UT. Both currents were flowed
173 into the ionosphere and existed an association, but differ by a factor of 10. Assume

174 the R1 type FACs are range from $4\mu\text{A} \cdot \text{m}^{-2}$ to $20\mu\text{A} \cdot \text{m}^{-2}$, which have an average
175 value of $\sim 2.2\mu\text{A} \cdot \text{m}^{-2}$ [42,43], and the current density in unit magnetic flux is
176 $0.1\sim 0.4\text{A} \cdot W_b^{-1}$. From the perspective of value, magnetotail FACs are enough to
177 drive R1 type FACs.

178 The MMS and Swarm satellites are independent detection plans and serve for
179 different scientific objectives, so it is difficult for them to have perfect cooperation in
180 the joint observation. In this substorm event, the MMS and Swarm satellites were
181 not exactly in the same magnetic flux tube, although their magnetic local time and
182 latitude were close. We believe that FACs in the magnetotail and polar regions are
183 coupled in the event, but the timing issue of a substorm on the minute scale could
184 not be analyzed and is not the purpose of this work. However, the generation
185 mechanism of substorm can be our future research, and we hope to find better
186 observation events.

187 **2 Summary and Discussion**

188 In this paper, by analyzing two medium substorm events, we investigated and
189 analyzed the characteristics of FACs, obtaining some new clues. We calculated the
190 magnetotail current density and current density in unit magnetic flux at the center of
191 four MMS satellites via MRA. In addition, to study the relationship between FACs
192 and vortex flow, we computed the vorticity.

193 Downward (upward) FACs in the magnetotail produced at the stopping point,
194 after particle speed increased rapidly. For case 1, a main process of particle speed
195 ascending and then descending is observed, the maximum of the current density at

196 the center of MMS is about $40\text{nA} \cdot \text{m}^{-2}$, and the current density in unit magnetic
197 flux is $\sim 1\text{A} \cdot \text{W}^{-1}$. The maximum value for case 2 is about $25\text{nA} \cdot \text{m}^{-2}$, and $\sim 1\text{A} \cdot$
198 W^{-1} with several velocity ascending and descending processes. For both cases, the
199 maximum value of Ω_B is about 25s^{-1} . Multiple individual clockwise
200 (counter-clockwise) flow vortex is observed in the substorm expansion phase,
201 associated with the intensifying of current density. The Ω_B has a pulsed uplift at
202 some moments, means some transient vortex are formed in the equatorial plane, and
203 has an opposite direction to the magnetotail FACs, which means clockwise vortex
204 corresponds to downward FACs, and vice versa. We assumed that each vortex can
205 generate a small current wedge, and collectively cause a downward/upward FACs.

206 For the first substorm event, the R1 type FACs are also studied, the current with
207 a maximum value of $4.3\mu\text{A} \cdot \text{m}^{-2}$ and $0.1\text{A} \cdot \text{W}^{-1}$, and had an association with the
208 magnetotail FACs. It is noteworthy that the event is moderate with the peak of
209 the AE index reaching 681nT.

210 The generation mechanism of the SCW is an unsolved mystery, we have found
211 that pulsed vortex is relevant, so quantifying the contribution of a pulsed vortex to
212 the FACs will be the topic in our future studies.

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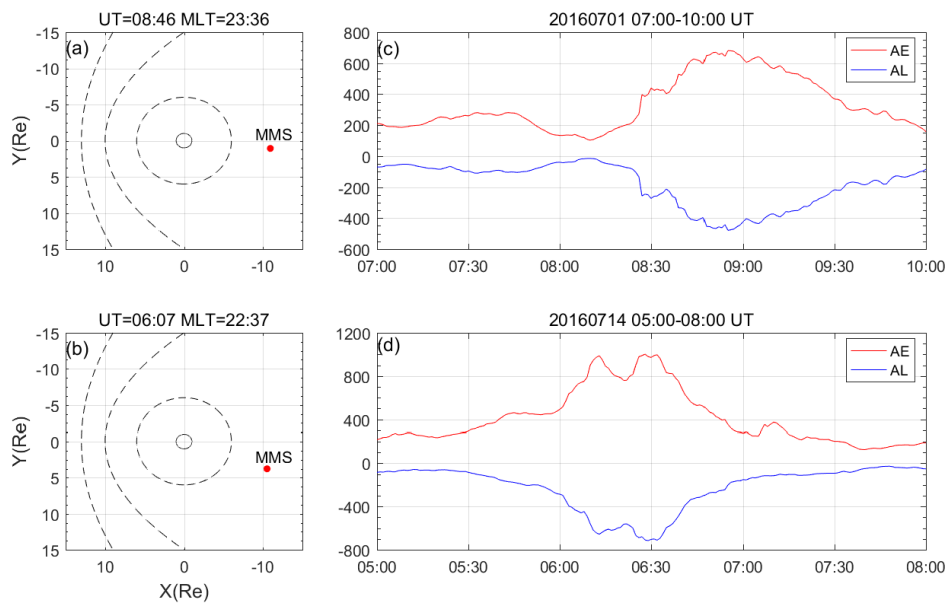
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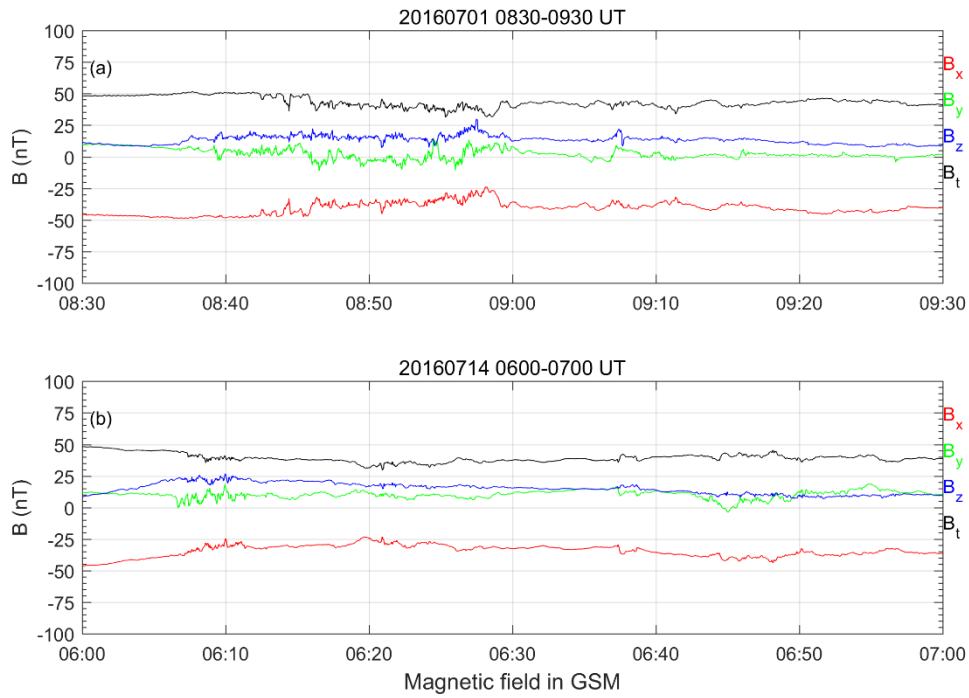
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354 Figure captions



355

356 Figure 1. Locations of MMS at (a) 01 July 2017 08:46 UT and (b) 14 July 2016 06:07
357 UT in the X–Y plane, variations of AE index (red line) and AL index (blue line)
358 from (c) 07:00 to 10:00 UT on 01 July 2016 and (d) 05:00 to 08:00 UT on 14 July
359 2016.

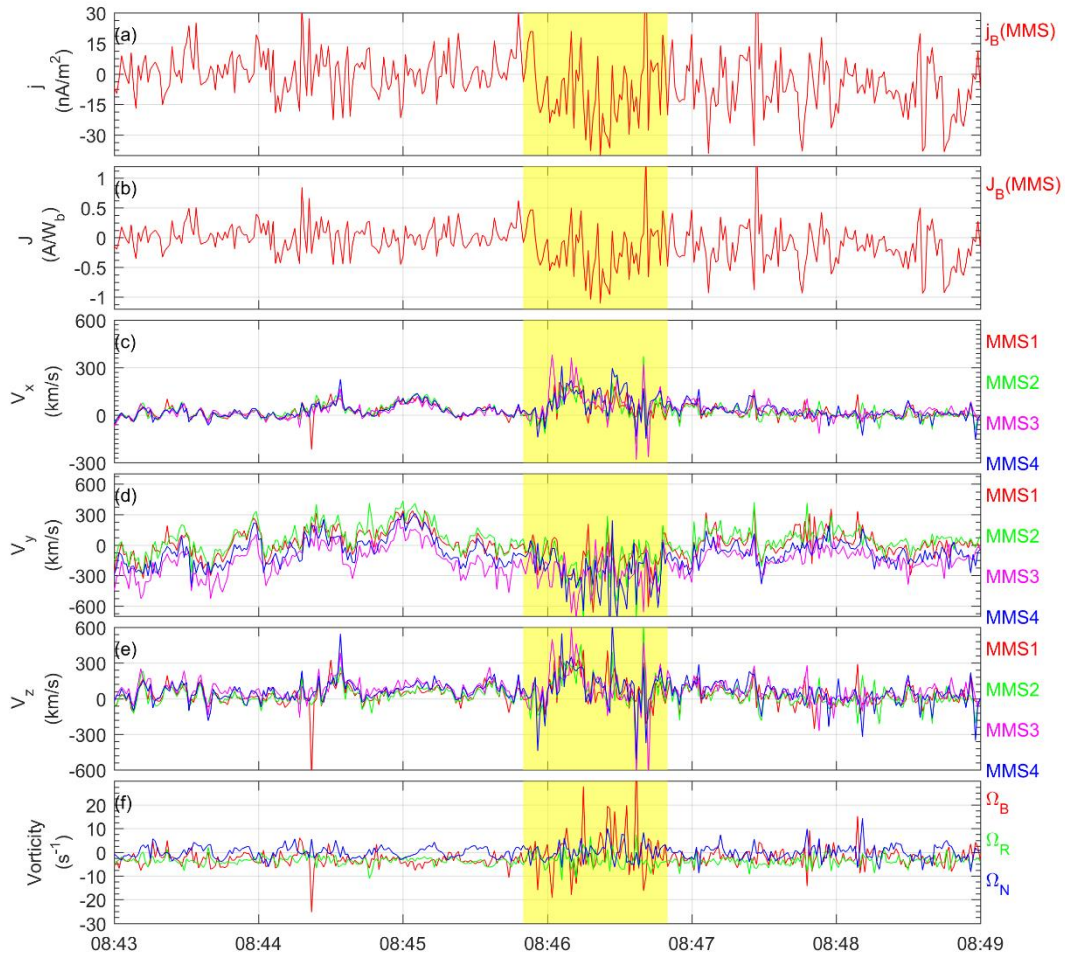


360

361 Figure 2. Overview of the magnetic field observed by MMS1 from (a) 08:30 to 09:00

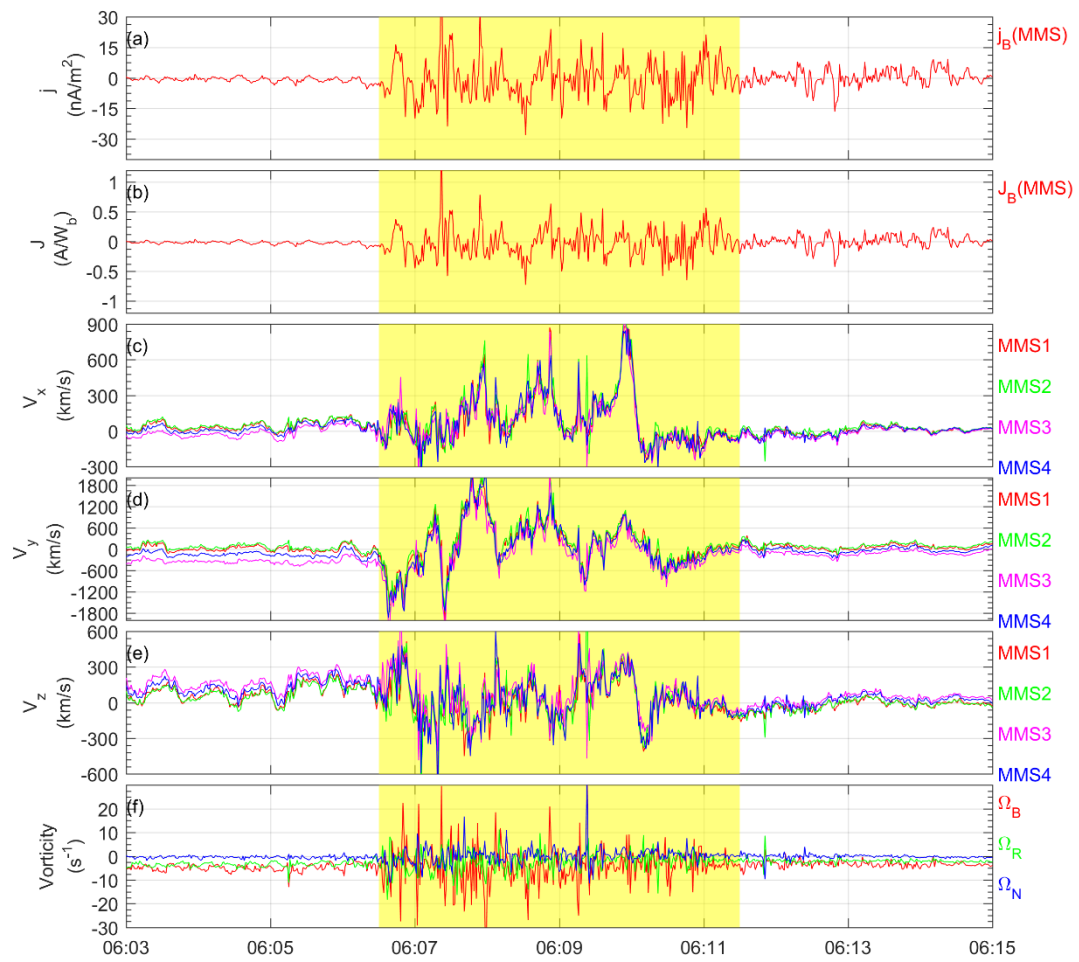
362 UT on 01 July 2016 and (b) 06:00 to 07:00 UT on 14 July 2016. The red, green, blue,

363 and black lines in the figure represent B_x , B_y , B_z and total magnetic field.



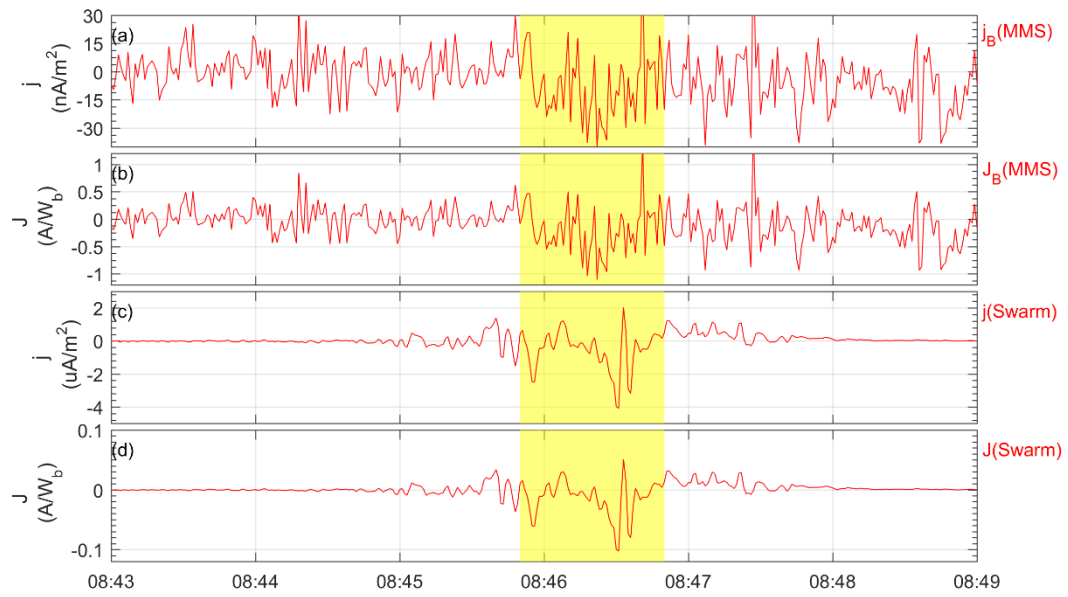
364

365 Figure 3. The substorm event on 1 July 2016, (a) the field-aligned component of
 366 current density at the center of MMS in the local natural coordinates, (b) unit
 367 magnetic flux in magnetotail FACs at the center in MMS in the local coordinate
 368 system, drift velocity ((c) V_x , (d) V_y , and (e) V_z) in the GSM coordinate system,
 369 (f) vorticity at the center of MMS in the local natural coordinates. The shaded region
 370 represents the time corresponding to Earthward plasma flow.



371

372 Figure 4. Overview of 14 July 2016 substorm in the same format as in Figure 3.



373

374 Figure 5. FACs observed by satellites for case 1, (a) the magnetotail current density,

375 (b) the magnetotail FACs in unit magnetic flux, (c) the R1 type current density, (d)

376 the R1 type FACs in unit magnetic flux.