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# <sup>1</sup> On the amplitude of dynamic topography at spherical harmonic degree two

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#### 6 Abstract

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Two large, seismically slow regions in the lower mantle beneath Africa and the Pacific Ocean are some-7 times referred to as "superplumes". This name evokes images of large-scale active upwellings. However, it 8 remains unclear whether these features are real or represent collections of multiple regular mantle plumes. 9 Here, we investigate the implications of these upwellings for dynamic topography. We combine detailed mea-10 surements of oceanic residual topography from Hoggard et al. (2016) with continental constraints derived 11 from CRUST1.0 to produce a global model expanded in spherical harmonics. Observed dynamic topogra-12 phy is subsequently compared to predictions derived from mantle flow following Steinberger (2016) using 13 tomographic density models. Results yield relatively good overall agreement and amplitude spectra with 14 similar slopes, except for degree two (i.e. > 10,000 km wavelengths) where predicted amplitude is more 15 than two times as large and is dominated by contributions from the lower mantle. Predictive models suggest 16 two large-scale uplifted regions above the "superplumes" that are barely seen in the observed topography. 17 We suggest that this mismatch can only partly be reconciled by altering the seismic velocity to density 18 conversion factor or by including the effects of lower mantle chemical heterogeneity. In addition, it may be 19 important to consider more significant revisions to the lower mantle flow patterns, such as those possibly 20 induced by different radial viscosity profiles and laterally-varying or anisotropic lower mantle viscosity. 21 Keywords: dynamic topography, large-scale mantle structure, seismic tomography, mantle viscosity, 22

23 mantle convection, superplumes

# 24 1. Introduction

Earth's Large Low Shear Velocity Provinces (LLSVPs) are prominent and robust lower mantle features 25 that are consistently recovered in seismic tomography models (Dziewonski et al., 1977; Su and Dziewonski, 26 1991; Lekic et al., 2012). Located beneath Africa and the Pacific Ocean, these approximately antipodal 27 structures correspond to a prominent spherical harmonic degree two signal. They extend for several thousand 28 km laterally and probably several hundred km radially above the core-mantle boundary on average, in some 29 places perhaps even 1000-1500 km. Within them, shear velocities are reduced by more than 1 % below 30 average. Initially, these features were thought to represent purely thermal upwellings (e.g. Figure 4 of 31 Courtillot et al., 2003), which has led to them sometimes being referred to as "superplumes" (Dziewonski 32 et al., 2010). Although still debated, an alternative view has emerged that the LLSVPs are chemically 33 distinct piles that may be denser than surrounding and overlying mantle and remain stable in the lowermost 34 mantle as a result (Garnero et al., 2016). Supporting evidence for this viewpoint comes from seismology, 35

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with the anti-correlation of s-wave and bulk sound anomalies complementing requirements for excess density 36 from normal mode tomography (Su and Dziewonski, 1997; Masters et al., 2000; Ishii and Tromp, 2004) and 37 analysis of whole-Earth body tides independently providing evidence for increased density (Lau et al., 2017). 38 A chemical heterogeneity is also suggested by strong horizontal velocity gradients, often in excess of 0.2 % per 30 degree of arc near their edges, around the -1 % contour (Torsvik et al., 2006). Localized seismological studies 40 also show that LLSVPs have steep edges with strong horizontal gradients (e.g. Masters et al., 2000; Ni et al., 41 2002; Wang and Wen, 2004; To et al., 2005; Frost and Rost, 2014). Such interfaces may localize upwellings 42 arising from the core-mantle boundary (Steinberger and Torsvik, 2012), and indeed hotspot volcanism is 43 found to occur preferentially above the LLSVP margins (Thorne et al., 2004). A cartoon cross section from 44 this viewpoint is shown in Figure 5c of the paper by Torsvik in this issue – originally from Torsvik et al. 45 (2016). A review of LLSVPs is given by McNamara in this issue. 46

It is important to note that the dynamics of the LLSVPs are still debated. For example, some authors 47 have proposed that the LLSVPs may be clusters of poorly-resolved individual plumes (Lassak et al., 2010) 48 or part of larger layered thermochemical structures extending through the lower mantle (Ballmer et al., 49 2016). Some seismological studies have questioned a thermochemical explanation by suggesting that lower 50 mantle heterogeneity is best explained by thermal variations (Davies et al., 2012; Schuberth et al., 2012; 51 Koelemeijer et al., 2017). Other studies have used statistical analysis to interrogate the correlation between 52 LLSVP margin locations and volcanism (Austermann et al., 2014; Davies et al., 2015; Doubrovine et al., 53 2016). Geodynamic models have been used to examine the stability of the LLSVPs within the expected 54 background mantle flow field (Bull et al., 2014). Indeed, these questions relating to the nature and stability 55 of LLSVPs have been a recurring theme in the work of Trond Torsvik, to whom this volume is dedicated 56 (e.g. Torsvik et al., 2006, 2016). Together with Kevin Burke, Torsvik has shown that reconstructed positions 57 of Large Igneous Provinces (LIPs) that erupted in at least the past 200 Ma mostly coincide with present-day 58 LLSVP margins. An explanation for this discovery is that LIPs are caused by plumes rising from the edges 59 of LLSVPs, which implies relative stability of the LLSVP edges during this period. Such stability would be 60 challenging to explain if the LLSVPs were purely thermal anomalies. 61

Regardless of their composition, the close proximity of LLSVPs to the core over potentially long timescales 62 should elevate their temperatures. The surrounding mantle may therefore become hotter, less dense, and 63 more buoyant (e.g. Figure 4c of Torsvik et al., 2016). Such negative density anomalies, at least above if not 64 within the LLSVPs, have been invoked to explain the pattern of overlying geoid highs (Hager and Richards, 65 1989). In particular, if lower mantle viscosity is at least an order of magnitude higher than in the upper 66 mantle, the negative geoid contribution of mass deficiencies at depth is outweighed by the positive geoid 67 contribution associated with the upward deflection of the Earth's surface and core-mantle boundary by the 68 generated upwelling (Richards and Hager, 1984) (that is, the degree two geoid sensitivity kernels become 69 negative in the lower mantle (Hager et al., 1985)). An important consequence of this explanation is that 70 LLSVPs should be overlain by positive dynamic topography on the order of 1 km in amplitude. Indeed, 71 most geodynamic models of global mantle flow produce patterns of dynamic topography with long-wavelength 72 amplitudes of about 1-km or more (see Flament et al. (2013) for a review of such models). 73

<sup>74</sup> Is there independent evidence for such large amplitude dynamic topography at degree two? As far as

we know, the present answer is no, although some studies have noted elevated long-wavelength topography 75 in both the Pacific (McNutt, 1998) and Africa (Lithgow-Bertelloni and Silver, 1998). However, when one 76 carefully corrects global topography for isostatic compensation of crust and cooling lithosphere, the resulting 77 residual topography has a significantly smaller degree-two component compared to predictions from mantle 78 flow models (Steinberger, 2016; Hoggard et al., 2016). Here we quantify this discrepancy in order to address 79 this apparent paradox. We subsequently show that the lower mantle contribution to dynamic topography 80 can only be dominant at spherical harmonic degree-two. Therefore, topographic discrepancies relating to the 81 lower mantle are probably limited to degree-two. We will then consider the extent to which lateral viscosity 82 variations (Čadek and Fleitout, 2003; Ghosh et al., 2010) in the upper mantle might be responsible for the 83 over-predicting degree-two topography by geodynamic models (Flament et al., 2013; Conrad and Husson, 84 2009; Spasojevic and Gurnis, 2012). Finally, we discuss potential problems associated with other assumptions 85 made by predictive models, and how they may be rectified to resolve the degree-two discrepancy. 86

## 87 2. Methodology

We compare an observation-based model of dynamic topography (global topography minus a model for 88 isostatic topography) with a predictive model derived from a global mantle flow calculation. We note that 89 many earlier predictive models of dynamic topography did not consider the contribution of density anomalies 90 in the uppermost 220–350 km of the mantle (Flament et al., 2013). Topography at short wavelengths (high 91 spherical harmonic degrees) is mainly generated by features that are close to the surface and therefore 92 nearly fully isostatically compensated. In our model, we have chosen to include all velocity variations up 93 to the surface, except within the continental lithosphere shallower than 150 km. Our definition of dynamic 94 topography is therefore slightly different compared with previous studies. This difference is appropriate as 95 long as predicted dynamic topography and observation-based residual topography are derived in a mutually 96 consistent manner. 97

For our observation-based model of dynamic topography, we have combined the residual topography 98 shown in Hoggard et al. (2016) for ocean regions with residual topography based on the crustal thickness 99 and density model CRUST1.0 (Laske et al., 2013) for continental regions, where the residual topography 100 model is hence very similar to Steinberger (2016). We have chosen this combination in order to sidestep the 101 controversy surrounding the use of constant admittance to infer continental constraints directly from gravity 102 anomalies (e.g. Molnar et al., 2015; Colli et al., 2016; Yang and Gurnis, 2016). Both models are global, but 103 in the oceans, Hoggard's model is more advanced, as marine constraints are derived from a joint analysis 104 of  $\sim 2,000$  local, active source seismic experiments constraining sedimentary and crustal thickness, along 105 with a global database of ship-track bathymetry (Hoggard et al., 2017). We have expanded Hoggard et al. 106 (2016)'s residual topography to spherical harmonic degree 30 and divided by a factor 1.45 in order to convert 107 from water coverage to "beneath air". For the CRUST1.0 model (Laske et al., 2013), residual topography is 108 computed with the same correction for ocean floor subsidence with age and conversion to "beneath air" as 109 for Hoggard et al. (2016), a nominal age of 175 Ma for continental regions, and expanded to degree 31. The 110 nominal age for continents determines the relative elevation of oceans and continents after the correction 111

and does not imply that we assume all continental lithosphere is as thick as 175-Ma old oceanic lithosphere; 112 it is chosen so as to avoid sharp jumps at the transition from old ocean basins into continents. The global 113 mean value of both the Hoggard et al. (2016) and the CRUST1.0-based residual topography models is then 114 individually calculated and subtracted from these models, prior to their combination. The combined model 115 is expanded in spherical harmonics up to degree 63, and subsequently re-evaluated on a grid considering 116 degrees up to 31. Comparison of the topography pattern before and after combining the two separate 117 spherical harmonic expansions into one shows that the main result is to smooth out the continent-ocean 118 transition. The resulting residual topography map is shown in Figure 1a. 119

The predictive model of dynamic topography is driven by mantle density heterogeneity inferred from 120 seismic tomography and follows Steinberger (2016) in the case without lateral viscosity variations. Seismic 121 anomalies are directly scaled into temperature and density anomalies, except within continental lithosphere 122 above 150 km depth and within the LLSVPs. This thermal conversion follows Steinberger and Calderwood 123 (2006) where it has been derived from a compilation of mineral physics data. The conversion factor from 124 relative anomalies of seismic shear-wave velocity  $v_s$  to relative anomalies of density  $\rho$  stays close to  $\partial v_s/\partial \rho$ 125 =0.25 throughout most of the mantle, similar to results obtained by others (e.g. Karato, 1993). In reality, 126 the relation between seismic wavespeed and density is probably non-linear (Cammarano et al., 2003). For 127 simplicity, we have not considered these effects here. The predictive model yields a radial stress at the surface 128 that is converted to topography assuming air coverage, consistent with the residual topography model. 129

Our density model is derived from the SL2013sv seismic tomography of Schaeffer and Lebedev (2013) 130 above 200 km depth and a 2010 update of Grand (2002) below. The upper mantle-only, isotropic model 131 SL2013sv has comparatively high resolution due to inclusion of  $\sim 750,000$  seismograms and the use of funda-132 mental and higher mode surface waves alongside body waves. Its sub-plate seismic velocity variations have 133 been shown to correlate well with residual topography constraints (e.g. Richards et al., 2016; Hoggard et al., 134 2017). We combine it with the update of Grand (2002) below 200 km depth, because we require a density 135 model for the entire mantle, and this combination has been shown to yield a good fit with residual topog-136 raphy (Steinberger, 2016). We use the shallow tomographic constraints to produce lithospheric thickness 137 maps. Essentially, seismic velocity variations are first converted to temperature variations and subsequently 138 to absolute temperatures, assuming that the reference (zero-anomaly) seismic profile corresponds to a glob-139 ally averaged thermal and compositional boundary layer. The base of the lithosphere is then assigned to 140 a given constant temperature. Details of the procedure are described in Steinberger (2016). We assign a 141 constant density to continental lithosphere shallower than 150 km, tuned in order to minimise the r.m.s. 142 misfit between predicted and observed residual topography. This approach is a simple implementation of the 143 isopycnal hypothesis of Jordan (1988), which states that strong seismic velocity variations in the lithosphere 144 correspond to nearly zero density anomalies. Applying a direct thermal conversion to the entire continental 145 lithosphere would cause strongly negative dynamic topography due to the omission of depletion buoyancy 146 within continental lithosphere, which is inconsistent with observations. A large body of literature has since 147 discussed the relations between density, seismic velocity and composition within the continental lithosphere. 148 However, since this study is focused on contributions from the lower mantle, which – as we will show — 149 likely dominate the degree-2 component, it will not be discussed further here. 150

We adjust the tomography-based predictive model in several ways to allow for better comparisons to the 151 residual topography. We remove the dominant effect of ocean floor subsidence with age using Equation (5) 152 from Hoggard et al. (2016), which is based upon the age-depth trend of Crosby and McKenzie (2009). Sharp 153 jumps across the ocean-continent boundary are smoothed by assigning a nominal age value of 175 Ma to 154 continental regions. These are the same corrections used in calculation of the observed residual topography 155 model. Finally, for the case where LLSVPs are considered denser than the surrounding mantle, excess density 156 of +1.2% has been added wherever the shear-wave anomaly drops below -1% in the lowermost 300 km of 157 the mantle. 158

We test one prediction using purely radial viscosity variations along with a second version that allows for 159 lateral viscosity variations (LVV) above 300 km. These lateral variations of effective viscosity occur because 160 of temperature dependence, non-linear rheology and a reduced yield stress along plate boundaries. The 161 method and model parameters are fully described in Osei Tutu et al. (2017). Essentially, we use a coupled 162 code approach based on the instantaneous flow solutions computed with a modification of SLIM3D (Popov 163 and Sobolev, 2008) above 300 km depth, and the spherical harmonics approach below that. That is, above 164 300 km the effective viscosity results from a combination of diffusion and dislocation creep, employing an 165 Arrhenius temperature dependence law for both mechanisms. In the case of dislocation creep, we use a non-166 linear (power-law) relation between stress and strain rate, such that the effective viscosity also becomes strain 167 rate dependent. We consider plastic yielding and yield stresses that are reduced along plate boundaries, such 168 that the surface velocity field becomes approximately plate-like. See Popov and Sobolev (2008) for a more 169 detailed description of this rheological approach. To achieve a coupling of the codes, tractions due to density 170 anomalies below 300 km depth are computed with the spectral code and passed across the boundary at 171 300 km to the upper domain. Within the upper domain, flow velocities are then computed with SLIM3D 172 and passed back across the coupling boundary as an upper boundary condition to the spectral mantle code. 173 This procedure is iterated, until convergence has been achieved, i.e. the difference between two successive 174 iterations has become sufficiently small. For more details, see Osei Tutu et al. (2017). 175

The viscosity structure in the case with only radial viscosity variations is adopted from Steinberger (2016) 176 and similar to Steinberger and Calderwood (2006) where its derivation has been explained. Slight differences 177 arise because Steinberger (2016) also considers the misfit between predicted and observed topography during 178 the optimisation. The amplitude of computed dynamic topography is significantly larger than observed, but 179 can be reduced by decreasing asthenospheric viscosity whilst increasing the lower mantle viscosity. Optimal 180 root-mean-square fits to both geoid and topography (whereby a given weight is assigned to the individual 181 fit) occur with an asthenospheric viscosity of  $1.1 \cdot 10^{20}$  Pa s, about a factor 2.5 lower than in Steinberger and 182 Calderwood (2006). Predicted amplitudes of dynamic topography can be further reduced to better match 183 observations with even lower asthenospheric viscosity. However, this improvement comes at the expense of 184 deteriorating fits to the geoid. Finally, in the scenario including LVV above 300 km (Osei Tutu et al., 2017; 185 Osei Tutu et al.), we consider plastic yielding with friction coefficient 0.5 in plate interiors and yield stresses 186 that are reduced along plate boundaries, with friction coefficient 0.03, such that the surface velocity field 187 becomes approximately plate-like. We set a minimum viscosity cutoff  $10^{18}$  Pa s within the asthenosphere 188 and maximum viscosity of  $10^{24}$  Pa s in the lithosphere. The viscosity model of Steinberger and Calderwood 189

	$\operatorname{corr}$		ratio	
	l = 1 - 12	l = 1 - 31	l = 1 - 12	l = 1 - 31
global, no LVV	0.61	0.57	1.18	1.22
oceans, no LVV	0.60	0.57	1.89	2.09
continents, no LVV	0.66	0.64	0.93	0.91
global, LVV	0.57	0.53	1.67	1.59
oceans, LVV	0.53	0.46	2.40	2.32
continents, LVV	0.61	0.58	1.45	1.37

Table 1: Correlations and amplitude ratios between dynamic and residual topography models

<sup>190</sup> (2006) is adopted below 300 km depth in this case.

#### <sup>191</sup> 3. Results

Figure 1b shows predicted dynamic topography for purely radial viscosity variations. Correlations and 192 amplitude ratios between residual topography (Figure 1a) and dynamic topography (Figure 1b and c), 193 globally or for oceans or continents alone, with or without LVV, are given in Table 1. Throughout the 194 discussion, values for l = 1-31 will be followed by values for l = 1-12 in parentheses. Results are similar to 195 what Steinberger (2016) found except that the correlation in the oceans has noticeably improved. However, 196 the r.m.s. amplitude ratio in the oceans has now increased, due to the lower magnitudes of oceanic dynamic 197 topography in the Hoggard et al. (2016) model. If we instead use the full observation-based model of Hoggard 198 et al. (2016), global correlations reduce to 0.46 (0.48), dominated by poor fits to continental regions of only 199 0.34 (0.34). We will therefore proceed with our new, combined model of observed residual topography. Our 200 results also stand up well to a visual comparison, with many similar oceanic and continental features present 201 in panels 1a and 1b. However, oceanic variations have much lower amplitude in the observation model 202 compared to the predictions. This discrepancy was also pointed out by Hoggard et al. (2016). 203

One possible explanation for this discrepancy could be the omission of lateral viscosity variations. For example, lower viscosity asthenosphere in the oceans may encourage lateral flow and divergence of upwellings, decoupling deep mantle flow from the lithosphere and reducing dynamic topography. Figure 1c shows predicted dynamic topography when LVV above 300 km depth have been included. The principal difference with Figure 1b is that amplitudes of continental dynamic topography are increased. This effect occurs because continental lithosphere ends up with a comparatively stronger rheology, which efficiently couples density anomalies in the upper mantle to the surface.

In order to partly compensate for this effect and re-calibrate the fit with residual topography, density depletion in the continental lithosphere above 150 km has been changed to -0.6%. However, r.m.s. topography amplitudes are still 37% (45%) too high in the continents following this correction, with correlation of 0.58 (0.61). In the oceans, r.m.s. amplitudes of topography are now a factor 2.32 (2.40) too large, with correlation of 0.46 (0.53). The global correlation is 0.53 (0.57), and the r.m.s. amplitude of the mantle-flow derived dynamic topography is 59% (67%) too high. We attribute these increased amplitudes to the fact

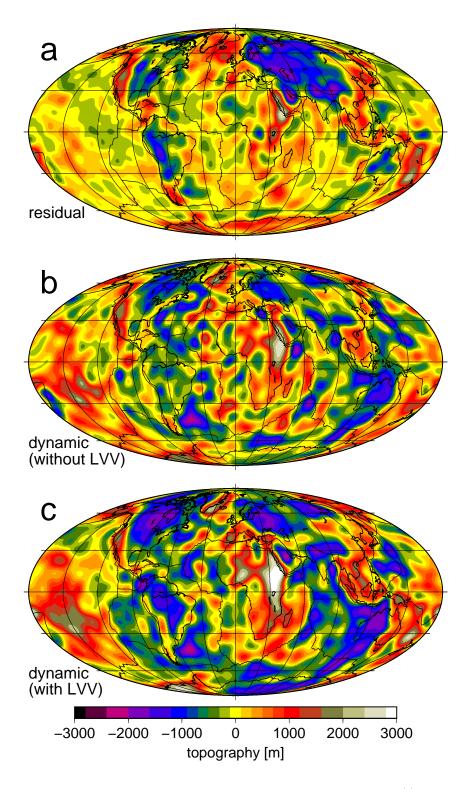


Figure 1: Maps of observation-based and predicted dynamic topography. (a) Computed residual topography based upon marine constraints from Hoggard et al. (2016) and continental constraints following Steinberger (2016). Minor deviations from the Steinberger (2016) reference model arise due to adoption of an alternative age-depth trend and use of a density change of  $\delta \rho_l = -0.25\%$  for continental lithosphere shallower than 150 km. (b) Predicted dynamic topography using a purely radial viscosity profile with density anomalies generated from SL2013sv tomography model above 200 km and TX2011 below (Schaeffer and Lebedev, 2013; Grand, 2002). (c) Version that also includes the effect of lateral viscosity variations above 300 km (Osei Tutu et al., 2017). All maps are expanded to maximum spherical harmonic degree  $l_{max} = 31$ . For dynamic topography computations, stresses are converted to topography "beneath air" and accordingly oceanic residual topography is divided by a factor 1.45 for consistency.

that a lithosphere with strong plate interiors and weak plate boundaries yields larger dynamic topography variations than appropriate constant lithospheric viscosity (as in the case without LVV). Steinberger (2016) found an  $\sim 20\%$  increase for models with prescribed plate motions. In our model with LVV, the plates move freely in response to forces acting upon them. However, given the good match between our predicted plate velocities and those observed (Osei Tutu et al.), dynamic topography is similar with prescribed plate motions and with plates moving freely.

In the oceans, the effect of plate-like velocities amplifying predicted dynamic topography is partly compensated by the lower viscosity asthenosphere and poor coupling to deep mantle flow. The rheological model used by Osei Tutu et al. (2017) gives an effective viscosity of oceanic asthenosphere of ~  $10^{19}$  Pa s, which is only moderately reduced compared to the reference case without LVV of  $1.1 \cdot 10^{20}$  Pa s. The resulting reduction in dynamic topography is estimated to be only 6-7% (see Figure 9 of Steinberger, 2016). The interaction of these two opposing effects therefore slightly increases r.m.s. amplitudes of predicted dynamic topography, even in the oceanic realm.

We have shown that introducing LVV can make the r.m.s. amplitude excess more similar between oceanic 230 and continental regions. However, LVV does not remove the degree-two discrepancy, and so we will continue 231 with the purely radial model for simplicity. The amplitude spectra of observed residual topography and 232 predicted dynamic topography are shown in Figure 2a. The largest mismatch occurs at degree-two where 233 predictions have more than twice the amplitude of observations. Amplitude is also too high for  $l \geq 6$ , 234 although this appears to be specific to our particular SL2013sv + TX2011 density model. We note that a 235 density model based upon SAVANI (Auer et al., 2014) yields amplitude more closely matching observations 236 for l = 3 to 5 and most degrees l > 15, with a similar match for most other degrees and similar correlation 237 (Figure 2b), but fits the geoid less well (Steinberger, 2016). Similar amplitude but poorer correlation is 238 observed for other tomography models (see Figure 10b in Steinberger, 2016). 239

The slope of l > 2 amplitude spectra for the predictive models shown in Figure 1 and Steinberger 240 (2016) are similar to those of the observation-based model. These slopes are considerably shallower than 241 the five predictive models shown in Figure 5b of Hoggard et al. (2016). This improvement is a direct 242 consequence of including density anomalies right up to the surface and the improved resolution of modern, 243 upper mantle tomography models. Our preferred SL2013sv + TX2011 reference prediction provides relatively 244 high correlation with observed residual topography, whilst simultaneously matching observed geoid anomalies 245 (Steinberger, 2016). However, in contrast to higher degrees, the amplitude discrepancy at l = 2 occurs for 246 all tomography models tested by Steinberger (2016). This reflects the fact that long-wavelength mantle 247 structure is more robustly imaged and therefore similar between models. 248

To further pin down the source of this discrepancy, the contributions of the upper and lower mantle on either side of 660 km are considered separately (Figure 3). The lower mantle signal is dominated by degree-two. Highs occur above the African and Pacific LLSVPs with lows in the Americas and in southeast Asia where most recent subduction is concentrated. In contrast, all smaller-scale dynamic topography is generated within the upper mantle.

This visual impression is confirmed when we look at spectral amplitude for the upper and lower mantle in isolation (Figure 4). The lower mantle dominates for degree-two whilst the upper mantle provides a larger

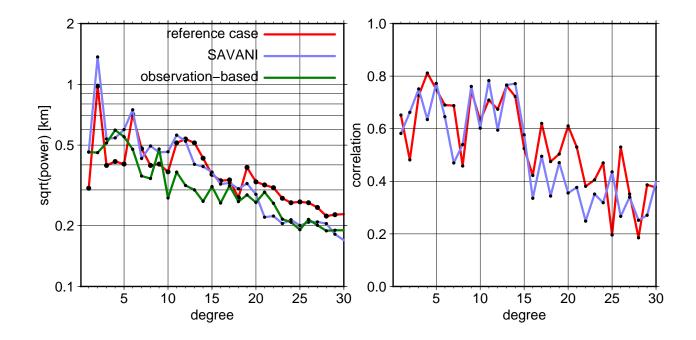


Figure 2: Spectral analysis of dynamic topography. (a) Amplitude (square root of power) spectra of our observation-based model and predictions calculated using purely radial viscosity variations and density models derived from either SL2013sv + TX2011 or SAVANI tomography. SAVANI prediction is similar to Steinberger (2016), but with  $\delta \rho_l = -0.3\%$  continental lithospheric density reduction above 150 km. (b) Correlation between predicted dynamic topography and observed residual topography.

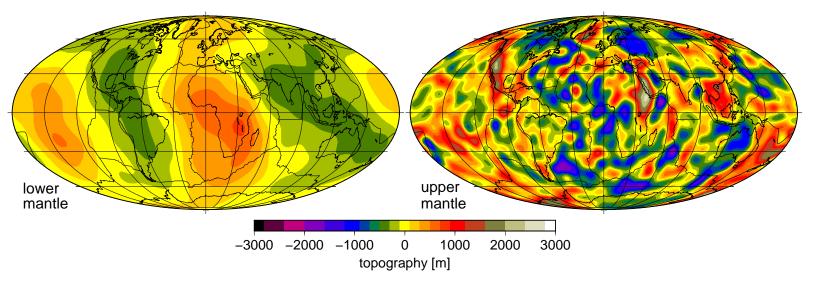


Figure 3: Contribution to predicted dynamic topography from (a) the lower mantle beneath 660 km and (b) the upper mantle for the SL2013sv + TX2011 model with no LVV (Figure 1b).

contribution for all other degrees. In particular, the upper mantle contribution exceeds that of the lower mantle by at least a factor 6 in amplitude for all  $l \ge 6$ . We therefore infer that there are likely to be different reasons causing the  $l \ge 6$  discrepancy between predictions and observations versus those at l = 2. The former appears to be related to anomalies in the upper mantle, whilst the latter relates to contributions from the lower mantle.

In our preferred SL2013sv + TX2011 reference prediction, we already consider that LLSVPs may be

compositionally distinct. However, our +1.2% density increase only results in an  $\sim 2\%$  reduction in the 262 amplitude of dynamic topography (Steinberger, 2016). At degree 2, the amplitude is reduced by 10 %. We 263 can assume that the volume of these piles is potentially much larger, or even create an extreme scenario 264 whereby all of the low-density mass deficiencies (inferred from slow shear-wave velocities) in the lower mantle 265 are set to zero. However, the degree-two contribution is still over-predicted due to the effects of fast shear-266 wave velocities and excess mass related to slabs that surround LLSVP locations. In essence, Figure 4 267 illustrates that the correct amount of degree two amplitude can be obtained without any contribution from 268 the lower mantle. 269

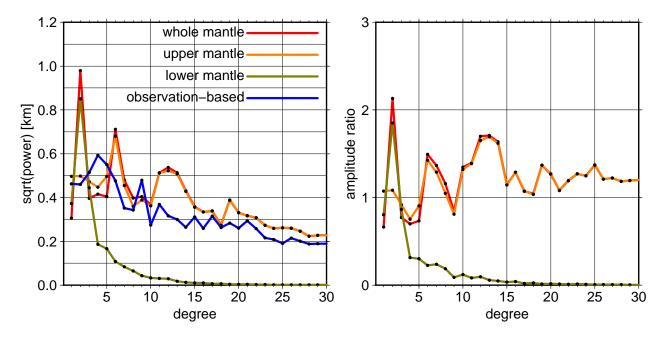


Figure 4: (a) Amplitude (square root of power) spectra as in Figure 2a, including the separate contributions of upper and lower mantle shown in Figure 3. (b) Amplitude ratio for each component relative to the observation-based residual topography model.

### 270 4. Discussion

The amplitude of dynamic topography supported by mantle flow driven by tomographically-constrained 271 density heterogeneity is greater than the amplitude of residual topography inferred from observation. This 272 descripancy is dominated by overly-large dynamic topography predicted for degree-2, which is more than 273 twice the amplitude inferred from residual topography and arises from flow driven by lower mantle density 274 heterogeneity. Our preferred predictive flow model, which uses densities derived from the SL2013sv and 275 TX2011 tomography models (Schaeffer and Lebedev, 2013; Grand, 2002), also overpredicts dynamic topog-276 raphy amplitudes for degrees  $l \ge 6$  (wavelengths shorter than  $\sim 7,300$  km), which arise from upper mantle 277 flow, but only by about 40% and with an amplitude spectra slope that approximately matches that for 278 residual topography (Figure 2 a). This similar slope is achieved because density anomalies are considered up 279 to the surface. If they were removed in the uppermost mantle, the slope for the predicted dynamic topog-280 raphy would be steeper (see e.g. Figure 5 b in Hoggard et al., 2016). Other tomography models (Figure 2 281

a, see also Figure 10 b of Steinberger (2016)) yield lower amplitudes for degrees  $l \ge 15$ , but exhibit poorer 282 correlations to observed residual topography or geoid anomalies. However, an over-prediction of amplitude 283 for degrees 6 to 14, with the notable exception of degree 9, appears to be a more general outcome for different 284 tomography models. The resolution of seismic tomography models has steadily increased as larger datasets 285 and more intensive computational techniques have become available. Further improvements might lead to 286 an upper mantle density model that yields improved fit for  $l \ge 6$  dynamic topography in terms of both 287 pattern and amplitude. However, given that degree-two structure has been consistently imaged for many 288 years, it remains unlikely that the degree-two mismatch will be resolved purely using newer generations of 289 tomography models. 290

Which other factors could cause this degree-two discrepancy? Perhaps the simplest answer would be 291 that observation-based estimates of residual topography are too low. This option has been suspected by 292 Yang and Gurnis (2016) and partly attributed to Hoggard et al. (2016) using free-air gravity anomalies to 293 infer dynamic topography in continental regions. Indeed, the use of a constant admittance value is an area 294 of ongoing debate (e.g. Molnar et al., 2015; Colli et al., 2016). In order to address this issue, we combined 295 the Hoggard et al. (2016) model from the oceans, where it is free from gravity-derived constraints, with 296 continental estimates calculated using CRUST1.0. We find that this omission of gravity data still results 297 in a large mismatch at degree-two. A similar discrepancy also occurs for a model derived purely from 298 CRUST1.0 (see Figure 10 b in Steinberger, 2016). In fact, the two separate residual topography models have 299 a high correlation of 0.71 (0.78) in the oceans, but the Hoggard et al. (2016) model has a 20% (23%) lower 300 amplitude. Also, visual comparison of models reviewed by Flament et al. (2013) shows a degree-two signal 301 clearly visible in dynamic topography predictions, while it can be hardly seen for the residual topography 302 models reviewed by that study. Hence, the discrepancy has been aggravated in the Hoggard et al. (2016) 303 model, but also occurs in less sophisticated models for residual topography. 304

If the observation-based estimates of small-amplitude degree-2 dynamic topography remain robust, then 305 we must alternatively look for explanations for why the amplitudes of dynamic topography predicted by 306 global flow models remain too large. For example, we have tested the effects of lateral viscosity variations 307 in the upper mantle. However, we find that the general characteristics of predicted dynamic topography 308 remain similar to the radially-varying viscosity case, and the fit to observed residual topography and gooid 309 variations is not improved. Some improvement of fit for LVV might be achieved by fine-tuning some of the 310 rheological properties, but has not been attempted here. Given the preliminary results in this study, we do 311 not expect such an effort to result in substantial improvements to the degree-two discrepancy. 312

Strong lateral variations in rheology deeper than 300 km may also influence the pattern of dynamic 313 topography. For example, the recent BEAMS hypothesis of Ballmer et al. (2017) states that there are strong 314 silica-enriched domains in the Earth's lower mantle that essentially do not participate in whole-mantle 315 convection. Sinking slabs and rising plumes are focused into narrow regions between the BEAMS, thus 316 altering the planform of convection. An alternative mechanism that may limit upwellings and downwellings 317 to narrow zones is anisotropic viscosity (Wheeler, 2010). Regions with large density anomalies and associated 318 stresses can concentrate deformation. Shear along these zones can cause alignment of the fabric and planes 319 of weakness that are subsequently re-exploited, thereby localising convective flow. Both of these mechanisms 320

may substantially alter the pattern of mantle convection. However, direct consequences for degree-two dynamic topography are harder to estimate because they depend upon the spatial location of concentrated flow. Degree-two amplitudes may even be enhanced if slabs preferentially sink along the Pacific Ring of Fire, or plumes preferentially cluster over LLSVPs.

An alternative explanation might be to alter the radial viscosity profile of the mantle in order to reduce 325 degree-two dynamic topography. Viscosity variations within the mantle remain poorly constrained and 326 a wide range of possibilities have been published, even within the last couple of years (e.g. Justo et al., 327 2015; Marquardt and Miyagi, 2015; Rudolph et al., 2015; King, 2016; Lau et al., 2016; Liu and Zhong, 328 2016). Importantly, altering the viscosity structure too severely is likely to erode the quality of fit to geoid 329 anomalies. Dynamic topography at the surface is a significant contribution to the gooid. It therefore remains 330 to be seen whether altering the radial viscosity profile can substantially reduce the degree-two discrepancy 331 whilst simultaneously preserving a good good fit. 332

An obvious way to alter the discrepancy would be to reduce the scaling from seismic velocity to density 333 anomalies in the lower mantle. For example, additional positive density could also be assigned to seismically 334 slow and presumably hot regions of the mantle to simulate the possible effects of chemical heterogeneity. As 335 has been pointed out by Guerri et al. (2016), considering chemical heterogeneities in correspondence with the 336 lower mantle LLSVPs helps to decrease the peak-to-peak amplitudes of dynamic topography and geoid, but 337 significantly reduces the correlation between synthetic and observed geoid. However, a significant reduction 338 of density heterogeneity throughout the lower mantle would be required to decrease the predicted degree-two 339 dynamic topography sufficiently. This is because the amplitude of degree-two dynamic topography is rather 340 insensitive to density heterogeneity near the LLSVPs (the dynamic topography kernel is close to zero in the 341 lowermost mantle). Furthermore, the required reduction in dynamic topography amplitude by more than a 342 factor of 2 (Fig. 4a) means that even the assignment of zero density anomaly to all low-velocity anomalies 343 in the lower mantle would still produce dynamic topography degree-two amplitudes that are too large; the 344 over-prediction would be reduced by about half, but in order to completely remove it, it would also be 345 necessary to reduce the amplitude for the high-density anomalies (fast seismic velocities that are inferred to 346 be slabs). This is problematic because tectonic histories suggest a long history of subduction that has been 347 linked to seismic tomography (e.g., van der Meer et al., 2012) and the lower mantle density heterogeneity of 348 slabs has been linked to other observables such as seismic anisotropy (Becker et al., 2014) and plate-driving 349 forces (e.g., Lithgow-Bertelloni and Silver, 1998; Becker and O'Connell, 2001; van Summeren et al., 2012). 350 Furthermore, Conrad et al. (2013) have shown that computed flow closely matches general patterns of global 351 plate motion, particularly for the dipole and quadrupole components (spherical harmonic degrees 1 and 2). 352 Indeed, it is important to remember that existing mantle convection models driven by both postive and 353 negative density heterogeneities throughout the mantle have been very successful in explaining a variety of 354 observations such as plate motions, lithosphere stresses and seismic anisotropy, and their successful prediction 355 of geoid anomalies may be particularly difficult to reconcile with significant changes to the amplitude of long-356 wavelenth dynamic topography. These simple relationships must be maintained when introducing additional 357 modifications and complexities. 358

#### 359 5. Conclusions

Mantle convection models predict dynamic topography that has larger amplitudes than inferred from observations. At spherical harmonic degree two, predicted topography is largely generated by density anomalies in the lower mantle. Anomalies in the upper mantle yield similar amplitude at degree three and are the clearly dominant contribution at all other degrees. We show that the discrepancy between observed and predicted topography is largest at degree two, occurs for a wide range of seismic tomography models and is consistent between different models of observed residual topography.

This discrepancy could possibly be resolved if the scaling factor from seismic to density anomalies is 366 much lower than is generally assumed by mantle flow models. Alternatively, mantle flow may be largely 367 restricted to smaller-scale features such as rising plumes and sinking slabs, with very little large-scale flow in 368 between. Mechanisms that may give rise to such patterns are gernally associated with highly heterogeneous 369 or anisotropic lower mantle viscosity. However, when invoking such a scenario, it is important to consider 370 that current mantle flow models dominated by large-scale flow can successfully explain most of the geoid and 371 current plate motions. Revised models of mantle flow must also be capable of reproducing these fundamental 372 observations. 373

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