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## RESEARCH ARTICLE

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### Key Points:

- The creep failure strength of Tournemire shale is greater than the short-term peak strength by ~60% at low and high pressures
- Pressure solution sealing in dolomite-rich shale is the most active at middle-shallow depth (e.g., ~3.8 km) conditions
- Significant strength and sealing capacity change are possible in shale, and the magnitude of change may be larger than expected

### Supporting Information:

- Supporting Information S1

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## Pressure Solution Compaction During Creep Deformation of Tournemire Shale: Implications for Temporal Sealing in Shales

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**Abstract** The temporal evolution of gouge compaction determines fluid transfer and rock rupture dynamics. Thus, studies on the time-dependent creep compaction processes of shale materials may elucidate the chemo-mechanical behavior of shallow clay-rich zones. We investigated this problem by combining creep experiments conducted in triaxial compression under upper crustal conditions with modeled pressure solution processes in Tournemire shale. The shale samples were deformed parallel and perpendicular to the bedding at low (10 MPa, 26°C, this study) and high (80 MPa, 26°C, published by Geng et al., 2018, <https://doi.org/10.1029/2018JB016169>) pressures. We monitored the deformation during stepping creep experiments until sample failure. Our results differ from those of traditional creep experiments and show that the creep failure strength of Tournemire shale samples increased significantly (by ~64%) at both pressures. Our experiments suggest that at appropriate temperatures, the pressure solution is highly active and is the dominant temporal sealing mechanism in the shale. Using our experimental data and the statistical rock physics method, we modeled the temporal reduction of effective porosity in terms of depth and temperature. Our thermal-stress coupled modeling results suggest that the pressure solution induced sealing is the most active at middle-shallow depths (~3.8 km). We believe that the sealing capacity and creep failure strength of dolomite-rich shales may change significantly at middle-shallow depths, indicating an important influence on reservoir fluids transfer and fault gouge strength.

**Plain Language Summary** The slow deformation of shale during inter-seismic periods results in the temporal evolution of fault gouge strength and impacts fluids transfer. Clay-rich materials are ubiquitous in reservoirs and fault zones, but the stress and temperature coupled compaction processes has not been well-studied quantitatively under shallow depth conditions. Traditional laboratory knowledge shows that rocks fail easier at lower loading rates. However, we showed that the failure strength for Tournemire shale increased significantly during long-term deformation experiments performed at different pressures. We suggested that a chemo-mechanical “pressure solution” process in dolomite-rich shale was the most active at middle-shallow depth conditions (~3.8 km). Our study indicates that sealing capacity and natural strength gain of shale fault gouges may increase at middle-shallow depths for a relatively short period of time (<3 years), influencing fluids transfer and seismic/aseismic cycles.

## 1. Introduction

Field observations and experimental studies reveal that crack sealing and creep compaction process determine the strength gain/recovery of rocks during inter-seismic periods (Bos & Spiers, 2002; Dieterich, 1972; Heimpel, 1997; Marone, 1998). The strength of the rupture zone may drop after the main shock and vary over the seismic cycle (Y. G. Li & Vidale, 2001; Marone et al., 1995; Vidale, 1994). The temporal sealing rate and strengthening of fault zones affects the fluid transfer along faults (Gratier et al., 2003) and earthquake recurrence time before failure (Marone et al., 1995; Tenthorey et al., 2003). Depending on pressure, temperature, and loading stress conditions, a rock can fail by shear-localization or cataclastic flow (T. F. Wong

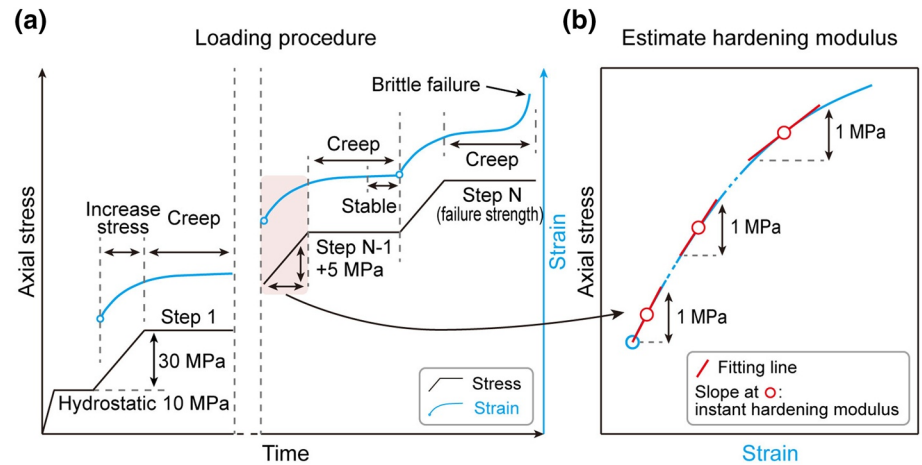
et al., 1997), or be deformed by plastic flow developed through dislocation and diffusive mass transfer (Paterson & Wong, 2005). The post-seismic deformation rates gradually decrease by sealing processes on a scale of years to decades (Y. G. Li & Vidale, 2001), or are accelerated by seismic fracturing (Gratier et al., 2014). Active deformation mechanisms underlying this mechanical behavior include grain rearrangement, micro-cracking, subcritical crack growth, dislocation gliding, and diffusive mass transfer promoted by pressure solution processes. Significant progress has been made in understanding brittle to semi-brittle failures (Fredrich et al., 1989; Nicolas et al., 2017a; Paterson & Wong, 2005; T. F. Wong et al., 1997), but most studies primarily focus on underlying mechanical processes instead of chemo-mechanical interactions (Arson & Vanorio, 2015; Vanorio et al., 2011). Evidence suggests that chemical fluid-rock interaction processes, such as crack sealing and creep compaction, might enhance the sealing capacity and inter-seismic strength gain/recovery of rocks (Beeler et al., 2001; Hickman & Evans, 1995; Lang et al., 2015).

Laboratory studies highlight the significant role of sealing and compaction processes in cracks and fault gouges during the earthquake cycle and suggest pressure solution as a controlling mechanism (Fisher & Knipe, 1998; Yasuhara et al., 2005). Pressure solution creep is a chemo-mechanical process that dominates naturally occurring time-dependent deformations and occurs at temperatures lower than those required for dislocation creeps (Kerrich, 1974). Pressure solution involves shape changes of grains and mass transfer by dissolution, diffusion, and precipitation of minerals activated by stress-induced differences of chemical potentials (Pluymakers & Spiers, 2015; Rutter & Elliott, 1976; Spiers et al., 1990). Renard et al. (2000) and Pluymakers and Spiers (2015) modeled compaction creep based on pressure solution kinetics around active faults and highlighted the role of fracture sealing in the seismicity recurrence time in field conditions. The majority of experimental and modeling studies on pressure solution focus either on frictional strength (resistance to sliding deformation), or hydraulic sealing of contact zones, using simulated gouges (quartzo-feldspathic, halite and calcite materials, Beeler & Tullis, 1997; Bos & Spiers, 2002; Hickman & Evans, 1992; Yasuhara et al., 2005) and quartz-rich stones (Lang et al., 2015; Yasuhara et al., 2004). However, shale materials are also ubiquitous in hydrocarbon reservoirs and fault gouges such as hard, massive gray-black shale in the foliated fault gouge in the southwest deformation zone of the active San Andreas Fault (Carpenter et al., 2015) and carbon shale in the Wenchuan Earthquake Fault (H. Li et al., 2013). The plausible rates, controlling stress and temperature of pressure solution in clay-rich materials during compactive creep deformation have not been quantitatively well-studied under upper crustal conditions.

In this study, we investigated the coupled impacts of time, stress and temperature during compaction creep produced by chemo-mechanical pressure solution interactions in clay-rich materials deformed in the shallow to middle-depth (<~8,000 m) conditions. Bonnelye et al. (2017) deformed Tournemire shale samples using the constant strain rates method at confining pressures ranging from 2.5 to 160 MPa and showed that the samples failed in a semi-brittle manner at confining pressure of 80 MPa. We published the rate-dependent operative mechanisms (individually and together) for Tournemire shale during creep deformation at a confining pressure of 80 MPa (semi-brittle regime) at room (26°C) and high (75°C) temperatures (Geng et al., 2018). Here, we first enriched the experimental data for samples deformed at a low confining pressure (10 MPa, brittle regime) and room temperature (26°C). We then simulated the pressure solution process using a statistical method (Appendix A) taking into account the experimental uncertainties, for which the parameters were constrained in our creep experiments. By modeling the evolution of effective porosity, we provided a temporal-thermal-stress coupled explanation for high sealing capacity in shale during compaction creep deformation. Our results reveal that the shale compaction and strengthening with the underlying chemo-mechanical mechanisms during creep deformation is highly active at middle-shallow depth (~3.8 km) conditions.

## 2. Experimental Methods

We used cylindrical shale samples (diameters of  $42 \pm 1$  mm, lengths of  $84.5 \pm 0.1$  mm) cored in situ from the Toarcian layer at the Tournemire site (Aveyron, France). The samples were from the same batch used by Bonnelye et al. (2017) and Geng et al. (2017). The weight proportions of clay materials (illite, smectite, and kaolinite), quartz, and calcite and dolomite in Tournemire shale are 30%~50%, 10%~20%,



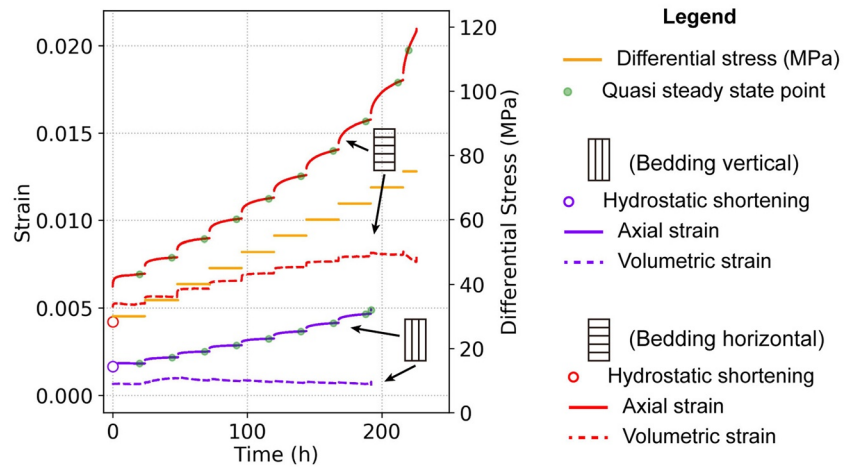
**Figure 1.** Experimental illustrations. (a) Loading procedure of creep experiments. (b) Method used to estimate the evolution of the instant hardening modulus of samples during increasing loading stress.

and 10%~30%, respectively (Bonin, 1998; Tremosa et al., 2012). Calcite and dolomite are prone to the pressure solution process. Natural pore water in the material varies from 3.5% to 8% (Bonin, 1998; Masri et al., 2014). A more detailed discussion on the physical properties of the material can be found in Boisson et al. (2001); Bonin, (1998); Schmitt et al. (1994); Tremosa et al. (2012). As Tournemire shale is transversely isotropic with respect to the bedding orientation, we used the samples with horizontal and vertical bedding to approximate the average mechanical and chemical responses of the clay-rich material during creep deformation.

Following the experimental protocol used in Geng et al. (2018), we performed the stepping creep experiments at a confining pressure of 10 MPa (Figure 1a). We first loaded the samples under hydrostatic conditions up to 10 MPa at a pressure rate of 0.3 MPa/min. Hydrostatic conditions were maintained for ~18 h at 26°C. Next, differential stress (axial stress minus confining pressure) was increased to a fixed initial stress (30 MPa) and maintained (creep status) for 24 h. The differential stress was repeatedly increased by 5 MPa and maintained for 24 h, until brittle failure. All the experiments were conducted using the triaxial apparatus installed at the Laboratoire de Géologie of ENS-Paris (France). There were few constraints on the natural saturation state of the samples because of their low permeability ( $10^{-19}$  to  $10^{-21}$  m<sup>2</sup>). To avoid exposition redundancy, an additional description of the technical performance of the triaxial apparatus can be referred to (Brantut et al., 2011; Sarout & Guéguen, 2008).

Compressive stresses and compactive strains are denoted as positive. Axial creep deformation was measured using three capacitive gap sensors that externally monitored the overall axial displacement of the piston during creep deformation. Volumetric strain during creep was estimated by adding the average of axial strains (axial displacement of the piston divided by the sample length) and two average radial strains measured by four radial strain gauges glued uniformly around the cylindrical rock surface. As the deformation rate generally stabilized during the last 8 h (Figure 1a) in most creep periods (Geng et al., 2018), we estimated the average axial strain rate over the last 8 h of each step to characterize the creep strain rate under the corresponding axial loading stress. More technical details of the sample configuration and creep rates estimation can be found in Geng et al. (2018).

In this study, the hardening modulus is defined as the derivative of the differential stress ( $\sigma$ ) to the total axial strain ( $\varepsilon_t$ ), that is,  $H = d\sigma / d\varepsilon_t$ . To characterize the hardening evolution of samples during increased differential stress (denoted by shaded area in Figure 1a), we estimated the instant hardening modulus, which were the slopes of the linear fittings of the stress-strain curves evaluated at stress intervals of 1 MPa (Figure 1b). The strength gain (%) is defined as  $S_g = (\sigma_{cf} - \sigma_{st}) / \sigma_{st}$ , where  $\sigma_{cf}$  is the differential stress at creep failure over a long deformation time-scale (~10 days),  $\sigma_{st}$  is the peak differential stress for samples deformed using a constant axial strain rate ( $\sim 10^{-7}$ /s) over a short time-scale (~24 h).



**Figure 2.** Creep deformation of samples with vertical and horizontal beddings under a confining pressure of 10 MPa.

### 3. Results and Discussion

#### 3.1. Experimental Deformation

Figure 2 shows the creep deformation induced by stepwise axial loading at a confining pressure of 10 MPa. The axial shortening during hydrostatic loading is nearly two times larger for horizontal than for vertical bedding, indicating anisotropic initial compaction in the shale samples. The volumetric strain of the vertical bedding samples increased slightly during the first two stress steps and kept stable until creep failure. For the horizontal bedding sample, however, the volumetric strain continued increasing until instant brittle failure occurred. Thus, the creep compaction in the horizontal bedding sample is significantly larger than that in the vertical bedding sample. Additionally, the creep failure strain at low pressure (10 MPa) is 25%–50% that at high pressure (80 MPa; Geng et al., 2018) (Table 1).

We summarized the differential stress and strain data to compare the creep failure strength of Tournemire shale deformed under various conditions (Table 1). Geng et al. (2017) observed significant strength gain (approximately 64% on average) in Tournemire samples with horizontal bedding during creep deformation at high pressure (80 MPa) and different temperatures (26°C and 75°C). Bonnelye et al. (2017) reported strengths resulting from constant-strain-rate-loading. We observed remarkable average strength gains during creep of approximately 57% and 76% at 26°C at the low (10 MPa) and high (80 MPa) pressures, respectively. We attribute the variable strength gain of vertical bedding samples across the samples to anisotropy and shale variability. However, strength gain during the compaction

**Table 1**  
Strength Gain of the Tournemire Shale Samples During Creep Deformation

Bedding	Pressure	Long-term creep failure strength and strain	Short-term failure strength and strain	Strength gain (%)
Vertical	Pc: 10 MPa Temp. 26°C	70 MPa (0.005)	48 MPa (0.004)	45.83
	Pc: 80 MPa Temp. 26°C	130 MPa (0.019)	71 MPa (0.009)	83.1
	Pc: 80 MPa Temp. 75°C	120 MPa (0.037)	–	69
Horizontal	Pc: 10 MPa Temp. 26°C	75 MPa (0.021)	44.6 MPa (0.014)	68.16
	Pc: 80 MPa Temp. 26°C	180 MPa (0.040)	106.5 MPa (0.032)	69.01
	Pc: 80 MPa Temp. 75°C	170 MPa (0.036)	–	59.62

*Note:* The short-term strength (peak differential stress for the samples deformed at a constant strain rate of  $\sim 10^{-7}$  / s) was published by Bonnelye et al. (2017); the long-term creep failure strength for confining pressure of 80 MPa was published by Geng et al. (2018); the long-term creep failure strength for confining pressure of 10 MPa and calculated strength gain for various conditions were results in this study. The values in parentheses are the corresponding failure strains. The strength gain for samples deformed at 75°C was calculated using the corresponding values at 26°C for reference.

**Table 2**  
Effective Petrophysical Parameters Used for Pressure-Solution Modeling During Tournemire Shale Creep Deformation

Parameter	Description	Effective value
$\Omega$	Molar volume of dolomite ( $\text{m}^3 \text{mol}^{-1}$ )	$6.43 \times 10^{-5}$ Chichagov (1994)
$d$	Effective grain size (m)	$7 \times 10^{-6}$
$Q_d$	Apparent activation energy ( $\text{J mol}^{-1}$ )	$2.6 \times 10^4$ Verberne (2015)
$\phi_0$	Maxima effective porosity of the supporting grains	0.15
$\phi$	Minima effective porosity of the grain aggregates	0.06

creep of the Tournemire shale samples exhibited only weak dependence on confining pressure. This was particularly noticeable for the horizontal bedding samples, which were analogous to vertical transverse isotropy media.

### 3.2. Mechanisms Revealed by Stress Sensitivity of Creep Strain Rates

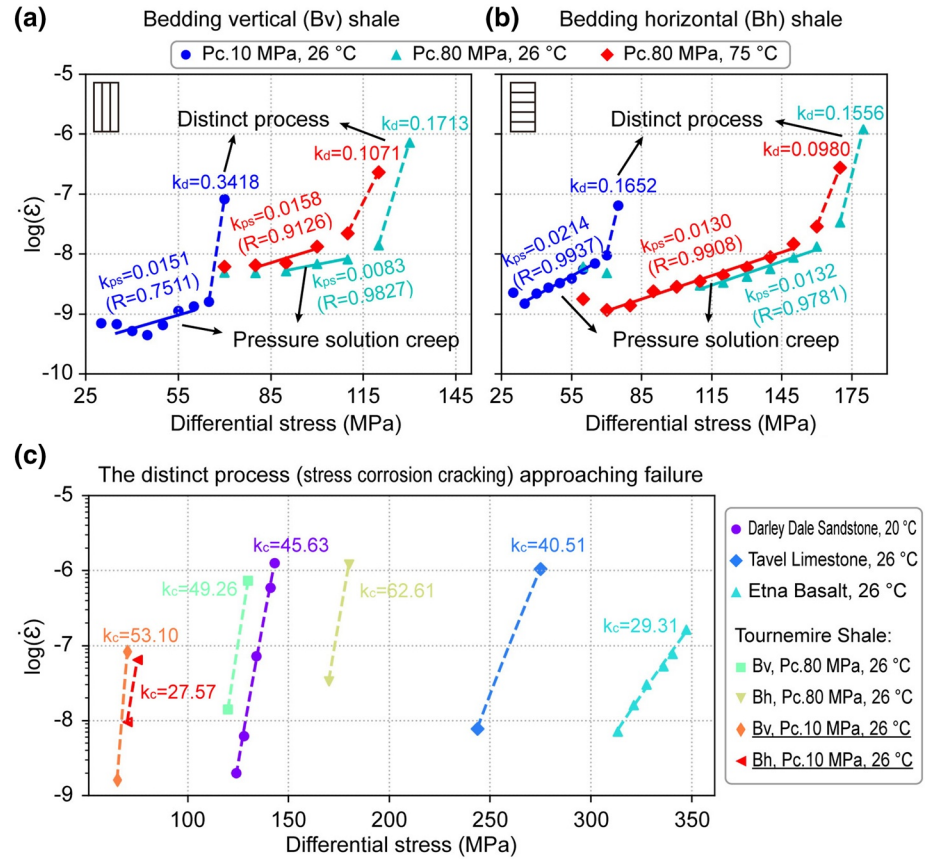
We have illustrated the transition of the creep mechanism in Tournemire shale from the pressure solution to the stress corrosion micro-cracking during the stepwise loading of differential stress at a pressure of 80 MPa by fitting our simplified diffusional creep law based on (Gratier, 2011) as follows (Geng et al., 2018):

$$\dot{\epsilon} = Ae^{3\Delta\sigma_n\Omega/RT}, \text{ s.t. } \Delta\sigma_n \geq 30 \text{ MPa} \quad (1)$$

where  $A = 8DSC_s\Omega / d^3$  (Table 2). Note that Equation 1 becomes linear in the semi-logarithmic plot ( $\log_{10} \dot{\epsilon} - \Delta\sigma_n$ ), with a slope of  $1.3 \Omega/RT$  and intercept equal to  $\log_{10}(A)$ . We argued that the slope of approximately  $0.015 \text{ (MPa}^{-1}\text{)}$  indicated pressure-solution-induced creep deformation in Tournemire shale (Geng et al., 2018). In this work, the sensitivity of the strain rate  $k_{ps}$  at low pressure (10 MPa) is denoted as the slope of the fitted solid line across major creep periods (Figures 3a and 3b) and ranges from 0.015 to 0.02. The comparable results at low confining pressure indicate a potential pressure solution process during time-dependent deformation in the brittle regime. However, the creep rate of the first creep period deviated from the major trend of the pressure solution process, especially in the vertical bedding sample deformed at 10 MPa and 26°C (Figure 3a). Additionally, for the horizontal bedding sample deformed at low pressure, the creep strain rates extrapolated from low to high differential stress would be much higher than the strain rates of the samples deformed at high pressure (Figure 3b). A possible explanation is that for the horizontal bedding sample deformed at low pressure, the stress increment (30 MPa) of the first axial creep stress (40 MPa) is 6 times that of the following creep steps (5 MPa), and the corresponding loading time was only dozens of minutes, during which the sample was not equilibrated. Although the axial shortening of the two samples under hydrostatic loading was also quite different (Figure 2), and other processes may operate at low loading stress (Figures 3a and 3b), the  $k_{ps}$  values indicate comparable pressure solutions during major creep periods. When the samples approached final brittle failure, the deformation rates increased significantly. The corresponding  $k_d$  value from the data approaching sample failure (dashed lines) is higher by one order of magnitude, implying a distinct operative process.

Similar to the dilatant brittle creep failure of samples deformed at high pressure (80 MPa; Geng et al., 2018), the samples here (pressure of 10 MPa) also failed in a comparable way (Figure 3c). We showed that the stress exponent  $n$  ( $>10$ ) of a power law relation (Equation 2 by Charles, 1958) widely used for brittle creep in rocks generally indicated a stress corrosion cracking process in Tournemire shale samples deformed at high pressure approaching failure (Geng et al., 2018):

$$\dot{\epsilon} = Ce^{\frac{-Q_s}{RT}} \sigma^n \quad (2)$$



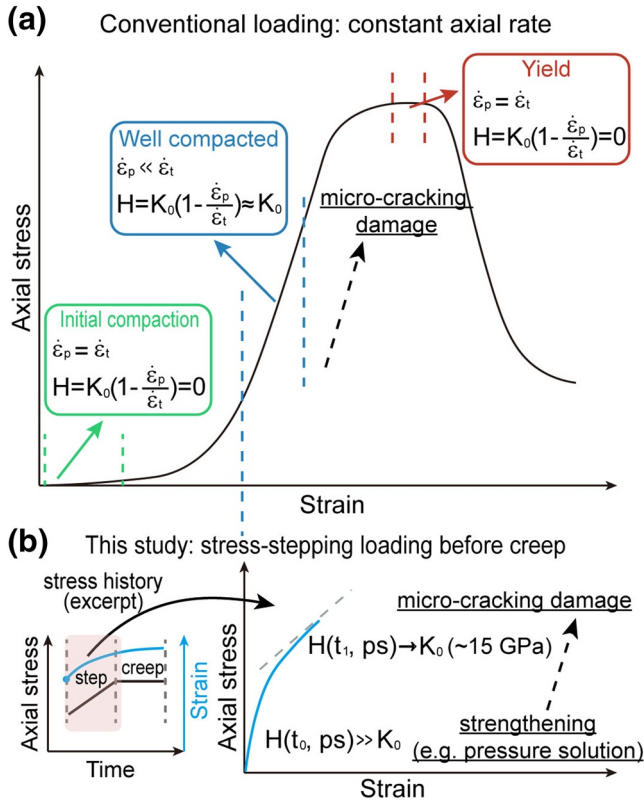
**Figure 3.** Stress sensitivity of creep in Tournemire shale samples with (a) vertical bedding and (b) horizontal bedding, deformed at a pressure of 10 MPa (this study) and 80 MPa (published by Geng et al., 2018). The axial strain rate data were fitted using a simplified creep law indicating a pressure solution mechanism by Geng et al. (2018). The data covered by the solid lines denote major creep periods, whereas the data crossed by the dashed lines were measurements approaching creep failure. The variable  $k_{ps}$  is the slope indicating the pressure solution creep;  $k_d$  is the slope implying a distinct process. (c) Comparison of the exponent  $n$  ( $k_c$ ) in a power law relation (Equation 2 by Charles, 1958) revealing the stress corrosion cracking process during brittle creep. The data for comparison were from sandstone (Heap et al., 2009), limestone (Nicolas et al., 2017b), basalt (Heap et al., 2011), and the distinct process of the shale in Figures 3a and 3b.  $k_c$  is the slope of the fitted lines; the minimum correlation coefficient is 0.9973.

where  $C$  ( $\text{MPa}^{-n}/\text{s}$ ) is a material constant,  $Q_s$  (J/mol) is the activation energy,  $R$  is the gas constant,  $T$  is the temperature (K), and  $\sigma$  (MPa) is the differential stress. Here, the slope  $k_c$  of Tournemire shale ( $n$  of Equation 2, see Figure 3c) ranges from 27 to 53, which is also comparable to the values of other rocks that underwent micro-cracking during steady state creep deformation. Thus, similar trends are observed at low (10 MPa, brittle regime) and high (80 MPa, semi-brittle regime) confining pressures. This describes the transition from compaction creep with the pressure solution as the dominant mechanism characterized by slope  $k_{ps}$  to brittle dilatant creep with micro-cracking characterized by slope  $k_c$ .

### 3.3. Mechanical Evidence for Strengthening

The hardening modulus evolution provided insight into the creep deformation mechanics as increasing the loading stress. Based on a kinematic balance equation, decomposing the total strain rate ( $\dot{\epsilon}_t$ ) into an elastic component ( $\dot{\epsilon}_{el}$ ) and a permanent component ( $\dot{\epsilon}_p$ ):

$$\dot{\epsilon}_t = \dot{\epsilon}_{el} + \dot{\epsilon}_p = \frac{1}{K} \frac{d\sigma}{dt} + \dot{\epsilon}_p \quad (3)$$



**Figure 4.** Illustration of hardening modulus evolution during loading and underlying mechanisms. (a) Conventional loading using a constant axial rate. (b) Stress-stepping loading for creep deformation. Shaded area (red) denotes stress-stepping period, that is, increasing differential stress using a constant axial rate ( $\sim 10^{-7}/\text{S}$ ) for the next creep period. Black arrows denote trends for underlying mechanisms.

in samples, the average  $H_{\text{initial}}$  was significantly larger instead of smaller than both  $K_0$  and the value for mechanical hydrostatic compaction (squares in Figure 5). Additionally, the subsequent values of  $H_{\text{initial}}$  (circles in Figure 5) remained stable with respect to creep periods. In summary, the mechanical responses suggested that the samples became stiffer at both confining pressures during creep compaction than during conventional deformation. It is a common sense that porous media becomes progressively stiffer when pore space is tightened by deformation (T. F. Wong & Baud, 2012). One should stress that such a significant temporal hardening cannot be explained solely by purely mechanical mechanisms.

### 3.4. Fully Coupled Thermal-Stress Modeling for Pressure Solution Creep

As indicated by our stress sensitivity results (Figures 3a and 3b), the pressure solution is active during the compaction creep under both confining pressures. Geng et al. (2018) showed, from the microscopic perspective, that time-dependent behavior was apparently controlled by creep compaction and shearing, implying a mixture of brittle and plastic deformation mechanisms. The veins with deposition constitute potential evidence of the diffusional pressure solution processes, which is the primary mechanism of crack sealing around active faults and in the gouge (Renard et al., 2000). For the shear-enhanced compaction band of Tournemire shale, Geng et al. (2017, 2018) showed pervasive indented grains, suggested as possible evidence of pressure solution processes during time-dependent deformation (Gratier et al., 1999). Although porosity reduction (or void filling) could be caused by other nearly-instantaneous processes such as grain

where  $K$  is the appropriate elastic modulus, then gives:

$$\frac{d\sigma}{dt} = K(\dot{\epsilon}_t - \dot{\epsilon}_p) \quad (4)$$

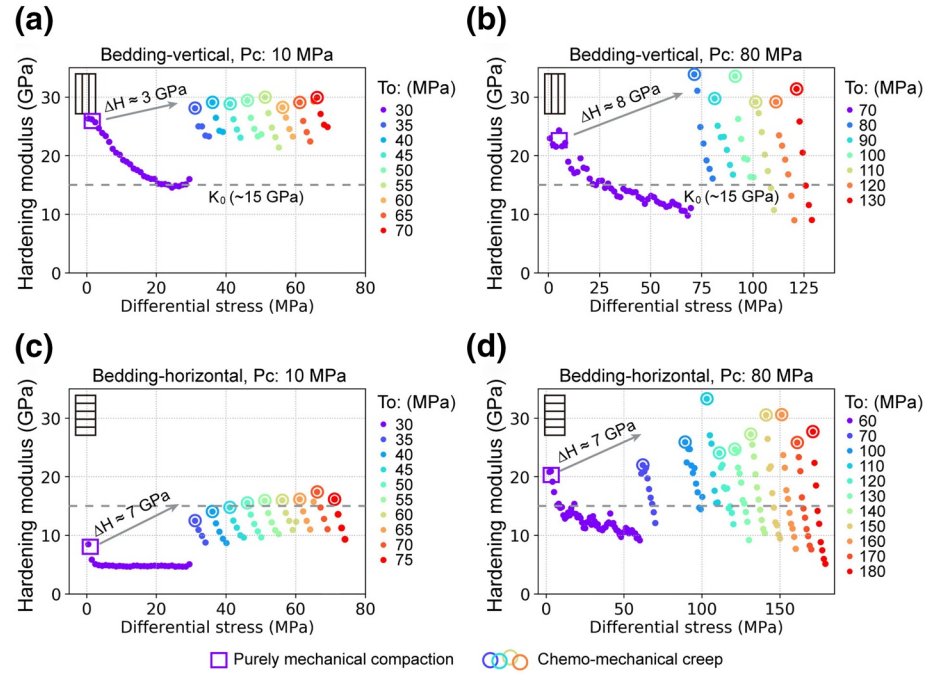
According to our definition of the hardening modulus, then we have:

$$H \equiv \frac{d\sigma}{d\epsilon_t} = \frac{d\sigma}{dt} \frac{dt}{d\epsilon_t} = \frac{d\sigma}{dt} \frac{1}{\dot{\epsilon}_t} = K \left( 1 - \frac{\dot{\epsilon}_p}{\dot{\epsilon}_t} \right) \quad (5)$$

Consider a scenario in conventional experiments loading the sample using a constant axial rate (Figure 4a),  $\dot{\epsilon}_p$  equals  $\dot{\epsilon}_t$  initially during the initial compaction period and  $H = 0$ . When the sample is deformed in a nearly elastic stage,  $\dot{\epsilon}_p / \dot{\epsilon}_t \ll 1$  and the hardening modulus approximately equals  $K_0$ , which is stiffness (an elastic modulus) for the well compacted sample. However, the sample evolves gradually toward a yield stage along with micro-cracking damaging, and  $\dot{\epsilon}_p / \dot{\epsilon}_t$  gently approaches 1, for which  $H$  is zero again. In other words,  $H$  is always no greater than  $K_0$ .

In this study, the results for hardening modulus evolution corresponded to the period of increasing differential stress up to the next constant stress for creep deformation (Figure 4b). The samples were well compacted at the end of both hydrostatic loading and each creep period. Thus, in the initial stage of increasing stress step before creep,  $\dot{\epsilon}_p / \dot{\epsilon}_t \ll 1$  and  $H_{\text{initial}} \approx K$ . Note that the parameter  $K$  here is a function of time and potential pressure solution process for creep deformation. The values of  $H_{\text{initial}}$  were denoted by colorful circles in Figure 5. Those colorful circles indicated intrinsic stiffness resulting from temporal chemo-mechanical processes during the previous creep period. On the other hand,  $K_0$  ( $\sim 15$  GPa) is effective average elastic moduli for our samples deformed by mechanical compaction only (see dash lines in Figure 5). Interestingly, although there was variability





**Figure 5.** Evolution of hardening modulus during increasing differential stress up to the next constant stress for creep deformation in vertical bedding sample deformed at confining pressures of (a) 10 MPa and (b) 80 MPa, and in the horizontal bedding sample deformed at confining pressures of (c) 10 MPa and (d) 80 MPa, at room temperature (26°C). The color denotes the differential stress stage; the square indicates sample stiffness for purely mechanical compaction; the circle with a matching color indicates the start of the differential change and sample stiffness at each end of creep deformation. The horizontal dash lines denote  $K_0$ , which is effective average elastic moduli for our samples deformed by mechanical compaction only. The results in Figures 5b and 5d were calculated using data in Geng et al. (2018).

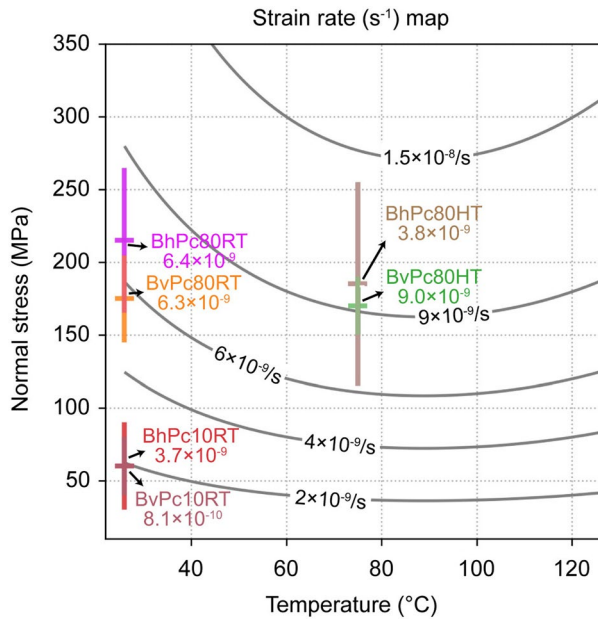
crushing and plastic pore collapse, the pressure solution dominates the longer-term/time-dependent compaction behavior and sealing time (Pluymakers & Spiers, 2015).

Unlike the use of grain geometry or strain for describing pressure solution creep (Gratier, 2011; Renard et al., 2000; Spiers et al., 1990), combining porosity to characterize aggregate structures (Pluymakers & Spiers, 2015) is a practical way to estimate creep compaction and sealing time. Pluymakers and Spiers (2015) proposed porosity-based models for compaction creep by dissolution-, diffusion-, and precipitation-controlled pressure solution. Here, we adopted their Model D3 (Pluymakers & Spiers, 2015) for the diffusion-controlled pressure solution that primarily describes the compaction strain rate in fault gouges under upper crustal conditions:

$$\dot{\epsilon} = \frac{4\pi A_d Z}{F} \frac{DSC_s}{d^3} \frac{\sigma_n \Omega}{RT} \left( \frac{q}{q - 2\phi} \right)^2 \quad (6)$$

in which  $A_d = 2$  for pure 1D (uniaxial) compaction creep, and  $A_d = 6$  for 3D isotropic compaction creep,  $A_d = 3.7$  for this study constrained by experimental data;  $Z = 6$  is the coordination number for a simple cubic pack of grains;  $F$  is the shape factor with a value of  $\pi$ ;  $d$  is the mean grain diameter, m;  $DS$  is the product of the effective diffusion coefficient and the mean thickness of the grain boundary fluid film,  $m^3 s^{-1}$ ;  $C_s$  is the average solubility of dissolved solid in the grain boundary fluid,  $m^3 m^{-3}$ ;  $q$  is a geometric term equal to two times the maxima porosity ( $\phi_0$ ) of the pack of grains;  $\phi$  is the current porosity of the grain aggregate;  $\sigma_n$  is the average normal stress, MPa;  $\Omega$  is the molar volume of the solid phase,  $m^3 mol^{-1}$ ;  $R$  and  $T$  are the gas constant ( $J mol^{-1} K^{-1}$ ) and absolute temperature (K), respectively.

Moreover, the value of  $DSC_s$  is sensitive to temperature through both the diffusion coefficient  $D$  and the solubility  $C_s$ . As suggested by Verberne (2015), we incorporated temperature sensitivity of  $DS$  depends on



Bv: bedding-vertical; Bh: bedding-horizontal  
Pc: confining pressure, MPa  
RT: room temperature (26°C) HT: high temperature (75°C)  
color bars: strain rates during the pressure solution creep period

**Figure 6.** Pressure solution-induced strain rate map of Tournemire shale under upper-crustal conditions. The diffusion-controlled compaction strain rate was described by Model D3 in Pluymakers and Spiers (2015). The gray solid lines with strain rate labels are contour plots of the strain rate as a function of normal stress and temperature. The color bars denote our experimental conditions and average strain rates during the pressure solution creep period indicated in Figures 3a and 3b.

the value of the apparent activation energy ( $Q_d, J \text{ mol}^{-1}$ ) via an Arrhenius relation by:

$$DS = D_0 Se^{-Q_d/(RT)} \quad (7)$$

where  $DS = 3 \times 10^{-18} \text{ m}^3 \text{ s}^{-1}$  at  $T = 299 \text{ K}$ ,  $Q_d = 2.3 \times 10^4$  to  $2.9 \times 10^4 \text{ J mol}^{-1}$  constrained in this study. As dolomite solubility is also highly temperature sensitive for a wide temperature range, we coupled thermal impact to pressure solution creep of Tournemire shale by incorporating the solubility product constants for natural dolomite as a function of temperature (25°C–253°C) (Bénézech et al., 2018) by:

$$\log_{10} K_{sp}^{\circ-dol} = a + b/T + cT \quad (8)$$

where  $K_{sp}^{\circ-dol}$  is solubility product constants,  $\text{mol}^2 / \text{L}^2$ ;  $T$  is absolute temperature, K;  $a = 17.502$ ,  $b = -4220.119$  and  $c = -0.0689$ . Then we approximated the  $C_s$  ( $\text{m}^3 \text{ m}^{-3}$ ) in Equation 6 based on mass action for dolomite reaction using:

$$C_s = C_0 \Omega = 1000 \left( K_{sp}^{\circ-dol} \right)^{0.25} \omega_{dol} \Omega \quad (9)$$

where  $C_0$  is solubility in the unstressed (hydrostatic) case,  $\text{mol}/\text{m}^3$ ;  $\omega_{dol} = 0.18$  is the effective weight proportion of dolomite in the sample. The values of the petrophysical parameters (Table 2) in the thermal-coupled model were constrained in our experimental measurements.

Our average experimental measurements for Tournemire shale creep generally agreed with the model results (Figure 6). The experimental results also show the strong anisotropy of creep in shales and the variability of measurements across the samples. Rock physics parameters, such as geometry and chemical properties, were also difficult to estimate from observations and provided the contribution to estimation uncertainty.

However, overall, the diffusional creep model constrained by experiments partially shed light on time-dependent behavior beyond the laboratory scale. For the stress and temperature scale (Figure 6), the fully coupled thermal-stress analysis shows that strain rate was more sensitive to stress when the temperature was  $\sim 80^\circ\text{C} \sim 90^\circ\text{C}$ .

### 3.5. Pressure Solution Sealing and Induced Strength Gain

During time-dependent deformation, mechanical work is generally resolved in the chemical pressure solution process, which is the primary plastic rock deformation mechanism (Lehner, 1990; Spiers et al., 1990). Diffusive mass transfer during the pressure solution process contributes to micro-crack healing, fracture sealing, and porosity reduction (compaction sealing), which are widely observed in laboratory conditions (Bastiaens et al., 2007; Geng et al., 2017; Hilloulin et al., 2016; McNamara et al., 2016; Schubnel et al., 2005). Modeling of pressure-solution-induced crack sealing in quartz- and calcite-rich active faults shows that the sealing time ranges from years to millions of years (Bernabe & Evans, 2007; Gunzburger, 2010; Pluymakers & Spiers, 2015; Renard et al., 2000). However, fracture sealing in claystone and/or clay-rich samples has also been observed on laboratory time scales (Bastiaens et al., 2007; Bos & Spiers, 2001; Geng et al., 2017; Geng et al., 2018; Wang et al., 2013; C. L. Zhang, 2013).

Tenthorey et al. (2003) showed a strong correlation between fault strength and fault zone porosity after hydrothermal reactions and approximated the failure strength of samples using  $P^* \propto (\phi R)^n$  (Zhang et al., 1990), where  $\phi$  is the porosity, and  $R$  is the grain size. As explained by Tenthorey et al. (2003), the

equation  $P^* \propto (\phi R)^n$  is based on Hertzian failure of contacting grains and should be valid to estimate the ultimate brittle failure strength of consolidated shear zones produced in the experiments. Because of the difficult justification of Hertzian fracturing in shale/clay-rich rocks, we used a similar empirical relation to correlate the temporal porosity and corresponding strength, as follows:

$$\sigma_p = A(\phi R)^n \quad (10)$$

where  $A$  and  $n$  are empirical constants. Then, we derived the strength gain as a function of porosity change only by:

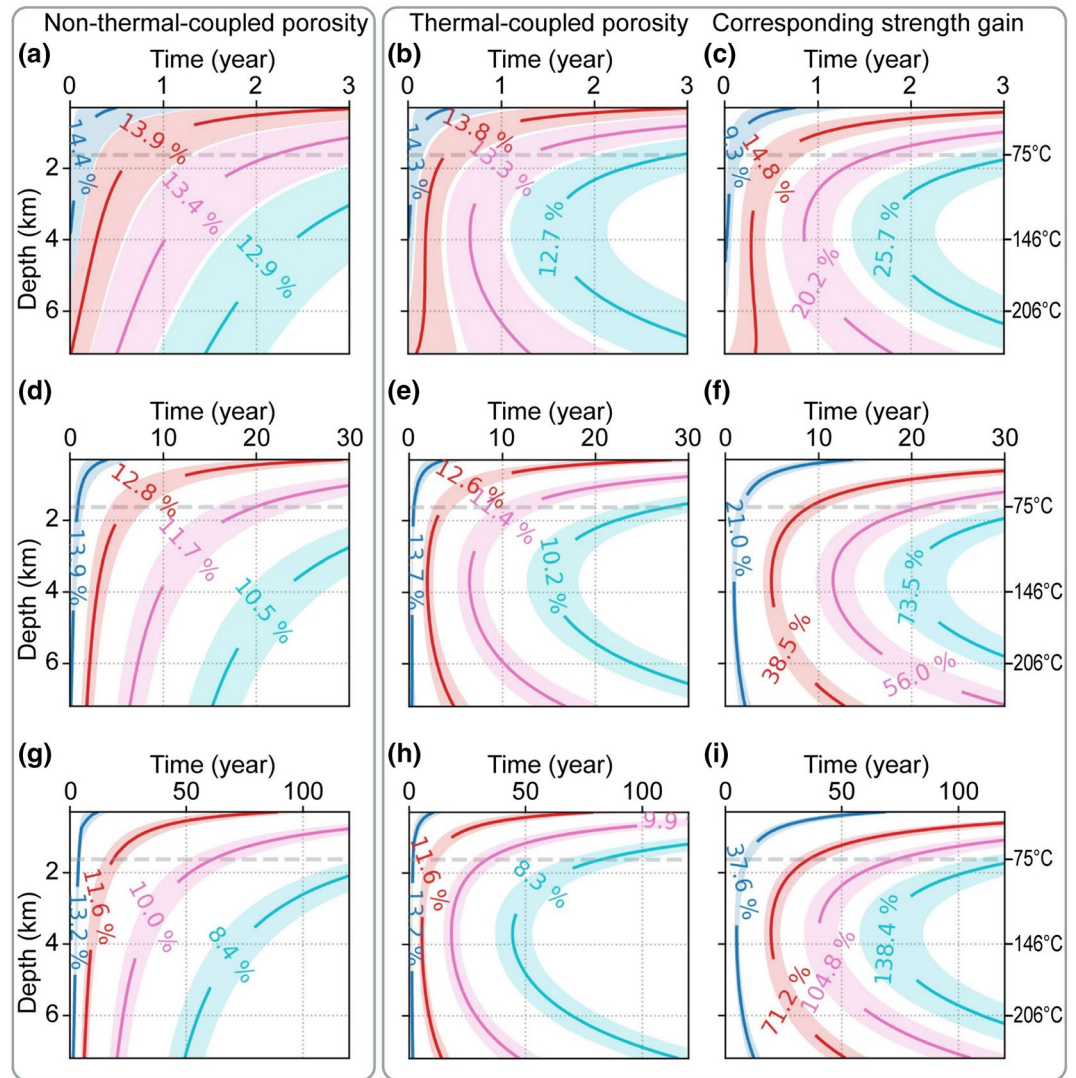
$$\sigma_{gain} = \left( \frac{\phi}{\phi_0} \right)^n - 1 \quad (11)$$

where  $n = -1.16$  was a first order approximation using average strength gain estimated from data for pressure solution creep periods in Figure 3, assuming the gained strength relative to the short-term peak strength was determined by effective porosity change.

Combining Equations 6 and 11, the time-dependent porosity, sealing time, and strength gain induced by pressure solution can be estimated by numerically integrating the expression ( $\Delta t = \Delta \phi / \bar{\varepsilon}$ ) for the porosity reduction from  $\phi_0$  to  $\phi$  under upper-crustal conditions. Here, the porosity is an effective quantity of the supporting grain aggregate (mostly dolomite). We assumed that the normal stress is equal to the overburden stress with a gradient of  $2.31 \text{ g/cm}^3$ , the geothermal gradient is  $30^\circ\text{C/km}$ , the isotropic horizontal crustal stress is  $\sim 0.6$  of the overburden stress, and the average volumetric strain rate ( $\bar{\varepsilon}$ ) is the sum of axial strain rate and two times the horizontal strain rate. At each depth point, we calibrated the value of  $\phi_0$  by removing the elastic bulk deformation for the corresponding pressure. The effective porosities were constrained by scanning electron microscopy (SEM) images of Tournemire shale samples (Figure S1). Table 2 summarizes the effective values of the above petrophysical parameters used for modeling based on our experimental constraints (Figure 6).

To present the modeling result with respect to the experimental uncertainties, we simulated the time-dependent change of the effective porosities of the sample and the corresponding strength gain (Figure 7) using a statistical method (see Appendix A) and diffusion-controlled pressure solution model (Equation 6), in which the parameters were constrained by our creep experiments (Figure 6). The predicted temporal porosities (solid curves in Figure 7) are statistical average results shown as time and depth profiles, indicating stress and temperature conditions.

By comparing the results between non-thermal coupled (Figures 7a, 7d, and 7g) and thermal coupled (Figures 7b, 7e, and 7h) modeling, we showed an important control of temperature to the pressure solution creep compaction in Tournemire shale. For non-thermal coupled modeling, we assumed the dolomite solubility and the diffusion coefficient in Equation 6 were constants and the creep strain rates were partially dependent on environmental temperature. The corresponding results for temporal porosity evolution up to 120 years (Figure 7) showed that porosity contour line space decreased with depth, indicating the pressure solution rate increased with increasing stress. However, for the fully thermal-coupled modeling, the results showed that significant temporal porosity change occurred at middle-shallow depth ( $\sim 3.8 \text{ km}$ ) for relative short period of time (Figures 7b, 7e, and 7h). The overall uncertainty for average porosities decreased gradually over relative long period of time (Figures 7e and 7h). Interestingly, substantial evidence of both dissolution and reprecipitation at dolomite grain boundaries was observed in the sample deformed for only  $\sim 2$  weeks at  $75^\circ\text{C}$  (Geng et al., 2018). It may be tempting to suggest that temperature has a critical impact on dolomite solubility and hence the creep rates within our experimental scales. In comparison, the modeling results of Gratier et al. (2003) for quartz-rich rocks showed that pressure solution rate kept increasing with depth (stress and geotemperature). However, for carbonate-rich rocks, the solubility of which is highly temperature sensitive, the pressure solution rate was the largest at depth around  $3.5 \text{ km}$  (Gratier et al., 2003). Similarly, our fully thermal-stress coupled modeling results for dolomite-rich shale implied that the pres-



**Figure 7.** Statistical rock physics modeling of temporal porosity and strength gain by pressure-solution process in the geological environment. Simulation of no thermal-coupled temporal porosity evolution for 3 (a), 30 (d), and 120 (g) years. Simulation of fully thermal-coupled temporal porosity evolution for 3 (b), 30 (e), and 120 (h) years, and corresponding strength gain (c), (f), and (i), respectively. The areas with matching color denote  $\pm 10\%$  uncertainty with respective to the average value (solid lines).

sure solution compaction is the most active during creep deformation at middle-shallow depth ( $\sim 3.8$  km) and relatively low geotemperature ( $< \sim 140^\circ\text{C}$ ) conditions.

The creep strain rate induced by the pressure solution of grains in Tournemire shale is likely overestimated, because other time-dependent deformation processes could also be active in shales. For example, crystal plasticity of supporting grains (Pluymakers & Spiers, 2015), enhanced kinetics of the pressure solution rate on clay mineral surfaces (Gundersen et al., 2002), and changes in the rheological properties by clay smearing (Gratier, 2011) may increase the rate of compaction. In this study, however, the temporal reduction in effective porosity and mass transfer was confirmed in Tournemire shale during pressure solution creep. This may be because mechanical compaction caused solely by external pressure was mostly accomplished during the 24-h hydrostatic loading before creep deformation, especially at high pressure (80 MPa). Additionally, with ongoing chemo-mechanical compaction, the temporal reduction of porosity contributes to

the recovery of elastic wave velocity (Schubnel et al., 2005; Vanorio & Mavko, 2011) (as suggested by Geng et al., 2018) and increases rock stiffness (T. F. Wong et al., 1997).

Compaction sealing are believed to result in significant strength gain under hydrothermal conditions (Bos & Spiers, 2002). Wiederhorn and Townsend (1970) reported high strength gain/recovery (approximately 80%) in cracks in soda-lime-silica glass specimens. A strength gain/recovery of 75% after 6 h of heating at 927°C was observed in sandstone samples from a fault gouge (Tenthorey et al., 2003). Rice (1978) suggested that the time-dependent healing of sharp micro-cracks may allow significant strength gain/recovery. The observed cementation processes (pressure solution of quartz and calcite infillings) in Alpine fault transition zone rocks featured reduced porosity and permeability, providing the potential for strength gain/recovery during the inter-seismic period (White & White, 1983). Micro-fractures may act as diffusion conduits for high-rate pressure solution processes that promote healing and sealing (Farver & Yund, 1998; J. P. Gratier & Gueydan, 2007). Cementation during pressure solution processes may also strongly contribute to the lithification and strengthening of the shale matrix (Angevine et al., 1982). Similarly, our Tournemire shale samples exhibited a strength gain of approximately 60% during creep and triaxial compaction at reservoir pressure (80 MPa) and relatively low geotemperatures (26°C and 75°C, Geng et al., 2017).

Strength gain/recovery during creep deformation in shales has not been given sufficient attention but can be inferred by the time-dependent evolution of porosity induced by pressure solution processes. We plotted the time-dependent strength gain of Tournemire shale corresponding to the thermal-stress coupled temporal porosities (Figures 7b, 7e, and 7h) using Equation 11 up to 120 years (Figures 7c, 7f, and 7i). We demonstrated that creep strain rates induced by pressure solution in Tournemire shale were sensitive to both stress and temperature within our experimental scales (Figure 6). The numerical analysis suggested that the temporal strength induced by pressure solution compaction would increase by ~70%, relative to the short-term failure strength, within 30 years for shale (Figure 7f). In comparison, the fault healing aided by pressure solution in quartzitic fault gouges requires nearly 104 years (Yasuhara et al., 2005). Renard et al. (2000) also argued that the time scale of pressure solution creep could be relatively small and gouge compaction is much faster than crack sealing. As it is very difficult to determine the exact loading stress at which the samples will fail under certain mechanism and within available laboratory time scales, the simulated strength gain by pressure solution is also likely overestimated. However, we report the differential stress at creep failure of Tournemire shale was significant greater relative to the short-term peak strength, which is counterintuitive in general. Additionally, we conclude that the temporal strength gain of dolomite-rich shale resulted from pressure solution cementation is most evident at middle-shallow depth (e.g. ~3.8 km) conditions.

#### 4. Conclusion and Implication

We demonstrated that diffusional mass transfer is active during creep compaction in Tournemire shale in both brittle and semi-brittle deformation regimes. Pressure solution processes might have been the major mechanism operating during time-dependent deformation, especially at temperature of ~80°C. The significant strength gain (approximately 64%) at creep failure provided strong evidence to support shale compaction sealing. Modeling the pressure solution processes revealed that the creep strain rates in dolomite-rich shale are much more sensitive to stress when the temperature was ~80°C–~90°C. Pressure solution sealing would be more observable on the reservoir production time scale (e.g., 3–30 years) at middle-shallow depth (~3.8 km) than at deep depth conditions. Correspondingly, creep compaction is highly active at middle-shallow depth. The porosity loss and creep compaction could result in substantial strengthening. Accordingly, although the healing involving clay minerals could have a minor impact on strength gain/recovery (Tenthorey et al., 2003), our study suggests that significant strength gain is possible in dolomite-rich shale. With a knowledge of the mechanical-chemical compaction processes, it should be possible to predict the temporal strength gain. In such a situation, rupture intervals and associated stress drops in the shale fault gouge may be larger. However, the fast reduction of porosity from the pressure solution in the shallow formation also contributes to high sealing capacity, resulting in potential over-pressurization, fault weakening and earthquake nucleation. The upscaling analysis should be treated with caution, owing to the sensitivity and uncertainty of the petrophysical properties of shales and complex temporal variations during creep

deformation. More experiments are necessary to reduce risks associated with the thermal-stress analysis and provide robust pressure solution modeling for shale compaction and sealing.

### Appendix A: Statistical Rock Physics Modeling for Pressure Solution Compaction

Based on the approach described in Section 3.4, we quantified the temporal porosity evolution induced by pressure solution using the Monte Carlo method with respect to our experimental estimation with uncertainty (Table A1). The Monte Carlo simulation is a powerful strategy to approximate derived distributions of average temporal porosity and corresponding strength gain by taking into account various distributions of petrophysical parameters. As a single “guess” input may give biased output, the statistical rock physics modeling is more robust than purely deterministic methods.

We assumed the parameters in Table A1 to be independently distributed with an average value of  $A_d = 4.5$  valid for the crustal stresses setting, which are constants. As the weight content of crystal for pressure solution was estimated to be 0.18 here, we assumed the effective strain rate induced by pressure solution can be calibrated by a factor of 0.18. We drew 300 realizations from the parameter distributions defined in Table A1. Modeling depth ranged from 300–7,200 m at increments of 115 m. For each group of generated parameters, a time period was estimated for a small decrease step (0.0005) of porosity induced by pressure solution using Equation 6 and the expression ( $\Delta t = \Delta\phi / \bar{\epsilon}$ ). By numerically integrating the process from  $\phi_0$  to  $\phi$ , the pressure solution sealing time at specific depths was estimated; if the elapsed time meets the preset time windows (e.g., 3, 30, or 300 years) or the current porosity reaches the lower limit value (0.06), the modeling is terminated; the corresponding strength gain at specific depths and times can be approximated using Equation 11. Finally, we presented the average porosity and temporal strength gain with  $\pm 10\%$  uncertainty, from the derived distributions of the statistical modeling results (Figure 7). The modeling pseudocode was summarized in Table A2.

**Table A1**

*Statistical Values Used for Temporal Porosity Reduction and Strength Gain Under Upper-Crustal Conditions for Tournemire Shale*

Parameter	Description	Probability density function for the norm	Value boundary
$d$	Effective grain size (m)	$U(5 \times 10^{-6}, 9 \times 10^{-6})$	min: $5 \times 10^{-6}$ max: $9 \times 10^{-6}$
$A_d$	2 for uniaxial compaction, 6 for 3D isotropic compaction	$N(\mu: 4.5, \sigma: 0.1)$	min: 4.1 max: 4.8
$Q_d$	Apparent activation energy ( $J \text{ mol}^{-1}$ )	$N(\mu: 2.6 \times 10^4, \sigma: 10^3)$	min: $2.3 \times 10^4$ max: $2.9 \times 10^4$
$\phi_0$	Maxima effective porosity of the supporting grains	$N(\mu: 0.15, \sigma: 0.01)$	min: 0.12 max: 0.19
$\phi$	Minima effective porosity of the grain aggregates	$N(\mu: 0.06, \sigma: 0.01)$	min: 0.03 max: 0.09

**Table A2**

*Pseudocode of Temporal Porosities Modeling*

- (1) Generate depth-time mesh grids;
- (2) **For each** depth-time node:
- (3) Draw random rock properties; calculate stress, temperature; estimate strain rate at  $\phi_0$  and at current porosity  $\phi_t = \phi_0$ —porosity decrement (e.g., 0.0005);
- (4) **While** elapsed time < node time or  $\phi_t > \phi$ :
- (5) Estimate sealing time for the porosity decrement;
- (6) Update  $\phi_t$ , cumulate elapsed time;
- (7) Process results ( $\phi_t$ ) statistically for grids

## Data Availability Statement

The data produced during our experiments are archived in Zenodo ([10.5281/zenodo.4422090](https://doi.org/10.5281/zenodo.4422090)).

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