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1	Near-fault monitoring reveals combined seismic and slow activation of a
2	fault branch within the Istanbul-Marmara seismic gap in NW Turkey
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# 23 Key points:

We combine microseismicity and strainmeter recordings to propose the mechanisms driving
 the episodic micro-seismicity framing a *M<sub>W</sub>* 4.5 earthquake and subsequent slow slip in the
 eastern Marmara region in 2018.

Different properties of the seismic events together with the observed slow signal after the
 *M<sub>w</sub>* 4.5 suggest that the episodic seismicity during the following year is mainly controlled
 by a superposition of slow slip, fluid migration along the fault and stress loading of
 remaining asperities.

Our findings are the second observation in this area of transient slow slip using strainmeters
 occurring in the framework of enhanced local seismic moment release, highlighting the
 coexistence and interaction of seismic and aseismic deformation in the eastern Sea of
 Marmara region where a M7+ earthquake is overdue.

#### 35 Abstract

Various geophysical observations show that seismic and aseismic slip on a fault may occur 36 concurrently. We analyze microseismicity recordings from a temporary near-fault seismic 37 38 network and borehole strainmeter data from the eastern Marmara region in NW Turkey to track seismic and aseismic deformation around the hypocentral region of a  $M_W$  4.5 earthquake in 39 2018. A slow transient is observed that lasted about 30 days starting at the time of the Mw 4.5 40 event. We study about 1,200 microseismic events that occurred during 417 days after the  $M_{\rm W}$ 41 42 4.5 event around the mainshock fault rupture. The seismicity reveals a strong temporal clustering, including four episodic seismic sequences each containing more than 30 events per 43 44 day. Seismicity from the first two sequences displayed typical characteristics driven by aseismic slip and/or fluids, such as the activation of a broader region around the mainshock, and swarm-45 like topology. The third and fourth sequences correspond to typical mainshock-aftershock 46 sequences. These observations suggest that slow slip and potentially fluid diffusion along the 47 fault plane could have controlled the seismicity during the initial 150 days following the  $M_W$ 48 49 4.5 event. In contrast, stress redistribution and breaking of remaining asperities may have 50 caused the activity after the initial 150 days. Our observation from a newly installed combined dense seismic and borehole strainmeter network follows an earlier observation of a slow 51 52 transient occurring in conjunction with enhanced local seismic moment release in the same region. This suggests a frequent interaction of seismic and aseismic slip in the Istanbul-53 Marmara seismic gap. 54

# 55 Introduction

56

In recent years, integrated analysis of seismic and geodetic data covering a broad frequency
range has provided expanding evidence for the relevance of aseismic deformation during most
stages of the seismic cycle (e.g. Peng & Gomberg, 2010). Prior to large stick-slip failure,
laboratory rock deformation experiments show a phase of combined seismic and aseismic

deformation surrounding faults stressed close to failure (e.g. Dresen et al., 2020). On the field 61 62 scale, slow or aseismic transients have been detected before megathrust earthquakes such as the Mw 9.1 2011 Tohoki-Oki earthquake (Mavrommatis et al., 2014), but also prior to small 63 earthquakes such as a M<sub>W</sub> 3.7 event in central Alaska (Tape et al., 2018). After an earthquake, 64 the hypocentral region releases postseismic deformation combining afterslip and viscoelastic 65 relaxation processes at varying depth and time scales from days to years (e.g. Wang et al., 66 2012). Continuous fault slip after an earthquake termed afterslip has been documented as a main 67 mechanism driving earthquake aftershock sequences following mainshocks of various 68 magnitudes. These include large strike-slip earthquakes such as the Mw 7.4 1999 Landers in the 69 70 Eastern Californian Shear Zone (Perfettini & Avouac, 2004), megathrusts such as the Mw 8.8 2010 Maule earthquake in Chile (Bedford et al., 2013), intermediate magnitude earthquakes 71 such as the M<sub>W</sub> 5.8 2010 Collins Valley earthquake at the strike-slip San Jacinto Fault (Inbal et 72 73 al., 2017), the normal faulting Mw 5.7 2020 Magna earthquake along the Wasatch Fault Zone (Pollitz et al., 2021), and several M>4 earthquakes along the San Andreas Fault in central 74 California (Hawthorne et al., 2016). In addition, static or dynamic stress changes have been 75 observed to triggered slow slip of varying sizes (e.g. Taira et al., 2014; Rolandone et al., 2018). 76 As triggered slow slip events release accumulated tectonic strain (Burgmann, 2018), their 77 78 detection is crucial for estimating elastic strain accumulated along a fault zone and hence to assess its seismic hazard. This is particularly important for fault zones running near dense 79 population centers that carry a larger seismic risk. 80

The North Anatolian Fault Zone (NAFZ) in Turkey runs onshore for almost 1000 km until it enters the Sea of Marmara region. Directly east of the Marmara region, the  $M_W$  7.4 Izmit earthquake in 1999 caused more than 18.000 fatalities (e.g., Barka et al., 2002). Its rupture extended into the Sea of Marmara triggering numerous aftershocks on the Armutlu Peninsula south of Istanbul. Currently, the NAFZ segment below the Sea of Marmara is late in its seismic cycle and a M>7 earthquake during the next decades is expected (Parsons, 2004; Murlu et al.,

2016; Bohnhoff et al., 2013; 2016). The direct proximity to the Istanbul metropolitan region 87 88 translates the seismic hazard into high seismic risk affecting > 15 million inhabitants and key infrastructure. In this setting, near-fault monitoring is required to generate high-resolution 89 microseismicity catalogs, resolve mechanisms driving the seismicity, identify locked patches 90 possibly representing nucleation spots of future mainshocks, and to optimize preconditions for 91 earthquake-early warning. To that end, the permanent downhole geophysical observatory 92 93 GONAF (Bohnhoff et al., 2017) is operating in the eastern Marmara region since 2015, monitoring seismicity at low magnitude detection threshold and capturing the entire width of 94 deformation processes using borehole seismometers and strainmeters (e.g. Martínez-Garzón et 95 96 al., 2019). In 2019-2020, near-fault monitoring was further improved along the only onshore 97 portion of the Marmara seismic gap by the installation of a local dense temporary seismic network on the Armutlu Peninsula south of Istanbul (SMARTnet). 98

99 In this study, we discuss the crustal deformation along the northern Armutlu Peninsula following the occurrence of a local  $M_W$  4.5 earthquake that occurred on a fault nearly 100 101 perpendicular to the Cinarcik fault branch of the NAFZ. We generated a new local microseismicity catalog of unprecedented resolution for the region utilizing the continuous 102 recordings provided by the SMARTnet and GONAF monitoring infrastructure and investigated 103 the spatio-temporal features, migration patterns and kinematic characteristics of the 104 microseismicity during 417 days following the  $M_W$  4.5 earthquake. Being the largest event in 105 this region since the 1999  $M_W$  7.4 Izmit earthquake and its aftershocks, this represents a rare 106 107 opportunity to benefit from near-fault monitoring and multi-sensor observations in the region.

#### 108 109

## Complexity of tectonics and fault slip at the Armutlu peninsula

110 The Sea of Marmara represents a transitional region between the plate-bounding right-lateral 111 strike-slip tectonics of the North Anatolian Fault Zone (NAFZ) (Barka et al., 1992; Bohnhoff 112 et al., 2016) east of the Marmara region and the north-south extension of western Anatolian driven by the slab pull of the Hellenic subduction zone (e.g. Flerit et al., 2004). In contrast to
the well-defined and more narrow fault trace of the NAFZ along most of its onshore portion,
the Sea of Marmara represents a large pull-apart structure with elastic and permanent strain
distributed along two or more main fault branches (Fig. 1) (e.g. Le Pichon et al., 2001; Meade
et al., 2002; Armijo et al., 2005).

The Cinarcik fault branch bounds the Cinarcik basin below the eastern Marmara Sea to 118 119 the south (Bohnhoff et al., 2013; Malin et al., 2018; Martínez-Garzón et al., 2019). The region also hosted the westernmost tip of the 1999 M 7.4 Izmit earthquake rupture (Armijo et al., 120 2005). The Cinarcik fault zone may have hosted the M 6.3 normal faulting earthquake in 1963 121 122 (Pinar et al., 2003; Bulut & Aktar, 2007), the second-largest earthquake in the Marmara region 123 during the instrumental era (Fig 1). This region has been interpreted as a horsetail splay fault structure associated with a major normal fault (Kinscher et al., 2013). The local deformation is 124 partitioned across a complex network of multiple faults with varying orientations including 125 predominant NE-SW extension with significant vertical displacement (Eisenlohr, 1995; Straub 126 et al., 1997). Field observations and seismic moment tensors of selected earthquakes confirmed 127 previous models interpreting the Armutlu peninsula as a Horst structure in a transtensional 128 129 active pull-apart environment of the Sea of Marmara region (Kinscher et al., 2013). Stress 130 inversion of focal mechanisms derived a local trend of the maximum compressive stress in the range  $Tr_{\sigma_1} = N306^{\circ}E - N328^{\circ}E$  (Wollin et al., 2018). 131

The northern portion of the Armutlu Peninsula hosts one of the highest background seismicity rates in the Sea of Marmara extending down to approximately 12 km depth (Wollin et al., 2018; Martínez-Garzón et al., 2019). Recently, it was found that the region also experiences slow deformation transients based on the observation of a 50-day slow strain transient that started after the occurrence of a  $M_W$  4.2 offshore earthquake on June 25<sup>th</sup> 2016 near the town of Yalova (Malin et al., 2018; Martínez-Garzón et al., 2019). Assuming the source of the slow slip transient to be the same as that activated by the  $M_W$  4.2 earthquake, this signal

represented transient slip equivalent to a  $M_W 5.7$  earthquake (Martínez-Garzón et al., 2019). 139 140 Unfortunately, the source of the slow slip event could not be resolved due to the lack of available nearby GNSS data at that time and the lack of near-fault stations at the offshore 141 segments of the fault. East of the Armutlu peninsula where post-seismic deformation from the 142 1999 Mw 7.4 Izmit earthquake is still noticeable after 20 years (Özarpacı et al., 2021), a 1 month 143 lasting shallow slip transient was identified along the Gulf of Izmit on December 2016 using 144 InSAR complemented with GPS data (Aslan et al., 2019) but no connection to seismicity trends 145 was established. 146

#### 147 Data and Method

# 148 Generation of microseismicity catalog

149 We developed a seismicity catalog (provided in Martínez-Garzón et al., 2021) for the northern portion of the Armutlu peninsula (purple rectangle in Fig 1a), utilizing continuous waveform 150 recordings from the temporary SMARTnet seismic network (Fig 1b), four permanent GONAF 151 borehole vertical seismic arrays (Bohnhoff et al., 2017), and four seismic stations from the 152 permanent regional KOERI seismic network. The time period analyzed in this study covers 417 153 days following the December, 20th, 2018 Esenkoy Mw 4.5 earthquake, out of which the 154 SMARTnet network provided data from January 29<sup>th</sup>, 2019 to February 10<sup>th</sup>, 2020 (387 days). 155 SMARTnet was composed of five broadband Trillium compact seismometers, ten Mark 1 Hz 156 157 seismometers and ten HL-6B geophones with natural frequencies of 4.5 Hz. Five additional Mark seismometers were installed from July 2019 onwards. 158

The processing scheme applied to the waveform recordings is summarized in Fig. S1 in the electronic supplement to this article. First, a classical STA/LTA detector was run on each station (vertical component). Triggered signals were classified as eventual local seismic events if detected at least at five stations within a maximum time window of 4 s. All detections were manually revised and false detections, coherent signals of non-tectonic origin and teleseismic 164 events were removed. About 2,800 seismic events displayed sufficient signal to noise ratio and165 were selected for further processing.

Next, the P- and S- wave arrivals of seismic events from the first six months of the catalog were manually picked (988 seismic events). The manually picked subset was used to train a picking algorithm based on a convolutional neural network. Subsequently, we picked automatically the arrival of P- and S-waves for the remaining data set. Manual refinement of the automatic picks was performed for all seismic events with M>2. A total of 29,275 and 20,306 arrival times of P- and S- waves were obtained, respectively.

172 Initial absolute hypocentral locations of the events were obtained using HYPOINVERSE (Klein, 2002) and a 1-D local velocity model (Bulut et al., 2009), after 173 correcting for station residuals. In the following, we focus on the northern portion of the 174 Armutlu Peninsula, where the coverage provided by our network is optimal. Within the study 175 region (Lon  $[28.75^{\circ} - 29.22^{\circ}E]$  Lat  $[40.52^{\circ}-40.7^{\circ}N]$ ), a total of 1,642 seismic events were 176 177 successfully located within the analyzed time period. Median horizontal and vertical absolute location errors for the manually picked events were 1 km and 0.69 km, respectively, while the 178 same values for the automatically picked events by the convolutional neural network increased 179 180 to 1.24 km and 0.84 km, respectively.

Moment magnitudes  $(M_W)$  of the located microearthquakes were estimated utilizing a 181 spectral fitting approach (e.g. Kwiatek et al., 2011). We fixed the quality factor parameters to 182  $Q_P = 750$  and  $Q_S = 350$  in agreement with other source parameters and attenuation studies in 183 the region (Gündüz et al., 1998). We estimated the final moment magnitude of each event as 184 185 the median of the calculated magnitudes from the different stations using both P- and S- waves, however, estimations using both, only P- or only S- phases are highly consistent (Fig. S2 in the 186 187 electronic supplement to this article). The magnitudes obtained for these events were in the 188 range  $M_W$  [0.7, 3.5] (Fig. S3a in the electronic supplement to this article). We identified 95

common events between our catalog and the catalog from the permanent Turkish national 189 seismic network from AFAD (https://deprem.afad.gov.tr/depremkatalogu?lang=en) within the 190 analyzed region and time frame. Comparing their local magnitudes  $M_L$  with our moment 191 magnitudes  $M_{\rm W}$ , we fitted a linear regression obtaining the equation  $M_{\rm W} = 0.6 M_{\rm L} + 1.2$ 192 between the two magnitude scales. Based on the minimum curvature method (Woessner & 193 Wiemer, 2005), a magnitude of completeness  $M_W^c = 1.4$  ( $M_L^c = 1.2$ ) was obtained, and a b-194 value  $b = 1.08 \pm 0.03$  from the magnitude-frequency Gutenberg-Richter distribution was 195 obtained for the entire study area (Fig. S3b in the electronic supplement to this article). 196 According to the Gutenberg-Richter relation, a slight deficit in large magnitude events ( $M_W$  > 197 2.5) is noticeable in the catalog. 198

The relative precision of the hypocenters was further refined by relocating the seismicity 199 utilizing the double-difference approach (Waldhauser & Ellsworth, 2000). A total of 209,106 200 201 travel time differences from P- and S- waves catalog arrival times were employed to perform the relocation. In the last iterations, we folded in 8,500 additional travel time differences 202 203 obtained from P-wave waveform cross-correlation to improve the resolution at the scale of 204 500 m. A total of 831 seismic events from the study region were successfully relocated. Relative horizontal and vertical relocation precision of 130 m and 40 m were achieved assuming 68% 205 confidence interval, respectively, as estimated from bootstrap resampling. The relocated 206 207 seismicity catalog together with error ellipses for each event is provided in Fig. S4 in the electronic supplement to this article. 208

# 209 Estimation of double-couple seismic moment tensors

A portion of the dataset displayed a sufficient number of stations available and enough azimuthal coverage to allow for a double-couple seismic moment tensor (MT) inversion. To this end, a total of 4,238 amplitudes of the P-wave first-motion arrival were manually picked from a subset of events with magnitude  $M_W \ge 1.5$ . We subsequently utilized the arrivals to

calculate the double-couple MTs of the corresponding microseismicity. The inversion was 214 215 performed with the fociMT software (Kwiatek et al., 2016) based on the simultaneous inversion of P-wave polarities and amplitudes. Take-off angles between the hypocenters and each station 216 217 were calculated utilizing a version of the 1-D velocity model from Bulut et al., (2009), 218 interpolated every km to avoid sharp changes in the ray paths due to events close to the boundary between two velocity layers. A total of 243 MTs were calculated based on recordings 219 220 at 10 to 25 stations. The epicentral distances between the utilized stations and the seismicity 221 varied from 450 m up to 50 km, with a median value of 11.9 km. The vast majority of recorded phases correspond to direct waves with a median take-off angle of 133°. 222

223 Based on the spatial distribution of the events for which MTs could be calculated and the areas of interest, we manually grouped the seismicity into seven different areas. For each of 224 them, ray paths from the hypocenters to the stations were assumed to be similar, and we applied 225 the iterative hybrid technique hybridMT (Kwiatek et al., 2016) to refine the MT solutions and 226 identify potential stations with incorrect sensitivity and/or suffering from strong site effects. A 227 total of 157 MTs could be refined using this technique. For each of the defined MT groups, we 228 estimated their median fault plane variability  $\vartheta$  to characterize the heterogeneity of the fault 229 plane solutions (e.g. Goebel et al., 2017). This was achieved by calculating the 3-D rotation 230 angle between each pair of focal mechanisms (Kagan, 1991). 231

We additionally estimated the double-couple MTs of the  $M_W$  4.5 earthquake that occurred south of the Esenkoy village on December, 20<sup>th</sup>, 2018, and the  $M_W$  4.1 earthquake that occurred on November 30<sup>th</sup> 2018 in the same region, marking the beginning of the sequence. As these event occurred more than one month before the deployment of the SMARTnet seismic network, we used the amplitudes and polarities from the permanent GONAF stations, as well as publicly available waveform data for this event from the national seismic networks operated by AFAD and KOERI. For these two events, a total of 24 and 28 stations with high quality recordings were finally employed from the entire Sea of Marmara region, respectively, ensuring
a complete azimuthal coverage of the focal sphere. Then, the focal mechanism inversion for
these events was performed following the methodology described above.

#### 242 **Processing of strainmeter data**

The eastern Sea of Marmara region hosts six Gladwin tensor borehole strainmeters at different locations deployed by UNAVCO in wellbores at 150 m depth. A summary of the main features of these strainmeters is provided in Martínez-Garzón et al. (2019). We focus here on the two strainmeters located in the Armutlu Peninsula, near the villages of Esenkoy (GONAF-ESN1) and Armutlu (GONAF-BOZ1) (Fig.1a). These strainmeters are located at epicentral distances of 5.5 km and 22 km from the *M*w 4.5 event, respectively.

Processing of the strainmeter recordings is routinely performed by UNAVCO and 249 includes the down-sampling from 1 s to 300 s to simplify data handling. Tidal corrections and 250 borehole trends were generated and applied to the strainmeter recordings following Hodgkinson 251 252 et al., (2013). Corrections for the M2 and O1 tidal modes are calculated using the SPOTL tidal program and subtracted from each gauge before combination. Borehole trend corrections are 253 calculated by fitting exponential functions to the raw data from the four different gauges of the 254 strain tensor during the entire time of data acquisition. From these corrected data from the four 255 gauges of the Gladwin strainmeters, three strain components were calculated, namely the areal 256 strain  $\varepsilon_{N+E}$ , differential strain  $\varepsilon_{E-N}$  and engineering strain  $2\varepsilon_{EN}$ , defined as: 257

where  $\varepsilon_{EE}$ ,  $\varepsilon_{NN}$  and  $\varepsilon_{EN}$  represent the three independent components of the horizontal strain tensor and the symmetry condition  $\varepsilon_{EN} = \varepsilon_{NE}$  applies.

## 261 **Results**

# 262 Episodic seismic sequences located around the *M*<sub>W</sub> 4.5 2018 Esenkoy earthquake 263 source area

A total of 1,041 out of 1,234 events from our catalog with absolute locations and 706 out of 828 events composing the relocated catalog are concentrated in an area south of Esenkoy, forming a number of subparallel aligned structures striking NW-SE (Figs. 1b, 2). Except for a sequence of events occurring in December 2019 (red-colored events in Fig. 2), most of the seismicity delineates a planar fault structure dipping approximately 60° towards North-East, which was activated between 7 and 12 km depth (Fig. 2a). The located seismicity is provided in Martínez-Garzón et al., (2021).

271 At the northeastern edge of this area (the deepest portion), a  $M_W$  4.1 event occurred in this area on November 30<sup>th</sup>, 2018 at a depth of 14 km. After 20 days, on December 20<sup>th</sup> 2018 a 272 Mw 4.5 earthquake occurred (hereafter referred to as the 2018 Esenkoy Mw 4.5 earthquake, Fig. 273 274 2) about 2.5 km epicentral distances from the first event. Since this event occurred approximately one month before the deployment of our temporary SMARTNET seismic 275 network, we utilized the AFAD seismicity catalog to check the seismicity preceding and 276 immediately following the Mw 4.5 earthquake. The AFAD catalog contained 106 events from 277 November 30<sup>th</sup> 2018 to January 29<sup>th</sup>, 2019, including 36 and 70 events before and after the Mw 278 4.5 earthquake, respectively. The main shock ruptured the deepest portion of this active fault 279 280 patch (11 km). The seismicity during the first month following the Mw 4.5 earthquake activated up to the shallowest edge of this active fault plane at about 7 km (Fig 2). Assuming a static 281 stress drop value of  $\Delta \sigma = 1MPa$  and a Madariaga source model, a Mw 4.5 earthquake should 282 rupture a circular region with source radius of about  $r \approx 1 km$  (Kwiatek et al., 2011). The 283 observed seismically activated volume covering 7 km x 7 km x 5 km is therefore significantly 284 larger than the rupture area of the mainshock. 285

Following the  $M_W$  4.5, the seismicity in this region shows clear spatio-temporal 286 287 variations. We identified at least four episodic sequences, each of them lasting for few days and containing one or more days with > 30 earthquakes per day (Fig. 3a). The largest number of 288 289 events in each of the sequences occurred 53, 143, 202 and 338 days after the  $M_W$  4.5 earthquake (Fig. 3a). Within the first of these sequences (dark blue color in Fig. 2) the seismicity covered 290 approximately the central part of the planar structure. The second sequence (turquoise color) 291 292 propagated towards the edges of the activated area in the first sequence. The third and fourth sequences (light green and red colors in Fig. 2) were more spatially clustered and they only 293 reach 2 km and 4 km away from the Mw 4.5 epicentral location, respectively. 294

## 295 Slow slip transient following the 2018 Esenkoy *M*<sub>W</sub> 4.5 earthquake

The recordings of the BOZ1 and ESN1 strainmeters show a strain transient in the differential 296 (Fig. 3b) and engineering (Fig. 3c) components starting at the origin time of the Mw 4.5 Esenkov 297 earthquake. The main transient strain signal, which is observed in both strainmeters lasted about 298 299 30 days (light blue rectangles in Fig 3). Following a period of about 33 days during which the 300 first seismic sequence occurred, a potential transient of smaller amplitude could be identified during the subsequent 30 days only the BOZ1 strainmeter (light green rectangles in Fig. 3). 301 After the first three months from the  $M_W$  4.5 earthquake, the strain recordings display a slow 302 303 recovery towards the original strain level before the earthquake, which is reached about 250 days from the occurrence of the event. The recordings of the ESN1 strainmeter, located closer 304 305 to the  $M_W$  4.5 epicenter, are less clear (Figs. 3b, 3c) and to some extent affected by the overall state of extension of three of the strainmeter gauges during this time period, which decreases 306 the sensitivity of the instrument. The ESN1 time series contain data gaps shortly after the 307 November  $30^{\text{th}}$ ,  $2018 M_W 4.1$  earthquake (red dashed line in Fig. 3) and right after the December 308 20<sup>th</sup> 2018 Mw 4.5 earthquake. Furthermore, the ESN1 recordings display a number of spikes 309 that are likely electronic noise signals introduced by the power net. We find that the temporal 310

evolution of differential and engineering components at ESN1 follow overall a similar trend 311 312 and shape as at BOZ1. Neither of the areal components -being more sensitive to vertical strain changes- of BOZ1 or ESN1 indicate a large change at this time (see Fig. S5 in the electronic 313 supplement to this article including a longer time period of strainmeter recordings). This 314 suggests that the observed transient is likely not related to atmospheric variations. The next 315 closest strainmeter, SIV1 (Fig. 1), did not record during the main months analyzed here. The 316 317 other regional strainmeters are all >35 km away and displayed no clear changes that can be associated with the occurrence of the  $M_W 4.5$  Esenkoy earthquake. 318

To estimate the source location of the observed strain transient, we use an Okada 319 320 dislocation model (Okada, 1985) and calculated the deformation fields from fault sources at various locations and with different fault parameters. We tested the configurations that best 321 match the sign of the recordings from the differential, engineering and areal strain components 322 of the two available strainmeters. Three different scenarios were tested, where the slow slip 323 source was placed (a) on the local seismogenic plane activated during this sequence (Fig. 2), 324 325 (b) along a potential onshore segment of the Cinarcik Fault between the BOZ1 and ESN1 strainmeters, and (c) on the onshore segment of the Cinarcik Fault where a M > 4 earthquake 326 occurred in 2008. For each of these scenarios, between 30 and 60 models were run with varying 327 328 strike, dip, rake and hypocentral depth parameters according to the geometry of the fault sources (Table S1 in the electronic supplement to this article). The best fitting model could reproduce 329 five out of the six observations, and it was obtained centering the fault at the epicentral location 330 of the Mw 4.5 earthquake (scenario a) and the following geometrical parameters  $\varphi = 305, \delta =$ 331 55,  $\lambda = -110$ , z = 3 km and  $M_W = 5$  (Fig. S7 in the electronic supplement to this article). 332 333 Therefore, we suggest that the slow slip transient activated the shallower portion of the fault 334 plane that hosted the  $M_W$  4.5 event and subsequent three sequences.

#### **Repeated activation of the Mw4.5 mainshock rupture and a nearby fault**

We classified the 243 MTs according to their Andersonian faulting style (i.e. normal faulting, strike-slip or reverse) depending on which of the P, T or B axes is closer to vertical. 138 MTs (57% of the total) indicated normal faulting events, 91 (37% of the total) displayed strike-slip faulting, and a minority of 14 thrust events (6% of the total) were obtained. From the region analyzed here, 106 MTs were available for further analysis.

The 2018 Mw 4.5 Esenkoy earthquake ruptured with normal faulting kinematics. Strike, dip 341 and rake values of  $\varphi = 309^\circ$ ,  $\delta = 55^\circ$ ,  $\lambda = -110^\circ$  were obtained, respectively (Fig 4). These 342 values are in good agreement with the geometry of the planar structure defined by the 343 microseismicity (Fig 2b). The previous  $M_W$  4.1 event on November 30<sup>th</sup>, 2018 displayed a very 344 similar focal mechanism, with =  $300^\circ$ ,  $\delta = 64^\circ$ ,  $\lambda = -122^\circ$ , likely indicating that the same 345 fault structure ruptured the two events (Fig 2b). In the following, we analyze the moment 346 tensors within the four different high-seismicity areas using the hybridMT inversion (see Fig 347 4a for the regions enclosed in each group). Group A comprises the region around the Mw 4.5 348 2018 Esenkoy event and roughly corresponds to sequence 3, occurring around July 10th 2019 349 (light green colors in Fig 2). The 40 estimated moment tensors included 33 normal faulting and 350 7 strike-slip events. The moment tensors are highly consistent with both the Mw 4.5 earthquake 351 and the planar structure defined by the hypocenters (Fig 4). Group A shows the lowest fault 352 353 plane variability compared to groups B-C, with a median 3D rotation angle between focal mechanisms  $\vartheta_A = 26^\circ$ . Groups B and C contain 17 and 19 events, respectively, and they 354 correspond to the southern portion of the planar fault structure. The average MT as well as 27 355 356 individual solutions represent normal faulting, similar to those from Group A and the Mw 4.5 event (Fig 4). However, the median fault plane variabilities are larger ( $\vartheta_B = 74^\circ$  and  $\vartheta_C =$ 357 56°), reflecting larger fault plane heterogeneity. Group D contains 30 MTs and corresponds to 358 the fourth sequence (red circles in Fig. 2, around November 24<sup>th</sup>, 2019) where the seismicity 359

occurred in a separate patch of about  $2 \times 2 \text{ km}^2$  off the fault plane defined by the seismicity of 360 the other sequences (Fig 2). In this case, a majority of pure strike-slip faulting events are 361 obtained, with a strike-slip average moment tensor and very low median fault plane variability 362 of  $\vartheta_1 = 22^\circ$ . The different MT and seismicity distribution suggest that the fourth sequence 363 likely activated a separate fault structure with different orientation and kinematics. Here, the 364 seismicity does not form a first-order planar structure. However, the nodal plane that seem to 365 best fit the seismicity has fault parameters,  $\phi = 189^\circ$ ,  $\delta = 60^\circ$ ,  $\lambda = 5^\circ$ , thus representing a left-366 lateral strike-slip fault. 367

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# 8 Seismicity migration patterns

We investigated the migration patterns of the seismicity away from the  $M_W$  4.5 event by 369 projecting the hypocenters of the first three sequences onto the main fault on the best-fitting 370 plane. Then, we calculated the in-plane distance between the 2018 Mw 4.5 hypocenter and each 371 earthquake as a function of time (Fig. 5). We included the seismicity from AFAD catalog for 372 the times between the occurrence of the  $M_{\rm W}$  4.1 event on Nov 30<sup>th</sup>, 2018 and the beginning of 373 the SMARTnet catalog. The temporal pattern during the first month of seismicity around the 374 Mw 4.5 earthquake can be fitted with an Omori's Law of the form  $N(t) = k/t^p$ , where  $k = k^2/t^p$ 375 206 and p = 1.3 (Fig. S8 in the electronic supplement to this article). Focusing on the 376 377 propagation of seismicity away from the  $M_W$  4.5 mainshock during the first month after its occurrence, we found that the in-plane distance of events to the mainshock initially grows with 378 log time (Fig. 5b, 5c). The logarithmic behavior of the seismicity migration suggests the rupture 379 of several asperities being loaded by afterslip driven by brittle creep after the Mw 4.5 event (e.g. 380 Perfettini et al., 2018). 381

After the first month of typical aftershock decay, the seismicity rates increased again departing from the Omori law. The temporal evolution of the seismicity from the studied region revealed four distinct sequences (cf. Fig. 3). While the duration of the four sequences is

comparable, including one to three days with >30 events per day (Fig. 3a), the magnitude 385 386 distribution (Fig. 5a) and activated volumes differ between sequences. The first and second sequences contained no earthquake with  $M_W > 2.9$ , and cannot be well described with a 387 mainshock-aftershock type of occurrence as the larger seismicity rates did not occur at the 388 beginning of the sequence (Fig. 5a), thus displaying a more swarm-like behavior typical of 389 sequences driven by aseismic slip and/or fluids (e.g. Zaliapin & Ben-Zion, 2013). The events 390 391 contained in these sequences activated most of the volume surrounding the mainshock up to an epicentral distance of 6 km. The time between the mainshock and sequence 1 and between 392 sequences 1 and 2 is about 50 and 90 days, respectively, thus being comparable. In contrast, 393 394 sequences 3 and 4 contain one or more events with larger magnitudes ( $M_W > 3$ ) at the beginning 395 of the sequence (Fig. 5a) and these larger events triggered productive aftershock sequences tightly clustered in space. 396

# 397 Discussion

#### 398 Mechanisms driving the episodic seismic activity in the 2018 $M_{\rm W}$ 4.5 Esenkoy

# 399 earthquake region

The occurrence of seismic sequences following a mainshock around its rupture area and beyond may be explained by several physical mechanisms. Coseismic static or dynamic stress changes will perturb the stress distribution in the region surrounding the mainshock area (e.g. King et al., 1994; Stein et al., 1997). Also, pore-pressure diffusion along pre-existing or fresh fractures in the mainshock-perturbed area can promote the occurrence of aftershocks (e.g. Miller et al., 2004). Seismicity driven by fluid migration should roughly follow a diffusion equation (Shapiro et al., 2003):

$$r = \sqrt{4\pi Dt},$$
 [2]

where r is the distance from a reference point and D represents the diffusivity coefficient that 408 may take a range of values from  $0.25 \text{ m}^2/_{\text{S}}$  to  $200 \text{ m}^2/_{\text{S}}$  (Shapiro et al., 2003). Seismic 409 sequences driven by migration of fluids have been observed for example near the Salton Trough 410 (Chen & Shearer, 2011) or in the Long Valley Caldera (Shelly et al., 2016), both located in 411 California. Seismic sequences may also be driven by aseismic slip, either as afterslip 412 accommodated by brittle creep (Perfettini & Avouac, 2004) or by slow slip transients releasing 413 414 accumulated tectonic stresses (e.g. Taira et al., 2014). Aftershock migration driven by afterslip 415 follows a logarithmic dependence of the form (Perfettini et al., 2018):

$$r \propto Alog(t).$$
 [3]

417 Combination of one or more of these processes is also possible. For example, afterslip and fluid 418 diffusion were proposed to explain the temporal evolution of the aftershocks of the  $M_W$  7.2 419 2010 El Mayor-Cucapah earthquake (Ross et al., 2017).

The normal fault that ruptured in the 2018 Mw 4.5 Esenkoy earthquake and off-fault 420 structures remained active during at least the following 250 days, in three different seismicity 421 sequences. The Armutlu Peninsula is a fluid rich environment and it hosts several hot springs 422 and geothermal activities (Eisenlohr, 1995). However, it is not clear whether any of the 423 sequences follow the pattern of a fluid pressure diffusion front with typical diffusivity values 424 comparable to other case studies (see for example red line in Figs. 5b, 5c for  $D = 0.6 \frac{m^2}{s}$ ). 425 This indicates that the episodic seismic activity is likely not due to just pore pressure diffusion. 426 Instead, our observations may be best explained by the rupture of asperities being loaded by 427 aseismic slip at least during the first two sequences, including: (1) the observation of a transient 428 signal at two strainmeters in the 30 days following the occurrence of the  $M_W$  4.5 Esenkoy 429 430 earthquake before Sequence 1 (Fig. 3) (2) the migration of the seismicity from the mainshock followed a logarithmic relation in time (particularly during the first month), as previously 431

observed for afterslip, (3) comparable time intervals between the different sequences, 432 433 suggesting continuous loading from some aseismic or slow source and (4) the lack of a clear mainshock-aftershock sequence within sequences 1 and 2 compared to sequences 3 and 4, 434 435 resembling swarm-like clustering, which has been related in some case to the occurrence of aseismic slip (e.g. Chen and Shearer, 2011; Ross et al., 2017). After the occurrence of the first 436 two seismic sequences, stress redistribution around the mainshock area likely caused breaking 437 438 of remaining asperities in the vicinity. This hypothesis is supported by the larger events contained in sequences 3 and 4 (with  $M_W > 3$ ) (Fig. 5a), the smaller epicentral distances between 439 the  $M_W$  4.5 earthquake and these sequences (Fig. 5b) and the clustering of events from 440 441 sequences 3 and 4 around their own mainshock (Fig. 5b). This suggests breaking of a single 442 asperity in each of these sequences rather than the activation of a larger fault segment driven by aseismic slip. 443

444 The proposed activation of asperities due to slow slip partly depends on the temporal continuity of the magnitude of completeness and the consistence of epicentral location quality 445 446 between the SMARTnet and AFAD catalogs that were used throughout this study. We therefore tested whether the inference of episodic seismicity after the  $M_W 4.5$  earthquake may be affected 447 by the lower M<sub>C</sub> during operation of the SMARTnet network. For that purpose, we checked 448 449 seismicity rates every two days observed from January 2016 until 417 days after the occurrence of the  $M_W 4.5$  earthquake using the AFAD catalog (Fig S6 in the electronic supplement to this 450 article). The seismic activity following the  $M_W 4.5$  earthquake is enhanced in comparison to the 451 452 activity observed before the event. In addition, seismicity rates for sequences 1, 3, and 4 clearly exceed any potential seismic activity fluctuations observed before the mainshock using the 453 AFAD catalog, except for a seismicity cluster in September 2016 which could be linked to the 454 occurrence of a previously reported slow slip transient (Martínez-Garzón et al., 2019; Durand 455 el at., in prep). Finally, we tested whether the epicentral location uncertainty of the  $M_W 4.5$ 456

457 event influences the suggested seismicity migration pattern. The observed seismicity migration 458 pattern does not depend on the selected  $M_W$  4.5 epicentral location (within its uncertainties).

459

# 460 Afterslip v triggered slow slip in the eastern Marmara region

While earthquake afterslip relieves coseismic stress increases from recent earthquake ruptures, 461 triggered slow slip transients release preexisting tectonic stress and they could be triggered by 462 both static and dynamic stresses (Burgmann, 2018). As the timescale of relaxation depends on 463 the initial stress perturbation, the duration of resolvable afterslip is dependent on the mainshock 464 magnitude (Wang et al., 2012). Accordingly, afterslip has been reported to cover different time 465 466 scales, ranging from few days after M < 5 earthquakes (e.g. Hawthorne et al., 2016 for moderate events on the San Andreas Fault) up to 200 days after the occurrence of the Mw 7.6 Chi-Chi 467 earthquake (Perfettini & Avouac, 2004). Seismicity driven by afterslip is observed to decay 468 following Omori law and it is expected to propagate logarithmically from the mainshock. This 469 is in good agreement with our observations of the seismicity during the first month after the 470 471  $M_{\rm W}$  4.5 earthquake. However, the duration of the subsequent slow slip appears unusually long with respect to the mainshock magnitude. Differently from afterslip, the duration of triggered 472 slow slip transients is expected to be independent of the triggering mainshock (e.g. Taira et al., 473 474 2014). Therefore, it is possible that the stress changes from the  $M_W$  4.5 Esenkoy earthquake and its following afterslip may have triggered a transient slow slip event, which would explain the 475 476 relatively large duration and strain values of the aseismic transients observed here. These two types of processes can be difficult to separate, as regions displaying large earthquake afterslip 477 duration and amplitude also tend to experience slow slip transients during the interseismic cycle 478 479 (Rolandone et al., 2018).

The here reported case is the second observation of combined long-lasting afterslip and
the triggering of a slow strain transient after M4+ earthquakes in the region. In 2016, a slow-

slip transient lasting about 50 days was identified after the occurrence of a  $M_W$  4.2 offshore 482 483 earthquake in the Çinarcik basin (Martínez-Garzón et al., 2019). The identification of two slow transients within a time span of a few years suggests that aseismic deformation in the eastern 484 Sea of Marmara may contribute to fault slip more than previously expected. Many questions 485 still remain open, such as the depth extent of the source of these slow signals. Therefore, the 486 postseismic behavior of moderate earthquakes (occurring more often than larger hazard-prone 487 events) needs to be monitored by near-fault instrumentation and analyzed in greater detail to 488 evaluate how frequent strong postseismic transients are in the eastern Sea of Marmara region 489 and elsewhere. 490

# 491 Concluding remarks

Identifying and quantifying interaction between seismic and aseismic deformation is essential 492 493 to better understand loading and unloading of distinct fault segments, which, in turn, is of critical importance for improved quantitative hazard and risk assessment in the Marmara region 494 given the proximity to the Istanbul metropolitan region. We combined microseismicity analysis 495 496 from a new temporary seismic network (SMARTnet) and borehole strainmeter data to resolve the mechanisms driving the persistent seismic activity around the 2018, Dec 20th Mw 4.5 497 earthquake, onshore the Armutlu peninsula of the Sea of Marmara region during the following 498 417 days. The bulk of the recorded seismicity corresponds to three sequences occurring every 499 50-90 days with > 30 events per day. It ruptured a local normal fault structure within and beyond 500 501 the rupture area of a  $M_{\rm W}$  4.5 event. A fourth sequence ruptured a nearby strike-slip structure. The migration of the seismicity from the  $M_W$  4.5 earthquake followed a logarithmic fit. The 502 migration patterns, the periodicity of the activated seismicity bursts, the swarm-like behavior 503 of the seismicity during the first two sequences, and an observed slow slip signal in two 504 strainmeters suggests that at least the first two seismic sequences may have been primarily 505

506 driven by aseismic slip potentially combined with fluid migration along the fault. Finally, we 507 posit that a local strain transient has been triggered by the  $M_W$  4.5 event and its afterslip.

#### 508 Data and Resources

509 Seismograms and earthquake catalog from this network have been acquired with the SMARTnet, GONAF and KOERI seismic networks. Earthquake catalog is available online 510 through the GFZ Data Services in Martínez-Garzón et al., (2021). Data from the strainmeters 511 is based on services provided by the GAGE Facility, operated by UNAVCO, Inc., with support 512 from the National Science Foundation and the National Aeronautics and Space Administration 513 514 under NSF Cooperative Agreement EAR-1724794. Seismic catalog from AFAD is available at https://tdvms.afad.gov.tr/ (last accessed 13.01.2021). Continuous recordings from the utilized 515 516 KOERI stations are available at: (http://www.koeri.boun.edu.tr/sismo/2/earthquake-catalog/, 517 last accessed on 28/01/2021). Strain modelling has been performed using the core from Coulomb 3.3 software (https://www.usgs.gov/software/coulomb-3, last accessed on 518 15.05.2021). Supplemental Material for this article includes eight figures (Fig S1 to S8) and a 519 table (Table S1). 520

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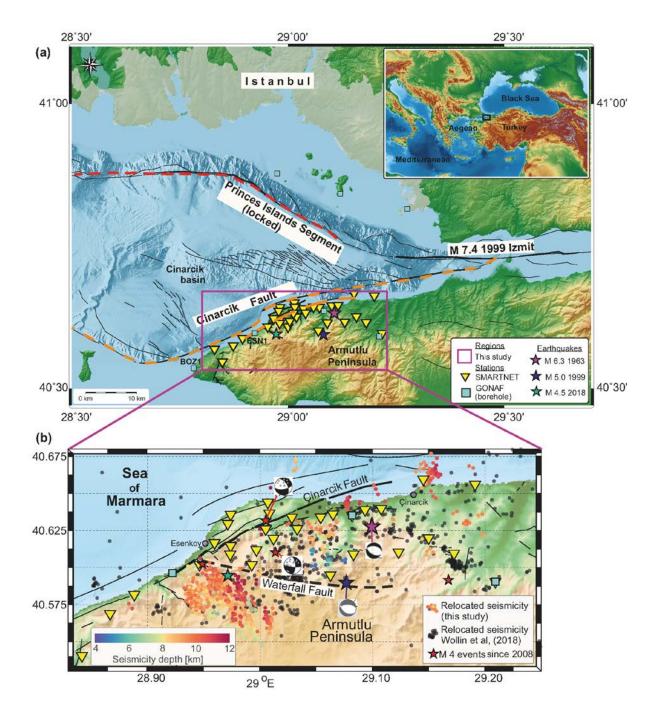
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# 726 Mailing Addresses

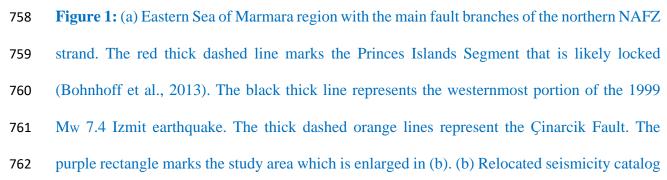
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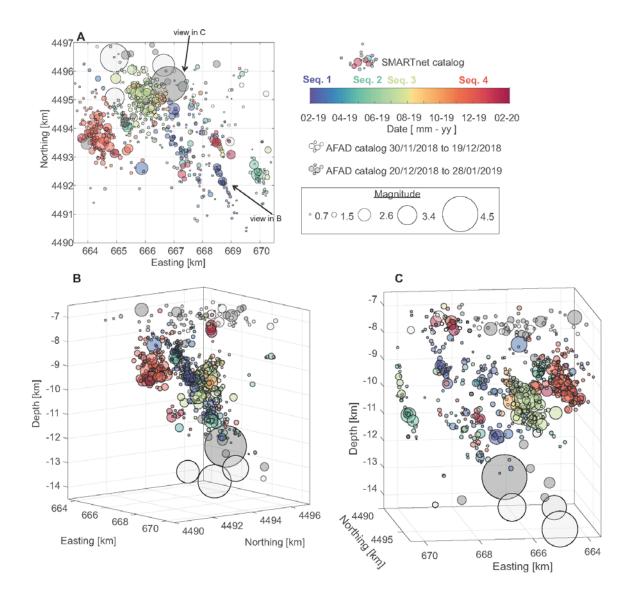








obtained in this study (colored dots) color encoded with hypocentral depth. For reference, also 763 relocated seismicity from the time period 2006-2016 after Wollin et al. (2018) is shown (black 764 dots). In (a) and (b), temporary SMARTnet surface stations and permanent GONAF borehole 765 vertical seismic arrays and strainmeter are indicated by yellow triangles and cyan squares, 766 respectively. Location of earthquakes with M>4 since 2008 are marked with red stars 767 (epicentral locations from AFAD catalog, focal mechanisms from Kinscher et al., (2013)). The 768 purple star marks the estimated epicenter of the 1963 M 6.3 earthquake (Bulut and Aktar, 2007) 769 together with its focal mechanism (Taymaz et al., 1991). Location of the Mw 5 aftershock of 770 the 1999 Mw 7.4 Izmit earthquake in the Armutlu Peninsula is shown with a blue star, together 771 with its focal mechanism (Pinar et al., 2003). Green star represents the location of the 2018 Mw 772 4.5 Esenkoy earthquake here analyzed. 773



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Figure 2: Seismically active area around the December 20<sup>th</sup>, 2018 *M*<sub>W</sub> 4.5 Esenkoy earthquake 775 (the biggest dark grey circle). Relocated seismicity from SMARTnet catalog is represented by 776 colored circles, where color and circle size is encoded with origin time and magnitude, 777 respectively. Grey circles represent the seismicity included in the catalog from the permanent 778 Turkish network operated by AFAD for the time periods November 30<sup>th</sup> to December 19<sup>th</sup>, 2018 779 (light grey), and December 20<sup>th</sup> 2018 to January 28<sup>th</sup>, 2019 (dark grey) (a) Map view. (b) 3D 780 view from an azimuth  $A = 126^{\circ}$ , highlighting the roughly planar fault structure defined by the 781 782 seismicity. (c) Same as (b) but from azimuth  $A = 8^{\circ}$ . The color of the main four sequences 783 described in the text appears marked in the colorbar.

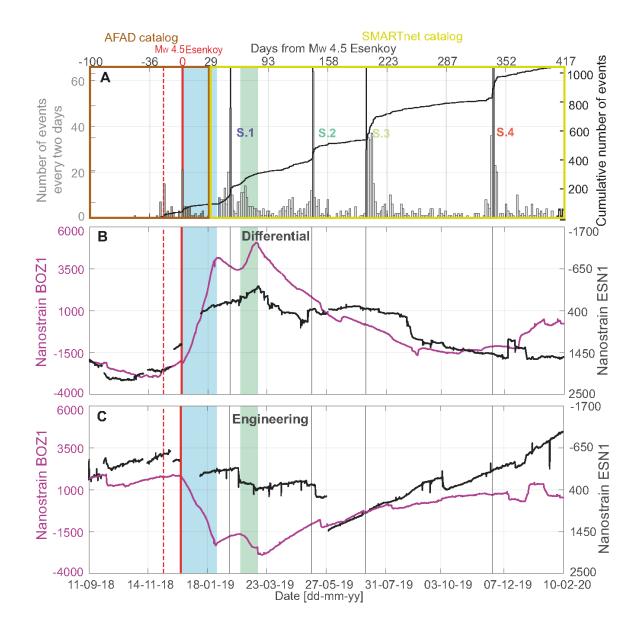
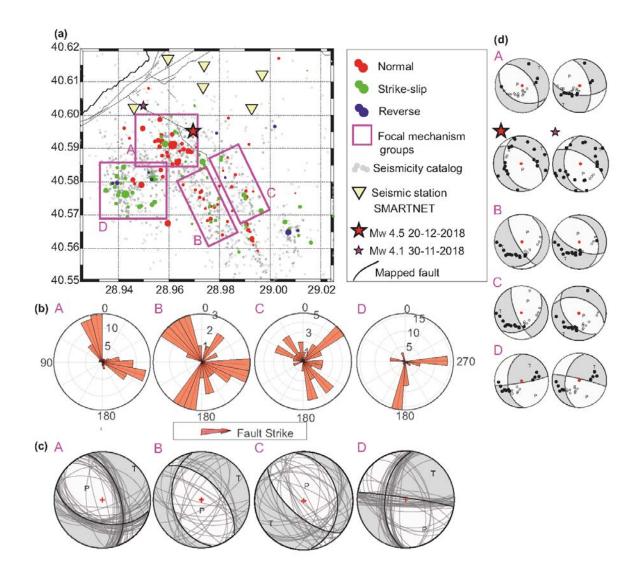




Figure 3: (a) Seismicity rates calculated every two days (grey bars) and cumulative number of 785 events for the region analyzed here (see Figure 2 for spatial distribution) for a period of time 786 covering 100 days before and 417 days after the 2018  $M_W$  4.5 Esenkoy earthquake. (b) 787 Temporal evolution of differential components of strainmeter BOZ1 (in purple) and ESN1 (in 788 black, note the reverted vertical scale). (c) Same as (b) but for the engineering component. In 789 (a, b, c), origin time of the  $M_W$  4.5 earthquake and a previous  $M_W$  4.1 nearby are marked with 790 red solid and dashed vertical lines, respectively. Black vertical lines represent the days with 791 largest number of events for each of the four subsequent sequences. In all panels, light blue 792

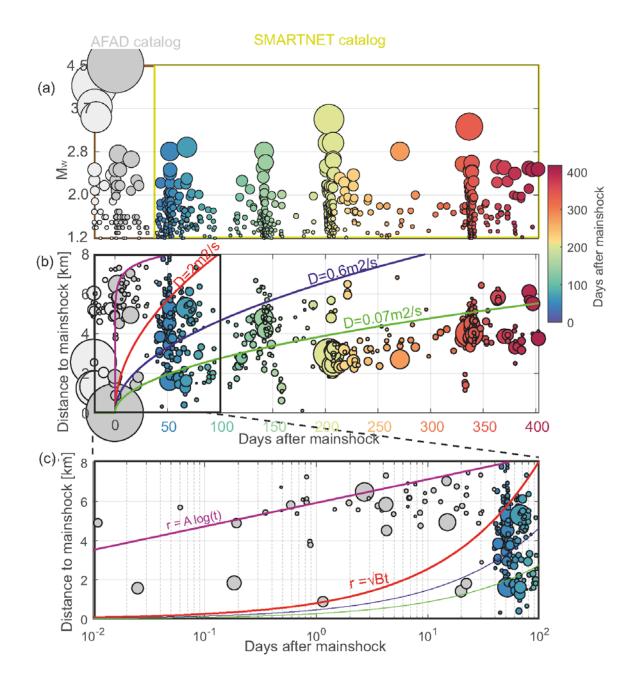
rectangles represent the main transient signal observed, and light green panels represent a signalof similar trend only visible at BOZ1.





**Figure 4:** (a) Map view of the MT groups around the 2018  $M_W$  4.5 Esenkoy earthquake, with the estimated MTs color encoded according to their faulting style. The four groups for which hybridMT was applied are represented by purple rectangles. The Dec 20<sup>th</sup> 2018  $M_W$  4.5 and November 30<sup>th</sup> 2018 earthquakes are marked with a red and purple stars, respectively. (b) Rose diagrams showing polar histogram of fault strikes (including the two possible fault planes out of each solution). (c) Representation of average MT for each group (main beach balls), together

- 802 with all solutions from the corresponding group (grey lines). (d) Representative MTs from each
- 803 group along with their station distribution over the focal sphere.



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**Figure 5:** In-plane distance of the seismicity from the  $M_W$  4.5 Esenkoy earthquake (Dec 20<sup>th</sup>, 2018) versus time. Light and dark grey color represent seismicity from AFAD catalog before and after the  $M_W$  4.5 earthquake. Colored circles represent seismicity from SMARTnet which is color encoded with time. Symbol size is also encoded with moment magnitude (a) Magnitude distribution of the seismicity vs time. (b) In-plane distance between each seismic event and the

- $M_{\rm W}$  4.5 earthquake as a function of time.. Purple line indicates a seismicity migration front of
- 811 the form  $r = A \cdot log 10(t)$ , while the red, blue and green lines represent a fitting of the form r
- 812 =  $\sqrt{B \cdot t}$  for different diffusivity values. (c) Zoom-in focusing on the first 100 days after the
- $M_{\rm W}$  4.5 earthquake, with the x axis in logarithmic scale.