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1 **Volcanic Tremor Extraction and Earthquake Detection using Music Information Retrieval**

2 **Algorithms**

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13

14 **Abstract**

15 Volcanic tremor signals are usually observed before or during volcanic eruptions and must be
16 monitored to evaluate the volcanic activity. A challenge in studying seismic signals of volcanic
17 origin is the coexistence of transient signal swarms and long-lasting volcanic tremor signals.
18 Separating transient events from volcanic tremors can therefore contribute to improving upon our
19 understanding of the underlying physical processes. Exploiting the idea of harmonic-percussive
20 separation in musical signal processing, we develop a method to extract the harmonic volcanic
21 tremor signals and to detect transient events from seismic recordings. Based on the similarity
22 properties of spectrogram frames in the time-frequency domain, we decompose the signal into
23 two separate spectrograms representing repeating (harmonic) and non-repeating (transient)
24 patterns, which correspond to volcanic tremor signals and earthquake signals, respectively.

25

26 We reconstruct the harmonic tremor signal in the time domain from the complex spectrogram of
27 the repeating pattern by only considering the phase components for the frequency range where
28 the tremor amplitude spectrum is significantly contributing to the energy of the signal. The
29 reconstructed signal is, therefore, clean tremor signal without transient events.

30

31 Furthermore, we derive a characteristic function suitable for the detection of transient events
32 (e.g., earthquakes) by integrating amplitudes of the non-repeating spectrogram over frequency at
33 each time frame. Considering transient events like earthquakes, 78% of the events are detected
34 for Signal to Noise Ratio (SNR) = 0.1 in our semi-synthetic tests. In addition, we compared the
35 number of detected earthquakes using our method for one month of continuous data recorded
36 during the Holuhraun 2014-2015 eruption in Iceland with the bulletin presented in *Ágústsdóttir*

37 et al. (2019). Our single station event detection algorithm identified 84% of the bulletin events.
38 Moreover, we detected a total of 12619 events, which is more than twice the number of the
39 bulletin events.

40

41 **Plain Language Summary**

42 Volcanic tremors are important signals in volcano seismology because they usually precede or
43 accompany volcanic eruptions, and might be considered as a forecasting signal for them. While
44 there are unsolved questions about the origin of these signals, they are usually recorded along
45 with many earthquake signals during periods of unrest. This makes the study of volcanic tremors
46 more complicated. A reliable signal processing scheme is therefore required to extract volcanic
47 tremor signals from seismological records. Inspired by the algorithms for separating harmonic
48 and percussive components in musical signal processing, we have developed a method to
49 separate volcanic tremor signals from earthquake signals within seismic waveforms. As by-
50 product, we have obtained a new approach for transient signal detection (e.g., earthquakes) that
51 allows for the detection of smaller seismic events.

52

53 **1 Introduction**

54 Volcanic tremors are long-lasting low-frequency seismic signals that frequently precede
55 or accompany volcanic eruptions (McNutt, 1992). They can reveal information about eruptive
56 activities (Alparone et al., 2003; Eibl et al., 2017a, 2017b) and are one of the most commonly
57 studied signals in volcano seismology (Falsaperla et al., 2005) for use in eruption forecasting as

58 well as investigating the physics of the underlying volcanic processes (Chouet, 1996; Yukutake
59 et al., 2017).

60

61 Despite different hypothesis about the generation mechanisms of volcanic tremors, the details are
62 not yet well understood (Eibl et al., 2017b; Davi et al., 2012) and a variety of physical processes
63 may explain the seismological evidence observed so far (Hellweg, 1999). Volcanic tremor sig-
64 nals are usually seen in the seismic records alongside many tectonic earthquakes or other transi-
65 ent signals occurring during a period of volcanic unrest (Dmitrieva et al., 2013; Eibl et al.,
66 2017a; Hotovec et al., 2013), affecting the observability of the tremor signal. Both volcanic
67 tremors and earthquakes may help to better understand the underlying physical processes of vol-
68 canic eruptions, however, the superposition of signals makes it challenging to study the details of
69 each signal separately. A reliable signal processing operation is thus required to separate earth-
70 quakes as well as other transient signals from the volcanic tremor signals in the recorded seismic
71 waveforms during periods of volcanic unrest. There have been attempts in terms of the detection
72 and discrimination of volcanic tremor and tectonic earthquake signals in previous studies. For
73 example, an automatic P-and S-wave detection was used in Rouland et al. (2009) in order to
74 identify volcanic tremors as events containing only P-type wave, and tectonic earthquakes, con-
75 taining both P- and S-waves. However, this study introduces for the first time the topic of ex-
76 tracting tremor signals from seismic waveforms and reconstructing the volcanic tremor signal
77 with related phase information.

78

79 Inspired by similarities of seismic and acoustic signals, we take advantage of the expertise de-
80 veloped in the field of Music Information Retrieval (MIR) and audio signal processing. A seis-

81 mic waveform is the record of Earth vibrations, which, in terms of signal properties and genera-
82 tion mechanism, can be seen to be similar to sound signals generated by musical instruments
83 (including the human voice) (Johnson & Watson, 2019; Schlindwein et al. 1995). Exploiting the
84 extensive research results in MIR (e.g., Müller, 2015), we have developed a seismological data
85 processing scheme for the purpose of separating volcanic tremor signals from transient signals
86 generated during a volcanic crisis.

87

88 The separation of harmonic and percussive components of sound is of great interest in musical
89 signal processing (e.g., Rafii & Pardo, 2011). Pop music, for example, often consists of a repeti-
90 tive percussive background and a vocal foreground, which is locally non-repetitive (FitzGerald
91 2012). In this type of music, the different characteristics of harmonic and percussive sounds in
92 the spectrogram domain (see Müller, 2015) allow a separation of foreground vocals from the
93 more percussive background sound (FitzGerald & Gainza, 2010). Similarly, a seismic waveform
94 during an eruption may consist of (harmonic) volcanic tremor signals over which transient seis-
95 mic signals are superimposed. The long-duration volcanic tremor signal that lasts minutes to
96 days with a restricted frequency range (1-9 Hz according to McNutt, 1992) contrasts with transi-
97 ent seismic signals such as earthquakes with a wider range of frequencies (0.1-30 Hz in this
98 study). In particular, harmonic volcanic tremor signals with distinct spectral lines are readily
99 distinguishable from transient, short-duration (seconds) seismic events in the time-frequency
100 domain. In musical signal processing, the goal of harmonic-percussive source separation (HPSS)
101 is to decompose an input signal into the sum of two signals, one consisting of all harmonic com-
102 ponents and the other of all percussive components (Müller, 2015). The same algorithms could
103 be implemented in the seismology domain to decompose a seismic signal into its harmonic com-

104 ponents (harmonic volcanic tremors) and percussive components (transient events such as earth-
105 quakes). In musical signal processing, several methods for Harmonic-Percussive Separation
106 (HPS) have been suggested (Müller, 2015).

107

108 Here, in the first step of our method, we adopted the repetition/similarity (REPET-SIM) method
109 (Rafii & Pardo 2012; Rafii et al., 2014) to separate volcanic tremors from transient earthquakes.
110 The advantage of this method is its ability to process music pieces with quickly-varying repeat-
111 ing structures without the need to identify periods of the repeating structure beforehand. The
112 approach evaluates the underlying repeating structure by looking for the similarities in the spec-
113 trogram time frames. This repeating part of the signal is then subtracted from the original spec-
114 trogram. The remaining time frames contain the percussive events. We use this approach and
115 apply it to seismic data collected from a volcano. In this setting, repeating structures, which re-
116 sult in a harmonic spectrum, correspond to volcanic tremors and percussive (non-repeating and
117 impulsive) elements correspond to transient events like earthquakes. Another method similar to
118 REPET-SIM for HPS was proposed by FitzGerald (2010), which we use in the second step of
119 our method in order to remove remaining percussive components in the repeating spectrogram
120 and vice versa.

121

122 The remainder of this paper is organized as follows. In section 2, we describe existing methods
123 in MIR for our problem (section 2.1) and explain how we developed our method based on these
124 algorithms. Modifications to and the application of the REPET-SIM method (Rafii & Pardo
125 2012; Rafii et al., 2014) and the HPS using median filtering (FitzGerald ,2010) for extracting
126 seismic tremor signals are outlined in section 2.2, while section 2.3 describes the detection and

127 timing of the remaining transient events (e.g., earthquakes). Section 2.4 outlines the selection of
128 the method's parameters. Section 3 presents the generation of semi-synthetic data (3.1), an
129 evaluation of the proposed method based on a semi-synthetic test on tremor extraction (3.2) and
130 earthquake detection (3.3), as well as real data tests (3.4). The feasibility of the method with
131 respect to processing speed is discussed in section 3.5. In section 4 we discuss the results and
132 provide our conclusions about the applicability of the method.

133

134 **2 Method**

135 **2.1 Harmonic-percussive separation algorithms**

136 Harmonic-Percussive Separation (HPS) as an application of musical source separation (Cano et
137 al., 2018) has attracted significant attention in MIR research in recent years (Rafii et al., 2018).
138 HPS algorithms are based on the different characteristics of harmonic and percussive compo-
139 nents in a music signal.

140

141 Harmonicity expresses the situation in which the complete signal can be seen as the superposi-
142 tion of spectral components (partials) whose frequencies are all integer multiples of a fundamen-
143 tal frequency. Harmonics form stable horizontal ridges in a Short Time Fourier Transform
144 (STFT) spectrogram, which means constant frequencies exist along the time axis. A percussive
145 (impulsive) sound is short and similar to the sound of hitting a drum. Percussive signals form
146 vertical ridges in a STFT spectrogram, corresponding to the existence of different frequencies in
147 an instant, i.e., a broadband characteristic of short duration.

148

149 In order to separate harmonic and percussive elements, one simple approach is to apply a median
150 filter to the STFT spectrogram of the signal (FitzGerald, 2010). Median filters are usually used to
151 remove noisy parts of a signal by replacing each sample by the median value determined from
152 the neighboring samples within a specific window. Within the HPS, a median filter applied along
153 the horizontal axis of the spectrogram (time) suppresses ‘short-lived’ broadband percussive
154 components interrupting the long-lasting horizontal narrowband ridges. This results in a ‘de-
155 noised’ harmonic spectrogram. Similarly, applying a median filter along the vertical axis of a
156 spectrogram (frequency) emphasizes short-lived broadband features while suppressing long-
157 lasting narrowband horizontal frequency lines (harmonic components) and results in a ‘denoised’
158 percussive spectrogram. These two median filters are used separately in order to generate the
159 related spectrograms with dominant harmonic or percussive content, respectively.

160

161 Another promising approach for our purpose is REPET-SIM, which treats repetition as a basic
162 property in generating and perceiving structure in music (Rafii & Pardo 2012; Rafii et al., 2014).
163 The main step in this method is to identify similar patterns using a calculated similarity matrix.
164 Given a music signal, first its complex STFT is calculated, which is named \mathbf{X} here. Considering
165 \mathbf{V} as the amplitude spectrogram $\mathbf{V} = |\mathbf{X}|$, the similarity matrix \mathbf{S} is calculated to measure the
166 cosine similarity (the similarity between two vectors of an inner product space) between time
167 frames of the spectrogram \mathbf{V} . As shown in equation (1), the cosine similarity is calculated
168 through the multiplication of the transposed \mathbf{V} by \mathbf{V} with normalization of the \mathbf{V} time frames.

$$S(j_a, j_b) = \frac{\sum_{i=1}^n V(i, j_a) V(i, j_b)}{\sqrt{\sum_{i=1}^n V(i, j_a)^2} \sqrt{\sum_{i=1}^n V(i, j_b)^2}}. \quad (1)$$

169 where $\forall j_a, j_b \in [1, m]$, where m is the number of time frames and n equaling $N/2+1$ is the number
170 of frequency channels for each time frame of length N (samples). $\mathbf{S}(j_a, j_b)$ is then the cosine
171 similarity between the time frames j_a and j_b of the spectrogram \mathbf{V} .

172

173 For all the frames j in \mathbf{V} , similar frames are identified using a threshold in the similarity matrix
174 and stored in an array \mathbf{J} . A repeating spectrogram model (\mathbf{W}) is then derived using the similar
175 frames. For all the frames j , the corresponding frame in \mathbf{W} is derived by taking the median of \mathbf{J}
176 for each frequency. Repeating time-frequency bins are captured by the median and build the
177 repeating spectrogram model \mathbf{W} . A refined repeating spectrogram model W' is created by taking
178 the minimum between \mathbf{W} and \mathbf{V} . The rationale is that the non-negative spectrogram \mathbf{V} is the sum
179 of two non-negative spectrograms of repeating and non-repeating patterns, hence, \mathbf{W} is less than
180 or at most equal to \mathbf{V} .

181

182 In the following, a time-frequency mask \mathbf{M} is derived by normalizing W' by \mathbf{V} . Time-frequency
183 bins with repeating patterns will have values close to 1 in \mathbf{M} and time-frequency bins without
184 repeating patterns will have values close to 0. The mask \mathbf{M} is applied to STFT \mathbf{X} and the
185 repeating spectrogram will be created. Finally, the harmonic signal in music is obtained by
186 inverting the repeating spectrogram into the time domain. The percussive signal is obtained by
187 subtracting the harmonic signal from the input signal (Rafii & Pardo 2012).

188

189 **2.2 Volcanic tremor extraction approach**

190 Among the different tremor observations in volcanic seismology, the so-called harmonic
191 tremor is a special signal showing a band-limited harmonic spectrum. It has been observed at
192 many volcanoes and has been reported often during times of increased volcanic activity, and is
193 thought to be connected to fluid flow or (de-) pressurization of the volcanic system (e.g.,
194 Montegrossi et al., 2019). This is the motivation for using HPS algorithms in order to separate
195 harmonic volcanic tremor signals from earthquake signals representing the percussive event type.
196 Being able to extract this special kind of tremor signal from seismic waveforms provides the
197 opportunity to improve the observations and analyses of harmonic tremors. In particular,
198 extracting low-amplitude harmonic tremor signals that are hidden in the background seismic
199 noise or overprinted by earthquake sequences accompanying volcanic activity may allow new
200 insights into the generation processes and their relationships to volcanic eruptive activity.
201 In this study we analyze the seismic waveforms of the Holuhraun 2014-2015 eruption in Iceland
202 (FLUR station from network 7Z (White, R. 2010)) to separate the harmonic and percussive
203 components. Figure 1 shows the eruption site and the station location in Iceland with an example
204 of one day of seismic waveforms (Figure 1a & b), the PSD (power spectral density), and the
205 spectrogram (Figure 1c & d). The PSD and spectrogram of the extracted harmonic components
206 are shown in Figure 1e & f.

207

208 Our method is derived from a combination of the REPET-SIM method (Rafii & Pardo 2012;
209 Rafii et al., 2014) and the HPS algorithm given by FitzGerald (2010) after tuning parameters to
210 adapt it to seismic data. For building our method, we used Librosa, a Python package for audio
211 and music signal processing (McFee et al., 2020). Furthermore, we implement a phase

212 reconstruction procedure for the volcanic tremor signal. A detection algorithm for earthquakes as
213 transient signals has been derived as a by-product of the applied processing.

214

215 The REPET-SIM, as described in section 2.1, is used to create a similarity matrix and to derive a
216 time-frequency model of repeating patterns. We derive the non-repeating spectrogram model by
217 subtracting W' from V . Once the model spectrograms are calculated, they are used to derive two
218 time-frequency masks for repeating and non-repeating patterns.

219

220 We modified the REPET-SIM algorithm by using a soft mask via Wiener filtering (Vaseghi,
221 1998) instead of a binary mask. The calculation of the soft mask **M1** and **M2** are shown below as
222 equations (2) and (3):

$$M1_{n,m} = \frac{W'_{n,m}{}^P}{W'_{n,m}{}^P + (V_{n,m} - W'_{n,m})^P} \quad (2)$$

223

$$M2_{n,m} = \frac{(V_{n,m} - W'_{n,m})^P}{(V_{n,m} - W'_{n,m})^P + W'_{n,m}{}^P} \quad (3)$$

224 where **M1** is a repeating mask and **M2** is a non-repeating mask. A power factor P is applied to
225 the model spectrograms to further enhance the signal-to-noise ratio. We use a power factor of 2
226 in our calculations.

227

228 Once we have constructed the masks, we multiply them with the input amplitude spectrograms to
229 separate the components. Equation (4) shows the element-wise multiplication of the repeating
230 mask ($\mathbf{M1}$) and the input amplitude spectrogram (\mathbf{V}).

$$\mathbf{R}=\mathbf{M1}\otimes\mathbf{V}, \quad (4)$$

231 where \mathbf{R} denotes the repeating amplitude spectrogram. The same element-wise multiplication
232 operation is applied for the non-repeating mask and the input amplitude spectrogram as it is
233 shown in equation (5):

$$\mathbf{NR}=\mathbf{M2}\otimes\mathbf{V}, \quad (5)$$

234 where \mathbf{NR} denotes the non-repeating amplitude spectrogram.

235

236 From this we obtain two spectrograms, one for repeating patterns and one for non-repeating
237 patterns. The harmonic and percussive components of the signals are separated into their
238 respective masked spectrograms, although small traces of percussive components are still visible
239 in the repeating spectrogram, and remnants of the harmonic components can be recognized in the
240 non-repeating spectrogram. Therefore, a second HPS approach is subsequently applied to the
241 resulting spectrograms from the first processing step by using the median filtering method of
242 FitzGerald (2010). In particular, we use median filtering along the time axis, enhancing the
243 harmonic components within the spectrogram. Applying another median filtering along the
244 frequency axis results in a denoised spectrogram of the percussive components. Following the
245 above notation, each spectrogram of \mathbf{R} and \mathbf{NR} will be decomposed into two spectrograms of
246 their harmonic and percussive components. Equation (6) and (7) show this separation:

$$R = H1 + P1, \quad (6)$$

247

$$NR = H2 + P2, \quad (7)$$

248 where **H1** and **P1** are harmonic and percussive components of the repeating spectrograms, and
249 **H2** and **P2** are harmonic and percussive components of the non-repeating spectrograms. We
250 create a soft mask using **H1** and multiply it the **R** spectrogram, which results in the final
251 harmonic spectrogram, which we name **HARM**. Another soft mask is created using **P2** and is
252 multiplied by the **NR** spectrogram to derive the final transient spectrogram that we have named
253 **TRAN** (see Figure 2).

254

255 Figure 2 shows the flow-chart of the method with an example of a seismic waveform from 3
256 September 2014 during the Holuhraun 2014-2015 eruption in Iceland (FLUR station from
257 network 7Z (White, R. 2010)). On this day we were 4 days into a 6-month long fissure eruption
258 accompanied by tremors and long-period and volcano-tectonic earthquakes (Eibl et al. 2017a).
259 For further details on the background of the Holuhraun eruption event, the reader is referred to
260 Sigmundsson et al. (2015) and Gudmundsson et al. (2016). For details on the events on 3
261 September 2014, the reader is referred to Eibl et al. (2017a) and Woods et al. (2018).

262

263 Besides describing the processing steps (Figure 2a), we show an input waveform and its
264 spectrogram, which is decomposed in two steps (Figure 2b). In the first step using the modified
265 REPET-SIM algorithm, we decompose the **X** spectrogram into a ‘repeating’ spectrogram (**R**
266 spectrogram) and a ‘non-repeating’ spectrogram (**NR** spectrogram). Each of these two

267 spectrograms are then decomposed into their harmonic and percussive components in the
268 subsequent step, following the algorithm of FitzGerald (2010). The harmonic component of the
269 repeating spectrogram shows the final result for the harmonic spectrogram (HARM spectrogram)
270 and the percussive component of the non-repeating spectrogram shows the final result for the
271 transient or percussive spectrogram (TRAN spectrogram). The HARM spectrogram corresponds
272 to the tremor spectrogram according to our assumptions of the generating process. From the
273 tremor spectrogram in the frequency domain, the tremor signal can be reconstructed in the time
274 domain. The problem of reconstructing a signal from its modified STFT has varieties of
275 applications in audio signal processing, where modifications are applied to the amplitude STFT
276 and the phase information is lost (Sturmel & Daudet, 2011). The standard phase reconstruction
277 Griffin-Lim algorithm (Griffin & Lim., 1984) which is based on random phase initialization
278 followed by the minimization of the squared error between the STFT of the estimated signal and
279 the modified STFT, shows poor performance for our seismological test signals. The random
280 initialization of phase is an inadequate starting model for the inversion procedure and results in
281 an unreliable signal estimate. The problem of this inadequate signal reconstruction is illustrated
282 by an example (Figure 3d) and is described at the end of this section.

283

284 We must use phase information of the original STFT \mathbf{X} in order to reconstruct the signal in the
285 time domain. Considering the notation in section 2.1, we calculate the similarity matrix based on
286 \mathbf{V} as the amplitude spectrogram. Therefore, we need to separate the complex-valued spectrogram
287 \mathbf{X} into its amplitude (\mathbf{V}) and phase components using equation (8).

(8)

$$X = V * \exp(1j * \varphi),$$

288 where φ denotes the phase of \mathbf{X} and j is the imaginary unit. The procedure of using the initial
289 phase matrix is more problematic than it might seem at first glance. Simply using the phase
290 information of \mathbf{X} can lead to a noisy reconstructed signal due to the noise contributions in the
291 phase matrix of the seismic waveform. Therefore, we use the values of the phase matrix only in
292 the dominant frequency band of the **HARM** spectrogram. We do so by integrating the **HARM**
293 spectrum amplitude squared for all time frames and determine the starting frequency as the 5%
294 quantile of the total energy in the spectrum and the stop frequency as the 95% quantile,
295 respectively. The dominant frequency band is between the start and stop frequencies. Then, we
296 add this modified phase information (weighted phase information) named φ_t to the **HARM**
297 spectrogram using equation (9).

(9)

$$T = HARM * \exp(1j * \varphi_t),$$

298 where \mathbf{T} is the complex tremor spectrogram and **HARM** is the harmonic amplitude spectrogram.
299 Finally, we reconstruct the tremor signal time series from the complex spectrogram \mathbf{T} , using the
300 inverse short-time Fourier transform. The inversion process is done using the Griffin-Lim
301 algorithm (Griffin & Lim., 1984) for converting a complex-valued spectrogram to a time-series
302 by minimizing the mean squared error between the complex STFT of the estimated signal and
303 the modified STFT \mathbf{T} . Note that using a part of the phase information sees the Griffin-Lim
304 algorithm converging to a reasonable time domain signal, whereas it won't if starting with
305 randomly selected phases.

306

307 Figure 3 shows the seismic signal (Figure 3a blue) and a comparison of the reconstructed
308 volcanic tremor signal for one minute of seismic waveform from 3 September 2014 using our
309 approach (Figure 3a green and 3b) and two other methods (Figure 3c and d) described below. As

310 shown in Figure 3 (b) the reconstructed tremor signal using our method is not noisy and shows
311 almost no trace of transient signals. Figure 3 (c) shows the reconstructed signal using the inverse
312 short-time Fourier transform, after applying horizontal median filtering (FitzGerald 2010) on the
313 STFT spectrogram with the aim of separating and extracting the harmonic tremor signal. In this
314 case, the tremor signal is reconstructed by adding the phase of the original seismic waveform to
315 the modified STFT. Transient signal energy still exists in the reconstructed harmonic signal,
316 which demonstrates that horizontal median filtering is not sufficient for extracting a clean tremor
317 signal without signs of transient events.

318

319 In Figure 3 (d), we show the estimated tremor signal using the original Griffin-Lim algorithm for
320 phase reconstruction. The effect of earthquake signals is almost eliminated, as in Figure 3 (b),
321 which is reasonable as both Figure 3 (b) and (d) are extracted from the **HARM** spectrogram.
322 However, a significant difference compared to the seismic signal is visible in Figure 3 (d) in
323 terms of the shape of the signal. Also, the phase is not reconstructed correctly. Therefore, this
324 signal (Figure 3d) is not applicable for seismological purposes. This shows the importance of
325 using appropriate phase information for reconstructing a seismic signal in the time domain.

326 We note that a pre-filtering of the original seismic data is necessary to remove microseismic
327 signals before applying our algorithm. Indeed, microseisms are harmonic signals, which may
328 have a dominant energy in the tremor spectrogram. Therefore, the amplitude and the phase of the
329 reconstructed tremor signal could be significantly affected by such microseism signals if they are
330 not filtered out beforehand. We applied a high-pass filter with a cut-off frequency of 0.5 Hz on
331 our real dataset.

332

333 **2.3 Transient signal detection and timing estimation**

334 In a second step, we use the transient spectrogram to locate the occurrence of transient
335 signals in time. We do so by integrating the spectral amplitudes over the full frequency band at
336 each time frame, thus deriving a characteristic function suitable for detecting transient events. At
337 the time of transient events, this function has large values compared to zero or very small values
338 in other parts of the function.

339

340 Most observed transient signals in the seismic recordings can be interpreted as seismic wave
341 arrivals of earthquakes. A standard task in observational seismology is then to estimate arrival
342 times of wave groups from timing the onset of transient signals. Proposing the detection of
343 transient signals with the characteristic function described above, we further aim to extract an
344 accurate onset time of the transient signals. For detection, we use a local maximum (peak) search
345 on the transient characteristic function. Two thresholds are applied to the characteristic function;
346 the upper threshold and the lower threshold. The upper threshold is used for transient signal
347 detection and the lower threshold is used for accurate onset timing. The upper threshold prevents
348 picking up minor local maxima representing coda waves or other fluctuations in the earthquake
349 records. This threshold is determined by visually analyzing the peak value distribution on some
350 smaller test set in the data. The local maxima, which are larger than the threshold, are then
351 considered to represent detected earthquakes. The maximum peak of the characteristic function
352 corresponds mostly to S-wave arrivals, while the P-wave onset can be associated with the earliest
353 break in the characteristic function. We have therefore developed a straightforward procedure to
354 find the first arrival onset of the transient events by considering amplitude and amplitude

355 derivatives of the characteristic function for the pre-peak interval time window from the largest
356 local maximum found in the characteristic function (Figure 4).

357

358 We used a 5 second pre-peak interval time window because most of the earthquakes in this study
359 are local and t_S-t_P difference times are less than 5 seconds. This time window is shown in Figure
360 4. It is recommended to use a larger pre-peak interval time window for regional earthquakes. We
361 shorten this pre-peak interval time window preceding each peak using the following criterion.
362 First, we adjust the lower threshold visually to the level of residual signal energy from the
363 harmonic signal component remaining after the separation process. The lower threshold is the
364 smallest non-zero number in the characteristic function, which does not correspond to the
365 transient signals. This allows the removal of minimal amount of residual energy due to the
366 separation process. Using the lower threshold improves the accuracy of onset time picking. We
367 set all values of the characteristic function below the lower threshold to zero. Second, we check
368 if there are some neighboring zero samples in the time window and change the starting point of
369 the window to one sample after the last zero sample in order to prevent mixing with a very close
370 preceding event. Indeed, neighboring zero samples means that there is no transient signal and
371 shortening the window avoids confusion with a close preceding event. We skip the samples
372 following a local maximum within the window if there are any. Then, we calculate the slope
373 between each two neighboring samples and we skip the samples following a slope reduction if
374 there are any. Finally, the starting point of the transient signal (P-wave arrival) is the point
375 showing the maximum slope increase (see Figure 4).

376

377 **2.4 Parameters selection**

378 Although the separation process creates a harmonic and percussive spectrogram, the
379 process must be repeated twice with different FFT window lengths if both tremor signals and the
380 timing of the transient events are to be determined. Due to the uncertainty principle in Fourier
381 analysis, it is impossible to increase both the temporal resolution and the frequency resolution. A
382 better frequency resolution requires a longer time window for the spectral analysis (longer FFT
383 length), which implies a reduced temporal resolution. Similarly, using a shorter FFT window
384 increases the temporal resolution, while the frequency resolution will be reduced. For extracting
385 the tremor signal, we need a high resolution in the frequency domain and therefore a large
386 number of FFT points is chosen. We use a FFT window length of 81.92 seconds with an overlap
387 of 75%, corresponding to an FFT size of 8192 at a sampling frequency of 100 Hz. To detect
388 transient events, a high resolution in the time domain is needed and a small number of FFT
389 points and short hop size (number of samples between each successive FFT window) are chosen.
390 We use a FFT size and FFT window length of 1.28 seconds, with an overlap of 75%.
391 Considering the data's 100 Hz sampling frequency, neighboring FFT windows are spaced in time
392 by an interval of 0.32 seconds (3.125 samples per second). Fourier transforms with a narrower
393 FFT size are not recommended for our algorithm due to the resulting limited frequency
394 resolution.

395

396 There are two sets of median filter procedures used in our method. The first one, which is
397 described in section 2.1, is part of the REPET-SIM algorithm and is depicted in the flow-chart of
398 Figure 2(a). After identifying the similar frames and storing them in the array **J**, the median of **J**
399 is taken for each frequency in order to construct **W**.

400

401 The second median filter procedure is described in the section 2.2 where a second harmonic-
402 percussive separation approach is applied by using the median filtering method of FitzGerald
403 (2010). Both a horizontal and a vertical median filter are applied separately to the spectrograms
404 of **R** and **NR** (see the flowchart in Figure 2(a)). We use a standard kernel size of 31 for both the
405 horizontal and vertical median filters, as it has been shown by Driedger et al. (2014) that the
406 choice of this parameter is not critical if not choosing extreme values. Both **R** and **NR** are
407 decomposed into two spectrograms, i.e., containing harmonic and percussive signal components .
408 The harmonic component of the **R** spectrogram is the final harmonic spectrogram (**HARM**, see
409 figure 2b). The percussive component of the spectrogram is the final spectrogram of the transient
410 components (**TRAN**, see Figure 2b).

411

412 **3 Data sets and testing**

413 **3.1 Generation of semi-synthetic data**

414 We created a synthetic harmonic signal, convolving equally-spaced spikes with a real-valued
415 Morlet wavelet (Figure S1 a). In this way, we can model the basic features of a harmonic spectra
416 (Schlindwein et al. 1995). Instead of using exact constant repetition intervals and a fixed
417 amplitude, which produces a perfect harmonic tremor signal, we varied the interval times as well
418 as the amplitude of the spikes according to a normally distributed random variable around some
419 mean value with about 10% variance. This results in slightly broadened peaks of the harmonic
420 spectrum and reproduces the variation that we observe in seismic records of volcanic tremors
421 (Eibl et al. 2017a) (Figure S1 b). After creating the harmonic signal, colored noise resembling
422 Peterson's low noise model (LNM, Peterson 1993) is added to the signal. The colored noise is

423 synthesized by computing coefficients of a zero phase FIR (Finite-Impulse-Response) filter via
424 inverse FFT from the spectral representation of the LNM. Then, we apply the FIR filter to a
425 random time series of arbitrary length and multiply it with an amplitude factor to adjust the SNR
426 of the tremor versus colored noise (Figure S2). Finally, we add real earthquake recordings
427 randomly in time to the resulting time series of synthetic tremor and noise (Figure S3). Each
428 earthquake signal, which is used for semi-synthetic data creation, is cut from the beginning of the
429 P wave until the signal amplitudes returns to the pre-event noise level after the S- or Surface
430 wave coda part. We used different types of the earthquakes' signals, i.e., both long period and
431 volcano-tectonic events within the time period from 15 September to 20 September 2014 show
432 significantly different signal durations. In total, we created 24 hours of semi-synthetic data by
433 combining 500 real earthquake recordings with synthetic harmonic waveform and a seismic
434 noise series. More details about the semi-synthetic data generation can be found in the
435 supplementary Figures (S1 to S3). Figure 5 (a, b, & c) show the components of the semi-
436 synthetic signal and Figure 5 (d) shows the created semi-synthetic signal.

437

438 We applied our method to this semi-synthetic dataset. The synthetic harmonic signals were
439 extracted and the earthquakes were detected via the characteristic function. Figure 5 (e) shows
440 the semi-synthetic signal after subtracting the extracted tremor signal from it and we name it the
441 de-tremored signal. As shown in Figure 5 (e), this signal has a larger earthquake Signal to Noise
442 Ratio (SNR) and an improvement in the first-motion piking is seen. This is useful when we need
443 to remove a harmonic noise from the seismic waveform. Figure 5 (f & g) show the extracted
444 harmonic signal and the earthquake characteristic function as outputs of the method.

445

446 **3.2 Testing the tremor extraction algorithm using semi-synthetic data**

447 To evaluate the ability of the method for tremor signal extraction, we use the created semi-
448 synthetic data with different SNR of the harmonic signal. In order to set different SNRs, we
449 normalize each component of the semi-synthetic data by dividing it by its standard deviation and
450 then we weight them based on the desired SNR. Our harmonic signal extraction process is
451 performed on the semi-synthetic data and the harmonic signal is then reconstructed. The cross-
452 correlation of the synthetic harmonic signal and the reconstructed harmonic signal using our
453 method is measured (Figure 6). Cross-correlations measure the similarity of two time series, so
454 we calculate them to evaluate how similar the reconstructed harmonic signal is to the synthetic
455 harmonic signal. If the two-time series are identical, the cross-correlation coefficient will be 1
456 and if they are completely different, the cross-correlation coefficient will be 0. We can
457 reconstruct the tremor signal for a SNR of at least 0.4 with a cross-correlation of more than 0.8.
458 The synthetic harmonic signal and the reconstructed signal match well in both phase and shape
459 (see Figure 5b and f). The differences between these two signals is usually related to small
460 fluctuations in the input harmonic signal, which shows a random pattern instead of a repetitive
461 pattern. The similarity matrix is not able to identify random patterns, therefore, they are not
462 reconstructed in the output signal. Figure 6 shows the SNR and related cross-correlation of input
463 and output harmonic signal.

464

465 **3.3 Testing the earthquake detection algorithm using semi-synthetic data**

466 To evaluate the capacity of our method for earthquake detection, we use the created semi-
467 synthetic data with different earthquake SNR. We report the local SNR here, which refers to the

468 ratio between the variance of the earthquake signal and the variance of the local related segment
469 of the semi-synthetic data. The local related segment is the time window, which contains the
470 earthquake signal as well as synthetic tremor signals and seismic noise in the background. The
471 segment has a variable length that corresponds to the earthquake signal duration. The advantage
472 of the semi-synthetic signals is that we can measure and control the individual components. The
473 results show that for $\text{SNR} = 0.1$, we can detect more than 78% of the events, however, below
474 $\text{SNR} = 0.3$, there is a significant number of false picks (up to 30% of all events), while the
475 average percentage of false picks is 6% for SNR between 0.3 to 1. For SNR higher than 0.1, 42%
476 of the missed events are LP events. The SNR and related detection rates are reported in Figure 7
477 (a). Some examples of semi-synthetic data with different earthquake SNR and different SNR of
478 harmonic signal component are presented in the supplementary figures (Figures S4 to S7).

479

480 Most missed events are similar to that shown in Figure 7 (b), which are classified as long period
481 (LP) events (Woods et al., 2018). Figure 7 (c) shows a typical volcano-tectonic event for
482 comparison. That LP events are often not detected can be explained by the properties of the
483 detection characteristic function. This function is derived from summing all frequencies in the
484 transient spectrogram for each time frame. Thus, the characteristic function is sensitive to
485 broadband signals. However, LP events are narrow band, which results in a poor performance,
486 although the signals are contained in the transient spectrogram. Also, if LPs persist longer, it
487 becomes more difficult to detect them because of the basic structure of the method. Indeed, to
488 create the repeating spectrogram, for all time frames, we derive the corresponding frame (in the
489 repeating spectrogram) by taking the median of the similar frames (which are identified using the
490 similarity matrix) for each frequency bin. For a transient (short duration in time) event, there are

491 a few numbers of similar frames in the spectrogram, so it is identified as a non-repeating pattern.
492 Therefore, it will show a short-lasting sharp peak in the transient characteristic function. In
493 contrast, for a long-lasting event, there are some adjacent similar frames, which will be replaced
494 in the repeating spectrogram by the median of them. Therefore, it shows some long lasting, less
495 sharp, adjacent peaks in the transient characteristic function, which is less likely to be detected
496 by the local maximum finder compared to sharper peaks.

497

498 **3.4 Real data tests**

499 In a final step, we applied the method to a dataset of the Holuhraun 2014-2015 eruption
500 and extracted volcanic tremor signals from the seismological records. As discussed in section 2.2
501 and showed in Figure 3 (a & b), the reconstructed tremor signal matches well with the original
502 seismological records and has no trace of transient, earthquakes-related signals. This dataset
503 consisted of one month (September 2014) of recordings by the FLUR station and we use a single
504 vertical component to detect earthquakes. We compared our detected earthquakes with the
505 bulletin presented in *Ágústsdóttir et al. (2019)*. For the station location with respect to the
506 eruption fissures, please see Figure 1 and *Woods et al. (2018)*. 84% of the total of 5071 events
507 listed by *Ágústsdóttir et al. (2019)* were detected by our proposed approach.

508

509 We detected a total of 12619 events, which is more than twice the number of listed events in the
510 bulletin. The bulletin is made based on an automatic detection method using Coalescence Micro-
511 seismic Mapping (*Drew et al., 2013*) with the velocity model used in *Ágústsdóttir et al. (2016)*
512 (their Figure S2 (c)). The bulletin earthquakes were relocated (*Ágústsdóttir et al., 2019*) using
513 cross-correlated, sub-sample relative travel times following the method of *Woods et al. (2019)*. A

514 dense local seismic network comprising 72 three-component broadband instruments was used to
515 create the one-year bulletin. Our detection process currently uses only one component of seismic
516 recording from a single station. In the future, the result could be improved using three-
517 component signals and additional stations because some of the smaller events may have larger
518 amplitudes on the other components or stations. An event with a larger amplitude shows a larger
519 peak in the characteristic function, hence the probability of its detection using our algorithm will
520 increase.

521

522 Our method can detect two adjacent earthquakes with a minimum interval of around 10 seconds.
523 This interval is defined by the number of samples, which must be waited after picking a peak in
524 the local maximum finder. The interval value depends on the number of FFT (Fast Fourier
525 Transform) points, the hop size, and the type of earthquake. In our dataset, earthquakes are
526 mostly local, where shorter waiting time values will result in the detection of more than one peak
527 for one event.

528

529 Using the algorithm described in section 2.3, we are able to find P-wave arrival times using the
530 detected peaks via the local maximum finder. The uncertainty in the example shown in Figure 4
531 is 0.1 second through visual inspection. The pattern of the characteristic function for different
532 types of events is, however, not always similar to the simple shape we have assumed, which
533 mostly corresponds to the energy shape of a local event and could have more fluctuations, thus
534 the uncertainty in detecting the P arrivals could be higher. We compared the P-arrival time
535 residuals of our method and those given by the bulletin of Ágústsdóttir et al. (2019) for one
536 month. For 52% of the events, the time difference is less than one second, while 48% of the

537 events show a time difference of between one to six seconds. A significant part of large time
538 differences is related to LP events, where the duration of the event is long compared to volcano-
539 tectonic events in the characteristic function, where the first arrival is outside of the pre-peak
540 interval time window. In this case, the algorithm is able to send the first selected peak back in
541 time to the starting point of the window and shorten the time difference, however the emergent
542 onset of the LP event is still earlier in the time axis. This algorithm (finding P-wave arrival times
543 using the detected peaks) could be improved upon by assigning different parameters for different
544 event types.

545

546 The algorithm which is proposed here is a simple way to attribute the peaks to the starting point
547 of changes in the characteristic function. This could be applied in different fields when a function
548 has rather stable values, but also experiences sudden changes, and finding the first point of the
549 starting changes is important. One could develop the algorithm by adding more criteria based on
550 the information about the phenomena that are attributed to the changes to decrease the
551 uncertainty in finding the starting point of change.

552

553 **3.5 Feasibility of the method with respect to processing speed**

554 The average computation time for the tremor extraction of a one-day long record with a FFT
555 window length of 81.9 seconds, overlap of 75%, and a sampling frequency of 100 Hz, is about
556 70 seconds, when implemented in Python using Librosa on a PC with an Intel core i7 (six-core)
557 processor of 2.2 GHz and 16 GB of RAM. For transient signal detection with an accuracy of 0.32
558 seconds, the computation time is about 34 minutes with a FFT window length of 1.28 seconds
559 and an overlap of 75%. The significant difference in the computation time between the tremor

560 extraction and transient signal detection is due to the different FFT window lengths of the two
561 processes. Reducing the FFT length and using the same overlap of 75% increases the number of
562 FFT windows for the overall data time range and the associated computation time.

563

564 **4 Conclusions and outlook**

565 In this work we have developed a method to extract and reconstruct volcanic tremor signals, as
566 well as to detect transient signals from seismic waveforms. We used a combination of two
567 harmonic-percussive separation algorithms from the field of music information retrieval to
568 separate harmonic and percussive elements of the seismic waveform in the time frequency
569 domain. This combination leads to a better separation of the components and results in clean
570 tremor and transient spectrograms. The tremor signals are reconstructed in the time domain using
571 weighted phase information of the initial seismic complex spectrogram at each time frame
572 through the energy contribution of the tremor spectrogram. We showed that it is important to use
573 phase information to reconstruct a signal in the time domain for seismological purposes to
574 provide an accurate phase reconstruction. We also discussed how to use a weighted phase matrix
575 based on the dominant frequency band of the tremor spectrogram that can almost eliminate the
576 noise contributions in the phase matrix of the seismic waveform. The reliability of the
577 reconstructed signal was shown using semi-synthetic tests. The cross-correlation between the
578 synthetic harmonic signal and the reconstructed harmonic signal using our method was higher
579 than 0.8 for SNRs of the synthetic harmonic signal above 0.4. In addition, more than 78% of
580 earthquake signals in the semi-synthetic data with $\text{SNR} = 0.1$ can be detected using our method.

581

582 The capability of the method for earthquake detection was also evaluated in comparison to a real
583 earthquake catalog. The detection of more than twice the number of the *Ágústsdóttir et al. (2019)*
584 bulletin events demonstrates the ability of the proposed method for detecting smaller seismic
585 events, even when only a single station and component is available.

586

587 The developed method is able to extract harmonic tremor signals and is applicable to other
588 volcanoes that exhibit such phenomena. A possible application of the proposed method is to
589 extract volcanic tremor signals using a network or an array during a period of heightened
590 volcanic activity. In particular, the clean tremor signal can be used for tremor source location
591 using array analysis given that the tremor signal reconstruction provides the true phase of the
592 signal. This may provide an improved analysis of the spatial and temporal evolution of volcanic
593 tremors during active volcanic periods.

594

595 Another application of this method is in the field of earthquake analysis research. Here, we
596 suggest using the seismic waveform after subtracting the tremor signals (if tremors are present).
597 We named this signal as the “de-tremored” signal in section 3.1 (see Figure 5e). The advantage
598 of using the de-tremored signal is the resulting increase in the earthquake SNR and
599 improvements in the first-motion picking.

600

601 In our opinion, the transient signal detection algorithm introduced in this study is a useful tool
602 for detecting seismic events and is especially applicable for detecting small events during an
603 earthquake swarm. While we used one component of one station for earthquake detection in this
604 study, the results could be improved using three components and additional station because some

605 events with low amplitude on the current component and station may show larger amplitude on
606 the other components or stations.

607

608 In conclusion, the presented method could provide a basis for tremor source investigations as
609 well as research into eruptive activity since it provides simultaneous information about tremors
610 and earthquakes and allows the extraction of a clean signal of the tremor for detailed
611 investigations.

612

613 **Data and Resources**

614 All data used in this paper is openly available at IRIS (network code 7Z, White 2010). A Jupyter
615 notebook with all the Python codes and parameters related to the proposed method is available as
616 an electronic supplement. The supplementary material related to this article also contains
617 illustrations of the semi-synthetic data generation. The application of the method using some
618 examples of semi-synthetic data with different earthquake SNRs and different SNRs of the
619 harmonic signal component are also presented in the supplementary material.

620

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630 is freely available from [https://gitup.uni-potsdam.de/zali/harmonic-tremor-extraction-and-](https://gitup.uni-potsdam.de/zali/harmonic-tremor-extraction-and-transient-signal-detection)
631 [transient-signal-detection](https://gitup.uni-potsdam.de/zali/harmonic-tremor-extraction-and-transient-signal-detection)

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771 Hakone volcano, *Earth Planets Space*, **69**(1), 164. doi: 10.1186/s40623-017-0751-y.

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780 **List of Figure Captions**

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811 extracted tremor signal from the semi-synthetic signal. (f) Extracted tremor signal and (g)
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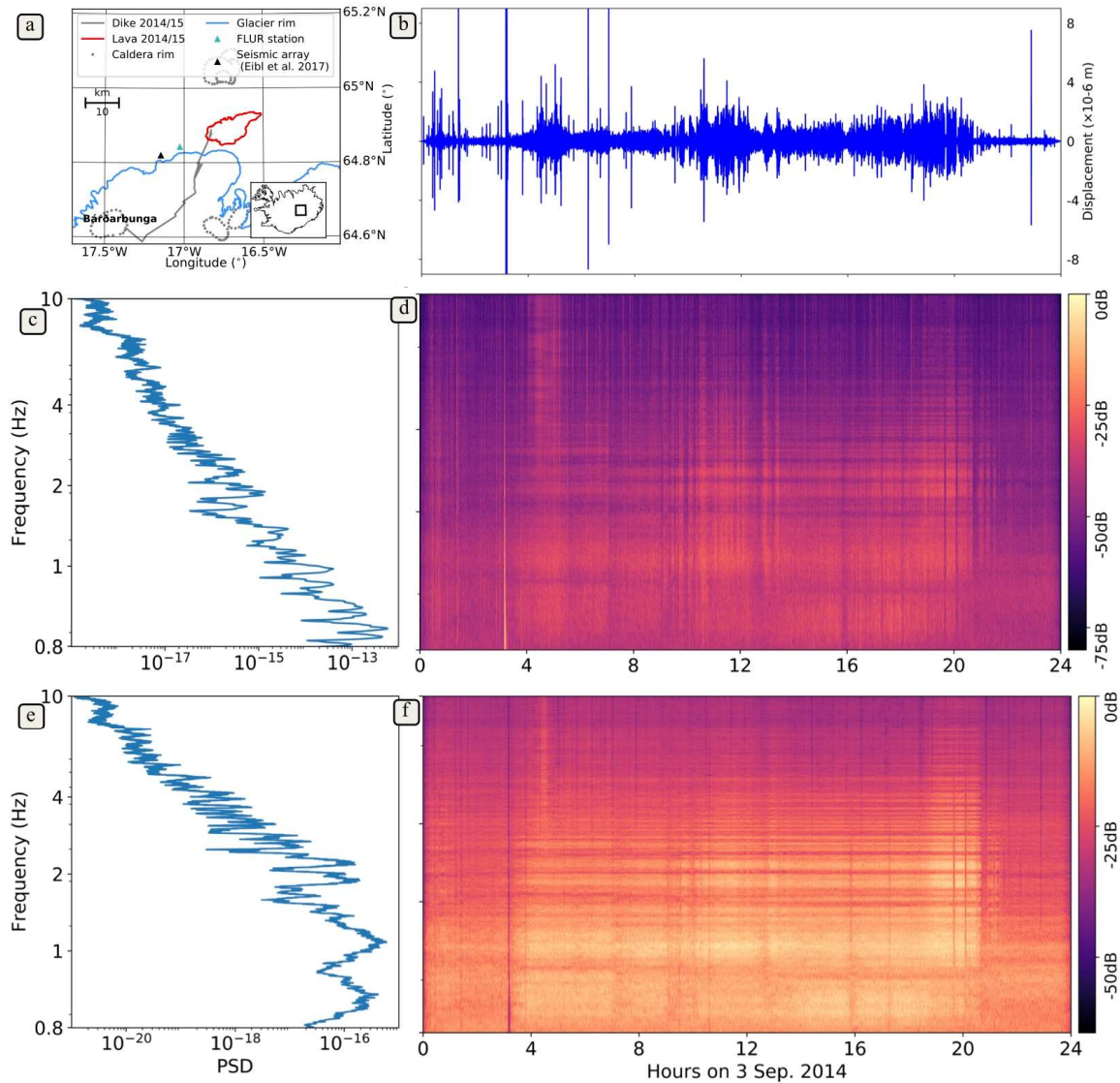
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823 **Figures**



824

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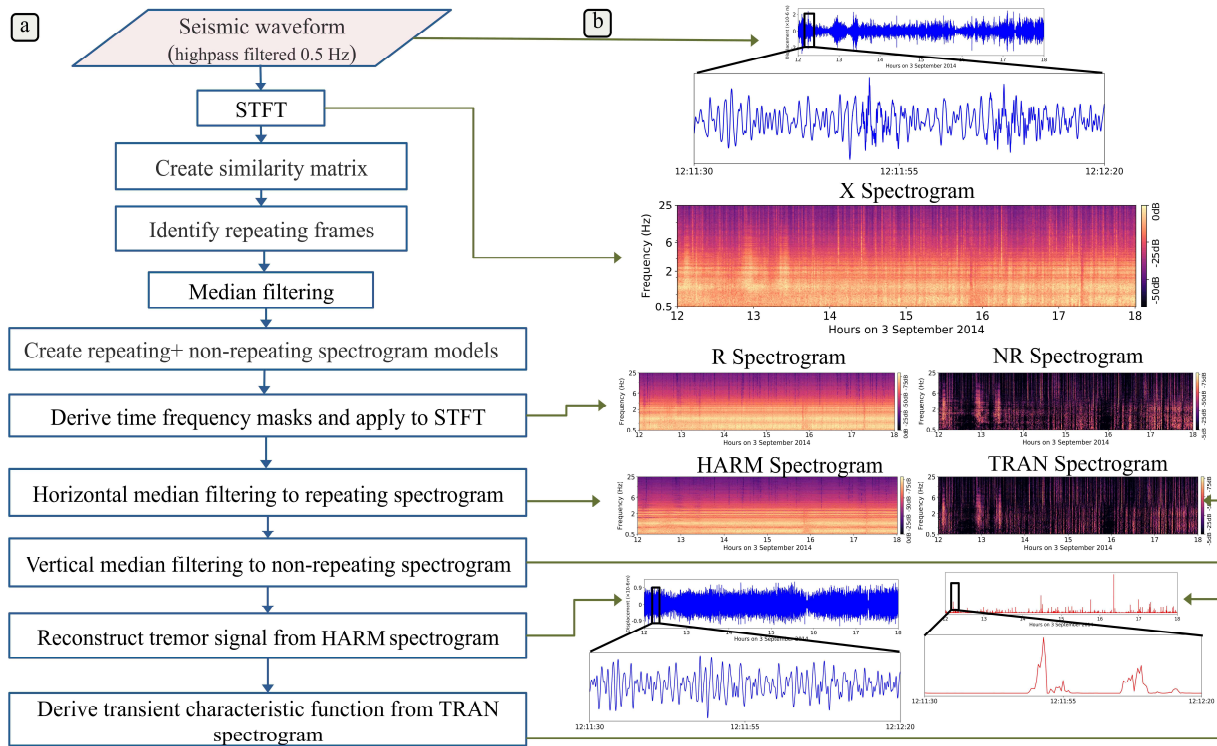
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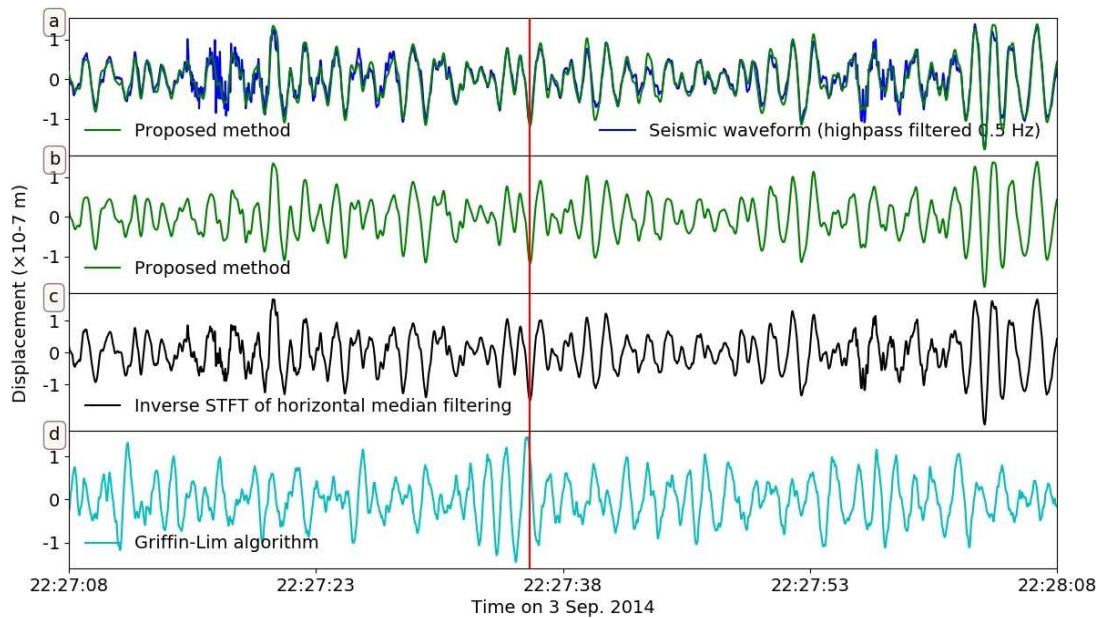
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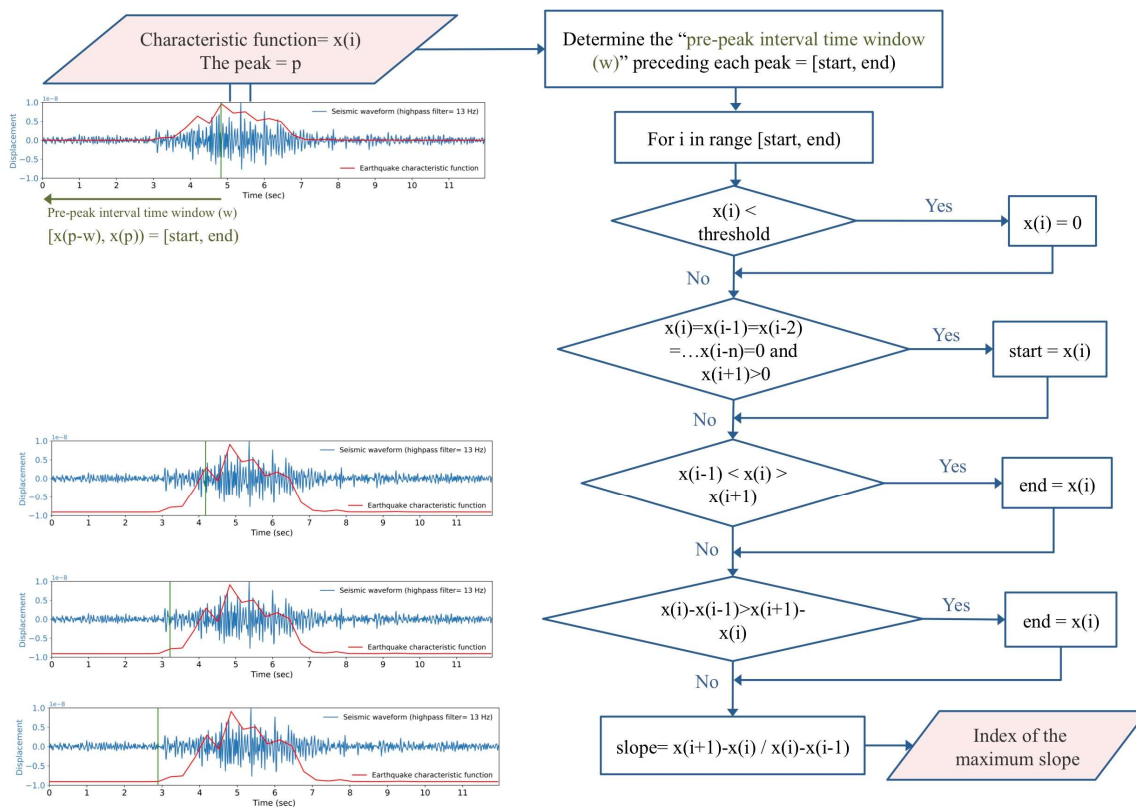
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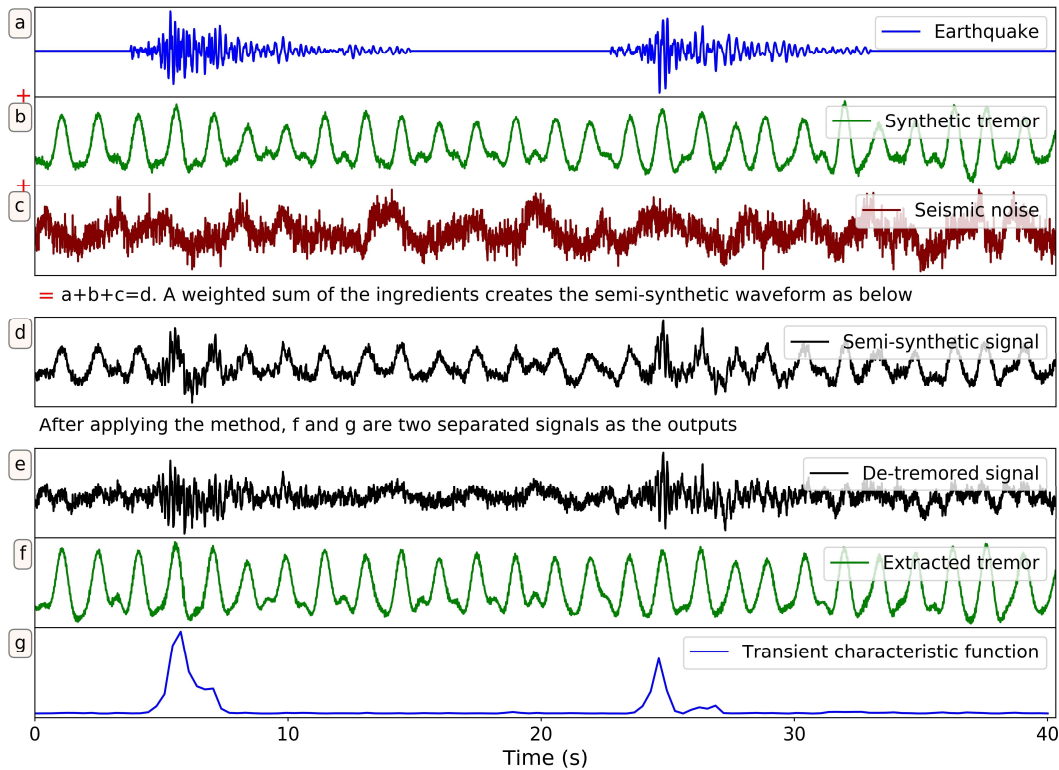


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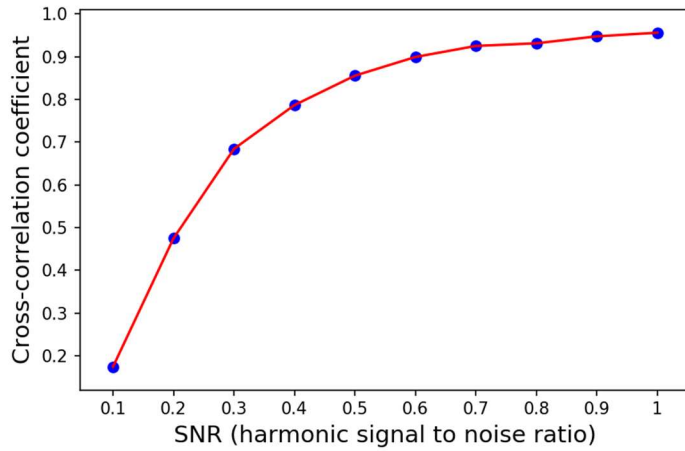
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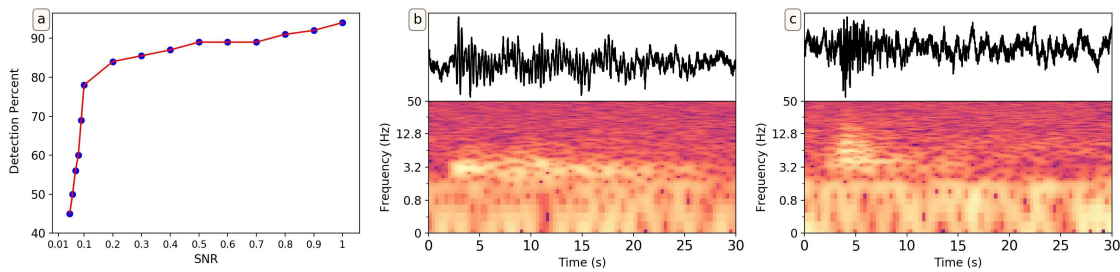
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Volcanic Tremor Extraction and Small Earthquakes Detection using Music Information Retrieval Algorithms

Zahra Zali, Matthias Ohrnberger, Frank Scherbaum, Fabrice Cotton, and Eva

P. S. Eibl

Contents of the supplemental material:

- Supplementary figures 1 to 7
- A Jupyter notebook of all the codes and parameters

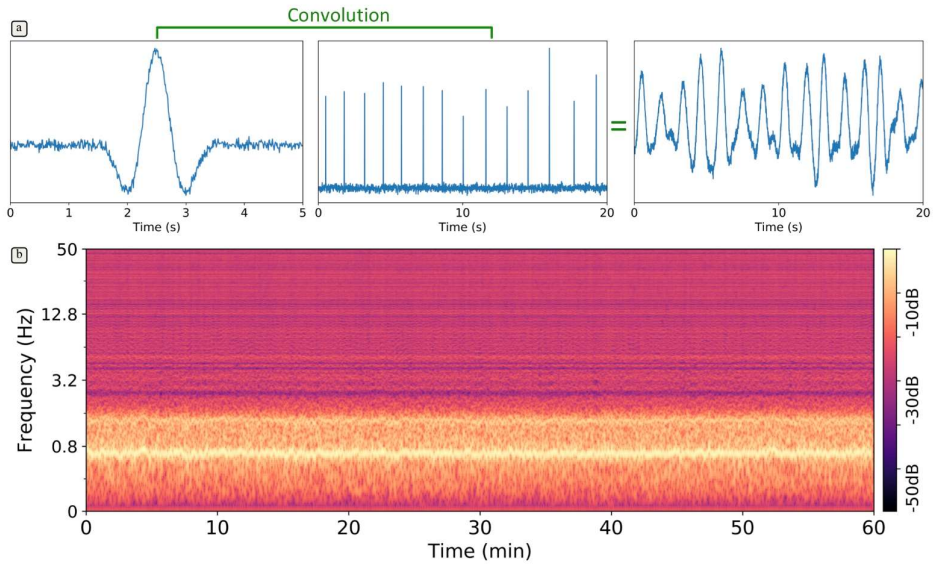


Figure S1. The process of synthetic harmonic signal generation. (a) shows the convolution of equally spaced spikes with a real-valued Morlet wavelet which results in the synthetic harmonic signal. The spikes are separated with inter-event times that are fluctuating around a mean value of 1.45 seconds with about 10 % variance. The spectrogram of the synthetic harmonic signal is shown in (b).

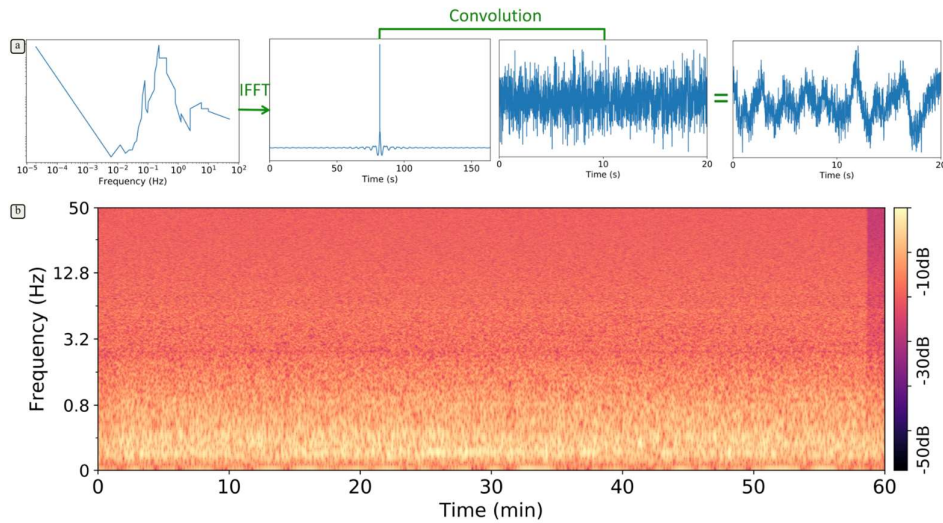


Figure S2. The process of the colored noise (Peterson 1993) generation. (a) Peterson's original Low Noise Model (LNM) amplitude spectrum is used to create FIR (Finite-Impulse-Response) filter coefficients by inverse FFT operation. The zero phase filter is applied to a random time series resulting in a synthesized colored noise resembling LNM's amplitude spectrum. The spectrogram of the colored noise signal is shown in (b).

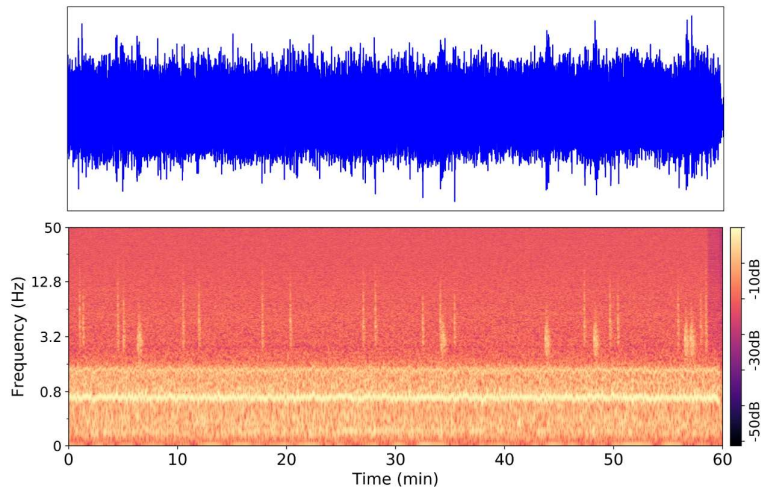


Figure S3. One hour of semi-synthetic signal and corresponding spectrogram.

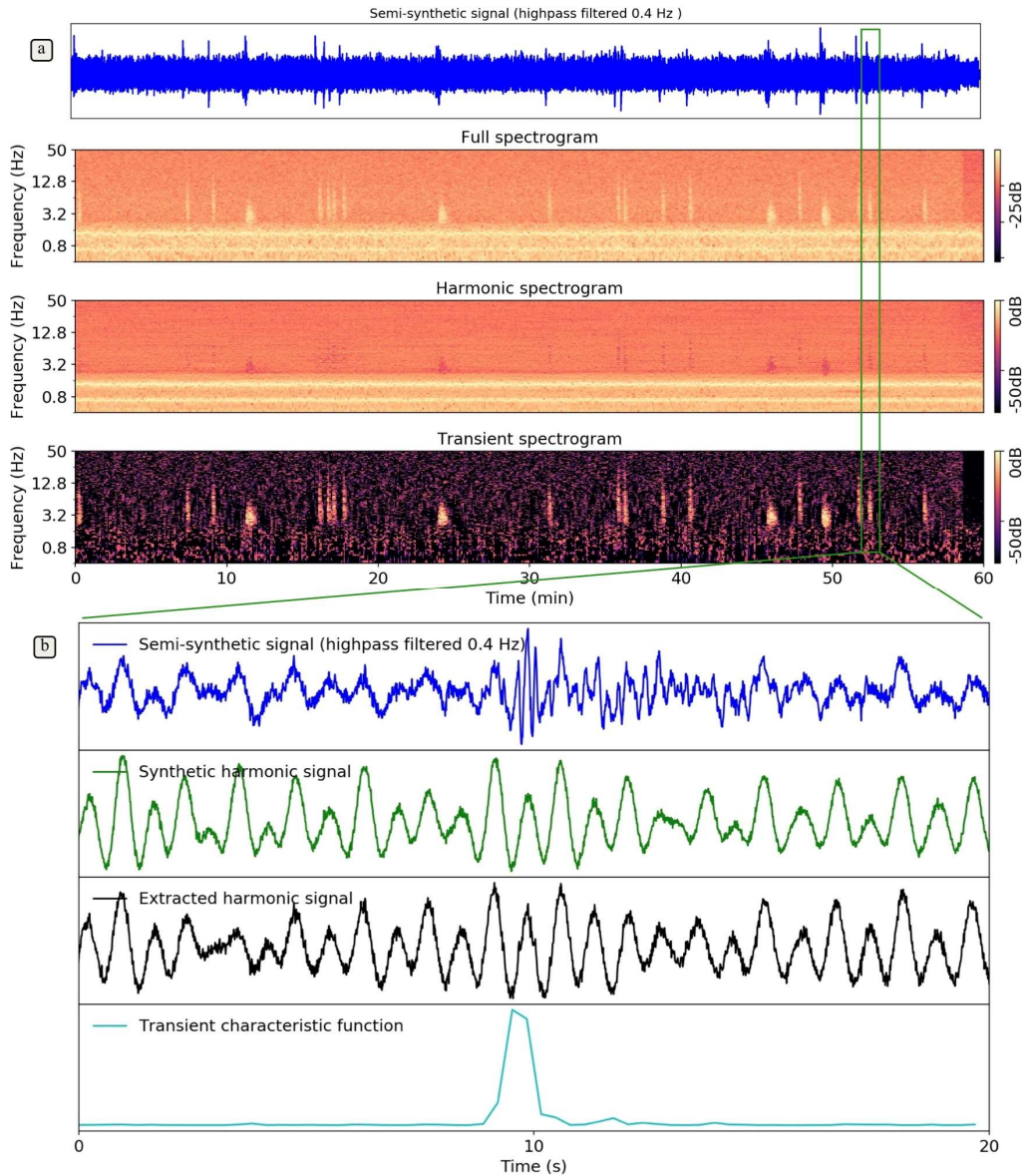


Figure S4. An example of a semi-synthetic signal with an earthquake signal (SNR=0.7) and harmonic signal (SNR=1). (a) shows one hour of the semi-synthetic signal, the spectrogram of semi-synthetic signal, the tremor spectrogram and the transient spectrogram which are derived after applying the method. (b) shows 20 seconds of the semi-synthetic signal, the synthetic harmonic signal which is used and the extracted harmonic signal through the method. We can see how the extracted harmonic signal and the synthetic harmonic signal are similar. The transient characteristic function is shown at the bottom. We see a clear peak in the characteristic function at the time of earthquake.

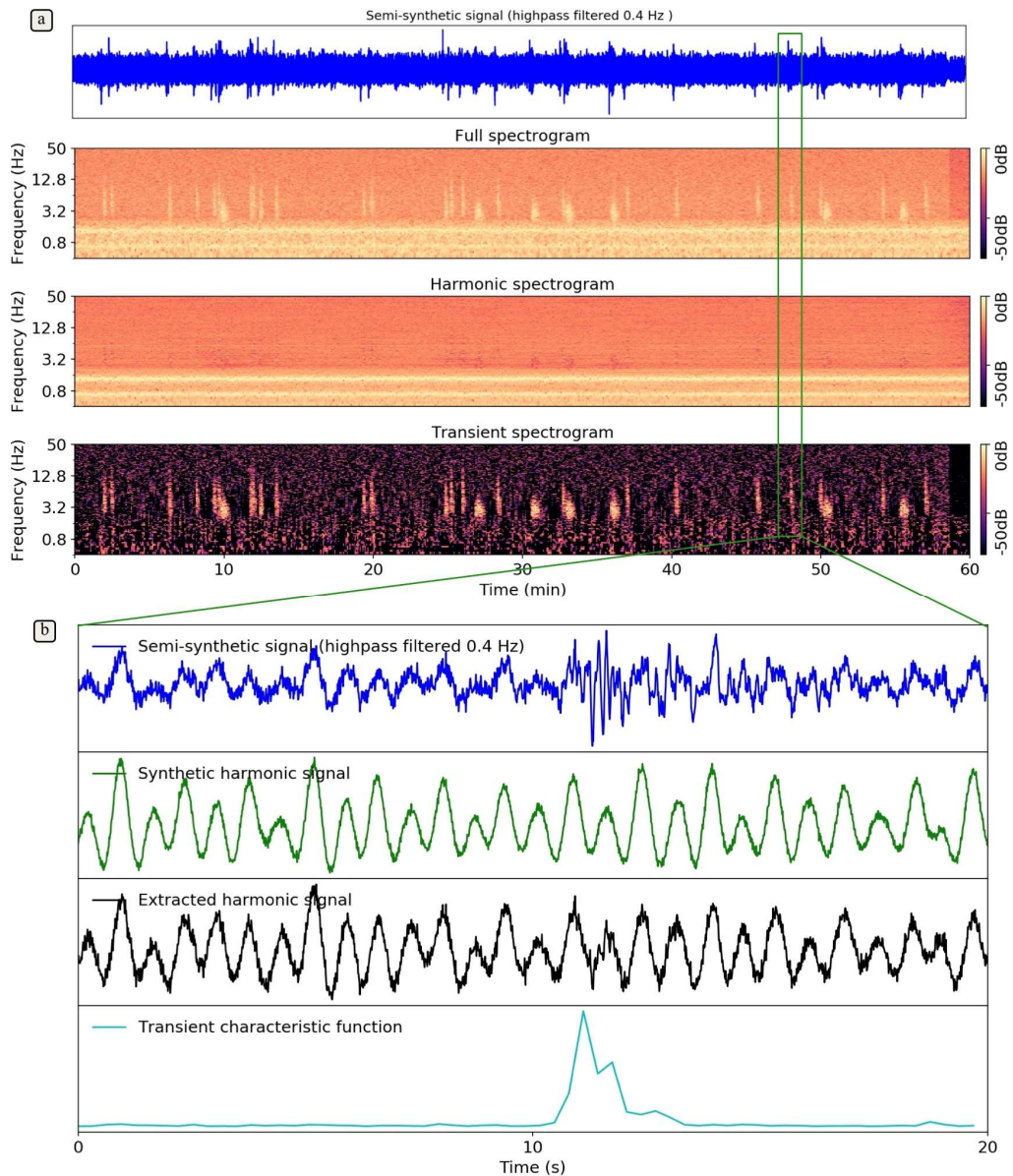


Figure S5. An example of a semi-synthetic signal with earthquake signal (SNR=1) and harmonic signal (SNR=0.7). (a) same as subfigure S4a. (b) shows 20 seconds of the semi-synthetic signal, the synthetic harmonic signal which is used and the extracted harmonic signal through the method. We can see that for this harmonic signal (SNR=0.7) the extracted harmonic signal is almost similar to the synthetic harmonic signal. The transient characteristic function is shown at the bottom. We see a clear peak in the characteristic function at the time of earthquake.

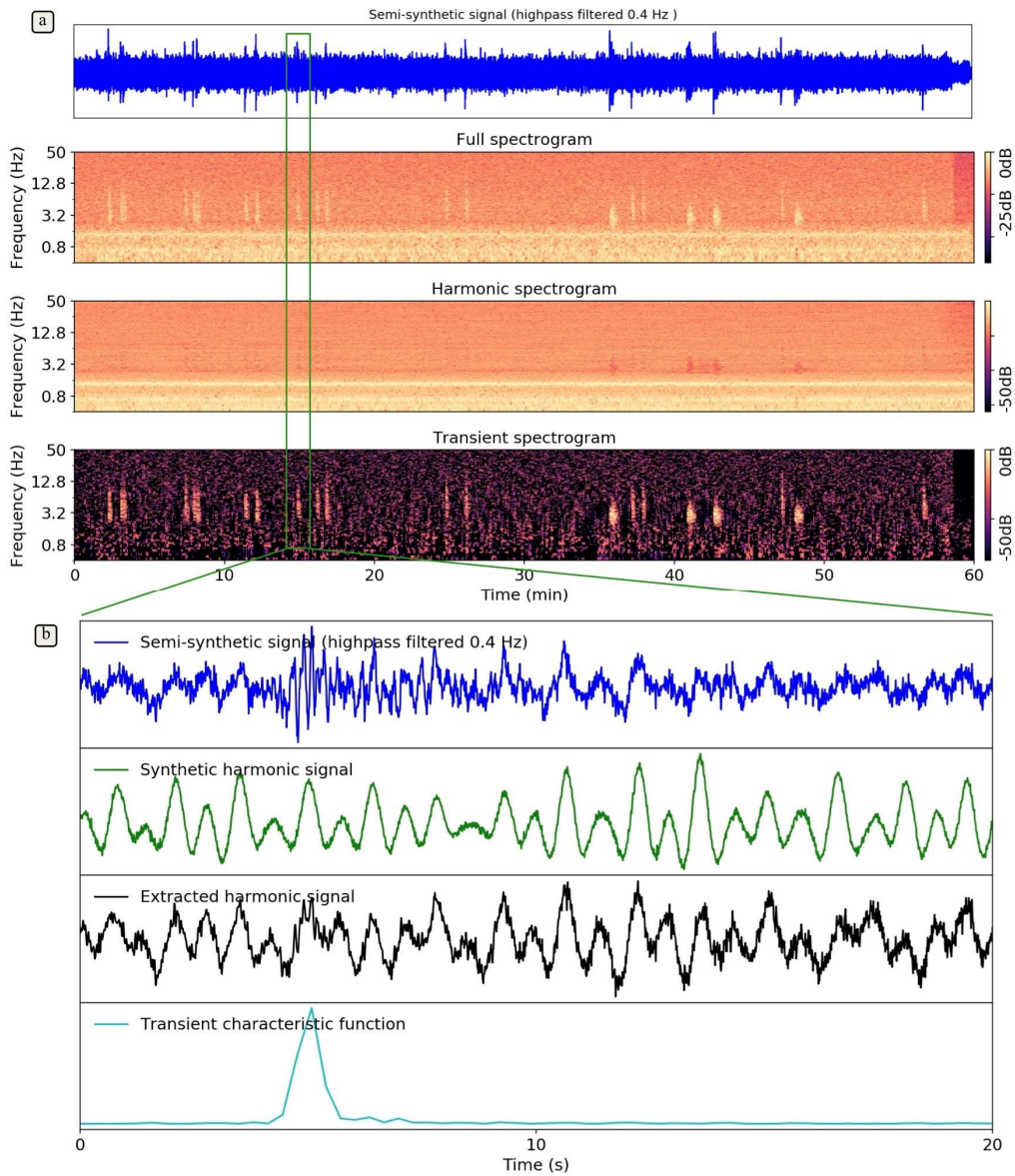


Figure S6. An example of a semi-synthetic signal with earthquake signal (SNR=0.5) and harmonic signal (SNR=0.5). (a) same as subfigure S4a. (b) shows 20 seconds of the semi-synthetic signal, the synthetic harmonic signal which is used and the extracted harmonic signal through the method. We can see the extracted harmonic signal is following the general shape of the synthetic harmonic signal for this harmonic signal (SNR=0.5), but some differences are visible. The transient characteristic function is shown at the bottom. We see a clear peak in the characteristic function at the time of earthquake.

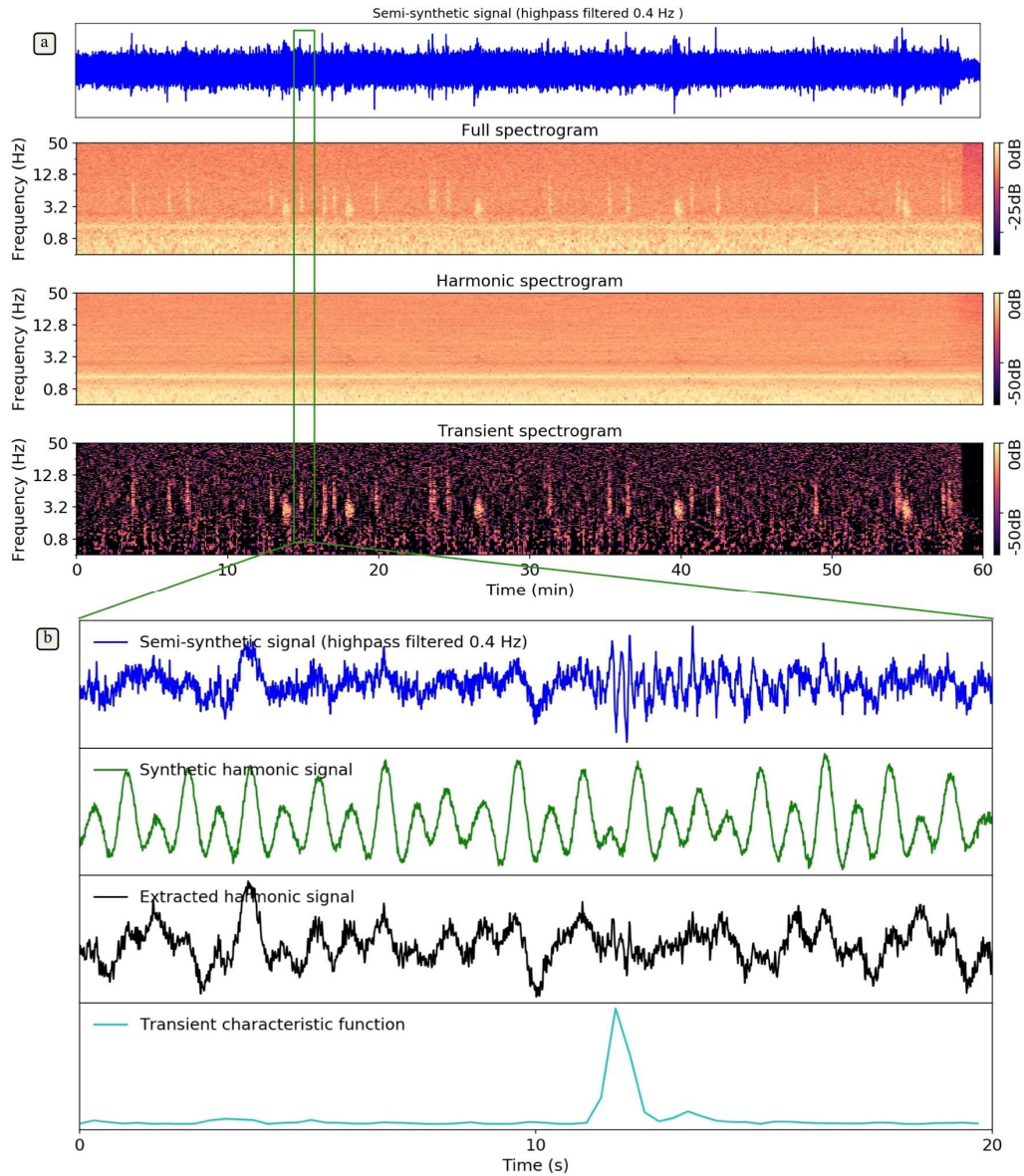


Figure S7. An example of a semi-synthetic signal with earthquake signal (SNR=0.3) and harmonic signal (SNR=0.3). (a) same as subfigure S4a. (b) shows 20 seconds of the semi-synthetic signal, the synthetic harmonic signal which is used and the extracted harmonic signal through the method. We can see that the harmonic signal is not well reconstructed here because of low SNR of harmonic signal. The transient characteristic function is shown at the bottom. Although the earthquake SNR is low, we see a clear peak in the characteristic function at the time of earthquake.