

Jiao, R., Yuan, X., Braun, J., Wang, Z. (2021):
Thermo-kinematic modeling of the Cenozoic
uplift of the Bogda Shan, Northwest China. -
Tectonophysics, 816, 229031.

<https://doi.org/10.1016/j.tecto.2021.229031>

Thermo-kinematic modeling of the Cenozoic uplift of the Bogda Shan, Northwest China

Ruohong Jiao^{a,*}, Xiaoping Yuan^{b,c}, Jean Braun^c, Zongxiu Wang^d

^a*School of Earth and Ocean Sciences, University of Victoria, Canada*

^b*Hubei Key Laboratory of Critical Zone Evolution, School of Earth Sciences, China University of Geosciences, Wuhan, China*

^c*Helmholtz Centre Potsdam, GFZ German Research Centre for Geosciences, Potsdam, Germany*

^d*Institute of Geomechanics, Chinese Academy of Geological Sciences, Beijing, China*

Abstract

Constraining the Cenozoic uplift of Tian Shan is important for assessing the impact of the India-Asia collision to Central Asia. Here we estimate the uplift history of the Bogda Shan, northeastern Tian Shan, using a thermo-kinematic model which is constrained by previously reported apatite fission-track thermochronological data. By assuming that the growth of the mountain range propagates towards the basin as a classic critical wedge model, we show that the observed variation in the cooling ages on the mountain flank can be used to provide constraints on the timing and rate of the deformation along a series of south dipping thrust faults, which all root on a low-angle décollement. Inverse modeling confirms previous findings from thermal history models that the late Cenozoic uplift in the Bogda Shan initiated during the Paleogene, no later than ~ 40 Ma. Since the early Miocene (~ 23 Ma), locus of uplift has expanded to the current southern margin of the Junggar Basin. Our kinematic model of the deformation of the Bogda Shan suggests a temporal stability in the shortening rate of the northeastern Tian Shan over the period of the India-Asia collision during the late Cenozoic.

Keywords: Mountain building, Thermochronology, Thermo-kinematic modeling, Fission-track, Tian Shan

1. Introduction

2 The collision between India and Asia started more than 50 million years
3 ago (Rowley, 1996; Najman et al., 2010; Ding et al., 2016; Hu et al., 2016),
4 and the far-field effect of the collision has caused significant deformation on the
5 lithosphere of the Central Asia (e.g., Molnar and Tapponnier, 1975; Windley

*Corresponding author

Email address: rjiao@uvic.ca (Ruohong Jiao)

6 et al., 1990; Avouac et al., 1993; Morin et al., 2018). Understanding when and
7 how the deformation took place requires constraining the Cenozoic uplift history
8 of Tian Shan, the largest mountain chain in Central Asia. Many studies have
9 documented a marked increase in the uplift or exhumation rates at ~ 25 Ma
10 or later on the Junggar margin of Tian Shan, much later than the onset of
11 the India–Asia collision. However, it remains unclear if the apparent time lag
12 between the initial collision and the recorded intracontinental mountain building
13 reflects a northward propagation of the deformation (Tapponnier et al., 2001;
14 Wang et al., 2008a), a later change in the configuration of the collision zone
15 (Van Hinsbergen et al., 2012; Pusok and Stegman, 2020; Huangfu et al., 2021),
16 or the heterogeneity in the mechanical property of the Eurasian plate (England
17 and Houseman, 1985; Bian et al., 2020).

18 Deformation in continental collision zones often leads to significant exhumation
19 of crustal materials, which can be used to track the evolution of an orogenic
20 belt. Low-temperature thermochronology, as an effective tool for estimating
21 mountain exhumation process, has been extensively applied in the study of the
22 Cenozoic evolution of Tian Shan. Previous studies have reported various ages
23 for the acceleration in the exhumation rate of Tian Shan (Figure 1a), ranging
24 from the Paleocene to the late Miocene (e.g., Bullen et al., 2001; Hendrix et al.,
25 1994; Sobel et al., 2006; Macaulay et al., 2014; Jolivet et al., 2010; Yu et al.,
26 2014; Glorie et al., 2011). The variability in the thermochronological cooling
27 ages has been suggested to reflect changes in the crustal shortening rate, which
28 may be linked to different horizontal forces transmitted from the different stages
29 of the growth of the Tibetan Plateau or the India-Eurasia collision (e.g., Glorie
30 et al., 2011). In some parts of Tian Shan, studies have documented a migration
31 of the deformation during the growth of the mountain topography, which were
32 responsible for the variability in the ages recording the rapid exhumation events.
33 For example in the southwestern Tian Shan, the Cenozoic exhumation started
34 at ~ 24 Ma in the mountain range (Figure 1a), and then the locus of exhumation
35 propagated southwards towards the boundary of the Tarim Basin during
36 the early Miocene (Sobel et al., 2006). However, it remains unclear whether
37 the migration of the deformation occurred in association with the change in
38 the crustal shortening across the mountain range, which have dominated in the
39 crustal deformation of Tian Shan (Avouac et al., 1993; Yin et al., 1998; Yang
40 et al., 2008).

41 Coupled modeling of the thermal and kinematic evolution of a mountain
42 range provides a tool to quantify the lateral motion of crustal materials in a
43 convergent tectonic setting (e.g., Herman et al., 2009, 2010; Batt et al., 2001;
44 Coutand et al., 2014; Rak et al., 2017). Such applications require a good density
45 of thermochronological ages distributed across the major structures that strike
46 nearly perpendicular to the shortening direction. In the Tian Shan, one of
47 such locations is the Bogda Shan, a narrow range in the eastern Tian Shan
48 between the Junggar and Turpan Basins (Figure 1b). In the west, the Bogda
49 Shan has a relatively simple structural setting, where the northern flank of the
50 range represents a curved fold-and-thrust belt (Figure 2a). Along this belt,
51 the main south-dipping faults run generally parallel to the mountain range,

52 and have been thrusting northwards towards the Junggar Basin (Figure 2b).
53 These faults form a typical imbricate structure, likely merging onto the same
54 décollement at depth (Li et al., 2016).

55 In this paper we use the western Bogda Shan as a laboratory to investigate
56 how the crustal shortening may have controlled the uplift and exhumation pat-
57 tern of an intracontinental orogen. We specifically test whether a significant
58 change in the shortening rate is required to explain the large variability in the
59 ages of exhumation acceleration reported from the region. We use a 2D kine-
60 matic model that is consistent with the basic orogenic mechanics to explain the
61 uplift history of the mountain range, in which the current wedge-shapeded moun-
62 tain range was developed by the sequential growth of thrust faults. Based on
63 the inverse analysis of a large number of simulations that minimize the misfits
64 between the observed and model predicted apatite fission-track data, we provide
65 constraints on the timing and rates of the Cenozoic deformation of the range.

66 2. Geological background

67 The oldest rocks of the Bogda Shan formed during the Carboniferous in a
68 rift basin position between the Junggar and the Tarim blocks (Shu et al., 2011;
69 Xie et al., 2016). The rifting was followed by a period of convergence during the
70 middle Permian, when a succession of marine sedimentary rocks was accumu-
71 lated in the western Bogda in an island-arc setting (Wang et al., 2018a). The
72 paleo-oceanic trough was closed by the end of the middle Permian, followed by
73 a period of collision during which the Permian and Mesozoic rocks were amal-
74 gamated (Tang et al., 2015; Ji et al., 2018; Wang et al., 2018a). Based on a
75 regional-scale unconformity between the Middle and Late Permian strata and
76 the abrupt change in the sedimentation environment between the two epochs,
77 Wang et al. (2018a) suggested that Bogda Shan was initially uplifted by the end
78 of the Middle Permian. However, petrological analysis of the Mesozoic strata in
79 the Turpan Basin showed that the Lower Triassic deposits were derived from the
80 continental crustal terrain south of the Turpan basin rather than the oceanic
81 rocks in the Bogda Shan, suggesting that no significant topography existed be-
82 tween the Junggar and the Turpan Basins before the Early Triassic (Greene
83 et al., 2005). Based on the zircon U-Pb spectrum in the Junggar deposition, Ji
84 et al. (2018) suggested that the eastern Bogda Shan started to shed sediments
85 into the basin during the Early Jurassic. In the western Bogda, paleocurrent
86 observations on the Junggar and Turpan Basin margins indicate that the flow
87 directions started to diverge from the present-day mountain top during the Late
88 Jurassic, suggesting that part of the mountain had already been uplifted (Hen-
89 drix, 1992; Zhang et al., 2005). During the Cretaceous, no strong deformation
90 occurred in regions throughout the eastern Tian Shan, and the area possibly ex-
91 perienceed slow subsidence (Jolivet et al., 2018). On the southeastern margin of
92 the Junggar Basin, the Cretaceous strata consist of sediments deposited in set-
93 tings oscillating between fluvial, deltaic, and lacustrine environments (Gu et al.,
94 2003), suggesting a generally low-relief topography in the western paleo-Bogda
95 Shan.

96 Cenozoic uplift of the northern Tian Shan started no later than the late
97 Oligocene, marked by contemporaneous increases in the sedimentation rate on
98 the southern margin of the Junggar Basin at ~ 26 – 22.5 Ma based on the magne-
99 tostratigraphy of a section on the piedmont (Ji et al., 2008) and rock cooling rate
100 estimated using apatite fission-track thermochronology on the northern flank of
101 the mountain at ~ 25 – 24 Ma (Hendrix et al., 1994; Dumitru et al., 2001). Mag-
102 netostratigraphy of sediments in the Junggar Basin suggested a further increase
103 of sedimentation rates during the Miocene (Ji et al., 2008; Charreau et al., 2009).
104 West of Urumqi, the piedmont of northern Tian Shan features a ~ 50 km-wide
105 deformation zone consisting of three fold-and-thrust belts, which are currently
106 absorbing a large portion of the deformation in Tian Shan (Yang et al., 2008).
107 The deformation zone has accommodated about 6–12% of the total Cenozoic
108 crustal shortening at this longitude across the entire width of the mountain
109 range (Lu et al., 2019, and references therein), with the rest accommodated by
110 structures further south in the interior of Tian Shan and on the northern margin
111 of the Tarim Basin (Avouac et al., 1993). In the Bogda Shan of northeastern
112 Tian Shan, previous thermochronological studies reported rapid Cenozoic ex-
113 humation events prior to ~ 40 Ma (Wang et al., 2007, 2008b), at ~ 30 – 20 Ma
114 (Shen et al., 2008; Wang et al., 2008b), and after 19 Ma (Zhu et al., 2006;
115 Wang et al., 2007, 2008b), but it remains poorly understood how these pulses
116 of exhumation were related to the deformation pattern of the upper crust. In
117 comparison to the region west of Urumqi, the northern piedmont of western
118 Bogda Shan is relatively narrow (~ 10 km), and the shortening rate across the
119 foreland fold-and-thrust belt is much less significant (< 1 mm/yr vs. 3–5mm/yr;
120 Wu et al., 2016).

121 3. Apatite fission-track data in the western Bogda Shan

122 Fission-track analysis is a radiometric dating technique based on the obser-
123 vation of linear damages (i.e., fission tracks) caused by the fission decay of ^{238}U
124 in the crystal grains. The density of chemically etched fission tracks is measured
125 for determining an apparent cooling age, which can be complemented with the
126 track length distribution to estimate the thermal path of a rock (see reviews
127 by Tagami and O’Sullivan, 2005; Gallagher et al., 1998). Apatite fission-track
128 (AFT) analysis can reveal the thermal history of a rock within the temperature
129 range between ~ 125 – 60° (Gleadow and Duddy, 1981), and is particularly useful
130 for constraining the rock exhumation history during the mountain building pro-
131 cess. In the Bogda Shan, previous studies reported AFT ages in a wide range
132 between > 150 Ma and < 20 Ma (Tang et al., 2015; Gillespie et al., 2017; Wang
133 et al., 2007, 2008b; Zhu et al., 2006), which contain information related to the
134 Mesozoic and Cenozoic orogenic processes of the range.

135 In the western Bogda Shan, the reported AFT ages show a general northward
136 younging trend towards the Junggar Basin boundary (Figure 2) (Tang et al.,
137 2015). In the southwestern corner, the AFT ages in the Carboniferous and
138 Permian terranes yield between 132 and 86 Ma, with all but one age older than
139 102 Ma (Figure 2a) (Tang et al., 2015). This age distribution is similar to

140 the AFT ages collected to the immediate west of Urumqi (Guo et al., 2006),
141 and both groups reflect the cooling phase of the terrane rocks during the late
142 Jurassic–early Cretaceous. Across the northern flank of the western Bogda, the
143 AFT age pattern is segmented by the main range-parallel thrust faults, i.e.,
144 the Erdaogou and Yamalike Faults (Figure 2a), across which the ages increase
145 abruptly from the hanging wall to the footwall blocks (Figure 2b). On the
146 hanging wall south of the two faults, the AFT ages yield younger than 40 Ma,
147 indicating significant exhumation during the Cenozoic, which caused a partial
148 or full removal of the Mesozoic partial annealing zone of the AFT system. The
149 AFT ages on the footwall positions yield between 91 and 55 Ma, indicating
150 much less exhumation during the Cenozoic. Samples near the Fukang Fault
151 yield AFT ages in the Miocene, as young as 11.5 Ma.

152 The generally northward younging trend of the Miocene cooling ages suggests
153 a propagation of the deformation center towards the Junggar Basin margin. If
154 the Cenozoic deformation of the Bogda Shan has been predominantly accommo-
155 dated by brittle motion on active structures, the marked variation in ages across
156 faults most likely reflects a sequential (re)activation of the major structures from
157 the core of the range to the basin boundary. This basin-ward growth pattern of
158 the mountain range has also been observed in other parts of Tian Shan, such as
159 the northern (Wang et al., 2009), the southwestern (Sobel et al., 2006), and the
160 southern Chinese Tian Shan (Yu et al., 2014). In the Bogda Shan, this pattern
161 is consistent with the observation that most of the Quaternary deformation and
162 the recorded large earthquakes occur in the fold and thrust belt on the margin
163 of the Junggar Basin (Wu et al., 2016).

164 Across the northern flank of the western Bogda Shan, the reported AFT ages
165 (Zhu et al., 2006; Wang et al., 2007, 2008b), offer an opportunity to estimate the
166 long-term fault slip histories and their roles in uplifting the mountain range. It is
167 worth noting that in these analyses, the apatite grains were etched using 6.6–7%
168 NH_3 at 25 °C for 30–35 s, which is 10–15 s shorter than the standard protocol.
169 The shorter etching duration could potentially lead to a <10% reduction of the
170 track length (i.e., 1–1.5 μm length reduction for a 16 μm -long track; Seward
171 et al., 2000). However, as the reported AFT ages in all three studies were
172 calibrated using the Zeta method (Hurford and Green, 1983) against the age
173 standards, we consider that the shorter etching time unlikely have observable
174 impact on the AFT ages, which are determined based on track densities. The
175 non-standard protocol could have a more considerable effect on the track length
176 distribution. Therefore, in this study in order to avoid the potential bias on
177 the modeling results introduced by the track length distribution, we only use
178 the AFT age data to constrain the inversion of the thermo-kinematic models
179 (Section 4.3).

180 4. Thermo-kinematic modeling of the Bogda Shan

181 4.1. The kinematic model

182 We build a 2D kinematic model to simulate the Cenozoic evolution of the
183 Bogda Shan, which specifies the processes of both rock uplift, i.e., displace-

184 ment of rocks relative to geoid, and surface uplift, i.e., the elevation increase
185 of mountain range relative to geoid (as defined by England and Molnar, 1990).
186 In a given period if the magnitudes of rock uplift and surface uplift of a moun-
187 tain range can be estimated, one can also predict the amount of exhumation as
188 the difference between the rock uplift and surface uplift (England and Molnar,
189 1990). We explain below how the rock uplift and surface uplift are implemented
190 in our kinematic model.

191 We consider the crustal material in the mountain ranges as rigid blocks and
192 thus the rock uplift is resulted completely from up throwing of the hanging
193 wall rocks along the range-parallel thrust faults, which is proportional to the
194 average slip rates on the faults for a given dip angle. We further assume that
195 on the northern flank of the western Bogda Shan, the displacements on major
196 faults, which are inherited from the Paleozoic and early Mesozoic orogeny (Allen
197 and Vincent, 1997) and have accommodated all the crustal deformation across
198 the range. These include several south-dipping thrust faults near the surface,
199 i.e., the Erdaogou (F1), the Yamalike (F2), the Fukang (F3) faults from south
200 to north (Figure 2), all of which root on a low-angle décollement (Sun and
201 Wang, 2014; Wang et al., 2007). We ignore other smaller faults, as there are
202 not enough ages across them and their perturbations of the AFT age pattern
203 are less significant (Figure 2). Seismic reflection profiles show that the high-
204 angle faults dip $45\text{--}65^\circ$ towards the mountain, but the depth and the dip of the
205 décollement are poorly constrained (Sun and Wang, 2014; Wang et al., 2007). In
206 this paper we assume the high-angle faults are planar and dip at the same angle
207 (γ ; Figure 3), the value of which will be determined by inverse analysis. We
208 also use inverse modeling to search for the optimal dip (β) of the décollement
209 and the depth (D) where it intersects F1 (Figure 3a).

210 To simulate the slip history of the faults, we assume that the deformation
211 of the mountain range propagates towards the basin in a piggyback style, rep-
212 resented as a sequential activation of thrust faults. Such deformation style can
213 be readily inferred from the general basinward younging trend of the AFT ages
214 (Figure 2b) and is consistent with the classic critical Coulomb wedge theory
215 (Davis et al., 1983; Dahlen et al., 1984; Yuan et al., 2015), which assumes a
216 wedge-shaped range when its surface slope reaches a critical angle. This mode
217 is consistent with various sand analogue experiments where the material within
218 the wedge deforms forward until a critical taper is attained, and continues to
219 grow forward at a constant taper as additional material is encountered at the
220 toe (e.g., Davis et al., 1983; Storti and McClay, 1995; Wu and McClay, 2011).
221 This outward growth mechanism has been observed in many mountain ranges in
222 convergent tectonic settings, including during the intracontinental deformation
223 (e.g., Wang et al., 2014; Li et al., 2015).

224 Based on the imposed critical taper of the orogenic wedge, we also prescribe
225 a surface uplift history of the mountain range in the kinematic model. During
226 the period when a thrust fault is active, the up throwing of the hanging wall
227 rocks drives the surface uplift, which is restricted to the hanging wall side of the
228 fault (e.g., Figure 3b). During this period, the surface of the mountain flank is
229 being tilted towards the basin, presenting a basinward decrease in the surface

230 uplift rate of the hanging wall block. Increase of the elevation is assumed to be
231 linear until the surface slope reaches the critical angle, after which the area of
232 surface uplift will extend towards the basin associated with the (re)activation
233 of the next fault closer to the basin. Note that on the hanging wall as the rock
234 uplift velocity is spatially uniform (Figure 2b) or increase towards the active
235 thrust fault (Figure 2c), increase of the surface slope means that the erosion
236 rate on the hanging wall block increases towards the fault. This prediction is
237 consistent with the AFT age pattern, which presents a younging trend within
238 each fault block (Figure 2b).

239 From the imposed kinematic model, we can predict the exhumation history
240 of the rocks along the mountain flank. The continuous shortening of the moun-
241 tain range and the evolution of the topography towards the critical slope angle
242 result in a history of exhumation, and the exhumation rate is calculated as the
243 difference between the rock uplift and surface uplift. As the deformation propa-
244 gates towards the basin and the frontal thrusts are activated consecutively, the
245 velocity field of the rocks internal of the orogenic wedge evolves through time.
246 Particularly, when the next thrust closer to the basin is activated, the loca-
247 tion of the maximum uplift migrates to the hanging wall rocks near this thrust.
248 Uplift of rocks behind the former, abandoned thrust fault still continues albeit
249 possibly at a reduced rate (Figure 3). Therefore, the exhumation history of a
250 rock may be controlled by displacements along more than one fault. This also
251 demonstrates the necessity of using a 2D kinematic model, rather than a 1D
252 exhumation model that could be directly inferred from the thermochronological
253 cooling ages, to estimate the fault slip history in the orogenic wedge.

254 Here we provide a detailed description of the parameters that are used to
255 define the kinematic model, and the optimum values of these parameters will be
256 constrained by inverse analysis. We initiate the mountain range as a low-relief
257 topography that has an initial surface slope of α_0 (Figure 3a). The Cenozoic
258 uplift started at time T1, and the slip rates on both the décollement and the
259 fault F1 are prescribed as V1 (Figure 3b). In the region near the axial surface
260 between F1 and the décollement, the velocity field of the hanging wall is calcu-
261 lated as the average of the velocities along fault planes, ensuring an approximate
262 mass conservation (Braun et al., 2012). The first stage of uplift continues from
263 T1 to T2, when the slope of the mountain surface south of F1 reaches an as-
264 sumed critical angle (α_c ; Figure 3b). Then, starting from T2, the décollement
265 propagates away from the mountain and the frontal fault F2 becomes active,
266 while the slip rates on both the décollement and F2 change to V2. The height
267 of the mountain range continues to grow, but the surface slope is restricted to
268 $\leq \alpha_c$. Similarly, the second stage ceases at time T3, when the mountain sur-
269 face south of F2 reaches the critical angle (Figure 3c). The last stage starts
270 at T3 and continues until the present-day time (Figure 3d), during which the
271 fault F3 is active and the slip rates on the décollement and F3 are V3. During
272 the propagation of the deformation towards the basin, we impose that the slip
273 rate on the décollement has either remained constant or increased, as the cur-
274 rent understanding of the deformation history of Tian Shan does not support a
275 decrease in the crustal shortening rate during the Cenozoic (e.g., Sobel et al.,

276 2006).

277 4.2. The thermal model

278 In order to constrain the parameters in the kinematic model, we couple a
279 thermal model of the crust to the kinematic model to predict the AFT cool-
280 ing ages, which can be compared to the observations. The parameters in the
281 thermo-kinematic model, e.g., the fault slip rate and model convergence rate,
282 can be constrained by minimizing the misfit between the model prediction and
283 observed data through a formal inversion process. In the model we built, the
284 convergence rate is allowed to vary when the deformation propagates from one
285 fault to another (see section 4.3).

286 We predict the thermal evolution of the crust by solving the heat-transfer
287 equation using a finite-element method, Pecube (Braun, 2003; Braun et al.,
288 2012). As the deformation of the mountain range continues, the geothermal
289 isotherms are constantly perturbed by the motion of the rock particles, includ-
290 ing both uplift and basin-ward advection, and the changing topography, and
291 therefore the temperature field of the model is updated in every step. For rocks
292 that eventually end up at the surface at 0 Ma, we track their positions and
293 cooling paths in the kinematic model and the evolving temperature field, re-
294 spectively. Then the AFT data are computed using the annealing model of
295 Ketcham et al. (1999). Based on organic matter maturity in the strata, the late
296 Cenozoic paleo-geothermal gradients in the Junggar Basin and the Bogda Shan
297 foreland were estimated to be between 26 and 24°C/km (Wang et al., 2008b).
298 Here we impose a geothermal gradient of $\sim 20\text{--}28^\circ\text{C}/\text{km}$ at the beginning of the
299 model, by prescribing the temperatures to 20°C at the sea level (0 km) and
300 400–550°C at the base of the model (–18 km).

301 4.3. Inverse analysis

302 To optimize the kinematic model, we conduct inverse analyses to constrain
303 the values of the unknown parameters, namely the depth D and the dip β
304 of the décollement, the dips γ of the high-angle thrusts, the initial (α_0) and
305 critical (α_c) angles of the surface slope, the onset timings (T1, T2 and T3) and
306 slip rates (V1, V2 and V3) of the three thrust faults in the model, the basal
307 temperature of the model, and the AFT age unaffected by the Cenozoic uplift.
308 The sampling ranges for these parameters are listed in Table 1. Inversion for the
309 parameters is performed using the Neighborhood Algorithm (NA) (Sambridge,
310 1999b), a method for iteratively searching a multi-dimensional space to find
311 acceptable models that can adequately reproduce the input observations. At
312 each iteration, the forward model is run to predict the AFT ages, which are
313 compared to the observations using the misfit function defined as

$$\phi = \sum_{i=1}^n \left(\frac{p_i - o_i}{\sigma_i} \right)^2, \quad (1)$$

314 where n is the number of AFT ages, p_i are the predictions, o_i are the observa-
315 tions, and σ_i are the uncertainties ($\sim 8\text{--}20\%$) of the observations. To constrain

316 the 2D kinematic model, the AFT ages on the northern flank of the mountain
317 are projected on the model transect according to their location relative to the
318 surface exposure of the major faults (Figure 2). For each inversion, the sampling
319 comprises 800 iterations. The first iteration contains 1,000 simulations and ev-
320 ery other contains 250; the resampling ratio is 0.8. After the sampling stage, the
321 acquired ensemble is appraised using a Bayesian approach (Sambridge, 1999a)
322 to estimate the marginal probability density functions (PDF) of the sampled
323 parameters.

324 4.4. Results

325 The expected parameter values estimated from the sampled assemblages are
326 summarized in Table 1 and presented as PDFs along the scatter plots (Figure 4).
327 In general, the inversion shows good convergences for most of the parameters
328 (Figure 4), but local minima are present for parameters used to define initial
329 thermal structure of the model (Figure 4f).

330 For the slip history of F1 and F2, the inversion results suggest onset times
331 prior to 40 Ma and between 26 and 34 Ma, respectively, whereas the slip rates for
332 both faults are confined to <0.4 km/Ma (Figure 4a and 4b). For the last stage of
333 uplift, the displacement on the current basin-boundary Fault (F3) is predicted
334 to start between 19 and 26 Ma (T3). The slip rate (V3) on F3 is constrained
335 between 0.3 and 0.7 km/Ma, which is consistent, within the uncertainty, with
336 the earlier slip rates on the other faults (Figure 4c).

337 For the geometry of the structures, the inversion results suggest $>40^\circ$ dip
338 angle for the thrusts (Figure 4h), consistent with the observation from geo-
339 physical data (Sun and Wang, 2014; Wang et al., 2007). As one can expect,
340 the modeling results show an apparent trade-off between the dip angle and the
341 depth of the décollement, with the former constrained to $>9^\circ$ and the latter (at
342 the intersection with F1) at ~ 7 – 13 km, respectively (Figure 4e). The inversion
343 is not very sensitive to the values of the unreset pre-Cenozoic AFT age or the
344 basal temperature of the crust model, which have been estimated at 82–132 Ma
345 and 415–499°C, respectively (Figure 4f). The inversion results suggest a low
346 angle ($<3^\circ$) for the initial surface slope, and the critical slope angle is suggested
347 at $\sim 5^\circ$, similar to the present-day slope angle of the range.

348 The best-fit model from the inversion predicts AFT ages consistent with
349 the age pattern observed along the mountain flank (Figure 5a), except that the
350 scattered ages on the hanging wall of the Yamalike Fault (F2), i.e., at distance
351 between 25 and 29 km on the transect (Figure 5a), cannot be reproduced. We
352 suspect that this scattering of the observed AFT ages is due to the activities of
353 the minor structures in this region (Figure 2a), which were not incorporated in
354 the model setup but could have been displaced during the uplift of the mountain.
355 For most samples, the predicted track length distributions are also similar to
356 the observations (Figure 5b), despite that the length data were not used as
357 constraints in inverse analysis. For some samples in the fossil partial annealing
358 zone (e.g., W1 and W9; Figure 5b), the model predicts a bimodal distribution,
359 but the peak of shorter track lengths is not shown in the observed distribution.

360 This difference may reflect the impact of the shorter etching time during the
361 fission-track analysis.

362 Figure 6 shows the predicted exhumation histories of representative samples
363 on the different structural blocks. The most significant exhumation occurs in
364 the core of the range on the hanging wall of the Erdaogou Fault (F1; Figure 6a),
365 where the Cenozoic exhumation has already removed more than 6 km thick crust
366 and thus should have completely removed the AFT partial annealing zone prior
367 to the Cenozoic orogeny. In this region, it is also worth noting that after 30 Ma
368 even when the Erdaogou Fault (F1) became inactive, there was only a minor
369 decrease in the exhumation rate. This is due to continuous shortening across
370 the mountain range, which drives all rocks in the orogenic wedge towards the
371 surface. In contrast on the footwall of the Erdaogou Fault, the rock exhumation
372 magnitude has been much less significant as it did not start until the deformation
373 propagated to the Yamalike Fault (Figure 6b–6c). On the hanging wall of the
374 Fukang Fault (F3), the Cenozoic exhumation magnitude is predicted to increase
375 towards the basin, due to the higher erosion rate of rocks near the mountain
376 front (Figure 6d–6f).

377 5. Discussion

378 5.1. Cenozoic uplift, exhumation and shortening of the Bogda Shan

379 The northern flank of the Bogda Shan consists of terrane blocks separated
380 by the high-angle thrust faults. Our thermo-kinematic modeling results confirm
381 that the observed thermochronological data are compatible with the forward
382 sequential growth thrusts (Figure 5a). On the footwalls within ~ 3 km from the
383 Erdaogou and the Yamalike Faults, the models predict < 4 km total exhumation
384 (e.g., sample X142-2; Figure 6b), suggesting that the magnitude of the late
385 Cenozoic exhumation has not been enough to completely remove the fossil AFT
386 partial annealing zone; this is consistent with the large variability presented by
387 the AFT ages (all > 30 Ma) in these areas (Figure 5a). Our results predict that
388 the Cenozoic uplift of the Bogda Shan initiated prior to ~ 40 Ma as thrusting on
389 the Erdaogou Fault, leading to a period of rapid uplift in the currently highest
390 part of the mountain (Figure 6a). This is in agreement with the conclusions
391 from thermal history modeling of individual samples (Zhu et al., 2006; Wang
392 et al., 2007), which estimated that the first stage of Cenozoic cooling of the
393 mountain range occurred at 47–31 Ma. Our inverse modeling also demonstrates
394 that the estimated timing for the onset of the Cenozoic exhumation cannot
395 be further narrowed by the current data (Figure 4a). The late Eocene–early
396 Oligocene onsets of exhumation have also been reported in other locations of
397 Tian Shan (Figure 1a). Thermal history modeling of the AFT data from the
398 Qiaoerma Granite in the central Tian Shan revealed a phase of exhumation
399 starting in the Eocene at ~ 50 Ma (Wang et al., 2009). Near this site, the AFT
400 data also recorded a rapid cooling phase from ~ 40 Ma (Domain G in Dumitru
401 et al., 2001). In the southern Tian Shan, modeling of apatite (U-Th)/He data
402 suggested rapid cooling around ~ 40 Ma for rocks close to the Kuqa Depression

403 Boundary Thrust (Yu et al., 2014). Therefore, the Eocene–early Oligocene
404 exhumation in Tian Shan are not localized events (e.g., Jolivet et al., 2010),
405 suggesting that the far-field effect of the India-Eurasia collision started to cause
406 deformation in Central Asia no later than the late Eocene. The initial stage
407 of deformation perhaps only affected small regions which are currently in the
408 interior of the mountains, and thus thermochronological records of this stage
409 can only be retrieved from samples collected at relatively high elevations near
410 peaks of some ranges, e.g., Bogda Shan, northern and southern Chinese Tian
411 Shan (Figure 1a).

412 As the growth of orogenic wedge continued after the first stage of uplift
413 south of the Erdaogou Fault and the locus of deformation migrated towards the
414 basin, our modeling suggests that the Yamalike Fault was activated during the
415 late Oligocene–earliest Miocene (Figure 4b). Uplift of the mountain extended
416 to the current boundary of the Junggar Basin by ~ 23 Ma, and since then has
417 been mainly accommodated by the movement of the Fukang Fault. Based on
418 thermal history modeling using data from single samples in western Bogda,
419 an Oligocene–early Miocene acceleration in exhumation rate was not identified
420 (Wang et al., 2007). However, in the eastern Bogda Shan, thermal history mod-
421 eling of AFT data suggested rapid cooling between 30 and 20 Ma (Wang et al.,
422 2008b). This late Oligocene–early Miocene exhumation event has also been re-
423 ported extensively throughout Tian Shan (Figure 1a), such as in southwestern
424 Chinese Tian Shan on the northwestern margin of the Tarim Basin (Sobel et al.,
425 2006), in northern Tian Shan on the southern margin of the Junggar Basin, in
426 the central Kyrgyz Tian Shan on the margin of the Issyk Kul intermontane
427 basin (Macaulay et al., 2014), in the western Chinese Tian Shan on the margin
428 of the Zhaosu Basin (Wang et al., 2018b), and in the Kyrgyz South Tian Shan
429 suture zone (Glorie et al., 2011). Most of these records (except that in the Kyr-
430 gyz South suture zone) are located near the boundary between the main range
431 and a foreland or intermontane basin. Assuming an outward growth model for
432 most of the ranges in Tian Shan, the extensively reported late Oligocene–early
433 Miocene exhumation along the current basin margins was likely subsequent to
434 the initial deformation of the ranges in their core zones.

435 Rocks near the Fukang Fault (e.g., W7) yield the youngest AFT ages (< 20 Ma)
436 due to the more recent onset of the uplift and increased exhumation rate during
437 the past 10 million years (Figure 6f). Note that when a rock transfers at a con-
438 stant velocity through the axial zone between the shallow-dipping décollement
439 and the steeper frontal thrust, its exhumation rate increases as the direction of
440 motion of the rock particle changes upwards (Figures 3c and 3d). Given a proper
441 combination of the fault slip rate and geometry, this acceleration in exhumation
442 rate could be recorded by the cooling history of the rocks exhumed near the front
443 of the wedge. For example, in our best-fit model, the initial exhumation of the
444 sample W7 started at ~ 23 Ma when the displacement on the Fukang Fault (F3)
445 started, and then the sample experienced a further increase in exhumation rate
446 at ~ 14 Ma when it passed throughout the axial zone between the décollement
447 and the frontal thrust. This second increase in exhumation rate postdates the
448 onset of the deformation on the frontal thrust, and its magnitude is dependent

449 on the difference in the dipping angles of the frontal thrust near the surface
450 and the décollement at depth. This observation highlights the importance in
451 incorporating kinematic models into the interpretation of rock cooling paths
452 inferred from thermochronological data, and predicts that in some locations,
453 a further increase in the exhumation rate may be observed after onset of the
454 deformation, such as that observed in the Alai Range in the western Kyrgyz
455 Tien Shan (Bande et al., 2017) and in the Baluntai section in the southeastern
456 Tian Shan (Lü et al., 2013).

457 The modeled slip rates on the thrust faults have remained similar (~ 0.4 –
458 0.5 km/Ma) when the deformation propagated towards the Junggar Basin (Fig-
459 ure 4a–4c). Assuming that the crustal shortening across the Bogda Shan has
460 been mainly accommodated by slipping on the low-angle décollement and ma-
461 jor thrusts, to ensure mass conservation, the components of the displacements
462 on the thrust and on the décollement perpendicular to the axial surface (an
463 interface between the hanging wall and the material sliding on the décollement)
464 should be equal to each other (Yuan et al., 2017). Based on such a relationship,
465 we estimate a relatively stable shortening rate at ~ 0.45 – 0.6 km/Ma using the
466 dip angles of 15° , 43° and 45° for the décollement, the thrust faults and the axial
467 surfaces, respectively. Therefore, although reconstructions of the plate motion
468 history indicate a change in the setting of the India-Asia collision zone around
469 25–20 Ma (Van Hinsbergen et al., 2012; Pusok and Stegman, 2020), our mod-
470 els suggest that such a change may not be recorded by the deformation of the
471 Bogda Shan.

472 5.2. Sedimentary records in the Junggar Basin in response to the Cenozoic uplift

473 The Cenozoic exhumation history of the Bogda Shan and northern Tian Shan
474 has been recorded by sedimentation history in the southern Junggar Basin. The
475 initiation of Cenozoic uplift is reflected by a change in the paleoenvironment
476 condition. On the southern margin of the Junggar Basin, occurrence of thick
477 calcrete layers during the Paleogene indicate a semiarid climate and a lack of
478 significant uplift or subsidence in the region (Heilbronn et al., 2015). The cal-
479 careous deposits disappeared by the late Eocene–early Oligocene, implying an
480 increase in subsidence rate in the Junggar Basin (Jolivet et al., 2018), likely due
481 to the initiation of the Cenozoic deformation of northern Tian Shan (Ji et al.,
482 2008). During the Oligocene, an important change in the basin subsidence and
483 sedimentation is marked by a regional unconformity, which were overlain by
484 coarse clastic sediments and conglomerates (Windley et al., 1990; Allen et al.,
485 1991). To the north and south of the Bogda Shan, the Oligocene unconformity
486 and coarse-grain latest Oligocene or earliest Miocene sediments and conglom-
487 erates were also observed in the southern Junggar Basin (Liu et al., 2004) and
488 Turpan Basin (Shao et al., 1999), respectively. Therefore, such a sedimentary
489 record suggests that their main source region experienced a significant increase
490 in uplift rate during the Oligocene–earliest Miocene.

491 Based on the velocity field of the rock particles in our kinematic model
492 and the development of the shape of the orogenic wedge, we can calculate the

493 sediment flux from the model transect (assuming a 2 km width of the tran-
494 sect) during the evolution of the mountain range (Figure 7). This calculation
495 is useful to demonstrate, of the first order, how the mountain uplift and asso-
496 ciated exhumation could influence the sedimentation rate in the foreland basin.
497 Our calculation suggests that during the early Miocene, the sediment flux from
498 the mountain range has increased significantly, when the shortening rate across
499 mountain remains relatively stable. This change in the sedimentation history
500 is due to the outward expansion of the mountain, which enlarged the area of
501 erosion. This prediction is in agreement with sedimentary facies and magne-
502 tostratigraphy recorded by the Cenozoic stratigraphy in the southern Junggar
503 Basin, which suggest an important change in the depositional environment and
504 an increase in the sedimentation rate during the late Oligocene–earliest Miocene
505 (Ji et al., 2008). Prior to the Oligocene, the uplift of Tian Shan might have been
506 restricted to a narrow zone: to the west of Urumqi, this might be the area near
507 Central Tian Shan (Figure 1b) (Dumitru et al., 2001; Jia et al., 2020), whereas
508 in the western Bogda, this could be to the south of the Erdaogou Fault (Fig-
509 ure 2a). The uplift in such small regions would have resulted deposition much
510 less considerable than that caused by the more extensive uplift along the south-
511 ern margin of the Junggar Basin since the late Oligocene–earliest Miocene.

512 It is also worth noting that the sediment flux history predicted by our
513 model does not replicate the pulses of sedimentation during the middle and
514 late Miocene (Figure 7). This discrepancy suggests that other processes which
515 are not considered in our kinematic model also contribute to the sedimentation
516 in the southern Junggar Basin. It may be due to the different structural set-
517 ting between the northern Tian Shan, where the sediment mainly derived from,
518 and the western Bogda Shan. The northern Tian Shan is a mountain range
519 much wider than the western Bogda and therefore the uplift could have been
520 accommodated by more structures, including the (re)activation of the range-
521 parallel thrust fault in the fold and thrust belt on the piedmont during the
522 middle Miocene (Yu et al., Unpublished results). Another possible cause for
523 the high sedimentation rate is climate change. The global cooling and drying
524 since the late Miocene (Herbert et al., 2016), together with the rising topog-
525 raphy of the mountain ranges in the region, have led to establish the modern
526 arid climate in Central Asia with seasonal precipitation (Caves et al., 2017).
527 This transformation in the regional climate was coincident with the accelera-
528 tion in the sedimentation rate in the southern Junggar Basin, indicating that
529 the increased seasonality and transience of the climate may be responsible for
530 the high sedimentation rate since the late Miocene.

531 6. Conclusion

532 We elucidated the Cenozoic uplift history of the Bogda Shan using a simple
533 thermo-kinematic model, which assumes that the mountain deformation has
534 been accommodated by slipping on a low-angle décollement and multiple frontal
535 thrusts. The model is constrained by AFT data across the northern flank of the
536 range, and is optimized through a formal inverse analysis. Our results suggest

537 that the Cenozoic uplift and exhumation of the western Bogda Shan started
 538 no later than ~ 40 Ma. The locus of the deformation propagated northwards
 539 from the interior of the orogen towards the Junggar Basin, and arrived at the
 540 current basin boundary fault at ~ 23 Ma. During this process, deformation of
 541 the mountain range could have been driven by crustal shortening at a relatively
 542 constant rate. The outward expansion of the mountain range could significantly
 543 increase the sediment volume eroded from the uplifted areas, which is consistent
 544 with the first increase in the sedimentation rate in the southern Junggar Basin
 545 during the latest Oligocene.

546 Acknowledgment

547 Apatite fission-track data used in this paper were published previously and
 548 available through Zhu et al. (2006), Wang et al. (2007) and Wang et al. (2008b).
 549 Jiao received support from the Natural Sciences and Engineering Research
 550 Council of Canada (Discovery Grant 2019-00243). Yuan’s contribution was
 551 funded by the COLORS project from TOTAL. The GLIC Cluster in GFZ Pots-
 552 dam was used for running simulations. We thank Kai Cao, Ed Sobel, Marc
 553 Jolivet, Pierre Valla, and anonymous reviewers for their criticism and sugges-
 554 tions on the manuscript.

Table 1: Model parameters sampled in inversion.

Parameter	Sampled range	Unit	Mean \pm std. error (best-fit)
Onset time of F1 (T1)	[30; 50]	Ma	45.9 \pm 3.3 (49.3)
Slip rate on F1 (V1)	[0; 1]	km/Ma	0.39 \pm 0.17 (0.36)
Onset time of F2 (T2)	[20; 40]	Ma	29.6 \pm 3.9 (29.4)
Slip rate on F2 (V2)	[0; 1]	km/Ma	0.48 \pm 0.20 (0.36)
Onset time of F3 (T3)	[0; 30]	Ma	22.5 \pm 3.5 (23.3)
Slip rate on F3 (V3)	[0; 1]	km/Ma	0.52 \pm 0.19 (0.38)
Dip angle of thrusts (γ)	[30; 60]	$^{\circ}$	47.3 \pm 6.9 (43.0)
Décollement depth at F1 (D)	[-15; -5]	km	-9.9 \pm 2.9 (-11.5)
Décollement dip (β)	[0; 20]	$^{\circ}$	13.2 \pm 3.8 (14.8)
Initial surface slope (α_0)	[0; 5]	$^{\circ}$	2.4 \pm 1.3 (2.7)
Critical surface slope (α_c)	[5; 7]	$^{\circ}$	5.4 \pm 0.5 (5.0)
Model basal temperature (T_{pb})	[400; 550]	$^{\circ}$ C	457 \pm 42 (476)
Unreset apatite FT age (Age_0)	[100; 150]	Ma	107 \pm 25 (117)

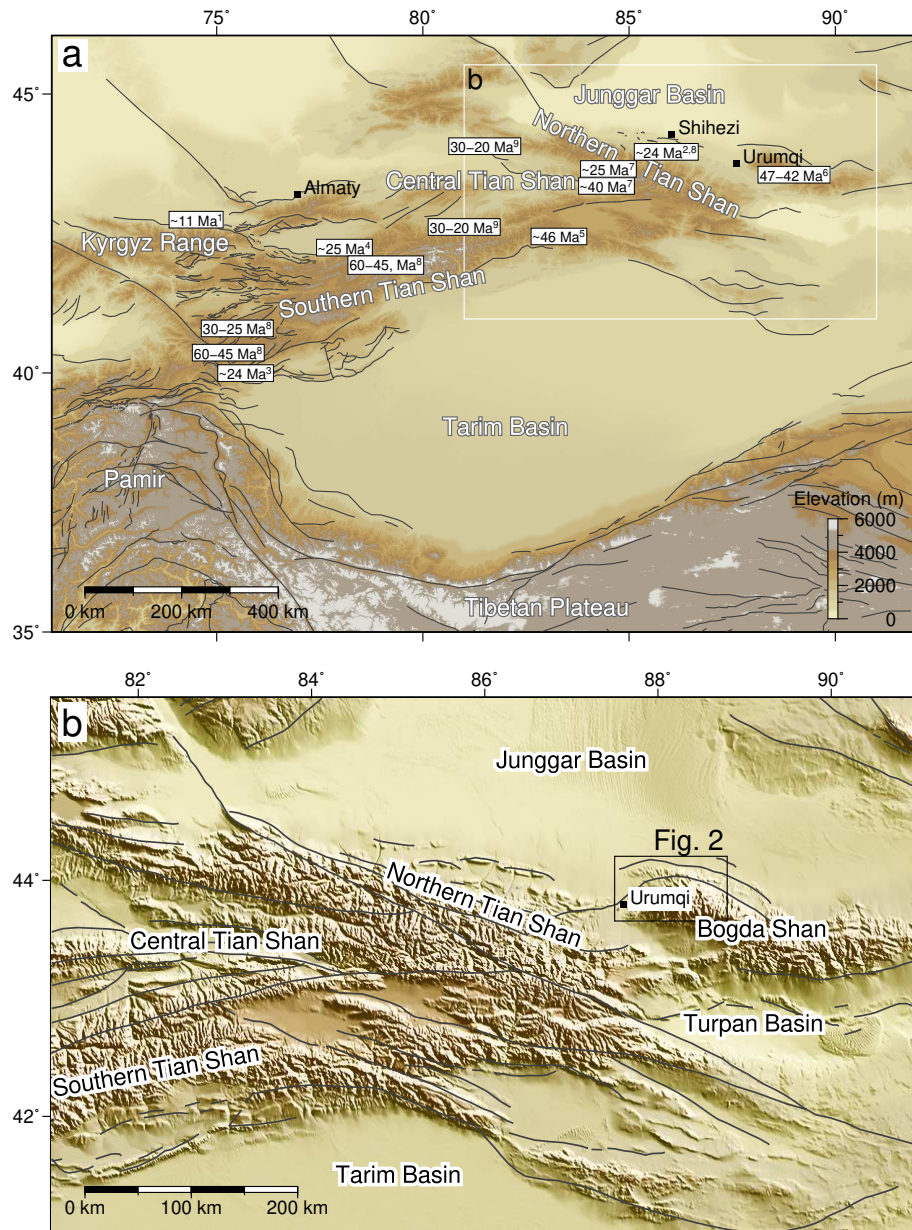


Figure 1: (a) Topography of the Tian Shan and adjacent areas. Age numbers show some representative estimates of the onsets of Cenozoic cooling in different parts of the Tian Shan from thermochronology. Superscripts indicate the references: 1, Bullen et al. (2001); 2, Hendrix et al. (1994); 3, Sobel et al. (2006); 4, Macaulay et al. (2014); 5, Yu et al. (2014); 6, Wang et al. (2008b); 7, Dumitru et al. (2001); 8, Glorie et al. (2011); 9, Wang et al. (2018b). Gray lines depict major active structures in Central Asia (<https://esdynamics.geo.uni-tuebingen.de/faults/>; Mohadjer et al., 2016). White box indicates the map area of b. (b) Shaded relief map showing the geographic units of the Chinese Tian Shan. Gray lines depict the active structures according to Deng et al. (2003). Box indicates the location of Figure 2.

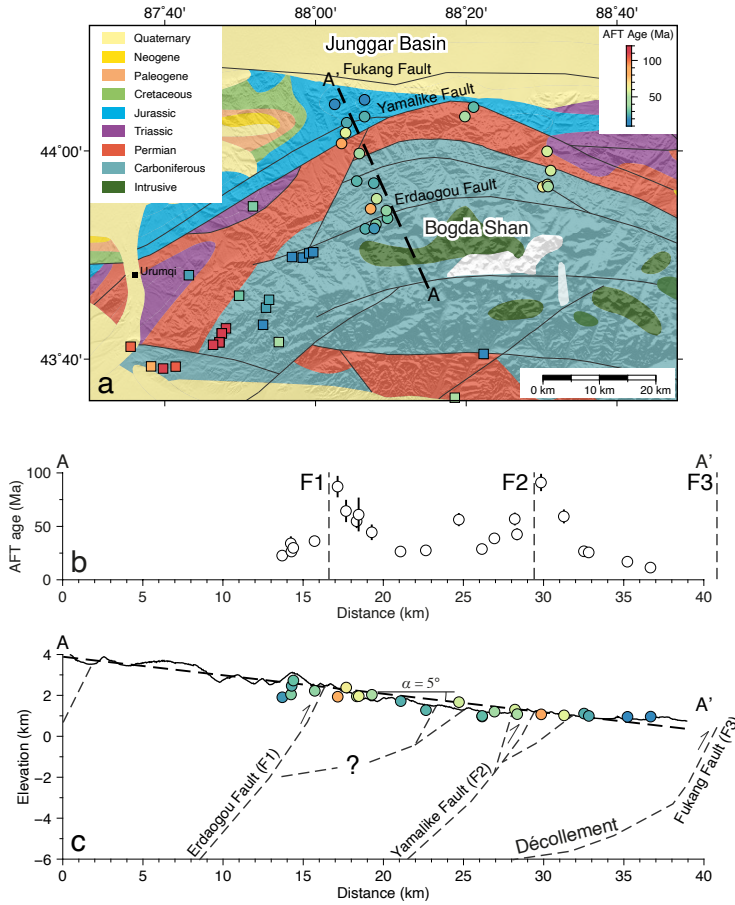


Figure 2: (a) Simplified geological map of the study area (Ye et al., 2017). Colored dots and squares indicate published apatite fission-track (AFT) ages in the area (Zhu et al., 2006; Wang et al., 2007; Shen et al., 2008; Wang et al., 2008b); dots depict ages used to constrain the inverse model and squares not. (b) AFT ages projected to the transect A–A'. Dashed lines indicate surface locations of the major thrust faults. (c) A schematic structural transect on the northern flank perpendicular to the mountain strike, based on which the kinematic model is constructed. Dashed lines depict main structures inferred from the surface structures and nearby geophysical profiles (Wang et al., 2007; Sun and Wang, 2014; Li et al., 2016). Note that the dip of the thrust faults and depth and angle of the décollement are unknown parameters that will be constrained by inverse modeling.

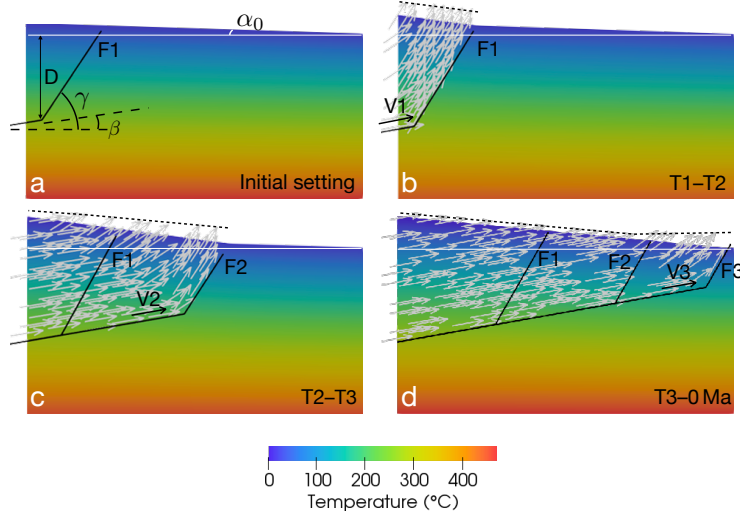


Figure 3: The kinematic model of tectonic evolution for the Bogda Shan. (a) The initial setting with a gently tilted topography. (b–d) Three stages of uplift. We assume that only one fault is active during each stage and forward sequential growth thrust faults in the taper, which is consistent with the prediction of the critical Coulomb wedge theory (Davis et al., 1983; Dahlen et al., 1984; Yuan et al., 2015). Dashed line indicates the uplifted surface of the hanging wall block from the previous model stage assuming that no erosion took place, i.e., the difference between this hypothetical surface and the model surface represents the eroded material. Note that the magnitude of erosion is dependent on the velocity of the rock particles and geometry of the faults. See the text for details of the model setup.

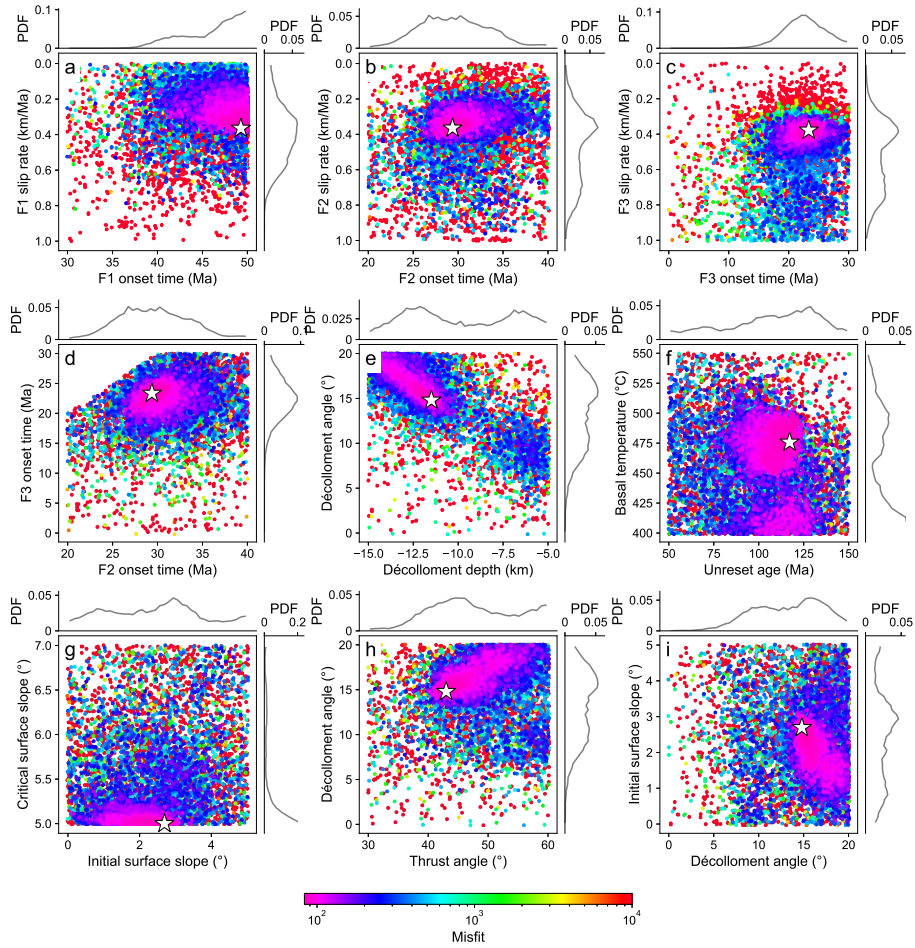


Figure 4: Results of the inverse analysis constrained by AFT age data. Scatter plots show a randomly thinned (10%) ensemble of sampled forward models, projected on planes defined by pairs of parameters. Forward models are color coded according to their misfit values. 1D marginal probability density functions (PDFs) are plotted along the corresponding axes. Stars depict the "best-fit" model.

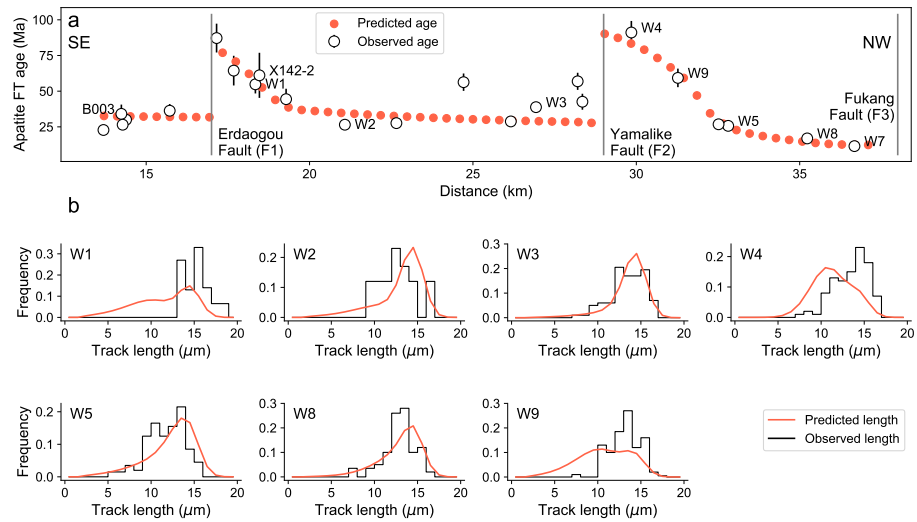


Figure 5: Predicted AFT data by the “best-fit” model compared to the observed data. (a) Predicted and observed AFT ages along the transect across the northern flank of the Bogda Shan. Distances on the transect are the same as the Figure 2b. Vertical lines indicate surface locations of major faults. (b) Predicted (curve) and observed (histogram) AFT length distributions for some samples along the transect. Note that the track length data were not used for inverse modeling.

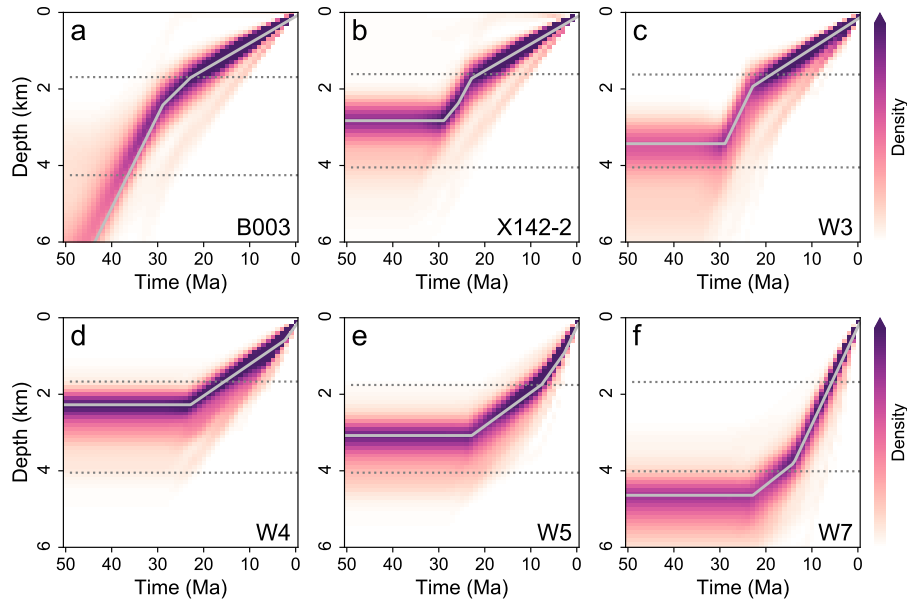


Figure 6: Exhumation histories of representative samples predicted by the inversion. Density plots (color) are calculated from a thinned (10%) ensemble of sampled forward models. Solid lines indicate the “best-fit” models. Dotted horizontal lines indicate boundaries of the partial annealing zone (60–120°C) of apatite fission tracks for the “best-fit” model.

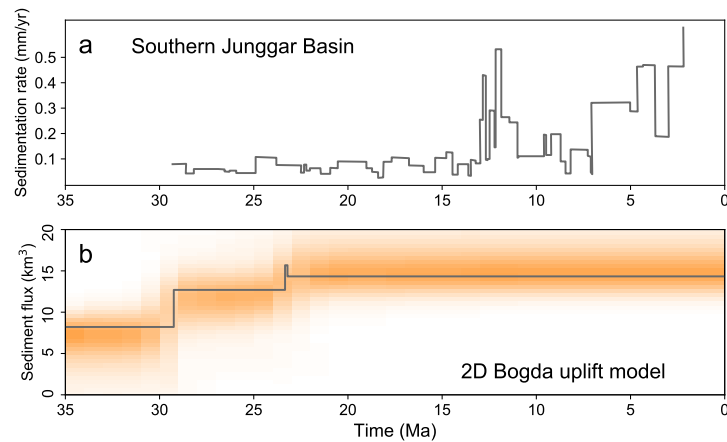


Figure 7: Potential impact of the Cenozoic uplift of the Bogda and northern Tian Shan to sedimentation in the southern Junggar Basin. (a) Sedimentation rate in the southern Junggar Basin inferred from the magnetostratigraphy of a stratigraphic section in the Jingou River (Ji et al., 2008). (b) Sediment flux predicted by the 2D uplift model for a transect across the northern flank of the western Bogda Shan, assuming that the transect has a width of 2 km. Density plot (color) is calculated from a thinned (10%) ensemble of sampled models. Solid line indicate the “best-fit” model.

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