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1 **Analysis of the 2019 M_W 5.8 Silivri Earthquake ground motions: Evidence**
2 **of systematic azimuthal variations associated with directivity effects.**

3

4 Elif Türker^{*1,2}, Fabrice Cotton^{1,2}, Marco Pilz¹, Graeme Weatherill¹

5 ¹ Helmholtz Centre Potsdam, GFZ German Research Centre for Geosciences, Potsdam, Germany

6 ² University of Potsdam, Institute of Geosciences, Potsdam, Germany

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8 *Corresponding author: etuerker@gfz-potsdam.de

9

10 **Abstract**

11

12 The Main Marmara Fault (MMF) extends for 150 km through the Sea of Marmara and
13 forms the only portion of the North Anatolian Fault Zone that has not ruptured in a
14 large event ($M_W > 7$) for the last 250 years. Accordingly, this portion is potentially a
15 major source contributing to the seismic hazard of the Istanbul region. On 26
16 September 2019, a sequence of moderate-sized events started along the MMF only
17 20 km south of Istanbul and were widely felt by the population. The largest three
18 events, M_W 5.8 (26th September at 10:59 UTC), M_W 4.1 (26th September 2019, 11:26 UTC),
19 and M_W 4.7 (20th January 2020) were recorded by numerous strong-motion seismic
20 stations and the resulting ground motions were compared to the predicted means
21 resulting from a set of the most recent ground motion prediction equations (GMPEs).
22 The estimated residuals were used to investigate the spatial variation of ground

23 motion across the Marmara Region. Our results show a strong azimuthal trend in
24 ground motion residuals, which might indicate systematically repeating directivity
25 effects towards the Eastern Marmara Region.

26

27

28 Introduction

29

30 On 26 September 2019 the Marmara Region was struck by two moderate-sized events
31 with magnitudes M_W 5.8 (at 10:56 AM UTC, Event 1) and M_W 4.1 (at 11:27 AM UTC,
32 Event 2). A few months later on 11 January 2020, a M_W 4.7 event (Event 3) occurred
33 5 km from the two preceding events (in the following, the three earthquakes are
34 termed the Silivri Sequence). This sequence of earthquakes produced the largest
35 ground motions recorded in the Marmara Region since 20 years (after the two
36 destructive M_W 7.4 and M_W 7.2 Kocaeli and Düzce events, respectively, in 1999). The
37 location of these events is suggested to represent the transition point between the
38 creeping Central Basin (CeB) and the locked Kumburgaz Basin (KB) (see Figure 1)
39 located on the western and eastern edges of the Main Marmara Fault (MMF),
40 respectively (Bohnhoff et al., 2013, 2017; Ergintav et al., 2014; Schmittbuhl et al., 2016).
41 The MMF represents the only portion of the North Anatolian Fault Zone (NAFZ), a
42 1600 km long dextral strike-slip fault that crosses northern Turkey, which has not
43 ruptured in a large ($+M_W$ 7) event for the last 250 years. With an estimated recurrence
44 interval of 250-300 years, this section poses the greatest seismic hazard and risk for the
45 whole Marmara Region, especially for metropolitan Istanbul, which is only 20 km
46 north of the MMF and is home to more than 15,000,000 people (Erdik et al., 2003;
47 Parsons, 2004; Hergert and Heidbach, 2010; Murru et al., 2016). Although no loss of
48 life was reported as a result of the Silivri Sequence, and the magnitude of the events
49 was not large, their critical location on the creep-locked transition point and the fact

50 that the area has not experienced any significant ruptures for the last 20 years provides
51 the motivation to analyze the sequence's physical characteristics in terms of the
52 spatial-temporal evolution of the resulting seismicity and to resolve a detailed rupture
53 geometry (Durand et al., 2020; Karabulut et al., 2021). For example, the study of
54 Karabulut et al. (2021) analyzed the fore- and aftershock activity of the Silivri Sequence
55 and concluded that there was eastward rupture propagation, with an increase of stress
56 rates along a 10 km length of the eastern MMF (CeB and KB) and an 8 km long rupture
57 with directivity towards the east, posing an increased level of seismic hazard for the
58 Istanbul region.

59

60 We take advantage of the spatially well-distributed strong ground motion stations
61 operated by the Disaster and Emergency Management Authority of Turkey (AFAD)
62 and use the large number of stations (182) that have recorded this sequence to examine
63 the regional variability of ground motion amplitudes for intensity measures such as
64 Peak Ground Acceleration (PGA) and Peak Spectral Acceleration (PSA) at different
65 spectral periods. The evaluation of ground motion variability is undertaken by
66 calculating the residuals, which are defined as the difference between the observed
67 (recorded) data and those predicted by the most recent ground motion prediction
68 equations (GMPEs). When the variability is decomposed into path-, site- and source-
69 effects, our results suggest that the Silivri Sequence produced ground motions with a
70 systematically strong dependency on the source-to-site azimuth that could not be
71 captured by recent GMPEs.

72

73 **Strong ground motion database**

74

75 We used the processed (0.1-25 Hz Butterworth bandpass filtered, detrended, and mean
76 removed) strong ground motion records of the Silivri earthquake sequence, the
77 specific seismological properties of which are listed in Table 1. The records were
78 gathered from the New Turkish Accelerometric Database and Analysis System
79 launched by the Disaster and Emergency Management Authority of Turkey (AFAD-
80 TADAS). The provided recordings' continuous and template waveforms were
81 detrended and filtered between 1 and 25 Hz using a second- order Butterworth band-
82 pass filter before further processing. The selected earthquakes are all located along the
83 Main Marmara Fault (MMF) segment with epicentral distances of less than 5 km from
84 each other. Figure 1 shows the locations of the three events and the stations that
85 provided the recorded PGA values of the M_w 5.8 mainshock. The stations are in general
86 azimuthally well-distributed, however, there are more stations around the Armutlu
87 Peninsula in the Eastern Marmara towards Kocaeli, which experienced major damage
88 during the 1999 event. The maximum level of ground motion shows remarkable
89 azimuthal variability with greater PGA values north and east of the epicenter and
90 significantly lower PGA values towards the west. The general pattern of this spatial
91 variation is independent of the stations' site class and remains stable for other spectral
92 periods up to 2s (see supplementary material). However, this distribution will be
93 analyzed in detail in the following sections. For the comparison of the results with

94 existing GMPEs, only ground motion recordings from free-field stations with source-
95 to-site distances of less than 200 km were used, ensuring that the distance is within the
96 validity range of the selected GMPEs. The Joyner-Boore-Distance, R_{JB} , (the closest
97 distance from the surface projection of the fault rupture plane to the recording site)
98 was selected as the reference distance measure since the selected ground motion
99 models (except for Chiou and Youngs, 2014) are using this reference distance metric.
100 R_{JB} values for the M_W 5.8 event have been taken from the AFAD-TADAS database (last
101 accessed June 2020). For the $M_W < 5$ events, R_{JB} values were not available, so we
102 assumed $R_{JB} = R_{epi}$ since the fault plane for these earthquakes is small and the
103 extended source-to-site distance metrics can be compared with point-source distance
104 measures such as R_{epi} (Ambraseys et al., 2005). Records from sites with unknown or
105 inferred v_{S30} (averaged shear-wave velocity of the upper-most 30 m) values have been
106 discarded and only those with measured v_{S30} values are used in the analysis. The sites
107 have v_{S30} values ranging from 181 m/s to 1747 m/s with a median v_{S30} of 352 m/s.
108 Therefore, 238 records (out of 286) from 182 stations were used to evaluate the
109 applicability of a set of recent reference GMPEs that will be discussed in the following
110 section.

111 [Table 1]

112 **Methods**

113 **Comparison with ground motion prediction equations (GMPEs)**

114

115 Ground motion prediction equations describe the distribution of ground motion by a
116 median and logarithmic standard deviation (Strasser et al., 2009). A general and
117 simplified form of empirical GMPEs is given by equation 1 (Al Atik et al., 2010).

118

$$119 \quad Y = f(X_{es}, \theta) + \Delta \quad (1)$$

120

121 Here, the term $f(X_{es}, \theta)$ describes the ground motion model itself, with X_{es}
122 representing the explanatory variables (such as magnitude, source-to-site distance,
123 faulting mechanism, and site-descriptor parameters), and θ representing the vector of
124 the coefficients derived using statistical regression analysis. The latter term Δ refers to
125 the total variability of the ground motion – described by a normal distribution with a
126 mean of 0 and standard deviation, σ , and will be discussed in the next section. Y is the
127 natural logarithm of the observed ground motion intensity measures, such as PGA,
128 PGV (Peak Ground Velocity), and PSA for different periods. Here, we first analyze the
129 residuals in the form of histogram plots (Figure 2) and assess the performance of the
130 reference GMPEs with respect to the Silivri Sequence (observed data). The pre-
131 selection phase for defining a set of reference GMPEs that could appropriately
132 represent the target area is based on the studies of Cotton et al. (2006) and Bommer et
133 al. (2010), who defined the exclusion criteria for GMPEs for specific target regions.
134 These are briefly: 1) the model is from an irrelevant tectonic regime, 2) the model is not
135 published in a peer-reviewed journal, 3) the dataset and the ground motion model are
136 insufficiently documented, 4) the GMPE has been updated by a more recent model, 5)

137 the frequency range of the GMPE is not appropriate for the use of engineering
138 applications, 6) the functional form of the model is inappropriate, and 7) the regression
139 coefficients or the regression model are judged to be inappropriate.

140 Following the application of these criteria, the observed ground motion amplitudes
141 are compared against six GMPEs: one local GMPE (Kale et al., 2015), three pan-
142 European GMPEs (Akkar et al., 2014; Bindi et al., 2014; Kotha et al., 2020), and two
143 global GMPEs (Boore et al., 2014; Chiou and Youngs, 2014), which are abbreviated
144 from hereon as Ka15, ASB14, Bi14, Ko20, BSSA14, and CY14, respectively. The key
145 properties of these six GMPEs and their underlying data sets are presented in Table 2.

146 The NGA-W2 models CY14 and BSSA14 require the depth-to-bedrock parameter $z_{1.0}$,
147 which is not reported for these stations. Accordingly, the values of $z_{1.0}$ were estimated
148 using the empirical relationship between v_{S30} and $z_{1.0}$ (Chiou and Youngs, 2014).

149 Figure 2 shows the PGA within-event residuals, which are based on the mixed-effect
150 regression proposed by Bates (2015). The mixed-effects regression model includes a
151 fixed-effect and random-effects part, whereas the former is represented by the
152 explanatory variables in the GMPE, such as magnitude, distance and v_{S30} , and the
153 latter random-effects are the site- and source parameters. Accordingly, the selected
154 ground motion models predict, without major discrepancies, the observations as
155 indicated by the close agreement of the mean and the variability of the residuals.

156 This consistency is still apparent for periods up to 3 s when considering the PSA.

157 Accordingly, the analysis is robust with respect to the choice of GMPEs; hence, we will

158 proceed with the model of Bindi et al. (2014), which gives a marginally better fit shown
159 by the overlapping residual curves in Figure 2.

160

161 *[Figure 2 here]*

162 *[Table 2 on a separate page]*

163

164 **Between- and within-event residual analysis**

165

166 The total residual of the ground motion model (Δ in Equation 1) is subdivided into the
167 between-event (δB_e) and within-event (δW_{es}) components (Al Atik et al., 2010) in order
168 to analyze source-, path-, and site effects that are not accounted for by the parameters
169 in the ground motion models themselves, and thus contribute to variability that arises
170 with the use of these ground motion prediction equations. δB_e describes the average
171 shift of the observed ground motion resulting from an earthquake (e) from the median
172 calculated using the GMPE. The misfit between an individual station recording (s) and
173 the earthquake-specific (e) median predicted by the model is called the within-event
174 variability, δW_{es} . The between-event residuals quantify the remaining source-effects
175 that are not captured by the source parameters included in the GMPEs, while the
176 within-event residuals represent azimuthal differences in source-, path- and site-
177 effects that are not captured by the path- and site descriptor parameters. δB_e and δW_{es}
178 are normally distributed, uncorrelated random variables with zero means and

179 standard deviations of τ and ϕ , respectively. Accordingly, σ can be described by
180 equation 2:

181

$$182 \quad \sigma = \sqrt{(\tau^2 + \phi^2)} \quad (2)$$

183 The GMPE represents a good with the data, if the normalized residuals match to a
184 normal standard distribution (Scherbaum et al, 2004). The normalized between-event
185 residuals for the three earthquakes are estimated using a random effects modelling
186 approach (Bates et al., 2015) for periods up to $PSA = 3$ s (Figure 3). The
187 26 September 2019 M_W 4.1 (Event 2) and 20 January 2020 M_W 4.7 (Event 3) events show
188 positive between-event residuals (e.g., ground-shaking), indicating that the observed
189 shaking is higher than that predicted by the median of the GMPE, although well within
190 the variability range of $\pm 1\sigma$ that is expected from a normal distribution. The M_W 5.8
191 mainshock shows the opposite trend, with negative between-event residuals for most
192 periods. This observation of higher between-event residuals for the aftershocks than
193 for the mainshock contrasts with recent studies, such as Bindi et al. (2019), which have
194 shown that aftershocks usually display lower between-events residuals than those of
195 the mainshock, implying lower stress drop and, thus, lower than expected shaking.
196 This does not appear to be the case during the Silivri Sequence.

197

198 *[Figure 3 here]*

199

200 The δW_{es} residuals for all events were calculated for PGA and PSA periods between
201 0.01s and 2.0 s (but only the results for PGA are presented in this paper). The within-
202 event residuals against R_{JB} and v_{S30} are shown in Figure 4, whereby the stations are
203 colored with respect to their source-to-site longitudinal location. The red zero line
204 indicates that there is no discernible bias with respect to the selected GMPEs.

205

206 *[Figure 4 here]*

207

208 For distances less than $R_{JB} < 50$ km, the observed values are lower than the predicted
209 medians. We note, however, that the number of near-fault stations (distances < 80 km)
210 is comparatively small. For larger distances ($R_{JB} > 50$ km) there is no trend with
211 distance at any period. A comparison with the site descriptor parameter, v_{S30} , shows
212 an almost equal distribution of ground motion residuals, thus both comparisons
213 confirm that the ground motion models are largely unbiased with respect to v_{S30} or
214 R_{JB} .

215

216 **Azimuthal variations in within-event residuals**

217 The noticeable trend in the spatial distribution of the recorded PGA with increasing
218 values towards the northern and eastern Marmara (as shown in Figure 1) will be
219 analyzed in this section. The calculated residuals shown in Figure 4 demonstrate how
220 the within-event residuals are strongly dependent on the longitudinal position of the
221 recording stations relative to the epicenters. Figure 5a-c shows these normalized

222 residuals using the Bi14 model as a function of the stations' locations for PGA and all
223 three events separately. Again, we show only the PGA because here the trend is most
224 pronounced, although the trend itself persists for other periods of spectral
225 acceleration. The reader is referred to the supplement of this paper for the results over
226 the entire frequency range. A clear regional (azimuthal) trend in ground motion
227 residuals can be observed for stations that are located towards the rupture propagation
228 (i.e., the eastward of the epicenters). Here, the observed ground motion amplitudes
229 are systematically higher than zero. By contrast, those ground motions that arrive at
230 stations away from the direction of rupture propagation (westward from the
231 epicenter) show a clear negative trend with respect to the reference GMPE of Bi14. This
232 confirms that the ground motion recorded along the Asian part of Istanbul and
233 northeast of the Armutlu Peninsula towards Kocaeli (i.e., the Eastern Marmara Region)
234 is noticeably higher than ground motion in the Western Marmara Region. However,
235 the number of recorded stations is lower for the M_W 4.1 aftershock (Figure 5b), making
236 the trend less pronounced.

237

238 *[Figure 5 here]*

239

240 For the M_W 5.8 event (Figure 5a) the stations in Western Marmara are spatially well
241 distributed and the region is well covered by seismic stations, hence, an increasing
242 number of seismic observations would most likely not change the overall trends. The
243 azimuthal trend is systematically repeating even for the smaller events and does not

244 vanish with increasing period. To understand the potential threat of these systematic
245 higher ground motion levels in specific parts of the Marmara Region, we next examine
246 the site-, path-, and source effects that could influence ground motion variability. This
247 trend is apparent, regardless of the GMPE chosen, and confirms the study of Karabulut
248 et al. (2021) who proposed an eastward propagation of the rupture (in combination
249 with directivity effects). Since this trend is most pronounced for higher frequencies
250 (but still apparent for longer periods), we only focus on PGA, but the results for PSA
251 up to 2 s is provided as an elec. supplement.

252

253 **Discussion: Understanding the potential causes of (systematic) azimuthal variations**
254 **in ground motion**

255

256 The analysis of the within-events residuals of the Silivri Sequence indicate noticeable
257 variation with respect to azimuth, with increasing ground motion amplitudes towards
258 the north-eastern Marmara Region. The majority of GMPEs rely on a simplified
259 assumption of an isotropic case and do not account for the “real” anisotropic case, e.g.,
260 of the shear-wave radiation pattern, which can also cause earthquake directivity effects
261 (Kotha et al., 2019). Although previous studies have pointed out the importance of
262 including directivity terms into ground motion prediction equations from small-to-
263 moderate events (Courboulex et al., 2013; Chen et al., 2014), there is no available
264 directivity model yet that accounts for earthquake directivity for $M_W \leq 5.8$ events. The
265 extensive study undertaken by the NGA-W2 directivity project (Spudich et al., 2014)

266 provides five different directivity models that can be implemented as an additive term
267 into available GMPEs for magnitudes larger than M_W 5.8. Although the modellers were
268 aware of the fact that directivity can also be present for PGA and PGV and magnitudes
269 down to M 3-5, the lack of small-to-moderate sized events in the near-fault (<70 km)
270 area was the reason that such events were not accommodated (Bozorgnia et al., 2014;
271 Spudich and Chiou, 2008). Physics-based simulations capture well earthquake
272 directivity effects but are mostly based on kinematic models that assume for small
273 earthquake sources a simple radially symmetric rupture and ignore possible complex
274 rupture processes as well as variable rupture velocity (Kane et al., 2013). Karabulut et
275 al. (2021) confirms the complex behavior of the moderate M_W 5.8, 2019 Silivri event
276 with increasing rupture velocity and slip values (100 cm) towards the east. The rupture
277 of the mainshock was found to be larger towards the east (5 km) than towards the west
278 (3 km). Our results of higher ground motion values towards Eastern Marmara
279 therefore further support the results of Karabulut et al. (2021), who proposed a
280 dominant rupture propagation towards the east coupled with eastward directivity
281 effects for the broken fault segment of the MMF. This hypothesis needs to be explored,
282 however, and in the following, we address in turn each of the potential site-, path-,
283 and source effects that may have led to the observed azimuthal ground motion
284 variations.

285

286 **Site-effects**

287

288 The selected ground motion models predict ground-shaking with at least one site-
289 descriptor parameter included (v_{S30}). The NGA-W2 models CY14 and BSSA14 have an
290 additional site term, $z_{1.0}$, a proxy for seismic basin depth defined as the depth at which
291 the shear-wave velocity reaches 1.0 km/s. For the stations considered in this work, in
292 terms of measured v_{S30} , the stations are equally distributed with the majority
293 belonging to the EC8 site classes B and C (Figure 1). This agrees with the dataset of the
294 Bi14 model which was derived using mainly Turkish recordings, as the majority of
295 stations belonging to these site classes. Furthermore, the residual analysis considering
296 the v_{S30} predictor variable (Figure 4, bottom two rows) already indicated no
297 relationship between the predicted residuals and v_{S30} . However, v_{S30} and $z_{1.0}$ describe
298 site terms in a simplified manner. Local geological site-conditions and the
299 characteristics of the basin sub-surface topography may amplify (or even de-amplify)
300 earthquake ground motions significantly. If the observed trend in azimuthal variations
301 of ground motions arose due to possible geological effects (site effects) and not because
302 of the earthquake source or travel path effects, the trend of higher values towards the
303 Eastern Marmara should be evident for other earthquakes in the nearby region. We
304 assess whether this is likely to be the case by exploring the within-event residuals for
305 another recent event in the Marmara Region that is not located on the MMF.
306 Accordingly, the onshore M_W 4.1, 25/06/2016 event close to the city of Yalova was
307 selected. Figure 5d shows the difference in ground motion residuals calculated for the
308 M_W 5.8 Silivri and M_W 4.1 Yalova events using the Bi14 GMPE for PGA. Since the
309 Yalova event occurred onshore, the vast majority of stations are located in Eastern

310 Marmara and stations towards the western portion of the Marmara Region are
311 missing. These results show that residuals from the two events are substantially
312 different from each other, hence, we suggest that there is no systematic influence of
313 site effects on the resulting ground motion. Another non-reference site technique is the
314 evaluation of the horizontal-to-vertical (also called H/V) spectral ratios. The
315 deconvolution of the vertical component from the horizontal leads to an approximate
316 representation of site-response. To calculate the event-specific H/V ratios, a 5%-cosine
317 taper has been applied to the time series and the corresponding Fourier amplitude
318 spectra of all three components have been smoothed using the Konno-Ohmachi
319 smoothing algorithm with a bandwidth, b , of 40. Two stations were selected for this
320 analysis (Figure 6): AFAD station codes 4121 and 1710. The first station is in the
321 direction of rupture propagation, east of the epicenter and the second located in the
322 backward direction of the rupture propagation, west of the epicenter. These sites will
323 be referred to from now as the directive and anti-directive sites, respectively,
324 according to Somerville et al. (1996). These two sites were selected since they are
325 characterized by almost identical v_{S30} profiles of 283 m/s (station 1710) and 286 m/s
326 (station 4121) and similar R_{jb} , but significantly different PGA values ($PGA_{1710} =$
327 1.78 cm/s^2 ; $PGA_{4121} = 10.72 \text{ cm/s}^2$).

328

329

[Figure 6 here]

330

331 Figure 7 shows the mean horizontal-to-vertical (H/V) spectral ratios for the two
332 selected stations (Figure 6, black triangles) using recordings of the Silivri Sequence.
333 The most energetic S waves (e.g., 0.1 to 10 Hz) show similar H/V ratios for both
334 stations. Peak frequencies in the H/V ratios are at 0.1-0.2 Hz (i.e., at long periods of 5-
335 10 seconds) for both sites. While H/V spectral ratios of earthquakes can be considered
336 to provide only a lower bound of the absolute amplification, the similarity of the
337 spectral ratios from all events indicates that site effects only play a minor role over the
338 entire frequency range and would not account for the ground-motion differences
339 observed between these two stations.

340

341 *[Figure 7 here]*

342

343 **Path-effects**

344

345 Due to the limited number of earthquakes considered in this study, path-effects could
346 not be evaluated empirically, but were instead interpreted with reference to the local
347 bedrock geology and to previous studies of crustal structure that are based on
348 numerical simulations. The Marmara Region is marked by different geological
349 formations with significant stratigraphic variability that could have a large influence
350 on seismic wave propagation. To the north-west, the region is surrounded by the
351 Thrace Basin formation, which is primarily composed of Quaternary to Tertiary basins.
352 The Eastern Marmara, by contrast, is dominated by comparatively older Cretaceous

353 formations (Ergün and Özel, 1995). While seismic attenuation is dependent on many
354 factors, previous studies have demonstrated that it is strongly sensitive to
355 temperature, structure, and the presence of fluid phases within the Earth (Solomon,
356 1972; Wu et al., 2007). Geothermal areas, such as those present in the Armutlu
357 Peninsula in the Eastern Marmara are therefore suggested to represent strong seismic
358 attenuation. Zhao and Xie (2016) have demonstrated high Q_{LG} anomalies for that
359 region (Q_{LG} = attenuation quality factor measured from the Lg-coda), whereby the
360 western portion of the Marmara Sea is represented by lower Q_{LG} anomalies. Indeed,
361 these crustal anomalies could explain the azimuthal dependence of ground motion
362 amplitudes, which are higher towards the Eastern Marmara. However, one of the
363 major outcomes of their study was the frequency-dependency of their proposed
364 broadband Lg-wave attenuation models, with higher attenuation anomalies observed
365 at higher frequencies. In contrast, our results show that the azimuthal dependency of
366 ground motion amplitudes is persistent even at lower frequencies (see elec.
367 supplement). The travel path of seismic waves is further controlled by heterogeneities
368 in crustal velocity and attenuation. Many studies have described the Marmara
369 Region's velocity structure, with several discrepancies arising between these works.
370 For example, Baris et al. (2005) found that based on travel time data, there are strong
371 lateral heterogeneities in the eastern Marmara Region. Koulakov et al. (2010) observed
372 a low shear wave velocity (V_s) structure beneath the Adapazari Basin and high
373 velocities (low attenuation) around the Kocaeli/Armutlu region. A more recent study
374 by Polat et al. (2016) based on local earthquake tomography demonstrated that the

375 seismic velocity distribution is consistent with the tectonic features of the Marmara
376 Region. Accordingly, at shallow crustal depths (5-15 km), high P- and S-wave
377 velocities are present beneath the Armutlu Peninsula and Yalova. In summary, we
378 cannot necessarily preclude the possible influence of path effects on the observed
379 azimuthal variability in ground motions. However, from the many lines of
380 investigation that we have explored through various geophysical studies found in the
381 literature, we cannot find a clear and coherent physical explanation for all of the spatial
382 trends we are observing in the residuals across a range of frequencies. This issue
383 should then remain open as a point of future discussion and analysis.

384

385 **Source-effects and implication of rupture directivity**

386

387 The two stations that were discussed previously (Figure 6) are now used to analyze
388 earthquake directivity effects in the ground motion discussed by Karabulut et al.
389 (2021). Figure 8 shows in the first row the acceleration recordings of the selected
390 directive and anti-directive sites. The first noticeable difference between the two
391 stations is the maximum amplitude of the directive station, which is almost a factor of
392 5 larger than the maximum amplitude of the anti-directive site. Also, the respective
393 fault normal (FN) and fault parallel (FP) components of the directive station (right plot
394 Figure 8) show major differences in their amplitudes, with a larger maximum on the
395 FN component. This is a classic observation associated with directivity-effects and can
396 be explained by the fact that for strike-slip faulting mechanisms (such as was the case

397 for the M_W 5.8 and M_W 4.1 events in the Silivri Sequence) the S-wave radiation pattern
398 maximum is in the strike-normal (e.g., FN) direction, whereas the strike-parallel will
399 be marked by lower amplitudes (Somerville et al., 1997; Bray and Rodriguez-Marek,
400 2004; Chiarabba et al., 2009; Kotha et al., 2019).

401

402 *[Figure 8 here]*

403

404 Directivity results when the rupture velocity exceeds or is close to the shear-wave
405 velocity. Accordingly, a station located towards the rupture front (i.e., a directive site)
406 will experience a pulse-like motion at the beginning of the recording (Somerville et al.,
407 1997; Bray and Rodriguez-Marek, 2004; Baker, 2007). Recent studies made on NGA-
408 W2 (Bozorgnia et al., 2014) data have generally modelled directivity as a narrow-band
409 phenomenon, usually affecting a limited period range that depends on the magnitude
410 of the events (typically with $T > 1$ s for earthquakes with magnitude greater than M 5).
411 This effect can be seen more clearly in the velocity or displacement time histories.
412 Figure 8 bottom row confirms the appearance of a pulse-like motion in the velocity-
413 time domain for the directive station. The PGV values are too low ($PGV < 30$ cm/s) to
414 be quantified as a pulse motion according to the classification of Baker (2007), but this
415 feature is apparent for other stations located in the rupture direction, so we will
416 continue to refer to it as “pulse-like” due to its appearance. This pulse-like motion,
417 however, occurs at an intermediate point of the recording (around 20 seconds after the
418 onset of the S-wave) at a distance of approximately 100 km. This observation is

419 consistent with the previous detection of directivity effects at large distances for even
420 smaller events of $M_W < 7$ (Courboux et al., 2013; Chen et al., 2014). In comparison, the
421 anti-directive site shows significantly lower amplitudes and no indication of a pulse-
422 like motion. Figure 9 shows the pseudo-spectral-velocity (PSV) for the directive and
423 anti-directive stations, where the peaks for the directive site are around 2-3 s. The anti-
424 directive sites represented by red curves show noticeably lower PSV values than the
425 directive sites. The maximum spectral values are 5-15 times larger for the directive
426 sites, compared to the anti-directive sites.

427

428 [Figure 9 here]

429 The directivity effects suggested by these observations cannot, however, be easily
430 compared with existing ground-motion models that have integrated directivity
431 models. Indeed, the NGA-West Directivity group has provided an extensive study
432 (Spudich et al., 2014) that proposed five different directivity models intended for
433 application in seismic hazard analysis. While it is acknowledged that directivity can
434 occur at distances larger than 100 km and from smaller events, hence, none of the
435 proposed models are applicable for the present distance and magnitude range. For
436 example, the CY14 model based on the direct point parameter (DPP) predicts
437 significant directivity effects at shorter distances (< 70 km) and for larger magnitude
438 earthquakes ($M_W > 5.7$). Only one of three selected events fall within this magnitude
439 range and from this only a few records fall within this distance range, the expected
440 amplification due to the directivity would be minimal. Accordingly, the application of

441 CY14 while including the directivity term would still predict levels of ground motion
442 that are not consistent with the observations made in this study. Our observations are
443 intriguing, however, since they indicate that the major earthquakes of the Silivri
444 Sequence have all been mostly unilateral with ruptures systematically propagating
445 from west to east. The assumption of systematic directivity effects agrees with the
446 observations proposed by Karabulut et al. (2021) who indicated an 8 km extending
447 eastward directivity with increasing shear-wave velocities from west to east.

448

449 This raises the question of whether future and larger earthquakes on the MMF would
450 also rupture towards the east. Ben-Zion and Andrews (1998) and Ben-Zion and
451 Sammis (2003) provided a theoretical background for such a hypothesis. According to
452 these studies, a sharp material contrast on a strike-slip fault will lead to asymmetric
453 motion on different sides of the rupture and thus to a preferred rupture propagation
454 direction. Meanwhile, Le Pichon et al. (2003) concluded that geological rock properties
455 are different on both sides of the Main Marmara fault (creeping and locked sections),
456 demonstrating the existence of asymmetric elastic loading along the MMF.

457

458 **Conclusions**

459

460 This study investigated the regional variability of the strong ground motion
461 recordings of the largest three events in the Silivri Sequence: the M_W 5.8 and M_W 4.1
462 events that occurred on 26 September 2019, and the M_W 4.7 event that followed on

463 11 January 2020. Their between-event residuals (δ_{Be}) and within-event residuals
464 ($\delta_{W,es}$) were analyzed to interpret the implications regarding the impact of source
465 effects and path propagation on the regional variability in observed ground-motion
466 amplitudes. Our results show clear azimuthal trends in ground motion, even at larger
467 epicentral distances (up to 100 km) for PGA and a wide range of spectral periods,
468 which are not captured by recent GMPEs. Higher ground motions are observed
469 towards the Eastern Marmara, Armutlu Peninsula, and Kocaeli. Our results are
470 supported by the study of Karabulut et al. (2021), who proposed eastward directivity
471 effects along the MMF that are aligned with the rupture propagation. Since the
472 increasing trend of higher ground motion residuals is persistent for all three events on
473 the MMF, we assume systematic rupture directivity which may be caused by the sharp
474 material contrast across the fault. Our results highlight the importance of including
475 source-related effects (radiation patterns and directivity effects) to predict the
476 azimuthal variations of moderate earthquakes ground motion. This study also
477 suggests the interest of systematically and globally studying the directivity of
478 moderate earthquakes to evaluate the systematic character of the rupture direction,
479 especially for large strike-slip faults showing sharp material contrasts.

480

481

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628 **Data and Resources**

629

630 Strong ground motion recording used in this study were obtained from the Turkish

631 Accelerometric Database and Analysis System (TADAS) provided by the Disaster and

632 Emergency Management Presidency (AFAD). The residual analysis part is based on

633 the use of the OpenQuake Software and its related packages (GMPE-SMTK;

634 <https://github.com/GEMScienceTools/gmpe-smtk>). The maps were made using the

635 Generic Mapping Tools (GMT) version 5.4.3 ([https://www.generic-mapping-
637 tools.org](https://www.generic-mapping-
636 tools.org)).

638 **Declaration of Competing Interests**

639 The authors declare no competing interests

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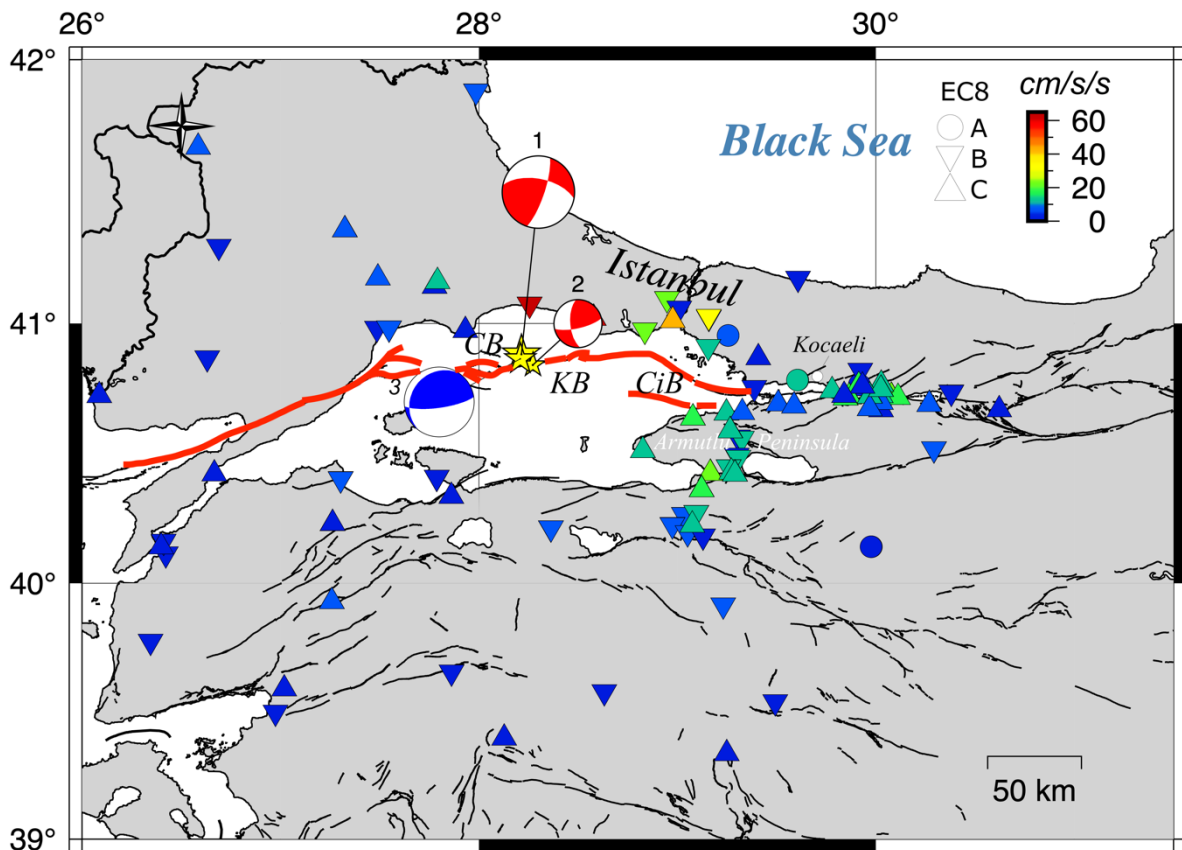
643 **Acknowledgments**

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645 work with Prof. Dr. Sinan Akkar, Dr. Abdullah Sandikkaya, and Prof. Dr. Eser Cakir,
646 as well as Prof. Dr. Marco Bohnhoff and the Section 4.2 colleagues. The first author is
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649 sincerely acknowledge colleagues from AFAD-Ankara for providing seismic
650 waveform data as well as the online meeting with Dr. Murat Nurlu and section
651 colleagues.

1 Figures and Tables

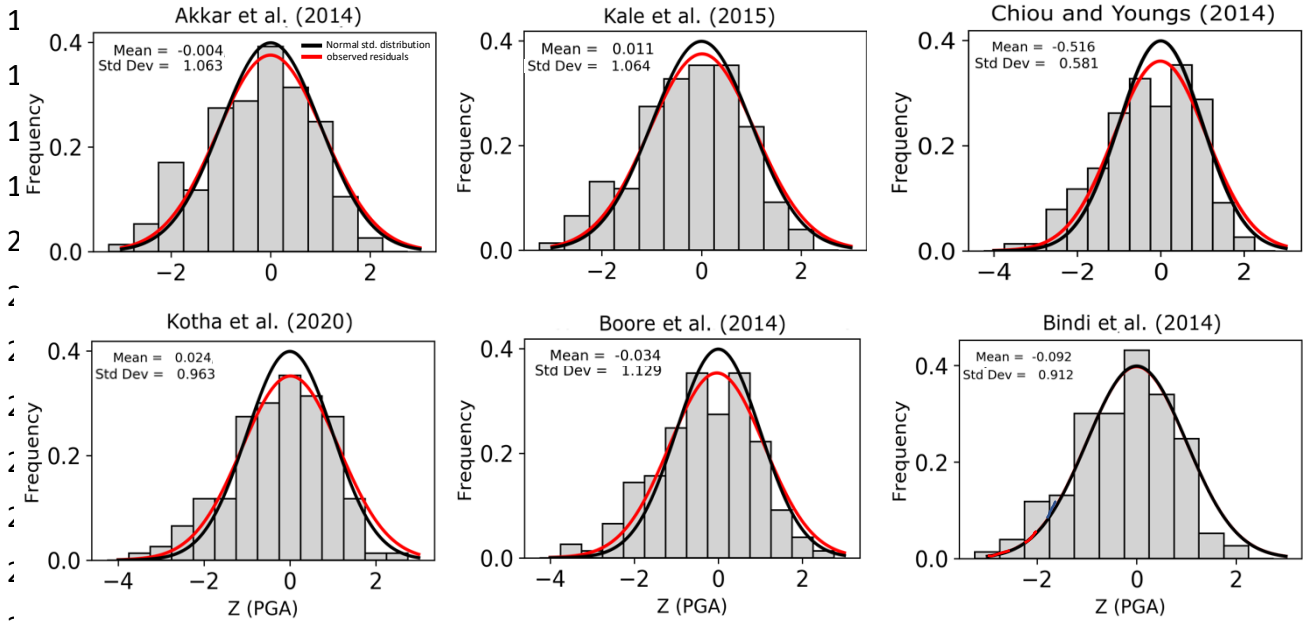
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3 **Fig.1:** Earthquake rupture mechanisms of the three earthquakes used in this study. Red
4 beachballs belong to the 1st (M_W 5.8) and 2nd (M_W 4.1 26/09/2019) Silivri sequence. The blue
5 beachball represents the 3rd event (M_W 4.7 11/01/2020). Earthquake faulting mechanisms are
6 obtained from Karabulut et al. (2020). Red bold line represents the Main Marmara Fault, with
7 Central Basin (CB) on the west, Kumburgaz Basin (KB) at the center and Cinarcik Basin (CiB)
8 in the east. The yellow stars represent the epicenter of the events. Recorded PGA (in cm/s^2) for
9 AFAD strong ground motion records of the M_W 5.8 Silivri main shock and respective site
10 classes based on EC8 site classification (Site class A: Rock site, B: Stiff Soil, C: Soft Soil).



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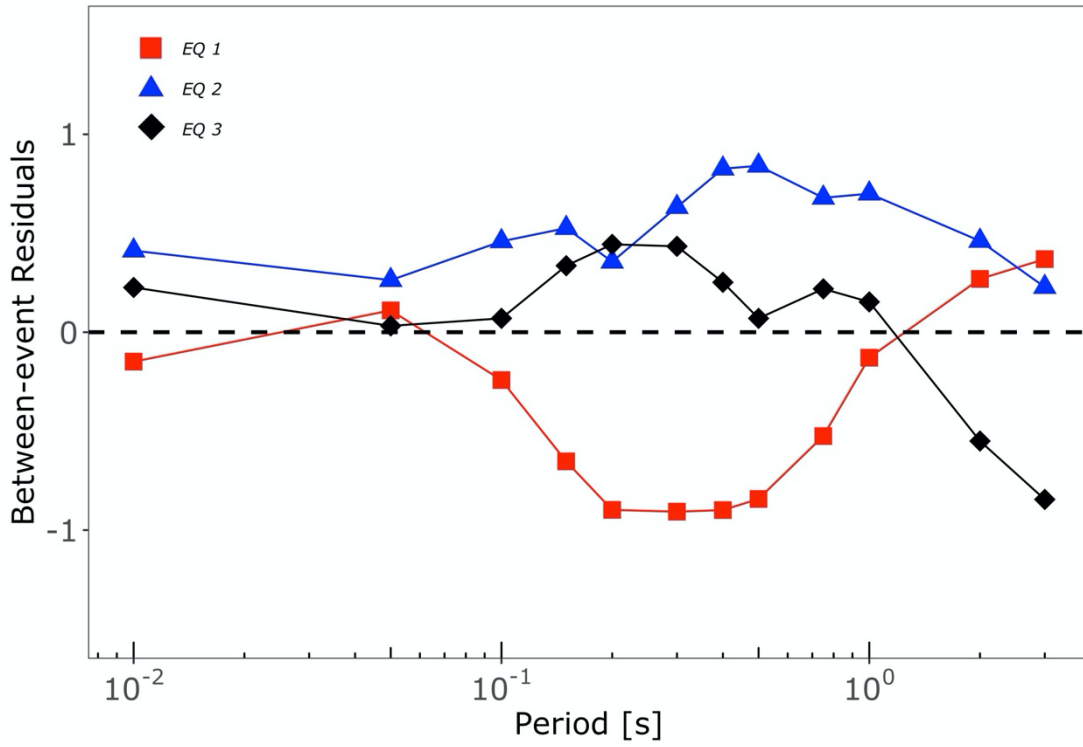
12 **Fig.2:** Histogram of within-event residuals for reference GMPEs. The black curve represents
13 the standard normal distribution and the red curve represents normalized observed residuals.
14 The standard deviations and means of the residual analysis are presented in the corner of each
15 plot.



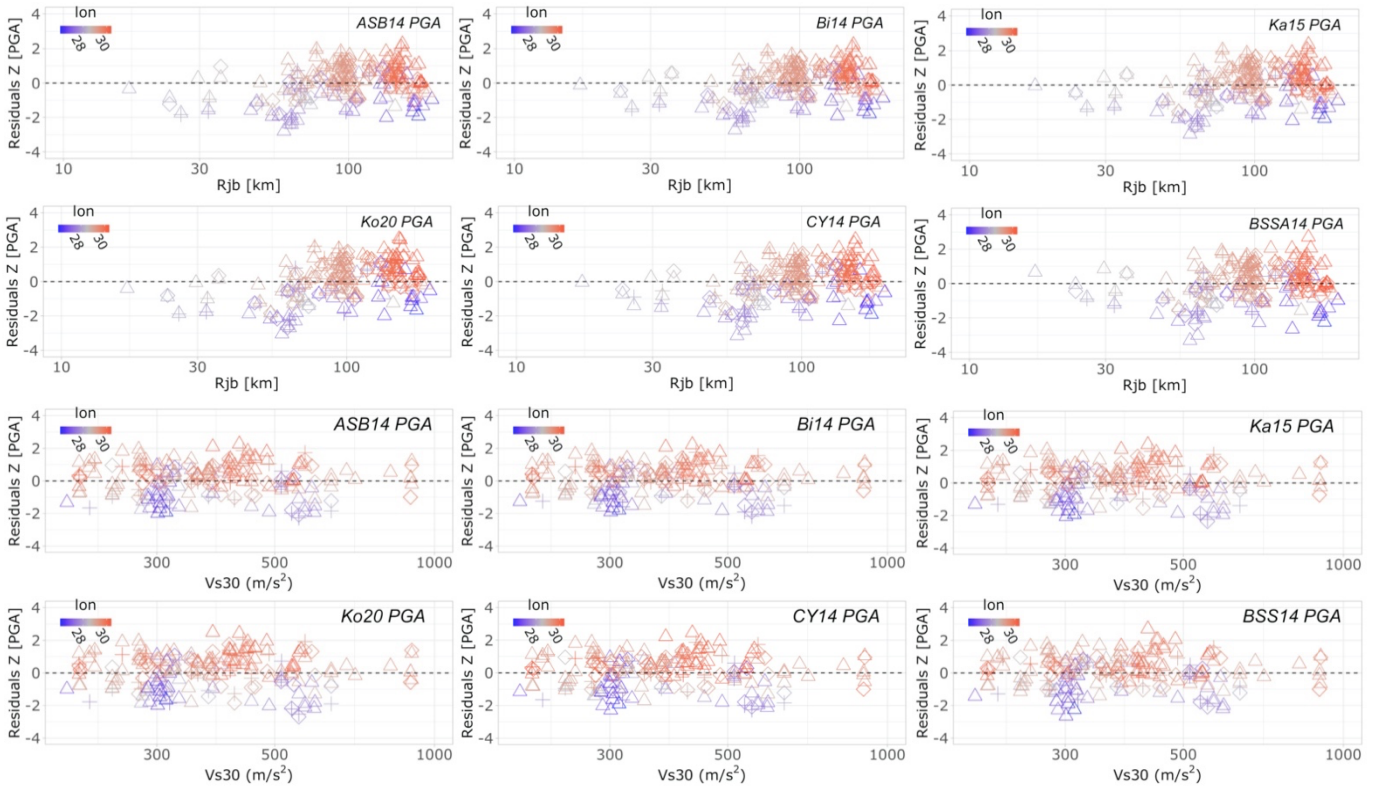
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46 **Fig.3:** Between-event residuals calculated based on the ground motion prediction equation of
 47 Bindi et al. (2014) for the 26th September 2019 10:59 (Event 1), 26th September 2019 M_W 4.1
 48 (Event 2), 11:26 and the M_W 4.7 11 January 2020 13:36 (Event3) events. The values at 0.01s
 49 period correspond to PGA between-event residuals.

50



52 **Fig. 4:** Distribution of within-event residuals for peak-ground-acceleration (PGA) versus R_{JB} -
 53 distance and V_{S30} for all earthquakes that are denoted by different shapes (EQ1: triangle, EQ2:
 54 diamonds, EQ3: crosses). The colors indicate the longitudinal position of the stations.

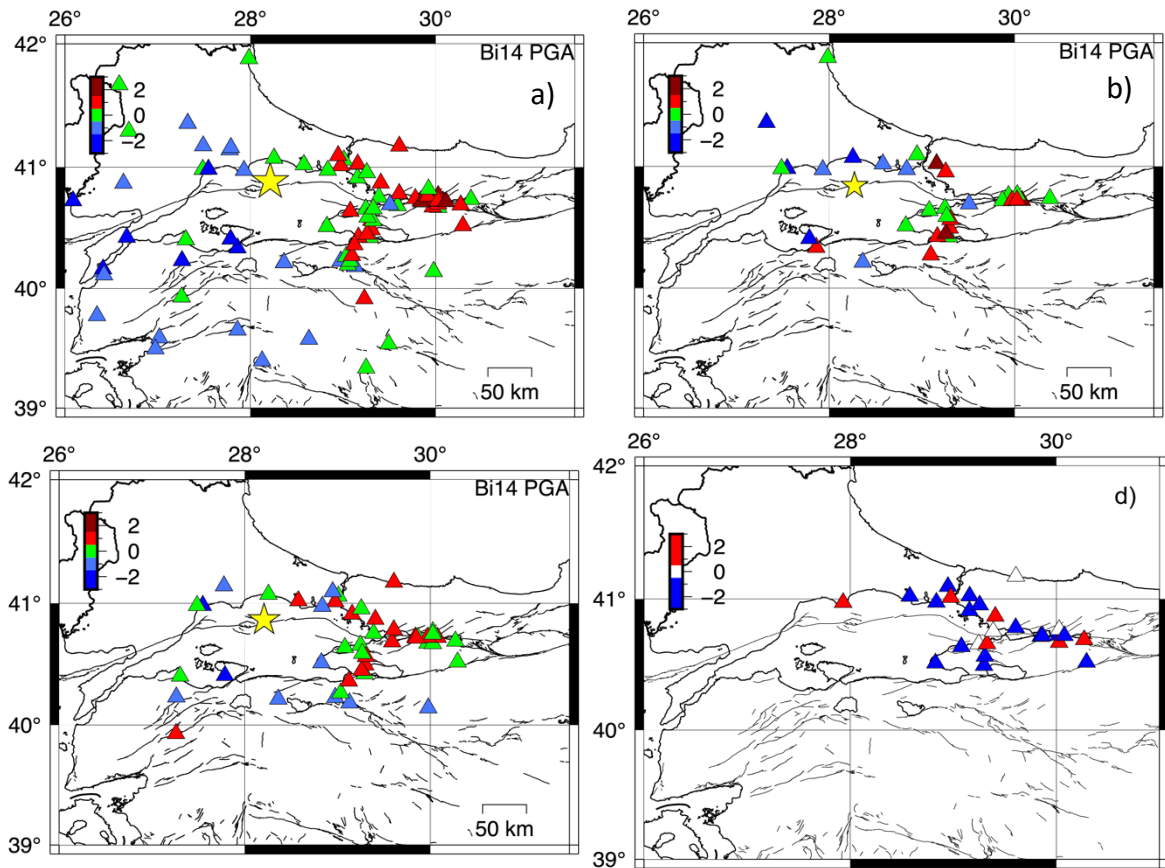


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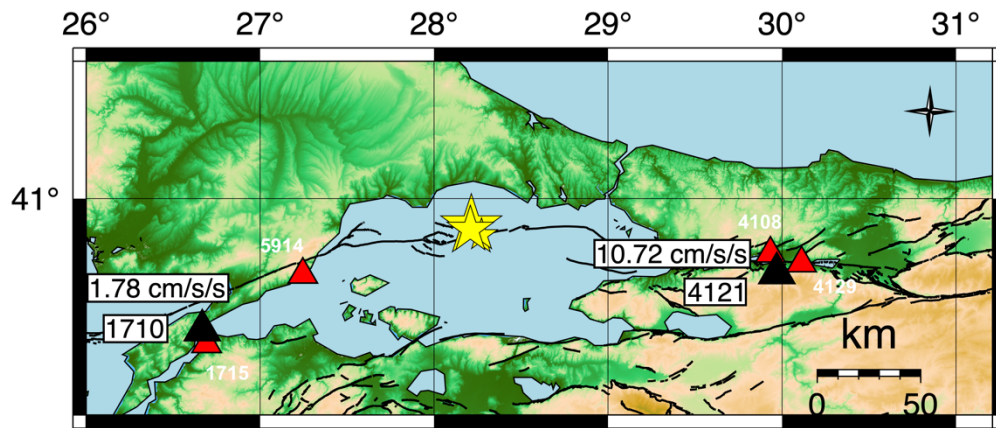
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57 **Fig. 5:** Spatial distribution of ground motion within-event residuals for the M_W 5.8 Silvri
58 sequence, a) M_W 5.8, 26th September 2019, 10:56 b) M_W 4.1, 26th September, 11:26 c) M_W 4.7.
59 11.01.2020 event d) the residual *difference* between the M_W 5.8 Silivri event and M_W 4.1
60 26.06.2016 Yalova event calculated for PGA using the Bi14 model. Triangles represent the
61 recorded stations with corresponding residuals.

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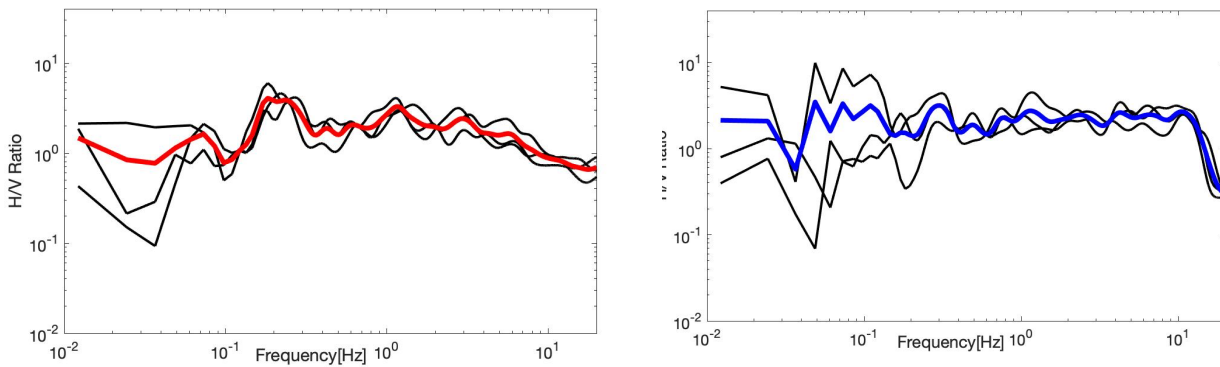
89 **Fig. 6:** Selected directive site (station 4121) and anti-directive site (1710) with corresponding
 90 recorded PGA values (measured in gravity units) for the 26/09/2019 5.8 M_W mainshock event
 91 (black triangles). Red triangles represent the anti-directive (5914, 1715, 1710) and directive
 92 stations (4108, 4121, 4129). The site conditions of the two stations are almost identical with a
 93 VS30 profile of 283m/s (station 1710) and 286 m/s (station 4121) and epicentral distances of of
 94 140 km and 148 km respectively. Yellow stars indicate the epicenters of the three events.



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97 **Fig. 7:** H/V spectral amplitude ratios of the representative anti-directive (left, station 1710)
 98 and directive (right, station 4121) stations. Black curves represent each earthquake recording
 99 and red- and blue curves their calculated means.

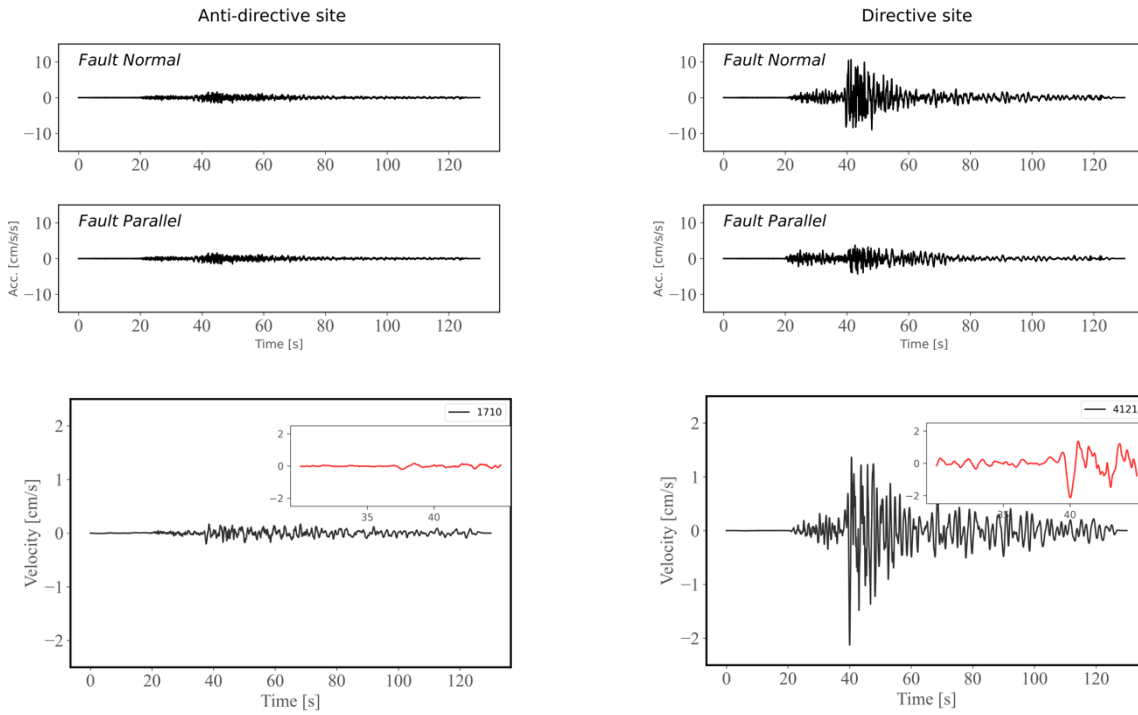
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102 **Fig. 8:** Acceleration-time recordings of the anti-directive site (1710) and directive site (4121)
 103 in the first two rows. Bottom row represents velocity time histories of the two selected stations,
 104 respectively. The plots include a cut of recordings at time range of $T= 30s - 45s$ (20s after S-
 105 wave onset) demonstrating for station 4121 a pulse-like amplitude.

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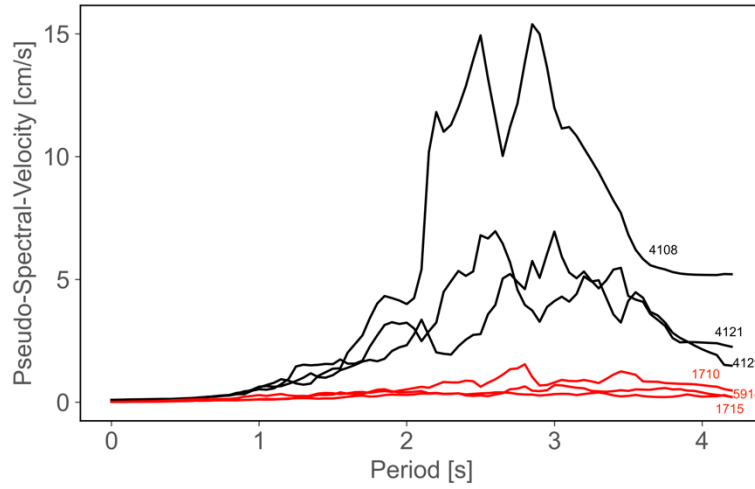
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122 **Fig. 9:** Pseudo velocity response spectra for N-S component (in cm/s) of selected sites facing
 123 the rupture propagation (i.e. directive stations with AFAD station codes: 4121, 4108, 4129)
 124 with respect to three stations on the backward of the rupture propagation (i.g. anti-directive
 125 sites, station codes: 1710, 1715, 5914).



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Table 1. Selected Ground Motion Prediction Equations used in this study

| Candidate GMPE | GMPE Abbreviation | Magnitude range (M_W) | Period range (s) | Max. Source- to-site Distance (km) | Region of derived Dataset |
|----------------------------|----------------------|---------------------------------|---------------------|---|------------------------------|
| Bindi et al. (2014) | Bi14 | 4.0-7.6 | 0.01 | 300 (R_{JB}) | Europe & Middle East |
| Akkar et al. (2014) | ASB14 | 4.0-7.6 | 0.02– 4.0 | 200 (R_{JB} , R_{hyp} , R_{epi}) | Italy, Turkey, Greece |
| Kale et al. (2015) | Ka15 | 4.0-8.0 | 0.01-4.0 | 200 (R_{JB}) | Turkey and Iran |
| Kotha et al. (2020) | Ko20 | 3.0-7.4 | 0.01-8.0 | 545 (R_{JB}) | Europe |
| Boore et al. (2014) | BSSA14 | 3.0-7.9 | 0.01-10.0 | 400 (R_{JB}) | Global |
| Chiou and Youngs (2014) | CY14 | 3.0-8.0 | 0.01-10.0 | 100 (R_{Rup}) | Global |

141 * R_{JB} , Joyner and Boore distance; R_{hyp} , Hypocentral distance; R_{Rup} , rupture distance, R_{epi} , epicentral distance

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Table 2. Event information

| No | Event Date | Time | M_W | Depth (km) | No of rec. |
|----|------------|-------|-------|------------|------------|
| 1 | 26.09.2019 | 10:59 | 5.8 | 7.97 | 32 |
| 2 | 26.09.2019 | 11:26 | 4.1 | 4.58 | 45 |
| 3 | 11.01.2020 | 13:37 | 4.7 | 10.82 | 76 |

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