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1 **Insights into organic metagenesis using Raman**
2 **spectroscopy and high resolution mass spectrometry:**
3 **a case study of the Shahezi formation, deep Songliao**
4 **basin, China**

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15 **Abstract**

16 The maturity of sedimentary organic matter is a key parameter for evaluating oil and
17 gas resources. Existing maturity indicators have different evaluation principles and
18 application scopes. This study investigated samples of high to overmature lacustrine
19 source rocks ($R_o = 1.33\%-4.24\%$) from the deep Shahezi formation in the Songliao
20 basin, including the zone of catagenesis and metagenesis. Various methods, including
21 vitrinite reflectance, T_{max} , laser Raman spectroscopy, and Fourier transform ion
22 cyclotron resonance mass spectrometry (FT-ICR MS) were used to evaluate the samples'
23 maturity. Through laser Raman analysis of representative samples from the Shahezi
24 formation, most laser Raman parameters showed an inflection point or reversal when
25 the thermal evolution of deep source rocks in the Shahezi formation reaches a certain
26 stage. The variation trend of some Raman parameters and R_o has strong regularity
27 ($1.33\% < R_o < 3.52\%$). Based on FT-ICR MS, this research analyzed the relative content
28 and molecular composition of polycyclic and heterocyclic aromatic compounds in
29 soluble organic matter of lake source rock samples and comparison samples (marine
30 shale). Quantitative maturity evaluation of organic matter was performed by converting

31 the signal intensity of each compound. The fitting results of maturity parameter based
32 on FT-ICR MS and Tmax indicated that the maturity parameter of the samples have
33 high coefficient of correlations with maturity in the vitrinite reflectance (Ro) range of
34 1.33%-2.5% and the Tmax range of 420 °C-600 °C. The maturity parameter values
35 decreased as Ro exceeded 2.5% and Tmax exceeded 600 °C. These findings are
36 attributed to the thermal stability of organic compounds and the formation of
37 pyrobitumen and graphite. The parameters of laser Raman spectroscopy also confirmed
38 the growth of aromatic rings reflected by the maturity parameters of FT-ICR MS. These
39 two methods revealed the structural changes of organic matter in the thermal evolution
40 process from multiple perspectives and provided insights for the maturity evaluation of
41 deep source rocks.

42 **Keywords:** Maturity parameter; Organic compounds; Laser Raman spectroscopy; FT-
43 ICR MS; Songliao basin

44

45 **1 Introduction**

46 Maturity refers to the thermal evolution degree of organic matter in source rocks,
47 driven principally by time and temperature, and accompanied by the transformation of
48 kerogen into oil, gas and highly carbonaceous residue. It is a critical geochemical
49 parameter for conventional oil and gas exploration as well as shale evaluation because
50 maturity level directly affects the quantity and quality of the generated products(Jarvie
51 et al., 2007; Vandenbroucke and Largeau, 2007). Additionally, organic matter maturity
52 affects the reservoir characteristics of shale(Loucks et al., 2012). Therefore, the
53 accurate assessment of maturity level is a crucial component of exploration protocols
54 for hydrocarbon resources in general.

55 Maturity evaluation relies upon a multiparameter approach, and uses results
56 gathered at petrographic through molecular scales. At the coarsest scale, organic
57 petrological analysis utilizes reflected light, transmitted light and fluorescence
58 measurements. Vitrinite reflectance (Ro) is a traditional maturity evaluation method

59 (Burgess, 1977; Staplin, 1969; Teichmüller and Durand, 1983) utilising lignocellulose-
60 derived phytoclasts, and is applied ubiquitously on post-Devonian shales. It is not
61 suitable for the maturity evaluation of older sediments in which land plants (the source
62 of the vitrinite) are absent. For Lower Paleozoic and Proterozoic marine hydrocarbon
63 source rocks, deposited prior to the evolution of land plants, alternative petrographic
64 methods are available, such as marine vitrinite reflectance (R_M^0), bitumen reflectance
65 (BRo), and graptolite reflectance (GRo) (Bertrand and Malo, 2001; Luo et al., 2017;
66 Sanei et al., 2015; Valentine et al., 2014; Xiao and Wu, 1995). The bulk-flow pyrolysis
67 peak temperature for any given heating rate increases as thermally labile substituents
68 are stripped from kerogen in evolving maturation series (Barker, 1974; Espitalié et al.,
69 1977), though values are also controlled by other factors (Yang and Horsfield, 2020).

70 The biomarkers have been widely used for evaluating organic matter
71 maturity (Boreham et al., 1988; Gentzis et al., 1993; Hatch et al., 1984; Mackenzie et
72 al., 1981; Radke, 1982; Seifert and Moldowan, 1978, 1980; Veld et al., 1993). When
73 the degree of thermal evolution of organic matter gradually increases, compounds with
74 better thermal stability will gradually replace compounds with poor thermal stability.
75 The biomarker parameters are based on the relative concentration of reactants and
76 products to evaluate maturity (Mackenzie et al., 1982; Mackenzie et al., 1981). Ideally,
77 when the maturity reaches a certain level, the biomarker parameter increases from 0 to
78 1, and then does not change as the thermal evolution continues, making it difficult to
79 indicate the maturity of the organic matter at a higher thermal evolution stage. At
80 present, the commonly used biomarker parameters are C_{29} -sterane $20S/(20S+20R)$, $\beta\beta/$
81 $(\beta\beta+\alpha\alpha)$; C_{32} -hopanes $22S/(22S+22R)$, $Ts/(Ts+Tm)$ ($17\alpha(H)$ -22, 29, 30-trinorhopane
82 (Tm) and $18\alpha(H)$ -22, 29, 30-trinorhopane (TS)) and methylphenanthrene,
83 dibenzothiophene in aromatic hydrocarbons. Previous studies have reported that C_{32} -
84 hopane $22S/(22S+22R)$ will reach an equilibrium value of about 0.6 when Ro is close
85 to 0.6 %. The C_{29} -sterane $\beta\beta/(\beta\beta + \alpha\alpha)$ parameter reached an equilibrium value (0.7)
86 with the increase of maturity when Ro was 0.9%. When Ro increases to about 1.4 %,
87 the $Ts/(Ts+Tm)$ ratio can reach to 1 (Peters and Moldowan, 1993).

88 Spectroscopic methods, including Raman, infrared and nuclear magnetic
89 resonance (NMR), can evaluate the maturity of shale, even at high levels of maturation
90 (Henry et al., 2019; Henry et al., 2018; Hinrichs et al., 2014; Lünsdorf, 2016; Sauerer
91 et al., 2017; Schito et al., 2017; Veld et al., 1997; Xiao et al., 2020; Yang et al., 2021;
92 Zeng and Cheng, 1998; Zhou et al., 2014). These methods can reveal the structural
93 change characteristics of organic matter in the thermal evolution process by studying
94 the types and quantities of organic matter compounds, the size, vibration and
95 displacement of organic matter chemical structure. For instance, electron spin
96 resonance has been used to measure the changes in free radical concentration of kerogen
97 during catagenesis, and maturity parameters were developed(Pusey, 1973). An
98 inversion associated with the pairing of free electrons and aromatization was
99 documented for the zone of metagenesis (Horsfield, 1984). Infrared and NMR spectra
100 demonstrate that the cellulose component is easier to decompose during thermal
101 evolution, while lignin structures are more stable (Schenk et al., 1990). Protonated
102 aromatic absorbances in infrared spectra show a reversal associated with increasing
103 average ring numbers of aromatic moieties (Schenk and Horsfield, 1998).

104 Maturity can be evaluated by measuring the content of different types of
105 compounds in source rocks. Alkylbenzothiophenes are present in highest abundance at
106 low maturity whereas the alkyldibenzothiophenes are most abundant at higher stages
107 of maturity(Santamaría-Orozco et al., 1998). Some studies have shown that the
108 Benzocarbazole Ratio (a / acc) increased over the maturity range studied for the crude
109 oils analyzed , showing excellent correlations with source rock maturity(Horsfield et
110 al., 1998). The concentration of the fluoren-9-ones in the bitumen systematically
111 increases with increasing maturity. Among the carbazoles, dibenzo- and/or naphtho-
112 annellated derivatives become more abundant at higher maturity. Among the
113 naphthalene derivatives, the relative amounts of β -isomers increase with increasing
114 maturity as compared to the α -isomers(Wilkes et al., 1998a; Wilkes et al., 1998b).
115 Aromatic N_1 , N_1O_1 , and N_1S_1 compounds are preferably formed while the amount of
116 O_2 compounds decreases as thermal evolution continues to increase. At the same time,

117 condensation and aromatization of the acidic NSO compounds increases(Poetz et al.,
118 2014).

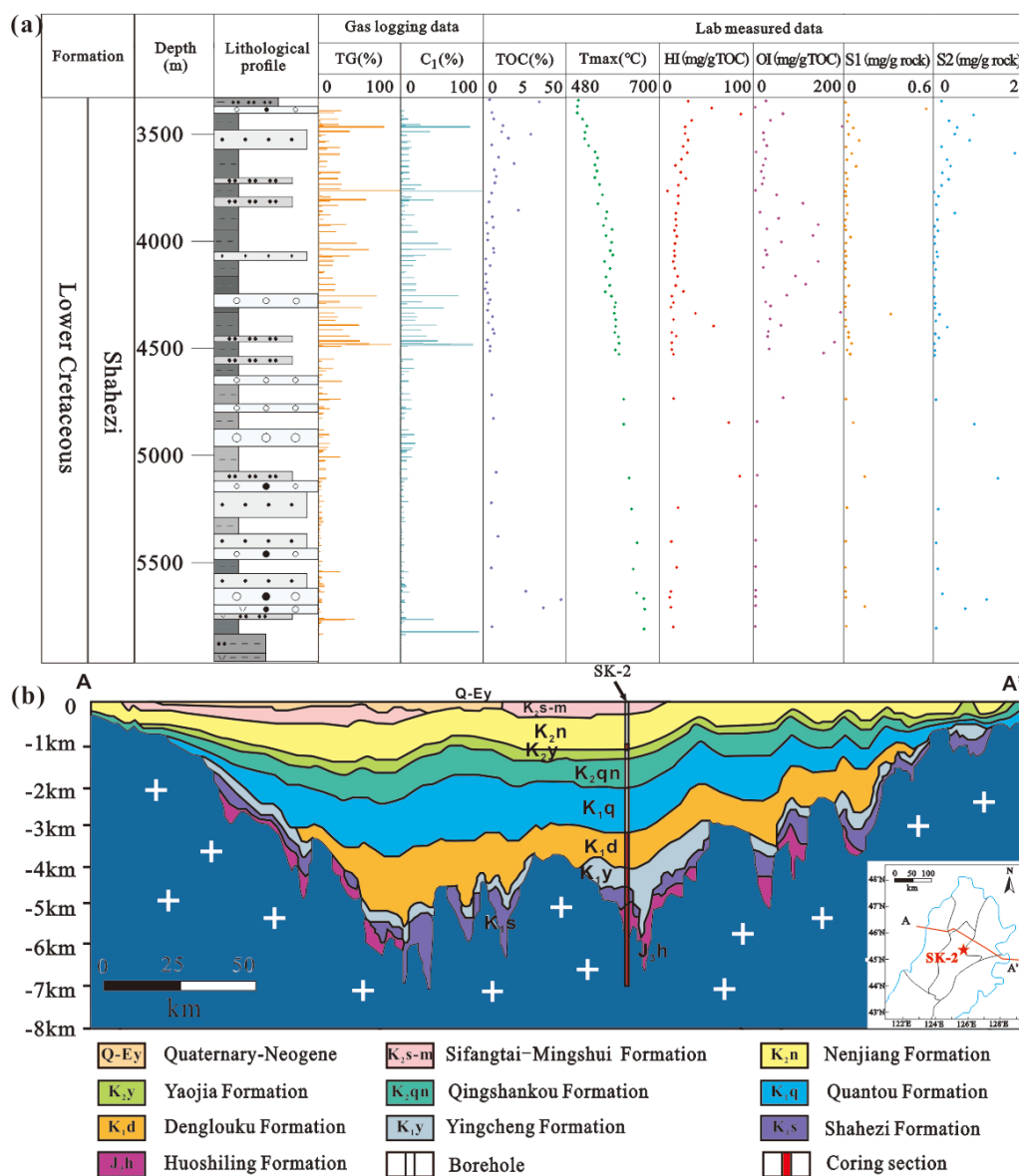
119 In this study, we examine the utility of the maturity parameters based on Fourier
120 transform ion cyclotron resonance mass spectrometry (FT-ICR MS) to include the zone
121 of metagenesis, significantly extending the sample maturity range of the targeted
122 Shahezi formation (lower Cretaceous) to 4.24% Ro from 1.33% Ro. Importantly, here
123 we supplement and improve the corresponding parameters, focusing on the maturity of
124 organic matter to reveal inversions of maturity parameters at very advanced levels of
125 thermal stress. Furthermore, laser Raman spectroscopy is used to extend the target
126 organic matter from soluble bitumen (aromatic HCs, oxygen, nitrogen, sulphur
127 compounds detected using FT-ICR MS) to insoluble kerogen and pyrobitumen,
128 allowing the evolution of aromatic ring systems to be compared.

129 **2 Geological Background**

130 The Songliao basin is located in northeast China. It is 750 km long. 350 km wide.
131 Its main body is located in Heilongjiang Province and Jilin Province, covering the
132 administrative regions of the three northeastern provinces. Some areas in the southwest
133 corner are located in the Inner Mongolia Autonomous Region and Liaoning Province.
134 The basin is bordered on the west by the Daxing'an mountains and Bohai Bay basin and
135 on the east by Zhang Guangcai mountains and Xiao Xing'an mountains (Qi'an et al.,
136 2016; Sorokin et al., 2013; Wei et al., 2010). The sedimentary time of the Songliao
137 basin in the Cretaceous period is 86 Ma, and the sedimentary area in the Late Cretaceous
138 period covers $26 \times 10^4 \text{ km}^2$. It is a very large lake basin with the longest development
139 history worldwide. The basin is filled with fine-grained detrital deposits, and lacustrine
140 fine-grained clastic deposits are dominant. The terrain of the Songliao basin is high at
141 the boundary and low in the center, with a north-north-east orientation and a diamond-
142 shaped basin floor.

143 The Songliao basin is the largest petroleum-bearing continental basin globally and
144 a main continental hydrocarbon-generating basin in China (Chen et al., 2000; Wang et

145 al., 2013). Most oil and gas sources are located in its central depression. Studies have
 146 indicated various types of source rocks with type I, II, and III kerogens in the Songliao
 147 basin. The hydrocarbon source rocks in the Songliao basin have a high organic matter
 148 content, and the total organic carbon content (TOC) of shale in the Shahezi formation
 149 is generally higher than 2% (Cui et al., 2020).
 150



151
 152 Fig. 1. The location and comprehensive column of continental scientific drilling in the
 153 Songliao basin. (a) TOC and Rock-eval parameters from Horsfield et al. (2022) (TG:
 154 total hydrocarbon value of gas logging, C₁: methane). (b) modified after Hou et al.
 155 (2018), the illustration is the geographic location of the SK-2 well

156 **3 Samples and Methodology**

157 **3.1 Samples**

158 Well SK2, drilled as part of the International Continental Scientific Drilling
159 Program (ICDP), is located north of the Xujiaweizi fault depression in the Songliao
160 basin. It is the deepest scientific drilling well (7018m) since the establishment of the
161 ICDP (Fig.1). A 4134.81 m long core (Hou et al., 2018), running through the entire
162 Lower Cretaceous Shahezi formation, with a depth span of 2,623 m (within the depth
163 range of 3337 m to 5960 m) was studied here. The source rocks are highly mature to
164 overmature (1.33%-4.24% Ro), and point to late catagenesis to metagenesis stages,
165 providing an excellent homogeneous series for studying maturation. Laboratory
166 simulation results have shown that large amounts of gas are produced in the late-stage
167 thermal evolution of the indigenous organic matter (Gai et al., 2019; Han et al., 2022a;
168 Horsfield et al., 2022; Li et al., 2015; Wu et al., 2019).

169 We collected 51 core pieces from the Shahezi formation in SK-2 well, covering a
170 depth range from 3346 m to 5664 m. Basic geochemical analysis and comparison of
171 TOC were conducted to obtain rock pyrolysis parameters of the samples. 10 shale
172 samples and 2 coal samples spanning the entire depth range of the Shahezi formation
173 were elected as representative samples of high to overmature lacustrine facies. Optical
174 microscopy, laser Raman analysis and FT-ICR MS were carried out on these 12 samples.

175 **3.2 Total organic carbon content (TOC) and Rock-Eval analysis**

176 The TOC was determined using a Leco SC-632 instrument before the experiment.
177 The sample was ground to a particle size of less than 0.2 mm, and the hydrochloric acid
178 solution was prepared by mixing 1 volume of HCl with 7 volumes of H₂O. Hydrochloric
179 acid is supplied by Applied Petroleum Technology AS (APT) Norway, following the
180 Norwegian Industry Guide to Organic Geochemical Analyses (NIGOGA), 4th Edition.
181 Briefly, dilute hydrochloric acid was added after crushing the sample, followed by
182 mixing and heating the sample in a Leco combustion furnace. The CO₂ content in the

183 sample was determined by an infrared detector. The Rock-Eval 6 instrument was used
184 for the rock pyrolysis experiment. The initial temperature (300 °C) was maintained for
185 3 min. Then, the samples were heated to 650 °C at a constant heating rate of 25 °C /min.

186 **3.3 Optical microscopy and laser Raman analysis**

187 The sample was sliced along the direction perpendicular to the bedding plane of
188 the source rock, embedded into epoxy resin, and polished with diamond suspension to
189 obtain a smooth surface. The sample was placed in a dryer for 12 h. The rock samples
190 were embedded in epoxy resin and polished to obtain a smooth surface. The oil and gas
191 industry standard “Method for the determination of Ro in sedimentary rocks” (SY / T
192 5124-2012) was used to determine the random reflectance (R_r (%)) by non-polarized
193 light and the maximum reflectance (R_{max}) and average reflectance (R_m) by cross-
194 polarized light using a Lecca DM 4P microscope equipped with the Hilgers FOSSIL
195 MOT standard program. Using a 100-fold magnification objective, we calibrated at
196 least 25 measuring points for each sample using the synthetic reflectivity standard (N-
197 LASF46A: $R_r = 1.311\%$). A Bruker Senterra confocal Raman microscope equipped with
198 an Olympus BX51 high-performance confocal optical microscope with 400 L/mm and
199 1200 L/mm high-resolution gratings was used for the laser Raman test. 12 samples were
200 crushed into 100-200 mesh powders, The excitation wavelength was 532 nm, the laser
201 power was 5 mW, a 100× objective with a numerical aperture of 0.9, and the diameter
202 of the confocal hole was 100 μm. the integration time was 2 s, the accumulation time
203 was 5, and the resolution was 3-5 cm^{-1} . A concave rubber band baseline correction was
204 applied. Additionally, several authors have demonstrated that sample polishing can
205 induce artifacts to Raman spectra of carbonaceous materials, including shale OM
206 (Beyssac et al., 2003; Henry et al., 2018; Lünsdorf, 2016). However, according to
207 ASTM D2797 (ASTM, 2011), polishing to 0.05 μm is a requirement for the
208 petrographic identification of the various OM maceral types evaluated. In order to avoid
209 the influence of polishing on Raman spectroscopy, the laser Raman sample were not
210 polished in this experiment. The organic matter was searched under the microscope for

211 Raman test, and the measured Raman data were obtained from the organic matter in the
212 original rock. Photographs of samples under an oil immersion monopolar microscope
213 indicates that the macerals of organic matter analyzed by laser Raman spectroscopy are
214 mainly vitrinite and inertinite. Raman spectra of at least three organic matter particles
215 were measured at different locations (spacing of at least $\sim 2 \mu\text{m}$) for each sample. The
216 effect of laser heating on each sample point is assessed by comparing optical
217 micrographs of the sample surface before and after Raman measurements. No thermal
218 alteration of the samples was apparent with the experimental conditions used.

219 A total of 2 Lorentzian peaks are consistent with the Raman spectrum,
220 corresponding to the D and G peaks. The D and G peaks of the Raman spectra of each
221 sample were fitted directly by Origin 8.0 software, without further decomposition,
222 because of the large uncertainty in the splitting process (Court et al., 2007; Henry et al.,
223 2018; Kouketsu et al., 2014; Lupoi et al., 2017; Quirico et al., 2005). The main purpose
224 of this study is to qualitatively discuss the structural changes of aromatic organic matter
225 during thermal evolution by laser Raman spectroscopy and to facilitate direct
226 comparison with published data based on two-peak fitting (Liu et al., 2013; Wang et al.,
227 2015; Zhou et al., 2014). Previous studies have shown that Raman spectroscopy
228 parameters are not affected by different kerogen compositions (mixed type II / III).
229 Even if there is an organic phase rich in amorphous organic matter, Raman spectroscopy
230 may reduce the risk of thermal maturity assessment of source rocks (Schito et al., 2017).
231 Although the various OM macerals possess different degrees of aromaticity in the
232 original shale sample material, the compositional evolution heat-induced to the OM
233 structure progresses along a similar trend for the evaluated AOM, solid bitumen, and
234 vitrinite (Birdwell et al., 2021). Recent papers (Henry et al., 2019; Henry et al., 2018;
235 Lünsdorf et al., 2017) have now demonstrated that complex, ambiguous deconvolution
236 methods of several bands are not needed, and that reliable results can be achieved
237 otherwise. Some studies suggest that there is no need to perform deconvolution if
238 several Raman parameters can be derived from an un-deconvolved spectrum that can
239 track the thermal evolution of OM (Henry et al., 2019).

240 **3.4 Atmospheric pressure photoionization APPI (+) FT-ICR MS Analysis**

241 The resolution of FT-ICR-MS with magnetic field intensity of 12 Tesla (T) can
242 reach 10^{-6} Da, far exceeding the highest requirement (10^{-4} Da) for the theoretical
243 detection of various non-hydrocarbon compounds composed of carbon ($^{12}\text{C} = 12\text{Da}$),
244 hydrogen ($^1\text{H} = 1.0078250321\text{Da}$), nitrogen ($^{14}\text{N} = 14.0030740052\text{Da}$), sulfur ($^{32}\text{S} =$
245 31.97207069Da), oxygen ($^{16}\text{O} = 15.9949146221\text{Da}$), and their isotopes ($^{13}\text{C} =$
246 13.0033548378Da). Thus, in this study, the molecular structure of organic matter is
247 determined using FT-ICR-MS to obtain accurate estimates of the compound mass and
248 the isotopic distribution. The linear relationship between the carbon number and the
249 number of equivalent double bonds is used to determine the degree of aromatization
250 and alkylation of the compounds. The compounds are divided into two intervals, and
251 the organic matter maturity is expressed by their relative abundance. The organic matter
252 maturity is quantified by the percentages of the TMIA of each compound.

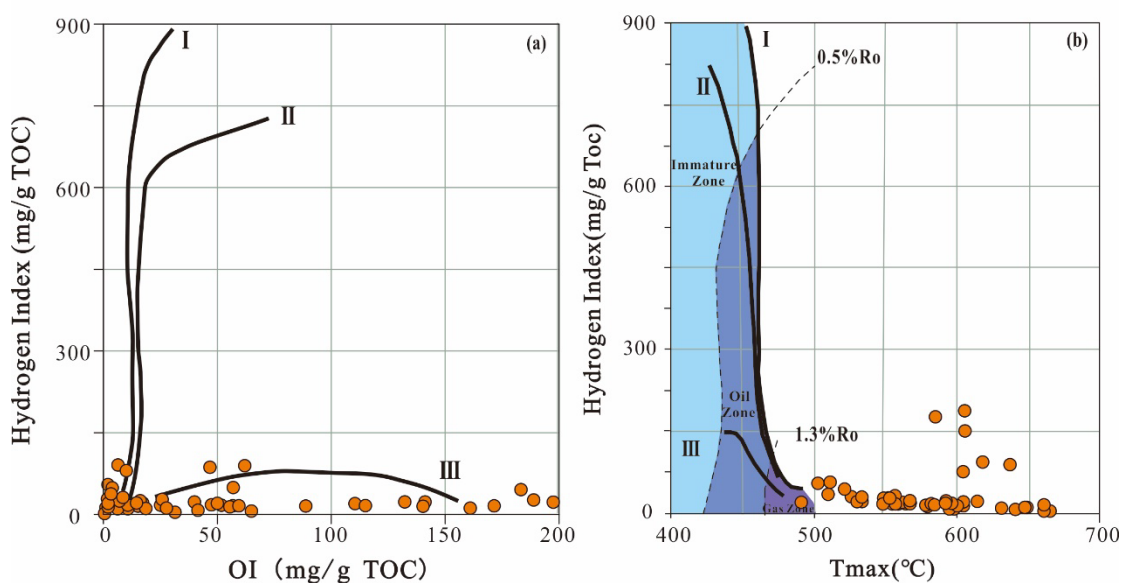
253 The source rock samples (30-50 g) were ground to 120 mesh and extracted at $50\text{ }^\circ\text{C}$
254 for 48 h using dichloromethane containing 1% methanol in a Soxhlet apparatus. The
255 extracts were dissolved in a mixture of methanol and hexane (9:1, v/v) to obtain 2
256 $\mu\text{g/mL}$ of organic matter solution for each sample. Purity of methanol used in this
257 experiment $\geq 99.85\%$, and the purity of hexane $\geq 99.5\%$. Methanol and hexane were
258 supplied by German Research Centre for Geosciences (GFZ). The sample solutions
259 were injected into the atmospheric pressure photoionization (APPI) source with a
260 syringe pump (Hamilton) at a flow rate of $20\ \mu\text{L/h}$. Ultra-high-resolution mass
261 spectrometry analysis was performed with a 12T cryo-superconducting magnet (Bruker
262 Solarix FT-ICR MS). The operating conditions of the APPI (+) mode was as follows:
263 capillary voltage – $1000\ \text{V}$; collision-induced dissociation voltage (CID) $30\ \text{V}$; dry gas
264 (nitrogen) flow rate $3\ \text{L/min}$, the purity of nitrogen used in the experiment $\geq 99.9\%$.
265 temperature $210\text{ }^\circ\text{C}$; atomized gas (nitrogen), $2.3\ \text{bar}$, temperature $350\text{ }^\circ\text{C}$. The
266 spectrum was recorded in broadband mode using a 4 MB dataset. For the target mass
267 spectrum (in the mass range of $m/z\ 147\text{--}1200$), 200 scans can be superimposed to
268 generate higher quality spectra. Only signals with a signal-to-noise ratio (SNR) ≥ 12

269 were used in the analysis.

270 4 Results and Discussion

271 4.1 Organic matter richness, vitrinite reflectance and maceral types

272 The TOC results of the deep source rock samples of the Shahezi formation indicate
273 no significant correlation between TOC and burial depth; therefore, the burial depth has
274 a negligible effect on the TOC of high and overmature source rock of Shahezi formation.
275 In general, the TOC of the source rock in Shahezi formation is high (1%-2%), and for
276 some samples very high (20- 44%). The likely reason is that some samples are from
277 coal-bearing strata, with periodic high organic matter enrichment and high TOC values.
278 The pyrolysis hydrocarbon generation potential (S1+S2) values of the samples are 0.32
279 to 1.81 mg/g, which is relatively low. The main reason is that the deep shales of the
280 Shahezi are highly mature to overmature, and the organic matter is influenced by long-
281 term thermal evolution and tectonics. The evaluation of petroleum generating potential
282 in highly overmature shales using only the pyrolysis hydrocarbon generation potential
283 has certain limitations (Ganz and Kalkreuth, 1987; Huang, 1999; Liang et al., 2009;
284 Peters, 1986).



285

286 Fig. 2. (a) Hydrogen index versus oxygen index and (b) hydrogen index versus Tmax

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(base map is modified according to (Bernard et al., 2012)

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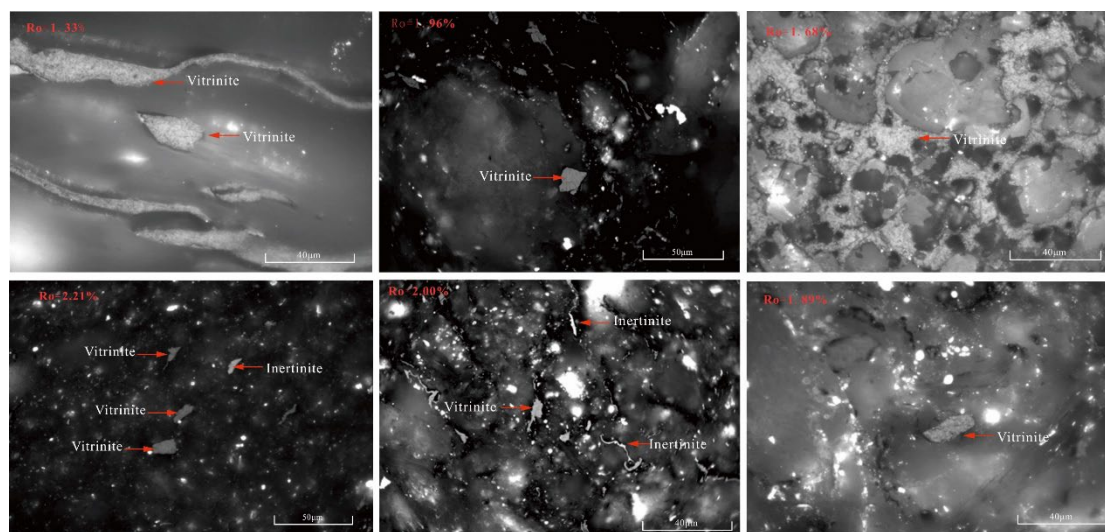
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The Shahezi formation is located in a lake basin. Since it is controlled by the transgressive system tract, the possible sedimentary organic matter sources are autotrophic bacteria, algae, and terrestrial higher plants. The elemental composition analysis of kerogen shows that the hydrogen index (HI) and oxygen index (OI) of the deep source rock in Well SK-2 are low in the Van Krevelen diagram, and the organic matter type cannot be determined using the two indices (Fig. 2a). In the Tmax-HI diagram, the Tmax values of the deep source rock in Well SK-2 are greater than 500 °C, far beyond the upper limit of the horizontal coordinate, and the type of organic matter cannot be determined (Fig. 2b). The oil-immersion microscopy results of the whole rock slices indicate that the organic matter has a relatively complete wood structure (Fig. 3). Some of it is vitrinite, and some is inertinite. The vitrinite is mainly clastic, with an irregular shape. Most of the inertinite groups are detrital and filamentous with plant sporangium pores.



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Fig.3. Photographs of samples under an oil immersion monopolar microscope

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The Ro and Tmax data of the deep source rock of the Shahezi is positively correlated with the depth (Fig. 1; Table 1.). We use Ro as the classification standard of the mature stage. The Ro of the deep shale samples in the Shahezi formation is greater than 1.3%, and the organic matter is predominantly overmature, with a maximum Ro of 4.24% (5664 m).

In the rock pyrolysis experiment, the part with the worst thermal stability in

309 kerogen was first thermally degraded. The pyrolysis of kerogen part under pyrolysis
310 needs higher temperature, and Tmax will increase when the maturity of source rock
311 increase. The Tmax data show that the trend of depth-Tmax scatter plot and depth-Ro
312 scatter plot of deep source rock in Shahezi formation is similar, showing a significant
313 positive correlation (Fig. 1). According to the classification standard of mature stage of
314 lacustrine source rocks (SY/T 5735 – 1995), the Tmax of deep source rock samples in
315 Shahezi formation is greater than 500 °C, and the maturity of organic matter is in the
316 high-over mature stage. Among them, the high mature samples and over mature
317 samples account for half, and the Tmax can reach up to 665 °C.
318

Table 1. TOC and maturity parameters of representative samples of the Shahezi formation. Uncertainties for the Raman parameters represent one standard deviation. Uncertainties for Ro values represent one standard deviation.

Depth(m)	TOC (%)	Tmax (°C)	Ro (%)	Raman parameters (average value)						MAT parameters			
				W _D (cm ⁻¹)	W _G (cm ⁻¹)	D _(FWHM) (cm ⁻¹)	G _(FWHM) (cm ⁻¹)	RBS (cm ⁻¹)	I _D /I _G	CH	O ₁	N ₁	S ₁
3376	20.4	510	1.33±0.06	1345.90±1.24	1588.27±2.78	219.74±5.52	78.19±2.93	242.36±2.18	0.70±0.03	3.04	2.31	2.78	7.75
3466	2.42	533	1.68±0.11	1349.85±3.61	1595.57±5.55	217.55±3.70	82.20±4.80	245.72±1.94	0.56±0.08	3.54	2.94	3.18	7
3586	7.37	552	1.96±0.10	1441.08±60.29	1592.11±3.26	/	70.05±10.47	151.03±57.04	0.66±0.01	4.52	3.92	4.68	9.31
3616	1.85	557	1.89±0.12	1403.94±47.82	1595.83±6.27	/	111.17±2.44	191.89±41.56	0.46±0.04	4.77	4.16	5.03	10.43
3676	1.31	555	2±0.10	1340.52±4.87	1593.33±1.88	230.87±20.66	141.06±43.89	252.81±6.75	0.50±0.08	4.59	4.46	4.79	10.11
3866	4.43	579	2.23±0.15	1354.97±1.90	1595.80±2.22	293.92±6.91	82.82±4.13	240.83±2.22	0.49±0.04	6.01	5.84	5.81	13.24
4426	1.1	597	2.21±0.12	1333.63±1.12	1594.87±0.89	196.01±2.49	57.88±2.45	261.24±0.92	0.63±0.02	5.36	6.1	6.69	9.51
4734	0.97	618	3±0.13	1334.93±2.81	1596.22±3.61	174.68±9.72	60.25±11.74	261.29±6.42	0.61±0.03	4.88	1.36	2.05	1.26
5099	1.56	630	3.33±0.12	1334.31±4.31	1602.13±3.31	174.82±1.20	47.65±2.35	267.82±1.21	0.56±0.03	0.67	1.25	0.44	7.33
5403	1.77	649	3.93±0.10	1331.61±1.12	1602.96±1.05	149.54±4.54	41.10±1.63	271.36±0.74	0.55±0.01	1.01	1.04	0.00	1.13
5523	1.01	640	3.52±0.11	1327.50±3.69	1599.97±4.39	150.50±3.56	42.42±3.45	272.47±0.70	0.60±0.05	1.88	2.24	0.00	1.35
5664	44.05	665	4.24±0.15	1320.92±1.20	1594.78±2.18	153.48±3.72	44.99±0.99	273.87±2.05	0.68±0.03	1.46	1.36	0.00	1.38

1 4.2. Maturation characteristics indicated from laser Raman spectroscopy

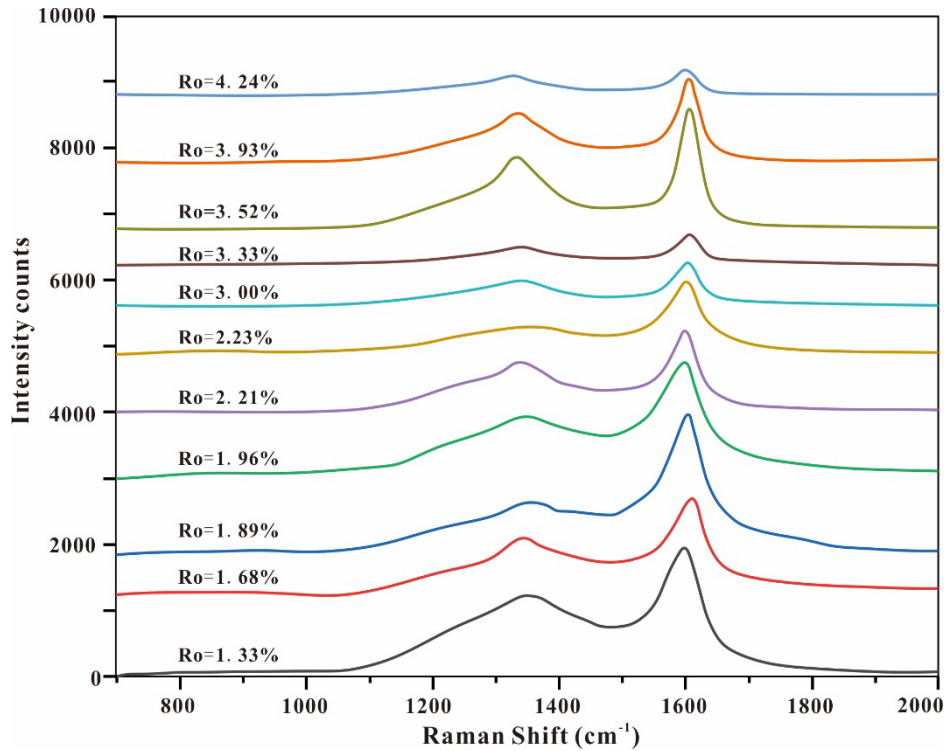
2 The degree of condensation and structural order of sedimentary organic matter in
3 source rock increases with the increasing degree of thermal evolution. The first-order
4 vibration peak parameters of laser Raman spectroscopy reflect the lattice structure,
5 chemical bond synthesis, and fracture of carbon materials. Laser Raman spectroscopy
6 of kerogen have two main peaks, namely G band and D band. Some scholars have
7 analyzed the correlation between the laser Raman maturity parameters and the
8 measured maturity of shale organic matter. The difference between the G and D Raman
9 peak positions is the optimum parameter to evaluate the maturity of shale with a Ro
10 range of about 0.50-3.50% (Henry et al., 2019; Sauerer et al., 2017; Zhou et al., 2014).

11 12 typical samples of the Shahezi with different maturities were selected. To obtain
12 stable parameters, the baseline was corrected to be linear, and the Lorentzian function
13 was used to fit the D and G Raman peaks without further decomposition. The D Raman
14 peak (disordered peak) reflects the structural defects of vibration of heteroatoms, carbon
15 lattice and the vacancy of aromatic ring lamellae. The Raman shift is in the range of
16 1250-1450 cm^{-1} (Henry et al., 2019; Hou et al., 2019; Sauerer et al., 2017). G Raman
17 peak (ordered peak) reflects the tangential stretching vibration of the C = C bond; the
18 Raman shift is in the range of 1500-1650 cm^{-1} (Wang and Li, 2016). The parameters of
19 the laser Raman spectra calculated in this study include the position of G and D bands
20 (W_D and W_G), peak position difference ($RBS = W_G - W_D$), full width at half maximum
21 (FWHM) for the G and D peaks, and the integrated intensity ratio (I_D/I_G) (where I
22 represent the peak height).

23 In the laser Raman spectra of the whole-rock shale samples, the minerals result in
24 a high fluorescence background (Schopf et al., 2005), however, the high TOC content
25 improves the SNR of the Raman spectra (Sauerer et al., 2017). Previous studies have
26 shown that Raman spectra obtained from fossils and organic matter in rocks of the same
27 geological unit are essentially identical(Schopf et al., 2005). These spectra are very
28 similar to the Raman spectra of kerogen isolated from the corresponding formation

29 rocks. The mineral-organic aggregation (MOA) in rock contains only a very small
30 amount of organic matter, while the solid pitch is basically a pure organic matter. The
31 laser Raman spectra of the two were basically the same, which showed that the laser
32 Raman technique is highly sensitive to the carbonaceous matter in high maturity
33 rocks(Xiao et al., 2020). Figure 5 shows the enhanced uncertainties of the laser Raman
34 spectroscopy parameters (FWHM and RBS) for samples with 2%Ro (TOC=1.31%) and
35 3%Ro (TOC=0.97%) respectively. Lower TOC content may be part of the reason for
36 this phenomenon. This is in general agreement with Xiao et al. (2020) who showed that
37 large fluctuations in sample data are mainly attributable to the low TOC content in the
38 MOA. We observed very strong uncertainties in the W_D and RBS data for two samples
39 with Ro of 1.89 % and 1.96 %, which are likely to be caused by the difficulty in
40 identifying the D peaks in the un-deconvolved spectrum of the two samples. The
41 relationship between the maturity and the laser Raman spectra parameters are shown in
42 Fig 4. As the sample's maturity increases, the peak position difference between the D
43 and G Raman peaks and the sharpness of the peaks increase. When the maturity exceeds
44 3.52%, the G Raman peak broadens, and the peak position difference decreases.
45 Previous studies have shown that the high signal intensity between G and D peaks is
46 related to a small band($1500-1550\text{ cm}^{-1}$), reflecting the sp^2 bonding form of amorphous
47 carbon(Cuesta et al., 1994; Jawhari et al., 1995; Jiang et al., 2019; Sonibare et al., 2010).
48 Therefore, in the process of Ro increasing to 3.52 %, the increase of RBS may be due
49 to the decrease in the number of amorphous structures in the compound. When entering
50 a higher maturity stage (Ro > 3.52 %), the decrease of RBS may be related to the
51 increase of aromaticity. During aromatic materials coalesce into larger clusters, a few
52 of the aliphatic side chains between the aromatic rings(i.e. C-C on hydroaromatic rings、
53 C-H on aromatic rings、 Caromatic-Calkyl and aromatic (aliphatic) ethers)will fall
54 off(Baysal et al., 2016; Guedes et al., 2010; Lünsdorf, 2016; Negri et al., 2002; Sadezky
55 et al., 2005; Zhang and Li, 2019). The lowest FWHM of the G band in this study is 38.7
56 cm^{-1} , which is much larger than the reported size of pyrolytic graphite and carbon
57 nanotubes (Hiura et al., 1993; Kastner et al., 1994; Sonibare et al., 2010). This feature

58 of FWHM indicates that the carbon crystallinity in the samples in this study is low. With
 59 the increase of maturity, G and D bands gradually become sharp and narrow, especially
 60 the sharp decline trend between FWHM and Ro of D band after the ‘turning point’ and
 61 the appearance of crystalline graphite, indicating that the orderliness of kerogen
 62 structure in the thermal evolution process gradually increases.
 63

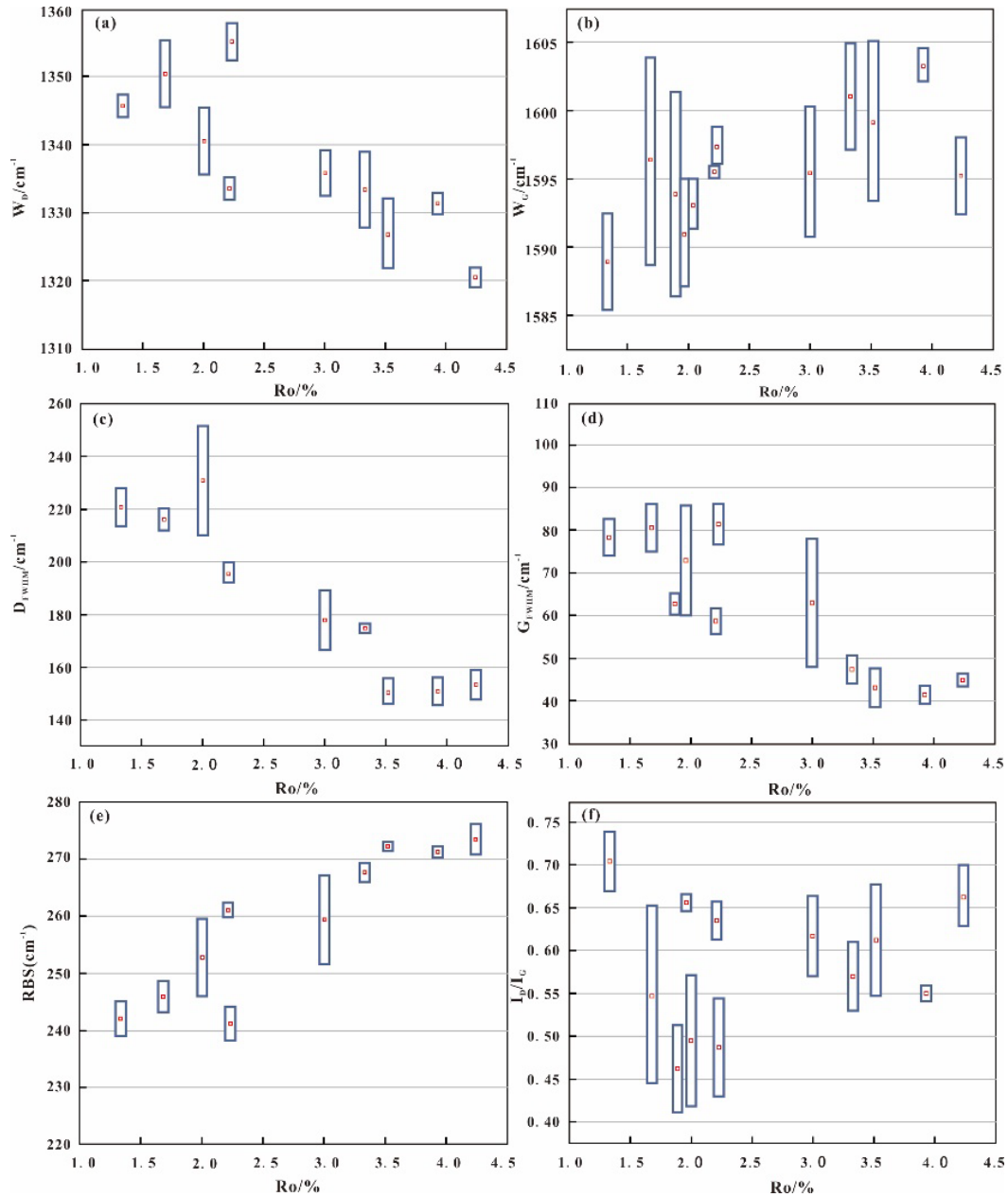


64
 65 Fig. 4 Laser Raman spectra of samples with different maturities (the spectra have all
 66 been offset vertically for clarity and all spectra have the same intensity scale)

67 The half-peak widths of the D and G peaks show a good inverse correlation with
 68 organic matter maturity, as the sample maturity increases, the half-peak widths of the
 69 D and G peaks decrease. The average half-peak width of the D (G) peak decreases from
 70 220 cm^{-1} (78 cm^{-1}) at Ro of 1.33% to 150 cm^{-1} (42 cm^{-1}) at Ro of 3.52%. It is worth
 71 noting that when Ro is in the range of 3.52%-3.93%, the half-peak widths of the D and
 72 G Raman peaks decrease sharply and then increase gradually. The width of D and G
 73 peaks is mainly determined by the degree of structural defects and crystallite size of
 74 carbon(Kouketsu et al., 2014; Pasteris and Wopenka, 1991; Yui et al., 1996; Zhang and
 75 Li, 2019). Moreover, the G peak is the Raman active vibration attributing to crystalline
 76 graphite and mainly results from the breathing of aromatic rings in the graphene

77 sheets(Li et al., 2006; Pasteris and Wopenka, 1991; Pimenta et al., 2007; Reich and
78 Thomsen, 2004; Tuinstra and Koenig, 1970). When R_o is less than 3.33 % -3.52 %, the
79 crystallite size of carbon in kerogen increases continuously, and the G and D peaks are
80 gradually sharp in Raman spectra. When R_o is between 3.33 % and 3.52 %, the FWHM
81 of peak D decreases sharply, and the decrease trend of FWHM of peak G slows down
82 and then increases reversely, which can be explained as the amorphous carbon in
83 kerogen begins to transform into crystalline graphite (Kouketsu et al., 2014). Previous
84 studies have shown that this 'turning point' represents a significant change in the
85 vibrational modes of carbon molecules in organic matter(Liu et al., 2013; Wang et al.,
86 2015). In the process of coalification, there may also be four to five chemical structural
87 mutations, resulting in a series of changes in the optical and chemical properties of coal.
88 (Carr and Williamson, 1990; Li, 2007; Liu et al., 2013; Lünsdorf, 2016; Schito et al.,
89 2017; Taylor et al., 1998). Some scholars believe that the mutation of kerogen's
90 chemical structure at $R_o = 3.5$ % can be compared with the fifth coalification mutation
91 in metamorphic anthracite. The chemical structure jump is considered to represent the
92 discontinuity of the nature of kerogen in the thermal evolution process, which is
93 characterized by the termination of most amorphous carbon conversion and the sharp
94 increase of aromatic conjugation with the increase of thermal maturity(Hou et al., 2019).
95 The changes in the peak positions of the D and G peaks during the thermal evolution
96 exhibit regularity. As the maturity increases and R_o is in the range of 1.33-3.52%, the
97 Raman shift of the D peak shows a decreasing trend, this is considered to be related to
98 the progressive growth of linear aromatic structures. A variety of chemical reactions
99 occurred in this stage, which changed the molecular structure and chemical composition
100 of polycyclic aromatic hydrocarbons with different sizes, decomposed aliphatic
101 compounds, condensed aromatic rings, and then cured. (Lünsdorf, 2016), and that of
102 the G peak shows an increasing trend. When the maturity is 3.52-3.93%, the Raman
103 shift of the D peak shows an increasing trend. At this time, the number of free radicals
104 reaches the maximum, which is conducive to the recombination of aromatic units. Since
105 then, many properties of polycyclic aromatic hydrocarbons with different sizes have

106 changed rapidly, especially aromaticity, size of aromatic clusters, cyclization and
107 reflectance(Taylor et al., 1998). The size of aromatic compounds increases gradually,
108 and that of the G peak decreases significantly (Fig 5), which is consistent with the
109 sudden broadening of the G peak at this maturity. The RBS increases from 242 cm^{-1} to
110 272 cm^{-1} as Ro increases from 1.33% to 3.52%, followed by a small decrease. I_D / I_G
111 represents, to some extent, the extent of aromatic growth(Du et al., 2014; Guedes et al.,
112 2010; Zhang and Li, 2019; Zhou et al., 2014). When $Ro < 2.23 \%$, the I_D / I_G value
113 decreased slightly. When Ro was between 2.23 % and 3.52%, the increase of I_D / I_G
114 value might be related to the slow growth of aromatic rings. At this stage, the structure
115 of aromatic ring remained relatively stable, and amorphous carbon gradually decreased.
116 When $Ro > 3.52\%$, I_D/I_G increases rapidly from 0.54 to 0.67, showing a significant
117 positive correlation with Ro. The sharp increase in I_D / I_G may mean the rapid growth
118 of aromatic clusters. The evolution of laser Raman parameters is comparable to those
119 in previous studies (Wang et al., 2015; Xiao et al., 2020). Most of the parameters used
120 in the analysis have inflection points or show inversions when Ro is 3.52-3.93%. This
121 phenomenon has also been reported in other studies (Henry et al., 2019; Lünsdorf, 2016;
122 Quirico et al., 2005; Schopf et al., 2005; Xiao et al., 2020). Some scholars believe that
123 this inflection point in the laser Raman spectrum represents the transformation of
124 organic matter from amorphous carbon to crystalline graphite, which is consistent with
125 the fact that the order degree of the carbon layer structure of shale organic matter
126 increases with the degree of thermal evolution (Hou et al., 2019).



127

128 Fig. 5. Relationship between laser Raman parameters and vitrinite reflectance of
 129 organic matter in the Shahezi formation (the box represents the range of the measured
 130 Raman parameters, and the midpoint in the box indicates the average)

131 **4.3 Maturation characteristics indicated from FT-ICR MS**

132 **4.3.1 Elemental and compound classes from FT-ICR MS**

133 The results of the APPI (+) FT-ICR MS (Fig. 6) show a very complex composition
 134 of compounds in the hydrocarbon source rock samples of the Shahezi formation in the

135 Songliao basin, Horsfield et al (2022) analyzed Fourier transform ion cyclotron
136 resonance mass spectrometry (FT-ICR MS) data from SK-2 well with a special focus
137 on molecular H₂ generation, and focused on aromatization and cracking reactions of
138 organic matter during thermal evolution. The extracts include hydrocarbons (HC) and
139 non-hydrocarbon compounds. Formula assignment was performed using the elemental
140 isotopes ¹H, ¹²C, ¹³C, ¹⁴N, ¹⁶O, and ³²S, with the upper thresholds N ≤ 2, O ≤ 6, and S
141 ≤ 2; C and H were unlimited. If no chemical formula could be assigned within the
142 allowed mass error of 1 ppm, the data were excluded. The elemental composition of
143 each target compound is CcHhNnOoSs. Double-bond equivalents (DBEs), which are
144 defined as the number of double bonds and rings, were calculated for each elemental
145 composition using the equation $DBE = c - (h/2) + (n/2) + 1$ (correspond to
146 CcHhNnOoSs). According to the number of N, O, and S heteroatoms in the molecule,
147 the non-hydrocarbon compounds were categorized in six classes: Ox, Ny, OxNy, Sz,
148 OxSz, and NySz.

149 **The aromatic HC species**

150 APPI ionizes non-polar hydrocarbons and is used to detect aromatic HC
151 compounds (Walters et al., 2011). FT-ICR MS (Fig. 6a) shows that in extracts of source
152 rocks with relatively low maturity (T_{max} range from 510 °C to 597 °C) HC compounds
153 account for the largest proportion of all compound classes, and the total monoisotopic
154 ion abundance (TMIA) is 23.17%-63.65%. The TMIA of the samples exceeds 50%,
155 except for the samples obtained at a depth of 3866 m (T_{max} = 579 °C). The HC
156 compounds can be divided into three groups: DBE1-5, DBE6-15, and DBE15 +,
157 corresponding to low, medium, and high DBE groups representing compounds with an
158 increasing molecular size of the aromatic core structure (Han et al., 2022b). As the
159 maturity increases, there is likely a strong decrease in signals, the consumption of HC
160 in the polar molecular compounds of the shale organic matter decreases sharply due to
161 pyrobitumen formation (Mahlstedt et al., 2022), and the TMIA is 0.97%-6.79%. Fig 7
162 shows that the TMIA, DBE, and carbon number of the HC change with maturity. When
163 the maturity is relatively low, the range of the DBE and carbon number is wider, and

164 the compounds show higher aromaticity and larger molecular size (larger ring system).

165 **Ox species**

166 Ox compounds are the main components of nonhydrocarbon compounds. The Ox
167 species mainly consist of O₁, O₂, and O₄ compounds in all extracts (Fig. 6.b). The TMIA
168 ranges from 0% to 16.96%. The O₁ compounds detected in the APPI (+) mode are
169 presumed to be aliphatic and aromatic aldehydes, alcohols, ketones, and furans (Huba
170 et al., 2016). The DBE and carbon numbers of the O₁ compounds are similar to those
171 of the HC compounds (Fig. 7). The difference in the TMIA between different source
172 rock O₁ compounds is small (5.25%-15.15%), and the TMIA is 7%-10%. Conversely,
173 the content of the O₂₋₆ compounds in different source rock increases with an increase in
174 maturity.

175 **Ny and NyOx species**

176 The Ny compounds in the samples are predominantly N₁, and the TMIA range of
177 N₁ is 0.15% to 17.76%. The N₁ compound shows similar distribution characteristics to
178 the CH compound, i.e., the abundance decreases sharply as maturity increases. All Ny
179 compounds increase and then decrease with increasing maturity (Fig 6c). In source rock
180 with relatively low maturity (T_{max} in the range of 510 °C to 597 °C), the content of the
181 Ny compounds is relatively high, with TMIA ranges of 4.45% to 17.76% for the N₁
182 compounds and from 0.07% to 1.34% for the N₂ compounds. When the maturity is high
183 (T_{max} of 618 °C-665 °C), the Ny compound content is relatively low, with TMIA
184 ranges of 0.15% to 3.79% for the N₁ compounds and from 0% to 0.08% for the N₂
185 compounds.

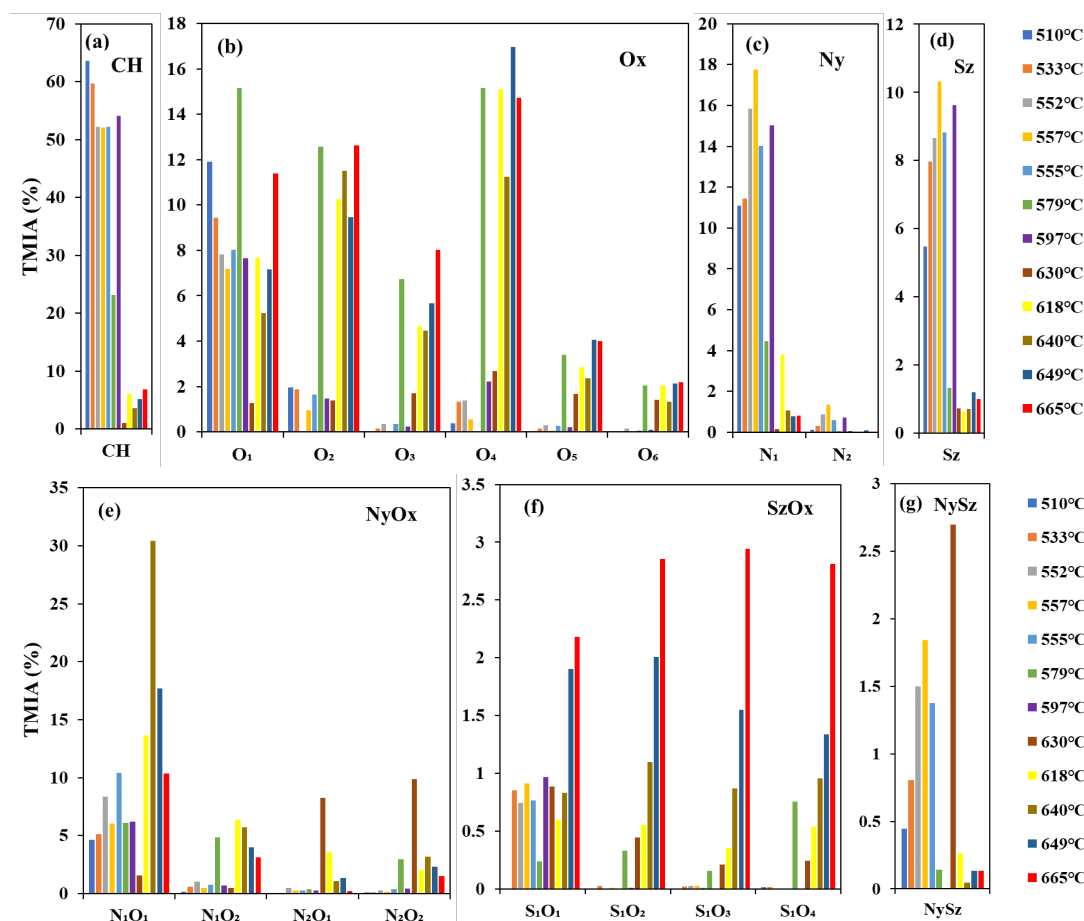
186 The contents of NyOx (N₁₋₂O₁₋₂ compounds) in the extracts vary substantially,
187 including N₁O₁/N₁O₂/N₂O₁/N₂O₂ (Fig 6e). The N₁O₁ compounds are N₁ compounds
188 with hydroxyl groups, and the N₁O₂ compounds are N₁ compounds with carboxyl
189 groups (Jiang et al., 2021). The N₁O₁ compounds dominate the NyOx analogs with a
190 TMIA range of 4.64% to 30.44%. In contrast to the Ny analogs, the TMIA of the NyOx
191 analogs is relatively low in the low mature stage and suddenly increases in the high
192 mature stage. For example, when N₁O₁ is at 510 °C-597 °C, the TMIA range is 4.64%
193 to 10.44%, and when T_{max} = 597 °C, the TMIA increases to 30.44%. The TMIA of the

194 N₁O₂, N₂O₁, and N₂O₂ compounds in the source rock at low maturity is extremely low
195 (< 4.85%). In contrast, at relatively high maturity (T_{max} between 630 °C and 665 °C),
196 the TMIA of the N₁O₂, N₂O₁, and N₂O₂ compounds is higher, with ranges of 0.51%-
197 6.37%, 0.22%-8.25%, and 1.52%-9.87%, respectively. As the maturity increases,
198 nitrogen-containing compounds with long carbon chains and low unsaturation levels in
199 organic matter become unstable, and basic nitrogen compounds with more fused rings
200 occur in organic matter.

201 **Sulfur-containing species**

202 The APPI (+) method is sensitive for the ionization of organosulfur compounds in
203 organic matter. Sulfur-containing species include Sz, SzOx, and NySz. The TMIA of
204 Sz is the highest, ranging from 0.64% to 10.32%. There are significant differences in
205 the TMIA of the Sz compounds for different maturation stages (Fig 6d), indicating that
206 the HC, N₁, and Sz compounds in organic polar molecules decompose or transform in
207 large quantities during the evolution of T_{max} from 597 °C to 618 °C. As a result, there
208 is a sharp decrease in the content, and the range of the DBE and the carbon number of
209 the S₁ compounds becomes narrower (Fig 7). The S₁ compounds with DBE ≥ 3 are
210 most likely to be aromatic compounds containing thiophene units. It is speculated that
211 S₁ compounds with a lower DBE number are alkyl thiolanes (tetrahydrothiophenes) or
212 alkyl thianes (tetrahydrothiopyrans) (Liu et al., 2018).

213 The TMIA of the SzOx and NySz compounds is generally low, not exceeding 2.94%
214 (Fig 6.f, g). At the relatively low maturity stage (T_{max} < 630 °C), S₁O₁ compounds are
215 the major fraction of SzOx, with the TMIA generally ranging from 0.5% to 1%, and
216 S₁O₂/S₁O₃/S₁O₄ are absent. At relatively high maturity (T_{max} > 630 °C), the abundance
217 of S₁O₂/S₁O₃/S₁O₄ increases with the reaction time of the Sz compounds, indicating
218 that Sz and Ox are combined. The content of the NySz compounds varies substantially,
219 and the sample with a T_{max} of 630 °C has an unusually high content of NySz
220 compounds with TMIA=2.69%.



221

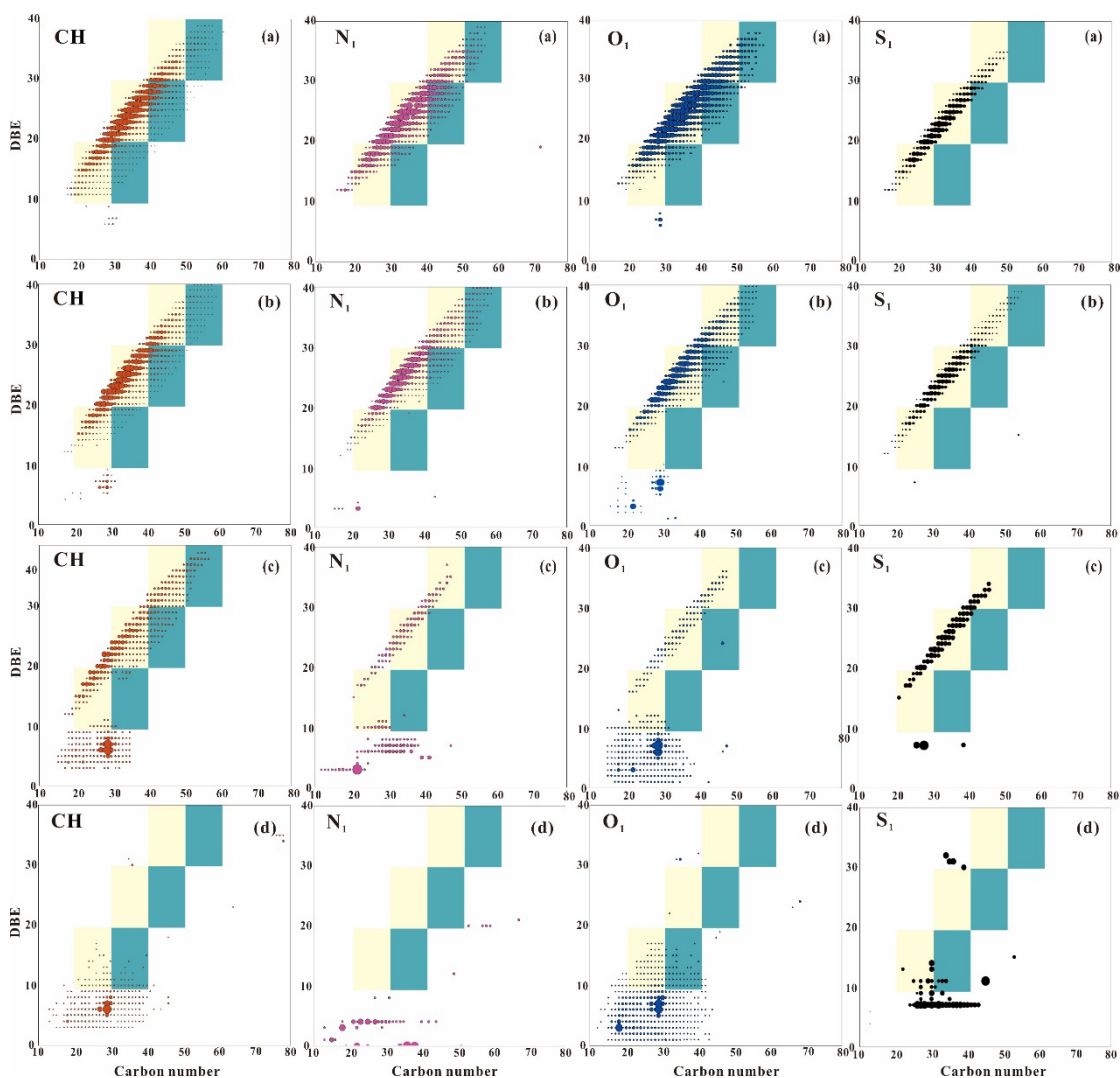
222

223 Fig 6. The relative contents of organic molecular compounds with different maturities.

224 Ox: elemental class containing only oxygen as heteroatom, Ny: elemental class
 225 containing only nitrogen as heteroatom, Sz: elemental class containing only sulfur as
 226 heteroatom, NySz: elemental class containing a combination of nitrogen and sulfur as
 227 heteroatoms, the same applies for the OxNy and OxSz classes, HC: elemental class
 228 comprised of pure hydrocarbons. Within the compound classes the number of
 229 heteroatoms is specified (e.g., in the O₁ class each molecule contains one oxygen
 230 atom as heteroatom and so on).

231 In Fig 6, the TMIA of the HC, N₁, O₁, and S₁ compounds decrease with an increase
 232 in maturity. A similar trend is observed in the TMIA plot of the DBE vs. the carbon
 233 number of those species. Fig 7 shows the relative ion abundance (TMIA) plots of the
 234 DBE vs. the carbon number of the four compound classes for different mature source
 235 rock obtained from the APPI (+) FT-ICR MS. In the sample with a depth of 3376 m
 236 (T_{max} = 510 °C), the N₁ compounds have a DBE range of 13-39 and a carbon number
 237 range of 17–58, and the compounds are abundant. The compounds containing 12, 15,

238 and 18 DBE are primarily alkyl carbazole compounds with 1-3 benzene rings (Zhu et
 239 al., 2011). However, in the sample with a depth of 5664 m ($T_{max} = 665\text{ }^{\circ}\text{C}$), the DBE
 240 and carbon number of the N_1 compounds are variable, and all alkylcarbazole
 241 compounds have been consumed. The results indicate that maturity is the factor causing
 242 the difference of organic matter composition in different source rocks. The polar
 243 molecular compounds of organic matter in high-mature source rock are mainly high
 244 DBE compounds with a wide range of carbon numbers. The polar molecular
 245 compounds of organic matter in overmature source rock are predominantly low DBE
 246 compounds, and the range of the carbon number is also narrower.



247
 248 Fig.7. Relative ion abundance (TMIA) of DBE vs. the carbon number for four species
 249 of organic matter with different maturities. Yellow areas are indicative of lower
 250 carbon numbers associated with higher maturities, whereas green areas indicate higher
 251 carbon numbers and lower maturities. The depth of (a) is 3376 m, $T_{max}=510\text{ }^{\circ}\text{C}$, the

252 depth of (b) is 3676 m, Tmax=579 °C, the depth of (c) is 4426 m, Tmax=597 °C, and
253 the depth of (d) is 5664 m, Tmax=665 °C

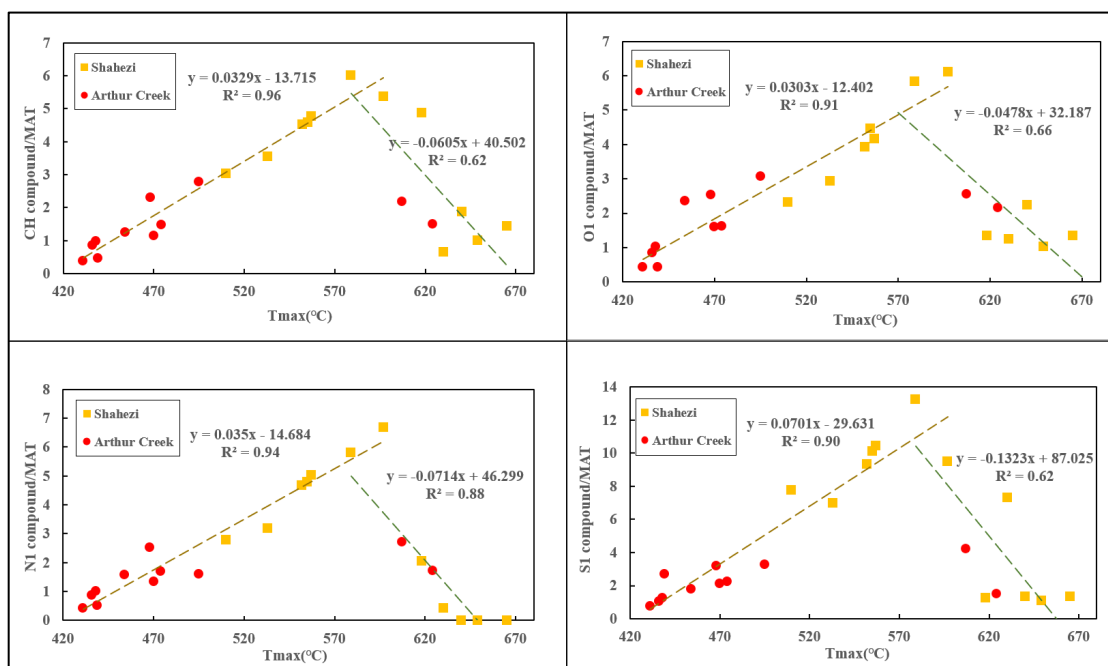
254 **4.3.2 Maturity index of FT-ICR MS**

255 An earlier study of Noah et al. (2020a) revealed progressive changes in DBE vs
256 carbon number which could be used to establish a new maturity parameter with strong
257 linearity up to 2% Ro. In this study we extended the calculation method of Noah et al.
258 (2020a) to analyze the structure and variation characteristics of unsaturation of samples
259 (0.59 % <Ro <4.24 %). The long-chain and short-chain compounds were distinguished
260 by the X-axis carbon atom number, with a range of 10-70. The compound's unsaturation
261 was distinguished by the Y-axis DBE with a range of 10-40. It is generally believed that
262 the number of aromatic structures increases with an increase in thermal maturity of
263 organic matter, whereas the number of aliphatic structure decreases, and the hydrogen-
264 carbon ratio decreases (Hunt, 1995; Noah et al., 2020a; Poetz et al., 2014; Welte and
265 Tissot, 1984). It is concluded that DA1 contains compounds with higher degrees of
266 polymerization and aromatization, and DA2 contains compounds with lower degrees of
267 polymerization and higher degrees of alkylation. Based on this assumption, the signal
268 abundances of DA1 and DA2 are determined. The MAT (Maturity index of FT-ICR MS)
269 was calculated using the equation $MAT = \frac{\text{the signal abundances of DA1}}{\text{the signal abundances of DA2}}$.
270 Theoretically, MAT reflects the ratio of low-carbon number to
271 high-carbon number compounds and the degree of lipidation of the compounds. The
272 larger the MAT value, the higher the maturity of the compound is.

273 Eleven marine shale samples with relatively favorable geochemical parameters
274 (Ro ranging from 0.59% to 4.07%) were selected from the lower Arthur Creek strata of
275 the middle Cambrian in the southwestern Georgina basin (Noah et al., 2020b). The MAT
276 parameters were compared to evaluate the applicability of the MAT index to assess
277 shales with low maturity and from different sedimentary environments. The data set
278 expands the range of maturity evaluated by the MAT parameters to the low to
279 overmature stage.

280 The Tmax values of the two groups of samples were fitted and compared with the
 281 MAT values. The fitting results show that the Tmax has a high positive correlation with
 282 the MAT values for each compound at Tmax values of 420 °C to 600 °C. The coefficient
 283 of determination (R^2) ranges from 0.90 to 0.96 (Fig. 8). The fitted line of the S₁
 284 compounds has a large slope, and the values are dispersed; the standard error (Se) is
 285 1.38. This can be explained by the distribution of S₁ compounds in DBE and carbon
 286 Fig. Only a small number of compounds fall in the green region, indicating that when
 287 Tmax is 420 °C to 600 °C, the part of low carbon number in sulfur compounds
 288 dominates (Fig. 7). In contrast, the fitting results of the CH, O₁, and N₁ compounds
 289 show less dispersion, with an Se of 0.38 to 0.56. The fitting results of the four
 290 compounds of the Shahezi source rock and Arthur Creek shale are the best at Tmax
 291 values of 420 °C to 600 °C.

292



293

294 Fig. 8 MAT vs Tmax of HC, O₁, N₁, and S₁ compounds. Data points for the upward
 295 trend line are from 9 Arthur Creek formation samples and 7 Shahezi formation
 296 samples at 431°C <Tmax<597°C; Data points for the downward trend line are from 7
 297 Shahezi formation samples and 2 Arthur Creek formation samples at 579°C
 298 <Tmax<665°C.

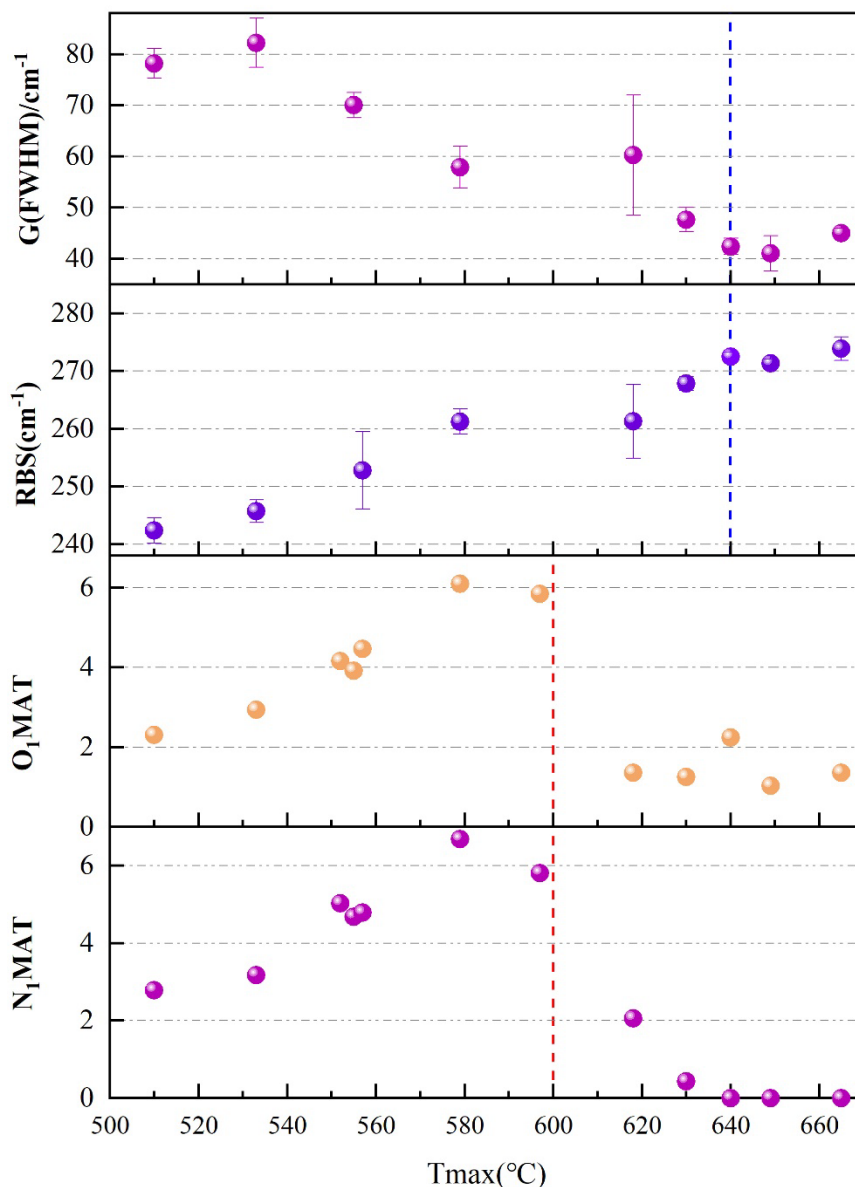
299 When Tmax is in the range of 600 °C to 670 °C (corresponding to matagenesis),

300 the correlation between MAT and maturity of four typical compounds is reversed. The
301 correlation coefficients between MAT parameters of different compounds and Tmax are
302 quite different, which may be related to the types and quantities of compounds in
303 soluble organic matter that can be extracted from the samples at the over-mature stage.
304 For example, the signal intensity of N₁ compound calculated by MAT formula in Fig.
305 7d basically disappears, while some signal intensities of the other three compounds are
306 still distributed in the computable region. The N₁ compounds have the highest and most
307 significant negative correlation between MAT and Tmax ($R^2 = 0.88$). The N₁
308 compounds of Shahezi source rock and Arthur Creek shale showed strong continuity in
309 the Tmax-MAT fitting results. The MAT parameters of the two different types of source
310 rocks have similar inversion phenomena in the high-to-mature stage, which reveals the
311 variation characteristics of compound components in the soluble organic matter of
312 source rock in the high-to-mature stage to some extent. In the high thermal evolution
313 stage, condensed high DBE aromatics are directly integrated into pyrobitumen that
314 cannot be detected by FT-ICR MS with the condensation reaction of polycyclic
315 aromatic hydrocarbons (Horsfield et al., 2022). The MAT values of CH, O₁ and S₁
316 compounds also showed negative correlation with the corresponding Tmax, and their
317 R^2 is between 0.62 and 0.66.

318 **5 Implications**

319 During the thermal evolution of organic matter, the condensation and
320 aromatization reactions often proceed synchronously, resulting in the formation of high-
321 carbon compounds with strong thermal stability. During this process, the composition
322 of organic matter compounds changes, i.e., as the maturity increases, the functional
323 groups and side chains around the aromatic ring in the molecular structure break off,
324 and the number of aromatic structure increases while the number of aliphatic structure
325 decreases (Schenk et al., 1986; Seifert and Moldowan, 1978). In the source rocks of
326 this study, the increasing degree of polymerization of soluble bitumen, insoluble
327 kerogen and pyrobitumen is observable in laser Raman spectroscopy and FT-ICR MS.

328 The two maturity evaluation methods both reflect that whether soluble or insoluble
 329 kerogen and pyrobitumen in Shahezi formation, when the maturity reaches a certain
 330 stage, the molecular structure and composition of the compound will change abruptly.
 331 The turning point of molecular structure of soluble organic matter and insoluble organic
 332 matter is different (Fig. 9).
 333



334
 335 Fig. 9 Comparison of Tmax, MAT and laser Raman spectroscopy parameters. Error
 336 bars represent one standard deviation.

337 In Raman spectra, with the increase of thermal evolution degree, when Ro <

338 3.33%-3.52%, the crystallite size of carbon in kerogen increases, the number of
339 amorphous structure decreases, and the linear aromatic junction shows a gradual growth
340 trend (Lünsdorf, 2016). At this time, the peak position difference between the D and G
341 Raman peaks and the sharpness of the peaks increase, the half-peak widths of the D and
342 G peaks decrease. When $R_o > 3.33\% - 3.52\%$, the transformation of most amorphous
343 carbon in kerogen was terminated, the aromatic units of heteroatomic compounds were
344 recombined, and the size of aromatic compounds gradually increased (Kouketsu et al.,
345 2014). The degree of aromatic conjugation and the degree of carbon crystallization
346 increased sharply with the increase of thermal maturity, and amorphous carbon began
347 to transform into crystalline graphite (Hou et al., 2019). The Raman shift of the D peak
348 shows an increasing trend, I_D / I_G increases sharply. The half-peak widths of the D and
349 G Raman peaks decreased sharply and then increased gradually.

350 The results showed that MAT was positively correlated with maturity for R_o values
351 of 1.33%-2.5% and T_{max} values of 420 °C-600 °C. The MAT decreased as the R_o
352 exceeded 2.5% and T_{max} exceeded 600 °C. Polar molecular compounds in the
353 calculation area of MAT (DBE in the range of 10-40 and carbon numbers in the range
354 of 20-60) were not observed in some samples. This phenomenon is not a coincidence
355 but is related to the overmature samples of the Arthur Creek formation in the Georgina
356 basin, Australia, and the Shahezi formation in the Songliao basin. In the DBE-carbon
357 number cross-plot, the DBE and carbon number decreased with an increase in the
358 thermal maturity of organic matter. The decrease was more pronounced at the interface
359 between high maturity and over maturity. Therefore, the decrease in the MAT may be
360 related to the thermal stability of organic compounds and the formation of pyrobitumen
361 and graphite. The chemical bonding of organic molecules is based on covalent bonds;
362 the bond length and bond energy of covalent bonds jointly determine the thermal
363 stability of molecular compounds. The thermal evolution of organic matter is
364 accompanied by the detachment of side chains and the occurrence of polycondensation
365 reactions (Poetz et al., 2014). Macromolecular compounds with a shorter bond length
366 and higher bond energy are generated, but these macromolecular compounds also have

367 thermal stability limits. When the pyrolysis temperature and time reach the limit, the
368 macromolecule compounds may suddenly collapse, leading to a sharp decrease in the
369 relative content of high DBE and high carbon number compounds, which may be
370 accompanied by the generation of methane (Lorant and Behar, 2002). During thermal
371 evolution, organic matter is continuously cracked, non-carbon elements are discharged
372 in the form of volatiles, and the H/C atomic ratio continues to decline. In the overmature
373 stage, the further condensation of organic matter leads to the formation of carbonaceous
374 residues with a lower hydrogen content, namely pyrobitumen and graphite(Hou et al.,
375 2019). These high DBE and high carbon number products cannot be extracted and
376 cannot be analyzed by FT-ICR MS.

377 The decrease in the MAT means that the parameter characteristics of some
378 overmature samples in Shahezi formation are similar to those of samples with relatively
379 low maturity. As a result, the application scope of MAT is limited, and its accuracy may
380 be low if the MAT results are not compared with other maturity indices. Horsfield et al
381 (2022) had normalized the APPI (+) intensity of the samples with different maturities
382 and subtracted the results of the lower maturity samples from those of the higher
383 maturity samples in the DBE-carbon number cross-plot to determine the formation and
384 loss of target compounds during the thermal evolution of organic matter. The DBE of
385 compounds with a given carbon number increases with an increase in maturity. The
386 carbon number in the compounds with a given DBE decreases significantly with an
387 increase in maturity. Therefore, aromatization may not be the dominant process. It is
388 also noteworthy that the newly formed high-DBE aromatics may be part of the
389 pyrobitumen rather than being reflected in the DBE-carbon cross-plot. During the
390 thermal evolution, some compounds are not transformed into new compounds and are
391 not decomposed or polymerized. Their signal intensity changes only with the formation
392 of other types of new compounds. Therefore, this subtraction may lead to a net loss in
393 the abundance of all compounds. This attempt explains the change characteristics of
394 compounds during thermal evolution using the DBE-carbon cross-plot. To some extent,
395 it explains why the MAT decrease.

396 Laser Raman spectroscopy and FT-ICR MS have different focuses in evaluating
397 the maturity of organic matter. The in-plane E_{2g2} vibrational mode (A vibrational mode
398 causing a single strong first order line at 1582 cm^{-1}) of carbon atom in the aromatic ring
399 structure (sp^2 carbon) shows D_{6h}^4 symmetry, and Raman-active A_{1g} (the Raman active
400 modes formed by large molecules with different sizes and shapes) symmetry associated
401 with lattice defects and discontinuities of the sp^2 carbon network (Tuinstra and Koenig,
402 1970). These characteristics will have corresponding characteristic peaks in the Raman
403 spectra. By analyzing the parameters of characteristic peaks in the Raman spectra of
404 different solid organic matter, the structure and evolution path characteristics of
405 aromatic moieties in solid organic matter at different maturity stages can be obtained.
406 The elemental contents of carbon, hydrogen, oxygen, nitrogen, and sulfur in the organic
407 matter of hydrocarbon source rocks were investigated using high-precision and high-
408 resolution FT-ICR MS. The molecular composition and relative content were analyzed
409 by a normalization algorithm, and the CH , O_1 , N_1 , and S_1 compounds were used as
410 targets. The CH compounds represent aromatic components, and the O_1 compounds
411 represent various oxygen-containing compounds with different types of oxygen bonds,
412 such as aldehydes, alcohols, ketones, furans, and carboxylic acids bonded with aromatic
413 nuclei. The N_1 compounds represent various pyridine and pyrrole compounds, and the
414 S_1 compounds are most likely represent aromatic thiophenes (Noah et al., 2020a). The
415 dynamic changes in the relative content of the organic matter molecular compounds
416 were described by the carbon number and DBE diagram. Finally, signal intensity
417 conversion of each compound was performed to quantify the maturity level of organic
418 matter. The MAT was significantly and highly correlated with the R_o and T_{max} ,
419 indicating its applicability to maturity evaluation of Shahezi formation. The two
420 methods can well complement each other in the evaluation of organic matter maturity.
421 Since tar pitch and graphite with high DBE and high carbon number are difficult to be
422 extracted, the MAT values of some over-mature samples are similar to those of samples
423 with relatively low maturity. Combined with the characteristic peak parameters of laser
424 Raman spectroscopy of samples with different maturity, the samples with over-mature

425 and low maturity can be effectively distinguished. MAT can accurately and
426 continuously reflect the maturity change change trend of characteristic compound in
427 soluble organic matter and the dynamic change law of relative content of compound
428 molecules. Combined with the chemical structure change characteristics of aromatic
429 moieties in solid organic matter reflected by laser Raman spectroscopy, the two methods
430 can reveal the structural characteristics of organic matter molecules in thermal
431 evolution stage from different angles, which is of great significance to the maturity
432 study of deep source rocks in petroliferous basins.

433 **6 Conclusion**

434 The organic matter of the deep Shahezi source rock in the Songliao basin has good
435 hydrocarbon potential. The results of various maturity evaluation methods showed that
436 the deep source rock Ro of Shahezi formation was greater than 1.3%, Tmax exceeded
437 500 °C, and the thermal evolution degree of organic matter was high to overmature.
438 Most of the parameters of laser Raman spectroscopy in Shahezi formation samples
439 showed an inflection point or reversal in the Ro range of 3.52-3.93%, indicating that
440 the structural order and of aromaticity organic matter increased as maturity increased.

441 The comparison of the Ro, Tmax, and MAT indices showed that MAT of samples
442 was positively correlated with maturity at Ro values of 1.33%-2.5% and Tmax values
443 of 420 °C-600 °C. Unlike the S₁ compound, the fitting results of the CH, O₁, and N₁
444 compounds were strongly correlated with the sample's maturity ($R^2 > 0.9$) and exhibited
445 weak dispersion ($Se < 0.56$). As the Ro exceeded 2.5% and Tmax exceeded 600 °C, the
446 MAT showed a decreasing trend. . It is thought that the decrease in the MAT may be
447 related to the thermal stability of organic matter molecular compounds and the
448 generation of bitumen and graphite.

449 The MAT index quantified the structural characteristics of target molecular
450 compounds during the thermal evolution of organic matter using the "element-
451 molecule-compound" analogy. It enabled the numerical evaluation of organic matter
452 maturity and had good application prospects effect. At the same time, laser Raman

453 spectroscopy can well reveal the structural characteristics of aromatic moieties in
454 thermal evolution process. The two methods complement each other, confirmed similar
455 growth of aromatic rings take place, provided insights into the characterization of the
456 molecular structure evolution pattern of high-over mature organic matter, and will
457 provide key parameters for deep unconventional oil and gas genesis and resource
458 exploration.

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