

# Metadata Schema for the Description of Research Data Repositories

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**Authors**: Dorothea Strecker<sup>1</sup>, Alexandra Axtmann<sup>2</sup>, Roland Bertelmann<sup>3</sup>, Helena Cousijn<sup>4</sup>, Kirsten Elger<sup>5</sup>, Lea Maria Ferguson<sup>3</sup>, David Fichtmüller<sup>6</sup>, Catherine Jones<sup>7</sup>, Iris Lindenmann<sup>8</sup>, Charlotte Neidinger<sup>2</sup>, Thanh Binh Nguyen<sup>2</sup>, Jiban K. Pal<sup>9</sup>, Heinz Pampel<sup>1,3</sup>, Vivien Petras<sup>1</sup>, Edeltraud Schnepf<sup>2</sup>, Angelika Semrau<sup>2</sup>, Robert Ulrich<sup>2</sup>, Arne Upmeier<sup>2</sup>, Paul Vierkant<sup>4</sup>, Hui Wang<sup>10,11</sup>, Gabriele Weickert<sup>2</sup>, Nina Leonie Weisweiler<sup>3</sup>, Sarah C. Williams<sup>12</sup>, Michael Witt<sup>13</sup>, Sarah J. Wright<sup>14</sup>.

- <sup>1</sup> <u>Humboldt-Universität zu Berlin</u>, Berlin School of Library and Information Science, Germany
- <sup>2</sup> Karlsruhe Institute of Technology (KIT), Germany
- <sup>3</sup> <u>Helmholtz Association</u>, Helmholtz Open Science Office, Germany
- <sup>4</sup> DataCite International Data Citation Initiative e.V.
- <sup>5</sup> GFZ German Research Centre for Geosciences, Germany
- <sup>6</sup> Botanischer Garten und Botanisches Museum Berlin, Germany
- <sup>7</sup> <u>Science and Technology Facilities Council</u>, United Kingdom
- <sup>8</sup> University of Basel, Switzerland

<sup>9</sup> Indian Statistical Institute (ISI), India

- <sup>10</sup> Chinese Academy of Sciences, China
- <sup>11</sup> National Science Library, China
- <sup>12</sup> University of Illinois Urbana-Champaign, United States
- <sup>13</sup> <u>Purdue University</u>, United States
- <sup>14</sup> <u>Cornell University</u>, United States

#### Contact:

info@re3data.org https://www.re3data.org

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# 1. Introduction

#### 1.1. re3data

Research data are valuable and ubiquitous. The permanent access to research data is a challenge for all stakeholders in the research community. However, the long-term preservation of and open access to research data offer opportunities for the research community worldwide. A growing number of universities and other research institutions have been building and are maintaining research data repositories (RDR) that provide permanent access to data sets in a trustworthy environment. Due to disciplinary requirements and characteristics of specific types of services, the landscape of research data repositories is very heterogeneous. It is therefore difficult for researchers, funding bodies, publishers, and scholarly institutions to select appropriate repositories for finding, storing, and publishing research data.

re3data is a global registry that covers RDR from all academic disciplines. It presents repositories for the permanent storage of and access to research data sets for researchers, funding bodies, publishers, and scholarly institutions. re3data promotes a culture of sharing, increased access to, and better visibility of research data and other research outputs. re3data is a partner service of DataCite<sup>1</sup>, a global not-for-profit organization that is actively involved in several initiatives to improve the availability and citation of research output.

The registry re3data was developed in a research project of the same name that was funded by the German Research Foundation (DFG)<sup>2</sup>. The service went online in the fall of 2012. Project partners were the Berlin School of Library and Information Science at the Humboldt-Universität zu Berlin<sup>3</sup>, the Helmholtz Open Science Office of the Helmholtz Association<sup>4</sup>, the Library and Information Services department (LIS) of the GFZ German Research Centre for Geosciences<sup>5</sup>, and the KIT Library at the Karlsruhe

<sup>3</sup> <u>https://www.ibi.hu-berlin.de/</u>

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<sup>&</sup>lt;sup>1</sup> <u>https://datacite.org/</u>

<sup>&</sup>lt;sup>2</sup> <u>https://www.dfg.de/</u>

<sup>&</sup>lt;sup>4</sup> https://os.helmholtz.de/

<sup>&</sup>lt;sup>5</sup> <u>https://bib.telegrafenberg.de/</u>



Institute of Technology (KIT)<sup>6</sup>. In March 2014, re3data merged with Databib, a similar initiative at Purdue University<sup>7</sup>, into one service managed under the auspices of DataCite since the end of 2015. The aim of this cooperation was to serve the research community with a single, sustainable registry of RDR that incorporates features of both initiatives.

Further information on re3data and related initiatives can be found in the following publications:

Kindling, M., Pampel, H., van de Sandt, S., Rücknagel, J., Vierkant, P., Kloska, G., Witt, M., Schirmbacher, P., Bertelmann, R., Scholze, F.. (2017). The Landscape of Research Data Repositories in 2015: A re3data Analysis. D-Lib Magazine, 23(3/4). <u>https://doi.org/10.1045/march2017-kindling</u>

Witt, M., Stall, S., Duerr, R., Plante, R., Fenner, M., Dasler, R., Cruse, P., Hou, S., Ulrich, R., & Kinkade, D. (2019). Connecting Researchers to Data Repositories in the Earth, Space, and Environmental Sciences. In P. Manghi, L. Candela, & G. Silvello (Eds.), Digital Libraries: Supporting Open Science (pp. 86–96). Springer. https://doi.org/10.1007/978-3-030-11226-4\_7

### 1.2. The Metadata Schema

The re3data Metadata Schema contains metadata properties describing a RDR, such as its general scope, content, infrastructure as well as compliance with technical, quality, and metadata standards. The schema includes required metadata properties and optional properties providing additional information. The schema serves the purpose of:

- recommending a standard for describing a RDR;
- providing the basis for interoperability between RDRs, re3data and other infrastructures;
- helping RDRs move towards shared standards and common practices.

<sup>&</sup>lt;sup>6</sup> <u>https://www.bibliothek.kit.edu/</u>

<sup>&</sup>lt;sup>7</sup> <u>https://www.lib.purdue.edu/</u>

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To facilitate refining search results of appropriate RDRs, re3data developed a set of icons. These icons are displayed for a respective entry if a RDR provides important information concerning the repository, e.g., if it acquired a certificate or supports the provision of persistent identifiers.

The initial version 1.0 of the schema was developed and tested on a small sample of RDRs. After version 1.0 of the schema was published in July 2012, a public request for comments was issued. Version 2.0 of the schema considered all responses as well as current developments in the landscape of RDRs, resulting in substantial changes to the structure of the schema. Versions 2.1 and 2.2 introduced minor changes, as well as an outline of the re3data Registration Policy. Version 3.0 included changes of the re3data Registration Policy as well as structural adjustments to better reflect changes within the landscape of RDRs. Following a public request for comments, version 3.1 differentiated certification information and offered the option to create profiles. The current version 4.0 also follows a public request for commons and introduces new elements for expressing relationships between RDRs and funding information, among other changes. An overview on the version history is provided in table 1. Versions 3.1 and 4.0 of the re3data Metadata Schema were released the context of the DFG-funded project re3data COREF<sup>8</sup>, in 2021 and 2023 respectively.

Future developments of the schema will also rely on feedback from the RDR community. This open and transparent development process ensures a strong basis for RDR descriptions that are supported by and rooted in the community. re3data appreciates this continuous feedback from the community and invites interested persons and organizations to share ideas for future developments of re3data.

1.3. re3data Registration Policy

The major purpose of the registry is to improve the discoverability of RDRs. A RDR is a subtype of a sustainable information infrastructure providing long-term storage of and access to research data. Research data are "representations of observations, objects, or other entities used as evidence of phenomena for the purposes of research or scholarship." (p. 28)<sup>9</sup>

<sup>&</sup>lt;sup>8</sup> <u>https://gepris.dfg.de/gepris/projekt/422587133</u>

<sup>&</sup>lt;sup>9</sup> Borgman, C. L. (2016). *Big data, little data, no data: Scholarship in the networked world*. The MIT Press.



A RDR listed in re3data is either:

- a data provider if it offers research data and its metadata (ideally exposing metadata via interfaces),
  - or
- a service provider (e.g., a portal) if it harvests the metadata of research data from data providers as a basis for building value-added services, or
- both.

To be registered in re3data, a RDR must comply with a set of minimum requirements. A repository must:

- have a focus on research data;
- be operated by a legal entity with an organizational framework that provides sustainability (e.g., library, university);
- clarify access conditions to the repository and research data;
- and provide terms of use.
- 1.4. Research Data Repository Registration Workflow

Anyone can suggest RDRs to be listed in re3data via an application form providing information such as the name and URL of the RDR.<sup>10</sup> This information is reviewed and enhanced by the re3data Editorial Board.<sup>11</sup> Members of the international Editorial Board analyze the RDR's website and describe it based on an indexing handbook. The re3data Editorial Board indexes, reviews and updates the metadata of all RDR registered in re3data. Researchers, research data specialists and librarians from various countries are members of the international Editorial Board. A repository is indexed if the minimum requirements of the re3data Registration Policy are met. Before a new record of a RDR is published in re3data, all gathered information is reviewed by a second editor.

<sup>&</sup>lt;sup>10</sup> <u>https://www.re3data.org/suggest/</u>

<sup>11</sup> https://www.re3data.org/editorialboard

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To update a re3data entry, a user can use the web form suggesting additions and/or changes concerning the entry. After a review by the re3data Editorial Board, the entry will be updated. The complete workflow is shown in Figure 1.

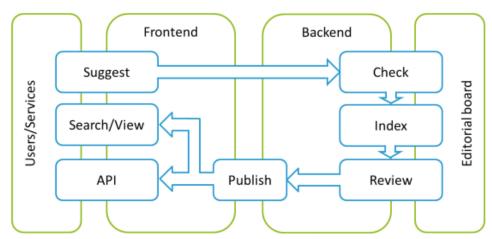


Figure 1: Research Data Repository Registration Workflow of re3data

## 1.5. Version History

| Version | Date of publication | Summary of changes to prior versions  |
|---------|---------------------|---|
| 1.0     | July 2012           | Initial Document (See: https://doi.org/10.2312/re3.001)   |
| 2.0     | December 2012       | Structural changes, including addition of language attributes, addition of definitions of controlled vocabularies, changes in occurrences (See: <u>https://doi.org/10.2312/re3.002</u> )                                    |
| 2.1     | December 2013       | Addition and deletion of properties, addition of controlled vocabularies, changes in occurrences and definitions, addition of definitions, change of title (See: <u>https://doi.org/10.2312/re3.004</u> )                   |
| 2.2     | December 2014       | Addition of properties, addition of controlled vocabularies, changes and additions of definitions (See: <u>https://doi.org/10.2312/re3.006</u> )  |
| 3.0     | December 2015       | Structural changes, including addition and deletion of controlled vocabularies, changes in occurrences and definitions, addition of new properties, change of title (See: <u>https://doi.org/10.2312/re3.008</u> )          |
| 3.1     | August 2021         | Structural changes, including addition of new properties; changes in occurrences; changes of controlled vocabularies / allowed values (See: <a href="http://doi.org/10.48440/re3.010">http://doi.org/10.48440/re3.010</a> ) |
| 4.0     | August 2023         | Structural changes, including addition and deletion of properties and<br>changes in controlled vocabularies; changes in occurrences and<br>definitions (this document)  |



#### Table 1: Version Update of the re3data Metadata Schema

#### 1.6. Authors of Previous Versions

| Version | Authors  |
|---------|--|
| 1.0     | Paul Vierkant, Shaked Spier, Jessika Rücknagel, Jens Gundlach, David Fichtmüller, Heinz Pampel, Maxi<br>Kindling, Agnes Kirchhoff, Hans-Jürgen Göbelbecker, Jens Klump, Roland Bertelmann, Peter<br>Schirmbacher, Frank Scholze  |
| 2.0     | Paul Vierkant, Shaked Spier, Jessika Rücknagel, Jens Gundlach, David Fichtmüller, Heinz Pampel, Maxi<br>Kindling, Agnes Kirchhoff, Hans-Jürgen Göbelbecker, Jens Klump, Roland Bertelmann, Peter<br>Schirmbacher, Frank Scholze  |
| 2.1     | Paul Vierkant, Shaked Spier, Jessika Rücknagel, Heinz Pampel, Jens Gundlach, David Fichtmüller, Maxi<br>Kindling, Agnes Kirchhoff, Hans-Jürgen Goebelbecker, Jens Klump, Gabriele Kloska, Evelyn Reuter,<br>Angelika Semrau, Edeltraud Schnepf, Michael Skarupianski, Roland Bertelmann, Peter Schirmbacher,<br>Frank Scholze, Claudia Kramer  |
| 2.2     | Paul Vierkant, Shaked Spier, Jessika Rücknagel, Heinz Pampel, Florian Fritze, Jens Gundlach, David<br>Fichtmüller, Maxi Kindling, Agnes Kirchhoff, Hans-Jürgen Goebelbecker, Jens Klump, Gabriele Kloska,<br>Evelyn Reuter, Angelika Semrau, Edeltraut Schnepf, Michael Skarupianski, Roland Bertelmann, Peter<br>Schirmbacher, Frank Scholze, Claudia Kramer, Michael Witt, Claudio Fuchs, Robert Ulrich          |
| 3.0     | Jessika Rücknagel, Paul Vierkant, Robert Ulrich, Gabriele Kloska, Edeltraud Schnepf, David Fichtmüller,<br>Evelyn Reuter, Angelika Semrau, Maxi Kindling, Heinz Pampel, Michael Witt, Florian Fritze, Stephanie van<br>de Sandt, Jens Klump, Hans-Jürgen Goebelbecker, Michael Skarupianski, Roland Bertelmann, Peter<br>Schirmbacher, Frank Scholze, Claudia Kramer, Claudio Fuchs, Shaked Spier, Agnes Kirchhoff |
| 3.1     | Dorothea Strecker, Roland Bertelmann, Helena Cousijn, Kirsten Elger, Lea Maria Ferguson, David<br>Fichtmüller, Hans-Jürgen Goebelbecker, Maxi Kindling, Gabriele Kloska, Thanh Binh Nguyen, Heinz<br>Pampel, Vivien Petras, Rouven Schabinger, Edeltraud Schnepf, Angelika Semrau, Margarita Trofimenko,<br>Robert Ulrich, Arne Upmeier, Paul Vierkant, Nina Leonie Weisweiler, Yi Wang, Michael Witt              |

# 2. re3data Metadata Properties

The table below provides a detailed description of the properties that are available to describe a RDR in re3data. The following naming convention has been used for all properties and attributes: properties and attributes begin with a lowercase letter. If the name of the element consists of more than one word, subsequent words begin with capital letters. The column A/C indicates whether the property being described is an Attribute (A) or a Child (C) of the preceding property. The column Occ (Occurrence) indicates if a property is mandatory or optional, as well as if it can have multiple instances. For example, 1-n means that a property must occur once (1), and may occur multiple times (n). The last column indicates allowed values or other constraints on the



input. If a controlled vocabulary is used, the allowed values are listed and defined in Appendix A.

The primary language for all re3data metadata is English. Repository descriptions in other languages can be added for additional information.

| ID  | re3data property         | Definition  | A/C | Occ | Allowed values, examples, other constraints                                    |
|-----|--------------------------|---|-----|-----|--|
| 1   | identifiers              | The RDR identifiers provided by re3data (wrapper element).  |     | 1   | -  |
| 1.1 | re3data                  | A unique string to identify the<br>RDR metadata entry. This<br>internal identifier is assigned<br>by re3data.                           | С   | 1   | Auto-Value<br>Example: r3d100010134  |
| 1.2 | doi                      | The Digital Object Identifier<br>(DOI) assigned to the re3data<br>metadata entry of the RDR to<br>make the metadata entries<br>citable. | С   | 1   | Auto-Value Example:<br>https://doi.org/10.17616/R3XS3<br>7                     |
| 2   | repositoryName           | The full name of the RDR.   |     | 1   | Example:<br>Access to Archival Databases                                       |
| 2.1 | language                 | The language of the RDR name.   | A   | 1   | Controlled vocabulary<br>Allowed values from:<br>ISO-639-3.<br>Example:<br>eng |
| 3   | additionalName           | The alternative name or acronym for the RDR.  |     | 0-n | Example:<br>AAD  |
| 3.1 | language                 | The language of the RDR additional name.  | A   | 1   | Controlled vocabulary<br>Allowed values from:<br>ISO-639-3.<br>Example:<br>eng |
| 4   | repositoryUrl            | The URL of the RDR.   |     | 1   | URL<br>Example:<br>https://www.pangaea.de/                                     |
| 5   | repositoryldentifier     | An identifier that refers to the<br>RDR or a record describing<br>the RDR (wrapper element).  |     | 0-n | -  |
| 5.1 | repositoryIdentifierType | The provider of the identifier.   | С   | 1   | Example:<br>RRID   |

| ID | re3data property | Definition | A/C | Occ | Allowed values, examples, other constraints |
|----|------------------|------------|-----|-----|---|
|    |                  |            |     |     |   |

| 5.2  | repositoryldentifierValue    | A globally unique identifier<br>that refers to the RDR or a<br>record describing the RDR.   | С | 1   | Example:<br>SCR_002760   |
|------|------------------------------|---|---|-----|--|
| 6    | description                  | A textual description<br>providing additional<br>information about the RDR<br>(first description must be<br>provided in English,<br>descriptions in other<br>languages are optional). |   | 0-n | The format is open (max. 1000 characters).   |
| 6.1  | language                     | The language of the RDR description.  | A | 1   | Controlled vocabulary<br>Allowed values from:<br>ISO-639-3.<br>Example:<br>eng   |
| 7    | repositoryContact            | Contact information for the RDR (wrapper element).  |   | 0-n | -  |
| 7.1  | repositoryContactInformation | Email address of the contact<br>or an URL of an online<br>contact form of the RDR.  | С | 1   | Example: info@researchdata.com   |
| 7.2  | repositoryContactType        | Type of contact information.  | С | 0-1 | Example:<br>administrative<br>support  |
| 8    | type                         | The type of the RDR.  |   | 1-n | Controlled vocabulary<br>Allowed values:<br>disciplinary<br>governmental<br>institutional<br>multidisciplinary<br>project-related<br>other |
| 9    | size                         | The number of items contained in the RDR.   |   | 0-1 | Example:<br>5.000 datasets; 30 studies   |
| 10   | startDate                    | The date the RDR was launched.  |   | 0-1 | YYYY or YYYY-MM-DD or any<br>other format described in<br>W3C-DTF (ISO-8601)   |
| 11   | endDate                      | The RDR ceased ingesting<br>new research data and/or<br>providing it ; the date may lie<br>in the future if it is expected in<br>advance (wrapper element).                           |   | 0-1 | -  |
| 11.1 | closed                       | The date the RDR stopped<br>ingesting new research data<br>to its database. The<br>database and its research<br>data are still available.   | С | 0-1 | YYYY or YYYY-MM-DD or any<br>other format described in<br>W3C-DTF (ISO-8601) or empty<br>value with attribute xsi:nil set to<br>"true"     |

| ID | re3data property | Definition | A/C | Occ | Allowed values, examples, other constraints |
|----|------------------|------------|-----|-----|---|
|    |                  |            |     |     |   |

| 11.2 | offline               | The date the RDR went<br>offline. The database and/or<br>the website are no longer<br>available. | С | 0-1 | YYYY or YYYY-MM-DD or any<br>other format described in<br>W3C-DTF (ISO-8601) or empty<br>value with attribute xsi:nil set to<br>"true" |
|------|-----------------------|--|---|-----|--|
| 12   | relatedRepository     | A RDR related to this RDR (wrapper element).   |   | 0-n | -  |
| 12.1 | relatedRepositoryId   | The re3data identifier identifying the related RDR.  |   | 1   | Example:<br>r3d100010134   |
| 12.2 | relatedRepositoryType | The type of relationship<br>between this RDR and the<br>related RDR.                             |   | 0-1 | Controlled vocabulary<br>Allowed values from:<br>predecessor<br>successor  |
| 13   | repositoryLanguage    | The language of the user interface of the RDR.   |   | 1-n | Controlled vocabulary<br>Allowed values from:<br>ISO-639-3.<br>Example:<br>eng   |
| 14   | subject               | The disciplinary focus of the RDR (wrapper element).   |   | 1-n | -  |
| 14.1 | subjectScheme         | The subject scheme<br>according to which the<br>subject of the RDR is<br>described.              | A | 1   | Controlled vocabulary Allowed<br>values from:<br>DFG   |
| 14.2 | subjectId             | The ID/notation of the subject classification.   | С | 1   | The format is dependent on the<br>scheme.<br>Example:<br>11<br>10101   |
| 14.3 | subjectName           | The subject(s) of the RDR.   | С | 1   | The format is dependent on the<br>scheme.<br>Example:<br>Humanities<br>Prehistory  |
| 15   | missionStatementUrl   | The URL of a mission<br>statement describing the<br>designated community of the<br>RDR.          |   | 0-1 | URL<br>Example:<br>https://ess-dive.lbl.gov/about/   |
| 16   | contentType           | All types of resources<br>available in the RDR (wrapper<br>element).                             |   | 0-n | -  |
| 16.1 | contentTypeScheme     | The name and/or URL of the<br>subject scheme or<br>classification code.                          | A | 1   | Controlled vocabulary Allowed<br>values from:<br>COAR  |

| ID | re3data property | Definition | A/C | Occ | Allowed values, examples, other constraints |
|----|------------------|------------|-----|-----|---|
|    |                  |            |     |     |   |

| 16.2   | contentTypeId             | The URI of the content type.  | С | 1   | The format is dependent on the<br>scheme.<br>Example:<br>http://purl.org/coar/resource_typ<br>e/c_ddb1<br>http://purl.org/coar/resource_typ<br>e/c_5ce6<br>http://purl.org/coar/resource_typ<br>e/c_18cf |
|--------|---------------------------|---|---|-----|--|
| 16.3   | contentTypeName           | The name of the content type.   | С | 1   | The format is dependent on the<br>scheme.<br>Example:<br>dataset<br>software<br>text   |
| 17     | providerType              | The type of provider.   |   | 1-2 | Controlled vocabulary<br>Allowed values:<br>dataProvider<br>serviceProvider  |
| 18     | keyword                   | English keyword(s) describing the subject focus of the RDR.   |   | 0-n | Example:<br>environmental science<br>computer science  |
| 19     | institution               | All institutions being<br>responsible for funding,<br>creating and/or running the<br>RDR (wrapper element). |   | 1-n | -  |
| 19.1   | institutionName           | The name of the responsible institution.  | С | 1   | Example:<br>National Institute of General<br>Medical Sciences, U.S. National<br>Institutes of Health   |
| 19.1.1 | language                  | The language of the institution name.   | A | 1   | Controlled vocabulary<br>Allowed values from:<br>ISO-639-3.<br>Example:<br>eng   |
| 19.2   | institutionAdditionalName | The alternative name or<br>acronym for the responsible<br>institution.                                      | С | 0-n | Example:<br>NIGMS<br>NIH   |
| 19.2.1 | language                  | The language of the institution's additional name.  | A | 1   | Controlled vocabulary<br>Allowed values from:<br>ISO-639-3.<br>Example:<br>eng   |
| 19.3   | institutionCountry        | The location of the responsible institution.  | С | 1   | Controlled vocabulary Allowed values from:   |

| ID | re3data property | Definition | A/C | Occ | Allowed values, examples, other constraints |
|----|------------------|------------|-----|-----|---|
|----|------------------|------------|-----|-----|---|

|        |                           |   |   |     | ISO-3166-1 alpha-3.<br>Example:<br>DEU  |
|--------|---------------------------|---|---|-----|---|
| 19.4   | responsibilityType        | The type of responsibility for each responsible institution.                      | С | 0-n | Controlled vocabulary<br>Allowed values:<br>funding<br>general<br>technical         |
| 19.5   | institutionType           | The type of responsible institution.  | С | 0-1 | Controlled vocabulary Allowed<br>values:<br>commercial<br>non-profit                |
| 19.6   | institutionUrl            | The URL of the responsible institution.   | С | 0-1 | URL<br>Example:<br>http://www.nigms.nih.gov/  |
| 19.7   | institutionIdentifier     | The identifier for the responsible institution (wrapper element).                 | С | 0-n | -   |
| 19.7.1 | institutionIdentifierType | The provider name of the identifier for the responsible institution.              | С | 1   | Example:<br>ISNI  |
| 19.7.2 | institutionIdentifierUrI  | A globally unique identifier<br>that refers to the responsible<br>institution.    | С | 1   | URL<br>Example:<br>https://isni.org/isni/0000001007<br>55874                        |
| 19.8   | responsibilityStartDate   | The start date of the period of responsibility.                                   | С | 0-1 | YYYY or YYYY-MM-DD or any<br>other format described in<br>W3C-DTF (ISO-8601)        |
| 19.9   | responsibilityEndDate     | The end date of the period of responsibility.                                     | С | 0-1 | YYYY or YYYY-MM-DD or any<br>other format described in<br>W3C-DTF (ISO-8601)        |
| 20     | policy                    | Policies providing information concerning the usage of the RDR (wrapper element). |   | 0-n | -   |
| 20.1   | policyName                | The name of the policy.   | С | 1   | Example:<br>Data policy of the information<br>system PANGAEA                        |
| 20.2   | policyUrl                 | The URL of the policy.  | С | 1   | URL<br>Example:<br>https://www.pangaea.de/curator/<br>files/pangaea-data-policy.pdf |
| 21     | fundingInformation        | Information on funding for the RDR, current and historic (wrapper element).       |   | 0-n | -   |

| ID | re3data property | Definition | A/C | Occ | Allowed values, examples, other constraints |
|----|------------------|------------|-----|-----|---|
|    |                  |            |     |     |   |

| 21.1   | funderName                | Name of the funder funding the RDR.  | С | 1   | Example:<br>National Science Foundation                                  |
|--------|---------------------------|--|---|-----|--|
| 21.2   | funderldentifier          | Identifier of the funder<br>funding the RDR (wrapper<br>element).                        | С | 0-n | -  |
| 21.2.1 | funderldentifierType      | Type of identifier identifying the funder.   | С | 1   | Example:<br>Crossref Funder ID   |
| 21.2.2 | funderldentifierValue     | A globally unique identifier that refers to the funder.                                  | С | 1   | Example:<br>https://doi.org/10.13039/100000<br>001                       |
| 21.3   | grantldentifier           | Identifier of the grant funding the RDR.   | С | 0-1 | Example:<br>422587133  |
| 22     | databaseAccess            | The access regulation to the RDR metadata (wrapper element).                             |   | 1   | -  |
| 22.1   | databaseAccessType        | The type of access to the RDR metadata.  | С | 1   | Controlled vocabulary<br>Allowed values:<br>open<br>restricted<br>closed |
| 22.2   | databaseAccessRestriction | All existing access<br>restrictions to the RDR<br>(required if restricted is<br>chosen). | С | 0-n | Controlled vocabulary<br>Allowed values:<br>feeRequired<br>registration  |
| 23     | databaseLicense           | The license of the RDR metadata (wrapper element).                                       |   | 0-n | -  |
| 23.1   | databaseLicenseName       | The name of the database license.  | С | 1   | Example:<br>CC   |
| 23.2   | databaseLicenseUrl        | The database license URL.  | С | 1   | URL<br>Example:<br>https://creativecommons.org/lice<br>nses/             |
| 24     | dataAccess                | The access regulation to the research data sets provided by the RDR (wrapper element).   |   | 1-n | -  |
| 24.1   | dataAccessType            | The type of access to research data sets.  | С | 1   | Controlled vocabulary<br>Allowed values:<br>open<br>restricted<br>closed |

| ID | re3data property | Definition | A/C | Occ | Allowed values, examples, other constraints |
|----|------------------|------------|-----|-----|---|
|    |                  |            |     |     |   |

| 24.2 | dataAccessRestriction | All existing access<br>restrictions to the research<br>data sets (required if<br>restricted is chosen). | С | 0-n | Controlled vocabulary<br>Allowed values:<br>feeRequired<br>institutional membership<br>registration                 |
|------|-----------------------|---|---|-----|---|
| 25   | dataLicense           | The license of the research data provided by the RDR (wrapper element).                                 |   | 1-n | -   |
| 25.1 | dataLicenseName       | The name of the data license.   | С | 1   | Example:<br>CC  |
| 25.2 | dataLicenseUrl        | The data license URL.   | С | 1   | URL<br>Example:<br>https://creativecommons.org  |
| 26   | dataUpload            | The regulation for submitting research data to the RDR (wrapper element).                               |   | 1-n | -   |
| 26.1 | dataUploadType        | The type of the data upload.  | С | 1   | Controlled vocabulary<br>Allowed values:<br>open<br>restricted<br>closed  |
| 26.2 | dataUploadRestriction | All existing restrictions to the data upload (required if restricted is chosen).                        | С | 0-n | Controlled vocabulary<br>Allowed values:<br>feeRequired<br>institutional membership<br>registration<br>storageLimit |
| 27   | dataUploadLicense     | The license for data upload (wrapper element).  |   | 0-n | -   |
| 27.1 | dataUploadLicenseName | The name of the data upload license.  | С | 1   | Example:<br>Data Submission   |
| 27.2 | dataUploadLicenseUrl  | Data upload license URL.  | С | 1   | URL<br>Example:<br>https://www.pangaea.de/submit/   |
| 28   | software              | The name of the software that is used to run the RDR.   |   | 0-n | Example:<br>DSpace  |
| 29   | versioning            | The RDR supports versioning of research data.   |   | 0-1 | Controlled vocabulary<br>Allowed values:<br>yes<br>no   |
| 30   | арі                   | The API supported by the RDR (wrapper element).   |   | 0-n | -   |
| 30.1 | аріТуре               | The type of the API.  | С | 1   | Examples:   |

|   | ID | re3data property | Definition | A/C | Occ | Allowed values, examples, other constraints |
|---|----|------------------|------------|-----|-----|---|
| 1 |    |                  |            |     |     |   |

|      |                          |  |   |     | REST<br>OAI-PMH                                       |
|------|--------------------------|--|---|-----|---|
| 30.2 | apiUrl                   | The URL of the API for machine processing of data or metadata.   | С | 1   | Example:<br>https://datadryad.org/api/v2/             |
| 30.3 | apiDocumentation         | A link referring to the API<br>documentation, a website<br>that states its availability and<br>other information for using<br>the API. | С | 0-1 | Example:<br>https://datadryad.org/api/v2/doc<br>s/    |
| 31   | pidSystem                | The persistent identifier<br>system that is used/provided<br>by the RDR for research<br>data.  |   | 0-n | Example:<br>DOI                                       |
| 32   | citationReference        | The citation database covering the RDR.  |   | 0-n | Examples:<br>Data citation index<br>SCOPUS            |
| 33   | metrics                  | Any service, tool, etc. that is<br>used by the RDR to track,<br>measure, and visualize the<br>usage of provided research<br>data.      |   | 0-n | Examples:<br>usage statistics<br>Altmetric            |
| 34   | citationGuidelineUrl     | The URL outlining how to cite research data provided by the RDR.   |   | 0-1 | Example:<br>https://wiki.pangaea.de/wiki/Citat<br>ion |
| 35   | aidSystem                | The author identifier system that is used by the RDR.  |   | 0-n | Example:<br>ORCID                                     |
| 36   | enhancedPublication      | The RDR offers the interlinking between publications and data.   |   | 0-1 | Controlled vocabulary<br>Allowed values:<br>yes<br>no |
| 37   | qualityManagement        | Information on quality<br>management at the RDR<br>(wrapper element).  |   | 0-1 | -   |
| 37.1 | qualityManagementStatus  | Any form of quality<br>management concerning the<br>research data or metadata of<br>the RDR.   | С | 0-1 | Controlled vocabulary<br>Allowed values:<br>yes<br>no |
| 37.2 | qualityManagementMeasure | Documents outlining<br>measures the RDR<br>implemented to ensure the<br>quality of research data or<br>metadata (wrapper element).     |   | 0-n | -   |

| ID | re3data property | Definition | A/C | Occ | Allowed values, examples, other constraints |
|----|------------------|------------|-----|-----|---|
|    |                  |            |     |     |   |

| 37.2.1 | qualityManagementMeasureName | The title of the document outlining quality management measures at the RDR.  | С | 1   | Example:<br>UK Data Archive Quality Control   |
|--------|------------------------------|--|---|-----|---|
| 37.2.2 | qualityManagementMeasureUrl  | The URL of the document<br>outlining quality management<br>measures at the RDR.  | С | 1   | URL<br>Example:<br>https://www.data-archive.ac.uk/<br>managing-data/digital-curation-a<br>nd-data-publishing/quality-contro<br>l/ |
| 38     | certificate                  | The certificate, accreditation<br>or standard the RDR<br>complies with (wrapper<br>element).   |   | 0-n | -   |
| 38.1   | certificateName              | The name of the certificate.   | С | 1   | Example:<br>CoreTrustSeal   |
| 38.2   | certificateStartDate         | The start date of the certification period or the date the certificate was issued, in case certification does not expire.                                      | С | 0-1 | YYYY or YYYY-MM-DD or any<br>other format described in<br>W3C-DTF (ISO-8601)  |
| 38.3   | certificateEndDate           | The end date of the certification period.  | С | 0-1 | YYYY or YYYY-MM-DD or any<br>other format described in<br>W3C-DTF (ISO-8601)  |
| 38.4   | certificateUrl               | The URL to a source outlining details of the certification.  | С | 1   | URL<br>Example:<br>https://doi.org/10.5281/zenodo.3<br>638211   |
| 38.5   | certificateWidget            | The URL supporting the display of widgets/badges.  | С | 0-1 | URL<br>Example:<br>https://www.cert.example/badge<br>.jpg   |
| 39     | profile                      | The community profile the<br>RDR is associated with: a<br>selection of repositories<br>based on a set of<br>community-developed criteria<br>(wrapper element). |   | 0-n | -   |
| 39.1   | profileName                  | The name of the profile the RDR is associated with.  | С | 1   | Example:<br>Enabling FAIR Data<br>(COPDESS/AGU)   |
| 39.2   | profileUrl                   | The URL to a source naming<br>the entity creating the profile<br>and outlining the profile /<br>describing the selection<br>criteria.                          | С | 1   | URL<br>Example:<br>http://www.copdess.org/enablin<br>g-fair-data-project/enabling-fair-d<br>ata-faqs/                             |

| ID | re3data property | Definition | A/C | Occ | Allowed values, examples, other constraints |
|----|------------------|------------|-----|-----|---|
|    |                  |            |     |     |   |

|      |                      |  |   |     | https://www.worlddatasystem.or<br>g/community/membership/regula<br>r-members |
|------|----------------------|--|---|-----|--|
| 40   | metadataStandard     | The metadata standard the RDR complies with (wrapper element). |   | 0-n | -  |
| 40.1 | metadataStandardName | The name of the metadata standard.                             | С | 1   | Example:<br>DDI - Data Documentation<br>Initiative                           |
| 40.2 | metadataStandardUrl  | The URL of the metadata standard.                              | С | 1   | URL<br>Example:<br>https://www.ddialliance.org/Spec<br>ification/            |
| 41   | syndication          | The alerting service the RDR offers (wrapper element).         |   | 0-n | -  |
| 41.1 | syndicationType      | The type of the alerting service                               | С | 1   | Example:<br>RSS  |
| 41.2 | syndicationUrl       | The URL of the alerting service provided by the RDR.           | С | 1   | Example:<br>https://depositonce.tu-berlin.de/f<br>eed/rss_2.0/site           |
| 42   | remarks              | Additional remarks.  |   | 0-1 | The format is open.  |
| 43   | entryDate            | The date the RDR was first indexed in re3data.                 |   | 1   | YYYY-MM-DD (ISO-8601)  |
| 44   | lastUpdate           | The date the metadata of the RDR was updated.                  |   | 1   | YYYY-MM-DD (ISO-8601)  |



# Appendix

## A Attribute Values and Controlled Vocabularies

#### The following tables list and define the allowed values for controlled vocabularies.

2.1; 3.1; 6.1;19.1.1; 19.2.1 language ; 13 repositoryLanguage

| Value                     | Definition  |
|---------------------------|---|
| Example:<br>eng, deu, fra | ISO-639-3; http://www.iso.org/iso/catalogue_detail?csnumber=39534 |

8 type

| Value             | Definition   |
|-------------------|--|
| disciplinary      | Disciplinary repository (Subject repository): "This is a collection of research outputs with a common link to a particular subject discipline. Subject repositories are likely to cover one broad-based discipline, with contributors from many different institutions supported by a variety of funders; the repositories themselves are likely to be funded from one or more sources within the subject community. Although for some subject repositories the funding may be fragile, if they are of enough importance to the community then funding crises are usually weathered." <sup>12</sup>  |
| governmental      | Governmental Repository: This is a collection of outputs from projects and programmes related to governmental institutions. These repositories are likely closed for external contributions. In general the repository is state supported to make governmental output accessible.  |
| multidisciplinary | Multidisciplinary Repository: This is a collection of research outputs serving multidisciplinary needs. These repositories cover several research disciplines. Contributors from many different institutions and communities are likely accepted.  |
| institutional     | Institutional Repository: "This is a collection of research outputs with a common link to a particular institution, usually by authorship. These repositories are likely to cover more than one research discipline, to have funders in many if not all the Research Councils and support communities who have different approaches to research dissemination. Whether deposit of content is mandatory is a decision that will be made by each institution. The institutions may have many requirements for the content of the repository, from open access dissemination, through metrics, marketing to strategic planning. It is likely that many of these processes in the past were undertaken through collection of bibliographic information." <sup>13</sup> |
| project-related   | Project-related Repository: This is a collection of research outputs with a specific focus on the research data resulting from particular research projects. All contributions must be linked to the particular project, its mission, etc.   |

<sup>&</sup>lt;sup>12</sup> Jones, C et al. "Report of the Subject and Institutional Repositories Interactions Study." (2008): p. 5. <u>http://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.140.7316&rep=rep1&type=pdf</u> retrieved 5 July. 2021.

<sup>&</sup>lt;sup>13</sup> Jones, C et al. "Report of the Subject and Institutional Repositories Interactions Study." (2008): p. 5



| through to strategic planning." <sup>14</sup> | Other (Repository Type): A RDR that is neither institutional nor disciplinary, e.g., a funder repository: "This is a collection of research outputs with a common link to one (or more) funder(s). These are likely to cover the funder's remit, which is usually subject-based but can become indistinct at subject boundaries, and will have authors from many institutions. Deposit of content is usually mandatory and can include project-related material, such as completion project reports. The funders will have requirements for the content of the repository, from metrics |
|---|---|
|   |   |

10 startDate; 11.1 closed; 11.2 offline; 19.8 responsibilityStartDate; 19.9 responsibilityEndDate; 39.2 certificateStartDate; 39.3 certificateEndDate 44 entryDate; 45 lastUpdate

| Value              | Definition                                   |
|--------------------|--|
| YYYY or YYYY-MM-DD | ISO-8601; http://www.w3.org/TR/NOTE-datetime |

#### 12.2 relatedRepositoryType

| Value       | Definition  |
|-------------|---|
| predecessor | A RDR that handed over custody of (parts of) its collection to the RDR.               |
| successor   | The RDR that took over custody of (parts of) the collection after the RDR was closed. |

#### 14.1 subjectScheme

| Value | Definition  |
|-------|---|
| DFG   | DFG Classification of Subject Area, Review Board, Research Area and Scientific Discipline (using Level 1-4).<br>https://web.archive.org/web/20230601081759/https://www.dfg.de/en/dfg_profile/statutory_bodies/review_boards/subject_areas/ (retrieved 01-06-2023) |

#### 16.1 contentTypeScheme

| Value | Definition  |
|-------|---|
| COAR  | COAR Resource Types 3.1 (using <u>dataset</u> with subtypes ; <u>image</u> with subtypes ; <u>software</u> with subtypes ; <u>text</u> without subtypes ; <u>other</u> ).<br>https://vocabularies.coar-repositories.org/resource_types/ |

<sup>&</sup>lt;sup>14</sup> Jones, C et al. "Report of the Subject and Institutional Repositories Interactions Study." (2008): p. 5



# 16.3 contentTypeName

| Value                     | Definition <sup>15</sup>  |
|---------------------------|---|
| dataset                   | A collection of related facts and data encoded in a defined structure.  |
| aggregated data           | Statistics that relate to broad classes, groups, or categories. The data are averaged, totaled, or otherwise derived from individual-level data, and it is no longer possible to distinguish the characteristics of individuals within those classes, groups, or categories.      |
| clinical trial data       | Data resulting from a research study in which one or more human subjects are prospectively assigned to one or more interventions (which may include placebo or other control) to evaluate the effects of those interventions on health-related biomedical or behavioral outcomes. |
| compiled data             | Data collected or assembled from multiple, often heterogeneous sources that have one or more reference points in common, and at least one of the sources was originally produced for other purposes. The data are incorporated in a new entity.                                   |
| encoded data              | Qualitative data (textual, video, audio or still-image) originally produced for other purposes into quantitative data (expressed in unit-by-variable matrices) by using coding techniques in accordance with pre-defined categorization schemes.                                  |
| experimental data         | Data resulting from the experimental research method involving the manipulation of some or all of the independent variables included in the hypotheses.   |
| genomic data              | Genomic data refers to the genome and DNA data of an organism.  |
| geospatial data           | Geospatial data are any type of data with spatial coordinates that allow them to be mapped to the Earth's surface. They can represent physical objects, discrete areas or continuous surfaces.  |
| laboratory notebook       | A laboratory notebook is a primary record of research. Researchers use a lab notebook to document their hypotheses, experiments and initial analysis or interpretation of these experiments.  |
| measurement and test data | Data resulting from assessing specific properties (or characteristics) of beings, things, phenomena, (and/ or processes) by applying pre-established standards and/or specialized instruments or techniques.  |
| observational data        | Data resulting from observational research, which involves collecting observations as they occur, without attempting to manipulate any of the independent variables.  |
| recorded data             | Data registered by mechanical or electronic means, in a form that allows the information to be retrieved and/or reproduced.   |
| simulation data           | Data resulting from modeling or imitative representation of real-world processes, events, or systems, often using computer programs.  |
| survey data               | Data resulting from a survey, which is defined as an investigation about the characteristics of a given population by means of collecting data from a sample of that population and estimating their characteristics through the systematic use of statistical methodology.       |
| image                     | A visual representation other than text, including all types of moving image and still image.   |

<sup>&</sup>lt;sup>15</sup> Definitions are based on <u>COAR Resource Types 3.1</u>.

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| moving image      | A moving display, either generated dynamically by a computer program or formed from a series of pre-recorded still images imparting an impression of motion when shown in succession.  |
|-------------------|--|
| still image       | A recorded static visual representation.   |
| software          | A computer program in source code (text) or compiled form.   |
| research software | Software that is used to generate, process or analyse results that you intend to appear in a publication.  |
| source code       | Source code is any collection of code, with or without comments, written using a human-readable programming language, usually as plain text.   |
| text              | A resource consisting primarily of words for reading. Examples include books, letters, dissertations, poems, newspapers, articles, archives of mailing lists. Note that facsimiles or images of texts are still of the genre Text. |
| other             | A resource type that is not included in existing terms.  |

#### 16.2 contentTypeld

| Value  | Definition                |
|--|---------------------------|
| http://purl.org/coar/resource_type/c_ddb1    | dataset                   |
| http://purl.org/coar/resource_type/ACF7-8YT9 | aggregated data           |
| http://purl.org/coar/resource_type/c_cb28    | clinical trial data       |
| http://purl.org/coar/resource_type/FXF3-D3G7 | compiled data             |
| http://purl.org/coar/resource_type/AM6W-6QAW | encoded data              |
| http://purl.org/coar/resource_type/63NG-B465 | experimental data         |
| http://purl.org/coar/resource_type/A8F1-NPV9 | genomic data              |
| http://purl.org/coar/resource_type/2H0M-X761 | geospatial data           |
| http://purl.org/coar/resource_type/H41Y-FW7B | laboratory notebook       |
| http://purl.org/coar/resource_type/DD58-GFSX | measurement and test data |
| http://purl.org/coar/resource_type/FF4C-28RK | observational data        |
| http://purl.org/coar/resource_type/CQMR-7K63 | recorded data             |
| http://purl.org/coar/resource_type/W2XT-7017 | simulation data           |
| http://purl.org/coar/resource_type/NHD0-W6SY | survey data               |
| http://purl.org/coar/resource_type/c_c513    | image                     |
| http://purl.org/coar/resource_type/c_8a7e    | moving image              |

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| http://purl.org/coar/resource_type/c_ecc8    | still image       |
|--|-------------------|
| http://purl.org/coar/resource type/c 5ce6    | software          |
| http://purl.org/coar/resource_type/c_c950    | research software |
| http://purl.org/coar/resource_type/QH80-2R4E | source code       |
| http://purl.org/coar/resource_type/c_18cf    | text              |
| http://purl.org/coar/resource_type/c_1843    | other             |

#### 17 providerType

| Value           | Definition   |
|-----------------|--|
| dataProvider    | A RDR is a data provider if it stores or offers research data and its metadata (ideally exposing metadata via interfaces). |
| serviceProvider | A RDR is a service provider if it harvests and aggregates the metadata of research data from data providers.               |

#### 19.3 institutionCountry

| Value                   | Definition   |
|-------------------------|--|
| Examples: DEU, GBR, USA | ISO-3166-1 Alpha-3 code ; https://en.wikipedia.org/wiki/ISO_3166-1#Current_codes |
| ААА                     | international institutions   |
| EEC                     | European Union   |

#### 19.4 responsibilityType

| Value     | Definition  |
|-----------|---|
| funding   | Funding responsibility refers to the institution that provides funding for the research data repository.  |
| general   | General responsibility refers to the institution that is generally responsible for providing services, such as curation, and content of the research data repository. |
| technical | Technical responsibility refers to the institution that is responsible for the technical matters of the research data repository, e.g. hosting.                       |



#### 19.5 institutionType

| Value      | Definition   |  |
|------------|--|--|
| commercial | A commercial institution is an institution that distributes surplus revenues as profit or dividends.                           |  |
| non-profit | The institution does not aim at economic profits but at non-profit (e.g., social, cultural and academic) goals of its members. |  |

#### 22.1 databaseAccessType

| Value      | Definition   |
|------------|--|
| open       | There are no or minimal access barriers.                         |
| restricted | There are access barriers in place, but users can overcome them. |
| closed     | External users can't overcome access barriers.                   |

#### 22.2 databaseAccessRestriction

| Value        | Definition                               |  |
|--------------|--|--|
| feeRequired  | A single or regular payment is required. |  |
| registration | A free registration is required.         |  |

#### 4.1 dataAccessType

| Value      | Definition  |
|------------|---|
| open       | There are no or minimal access barriers.  |
| embargoed  | There are access barriers in place, but users can overcome them when data are released after an embargo period. |
| restricted | There are access barriers in place, but users can overcome them.  |
| closed     | External users can't overcome access barriers.  |

#### 24.2 dataAccessRestriction

| Value                    | Definition  |
|--------------------------|---|
| feeRequired              | A single or regular payment is required.                              |
| institutional membership | Only people associated with a member institution can access the data. |
| registration             | A free registration is required.                                      |



### 26.1 dataUploadType

| Value      | Definition   |
|------------|--|
| open       | There are no or minimal access barriers.                         |
| restricted | There are access barriers in place, but users can overcome them. |
| closed     | External users can't overcome upload barriers.                   |

#### 26.2 dataUploadRestriction

| Value                    | Definition  |  |
|--------------------------|---|--|
| feeRequired              | A single or regular payment is required.                              |  |
| institutional membership | Only people associated with a member institution can access the data. |  |
| registration             | A free registration is required.                                      |  |
| storageLimit             | Only data up to a certain volume can be uploaded and stored.          |  |



## B Explanation of re3data Access Types

The policies and access control measures of an RDR regulate a number of issues, particularly: Who can access the RDR? Who can download research data and how can the user reuse these research data from the repository? And who is permitted to deposit research data? To describe such access regulations of an RDR, re3data differentiates between three categories representing different levels of access. First, there is the access to the RDR defining who can access the database in general, e.g., searching the repository requires a membership. Second, there is the access to the research data sets in the RDR in particular, e.g., being able to download data from the repository. Third, there is the access to upload and deposit research data sets to the RDR. The access to each level can be open, restricted, and/or closed. Open means that there are no access barriers. Restricted means that external users can overcome access barriers, e.g., by creating a user account. Closed means external users cannot overcome access barriers. Embargoed access means that external users cannot overcome access barriers until the research data are released for open or restricted access. The last stated access type applies only to the level of access to the research data sets.

As shown in the matrix below the access to the RDR is the basic level to define the general framework of access to the actual research data sets, e.g., a research data set in an RDR that is restricted through registration cannot be open but just restricted or even closed; a research data set in a closed RDR which underlies access restrictions that cannot be overcome by external users is neither open nor restricted but only closed. Thus to define the general accessibility of an RDR, the values highlighted in orange are needed (values in braces can occur).

| Access (property)                                 | Open Access                             |        | Restricted Access              |        | Closed Access |
|---|---|--------|--------------------------------|--------|---------------|
| Access to Repository<br>(20.1 databaseAccessType) | open                                    |        | open or restricted             |        | closed        |
| Access to Data<br>(22.1 dataAccessType)           | open (embargoed,<br>restricted, closed) |        | restricted (embargoed, closed) |        | closed        |
| Data Upload<br>(24.1 dataUploadType)              | open or<br>restricted                   | closed | open or<br>restricted          | closed | -             |



## C Recommendations on Citing Data

Some RDRs offer guidelines on how to cite the research data they provide. If available, a URL to a web page outlining the recommended citation format can be found in the property citationGuidelineUrl. If a repository or journal does not offer specific data citation guidelines, the re3data team recommends using the DataCite citation format.<sup>16</sup> When stating the name of a RDR in a reference, the re3data team recommends referring to the RDR using repositoryName.

<sup>&</sup>lt;sup>16</sup> <u>https://datacite.org/cite-your-data.html</u>



## D DFG Classification of Subject Areas

#### 1 Humanities and Social Sciences

101 Ancient Cultures 10101 Prehistory and World Archaeology 10102 Classical Philology 10103 Ancient History 10104 Classical Archaeology 10105 Egyptology and Ancient Near Eastern Studies 102 History 10201 Medieval History 10202 Early Modern History 10203 Modern and Current History 10204 History of Science 103 Art History, Music, Theatre and Media Studies 10301 Art History 10302 Musicology 10303 Theatre and Media Studies 104 Linguistics 10401 General and Comparative Linguistics, Typology, Non-European Languages 10402 Individual Linguistics 10403 Historical Linguistics 10404 Applied Linguistics, Experimental Linguistics, Computational Linguistics 105 Literary Studies 10501 Medieval German Literature 10502 Modern German Literature 10503 European and American Literature 10504 General and Comparative Literature and Cultural Studies 106 Social and Cultural Anthropology, Non-European Cultures, Jewish Studies and Religious Studies 10601 Social and Cultural Anthropology and Ethnology 10602 Asian Studies 10603 African, American and Oceania Studies 10604 Islamic Studies, Arabian Studies, Semitic Studies 10605 Religious Studies and Jewish Studies 107 Theology 10701 Protestant Theology 10702 Roman Catholic Theology 108 Philosophy 10801 History of Philosophy 10802 Theoretical Philosophy 10803 Practical Philosophy 109 Educational Research 10901 General Education and History of Education 10902 General and Domain-Specific Teaching and Learning 10903 Education Systems and Educational Institutions 10904 Educational Research on Socialisation, Welfare and Professionalism Research 110 Psychology 11001 General, Cognitive and Mathematical Psychology 11002 Biological Psychology and Cognitive Neuroscience 11003 Developmental and Educational Psychology 11004 Social Psychology, Industrial and Organisational Psychology 11005 Differential, Clinical and Medical Psychology, Methodology

111 Social Sciences

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11101 Sociological Theory

- 11102 Empirical Social Research
- 11103 Communication Sciences
- 11104 Political Science

112 Economics

11201 Economic Theory

11202 Economic Policy, Applied Economics

11203 Business Administration

- 11204 Statistics and Econometrics
- 11205 Economic and Social History

#### 113 Jurisprudence

11301 Principles of Law and Jurisprudence

- 11302 Private Law
- 11303 Public Law
- 11304 Criminal Law
- 11305 Criminology

#### 2 Life Sciences

201 Basic Research in Biology and Medicine

20101 Biochemistry

20102 Biophysics

- 20103 Cell Biology
- 20104 Structural Biology
- 20105 General Genetics and Functional Genome Biology
- 20106 Developmental Biology
- 20107 Bioinformatics and Theoretical Biology

#### 202 Plant Sciences

- 20201 Evolution and Systematics of Plants and Fungi
- 20202 Ecology and Biodiversity of Plants and Ecosystems
- 20203 Organismic Interactions, Chemical Ecology and Microbiomes of Plant Systems

20204 Plant Physiology

- 20205 Plant Biochemistry and Biophysics
- 20206 Plant Cell and Developmental Biology
- 20207 Plant Genetics

#### 203 Zoology

- 20301 Systematics and Morphology (Zoology)
- 20302 Evolution, Anthropology
- 20303 Ecology and Biodiversity of Animals and Ecosystems, Organismic Interactions
- 20304 Sensory and Behavioural Biology
- 20305 Animal Physiology and Biochemistry
- 20306 Evolutionary Cell and Developmental Biology (Zoology)

#### 204 Microbiology, Virology and Immunology

- 20401 Metabolism, Biochemistry and Genetics of Microorganisms
- 20402 Microbial Ecology and Applied Microbiology
- 20403 Medical Microbiology and Mycology, Hygiene, Molecular Infection Biology
- 20404 Virology
- 20405 Immunology
- 20406 Parasitology and Biology of Tropical Infectious Disease Pathogens
- 205 Medicine
  - 20501 Epidemiology and Medical Biometry/Statistics
  - 20502 Public Health, Health Services Research, Social Medicine
  - 20503 Human Genetics
  - 20504 Physiology
  - 20505 Nutritional Sciences



20506 Pathology

20507 Medical Informatics and Medical Bioinformatics

20508 Pharmacy

20509 Pharmacology

20510 Toxicology, Occupational Medicine, Clinical Chemistry

20511 Anaesthesiology

20512 Cardiology, Angiology

20513 Pneumology, Thoracic Surgery

20514 Hematology, Oncology

20515 Gastroenterology

20516 Nephrology

20517 Endocrinology, Diabetology, Metabolism

20518 Rheumatology

20519 Dermatology

20520 Pediatric and Adolescent Medicine

20521 Gynaecology and Obstetrics

20522 Clinical Immunology and Allergology

20523 Reproductive Medicine, Urology

20524 Biogerontology and Geriatric Medicine

20525 General and Visceral Surgery

20526 Cardiac and Vascular Surgery

20527 Orthopaedics, Traumatology, Reconstructive Surgery

20528 Dentistry, Oral Surgery

20529 Otolaryngology

20530 Radiology, Nuclear Medicine, Radiotherapy, Radiobiology

20531 Clinical Infectiology and Tropical Medicine

20532 Medical Physics, Biomedical Technology

20533 Anatomy

206 Neurosciences

20601 Developmental Neurobiology

20602 Molecular Biology and Physiology of Neurons and Glial Cells

20603 Experimental and Theoretical Network Neuroscience

20604 Cognitive, Systems and Behavioural Neurobiology

20605 Experimental Models for the Understanding of Nervous System Diseases

20606 Molecular and Cellular Neurology and Neuropathology

20607 Clinical Neurology; Neurosurgery and Neuroradiology

20608 Human Cognitive and Systems Neuroscience

20609 Biological Psychiatry

20610 Clinical Psychiatry, Psychotherapy, Child and Adolescent Psychiatry

20611 Ophthalmology

207 Agriculture, Forestry and Veterinary Medicine

20701 Soil Sciences

20702 Plant Breeding and Plant Pathology

20703 Plant Cultivation, Plant Nutrition, Agricultural Technology

20704 Ecology of Land Use

20705 Agricultural Economics, Agricultural Policy, Agricultural Sociology

20706 Forestry

20707 Animal Breeding, Animal Nutrition, Animal Husbandry

20708 Veterinary Medical Science

3 Natural Sciences

307 Condensed Matter Physics

30701 Experimental Condensed Matter Physics

30702 Theoretical Condensed Matter Physics



308 Optics, Quantum Optics and Physics of Atoms, Molecules and Plasmas 30801 Optics, Quantum Optics, Atoms, Molecules, Plasmas 309 Particles. Nuclei and Fields 30901 Nuclear and Elementary Particle Physics, Quantum Mechanics, Relativity, Fields 310 Statistical Physics, Soft Matter, Biological Physics, Nonlinear Dynamics 31001 Statistical Physics, Soft Matter, Biological Physics, Nonlinear Dynamics 311 Astrophysics and Astronomy 31101 Astrophysics and Astronomy 312 Mathematics 31201 Mathematics 313 Atmospheric Science, Oceanography and Climate Research 31301 Atmospheric Science 31302 Oceanography 314 Geology and Palaeontology 31401 Geology and Palaeontology 315 Geophysics and Geodesy 31501 Geophysics 31502 Geodesy, Photogrammetry, Remote Sensing, Geoinformatics, Cartography 316 Mineralogy, Petrology and Geochemistry 31601 Mineralogy, Petrology and Geochemistry 317 Geography 31701 Physical Geography 31702 Human Geography 318 Water Research 31801 Hydrogeology, Hydrology, Limnology, Urban Water Management, Water Chemistry, Integrated Water Resources Management 321 Molecular Chemistry 32101 Inorganic Molecular Chemistry - Synthesis and Characterisation 32102 Organic Molecular Chemistry - Synthesis and Characterisation 322 Chemical Solid State and Surface Research 32201 Solid State and Surface Chemistry, Material Synthesis 32202 Physical Chemistry of Solids and Surfaces, Material Characterisation 323 Physical Chemistry 32301 Physical Chemistry of Molecules, Liquids and Interfaces, Biophysical Chemistry 324 Analytical Chemistry 32401 Analytical Chemistry 325 Biological Chemistry and Food Chemistry 32501 Biological and Biomimetic Chemistry 32502 Food Chemistry 326 Polymer Research 32601 Preparatory and Physical Chemistry of Polymers 32602 Experimental and Theoretical Physics of Polymers 32603 Polymer Materials 327 Theoretical Chemistry 32701 Theoretical Chemistry: Electron Structure, Dynamics, Simulation 32702 Theoretical Chemistry: Molecules, Materials, Surfaces 4 Engineering Sciences 401 Production Technology 40101 Metal-Cutting and Abrasive Manufacturing Engineering 40102 Primary Shaping and Reshaping Technology, Additive Manufacturing 40103 Joining and Separation Technology 40104 Plastics Engineering 40105 Production Systems, Operations Management, Quality Management and Factory Planning



| 40106 Production Automation  |
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| 402 Mechanics and Constructive Mechanical Engineering  |
| 40201 Engineering Design, Machine Elements, Product Development  |
| 40202 Mechanics  |
| 40203 Lightweight Construction, Textile Technology<br>40204 Acoustics  |
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| 403 Process Engineering, Technical Chemistry<br>40301 Chemical and Thermal Process Engineering                     |
| 40302 Technical Chemistry  |
| 40303 Mechanical Process Engineering   |
| 40304 Biological Process Engineering   |
| 404 Fluid Mechanics, Technical Thermodynamics and Thermal Energy Engineering                                       |
| 40401 Energy Process Engineering   |
| 40402 Technical Thermodynamics   |
| 40403 Fluid Mechanics  |
| 40404 Hydraulic and Turbo Engines and Piston Engines   |
| 405 Materials Engineering  |
| 40501 Metallurgical, Thermal and Thermomechanical Treatment of Materials   |
| 40502 Materials in Sintering Processes and Generative Manufacturing Processes                                      |
| 40503 Coating and Surface Technology   |
| 40504 Mechanical Properties of Metallic Materials and their Microstructural Origins                                |
| 40505 Glass, Ceramics and Derived Composites   |
| 40506 Polymeric and Biogenic Materials and Derived Composites  |
| 406 Materials Science  |
| 40601 Synthesis and Properties of Functional Materials   |
| 40602 Biomaterials   |
| 40603 Thermodynamics and Kinetics as well as Properties of Phases and Microstructure of Materials                  |
| 40604 Computer-Aided Design of Materials and Simulation of Materials Behaviour from Atomic to<br>Microscopic Scale |
| 407 Systems Engineering  |
| 407 Systems Engineering<br>40701 Automation, Control Systems, Robotics, Mechatronics, Cyber Physical Systems       |
| 40701 Automation, control systems, hobotics, mechatronics, cyber i mysical systems<br>40702 Measurement Systems    |
| 40703 Microsystems   |
| 40704 Traffic and Transport Systems, Intelligent and Automated Traffic   |
| 40705 Human Factors, Ergonomics, Human-Machine Systems   |
| 40706 Biomedical Systems Technology  |
| 408 Electrical Engineering and Information Technology  |
| 40801 Electronic Semiconductors, Components, Circuits, Systems   |
| 40802 Communications, High-Frequency and Network Technology, Theoretical Electrical Engineering                    |
| 40803 Electrical Energy Generation, Distribution, Application  |
| 409 Computer Science   |
| 40901 Theoretical Computer Science   |
| 40902 Software Engineering and Programming Languages   |
| 40903 Security and Dependability   |
| 40904 Operating, Communication, Database and Distributed Systems   |
| 40905 Interactive and Intelligent Systems, Image and Language Processing, Computer Graphics and                    |
| Visualisation  |
| 40906 Information Systems, Process and Knowledge Management  |
| 40907 Computer Architecture and Embedded Systems   |
| 40908 Massively Parallel and Data-Intensive Systems<br>410 Construction Engineering and Architecture               |
| 41001 Architecture, Building and Construction History, Construction Research, Sustainable Building                 |
| Technology   |
| toritology   |



41002 Urbanism, Spatial Planning, Transportation and Infrastructure Planning, Landscape Planning

- 41003 Construction Material Sciences, Chemistry, Building Physics
- 41004 Structural Engineering, Building Informatics and Construction Operation
- 41005 Applied Mechanics, Statics and Dynamics
- 41006 Geotechnics, Hydraulic Engineering